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# The European X-FEL: RF Control Challenges

S. Simrock, DESY



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# Outline

- Part I: TESLA and X-FEL
- Part II: RF Control



# 500 ( $\rightarrow$ 800) GeV $e^+e^-$ Linear Collider

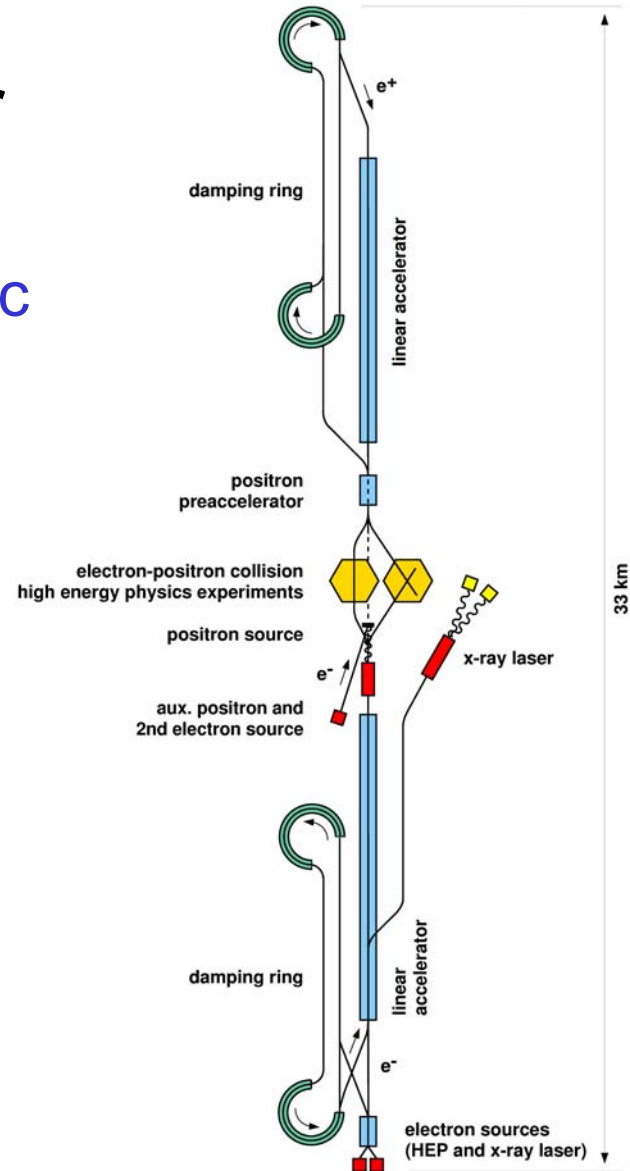
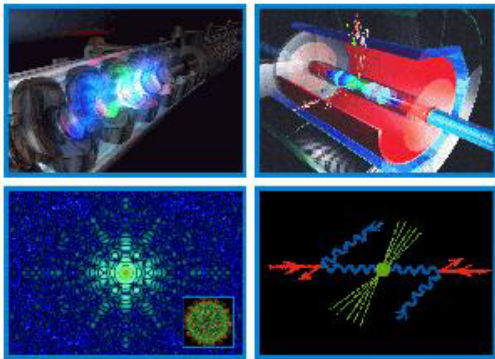
Based on superconducting linac technology

## TESLA

The Superconducting Electron-Positron Linear Collider

with an Integrated X-Ray Laser Laboratory

### Technical Design Report



# The TESLA Collaboration:

54 Institutes from 12 countries

## Members of the TESLA Collaboration



CANDLE, Yerevan  
Yerevan Physics Institute, Yerevan



Institute for High Energy Physics (IHEP), Academia Sinica, Beijing  
Tsinghua University, Beijing  
Peking University



Institute of Physics, Helsinki



CEA/DSM DAPNIA, CE-Saclay, Gif-sur-Yvette  
Laboratoire de l'Accélérateur Linéaire (LAL), IN2P3, Orsay  
Institut de Physique Nucléaire (IPN), Orsay



Rheinisch-Westfälische Technische Hochschule, Aachen  
Berliner Elektronenspeicherring-Gesellschaft für Synchrotronstrahlung, BESSY, Berlin  
Hahn-Meitner Institut Berlin  
Max-Born-Institut, Berlin  
Technische Universität Berlin  
Technische Universität Darmstadt  
Technische Universität Dresden  
Universität Frankfurt  
GKSS-Forschungszentrum Geesthacht  
Deutsches Elektronen-Synchrotron DESY in der Helmholtz-Gemeinschaft, Hamburg und Zeuthen  
Universität Hamburg  
Forschungszentrum Karlsruhe  
Universität Rostock  
Bergische Universität-GH Wuppertal



CCLRC-Daresbury and Rutherford Appleton Laboratory, Cheshire  
Royal Holloway, University of London (RHUL)  
Queen Mary, University of London (QMUL)  
University College London (UCL)  
University of Oxford



Laboratori Nazionali di Frascati, INFN, Frascati  
Istituto Nazionale di Fisica Nucleare (INFN), Legnaro  
Istituto Nazionale di Fisica Nucleare (INFN), Milan  
Istituto Nazionale di Fisica Nucleare (INFN), Rome II  
Sincrotrone Trieste



Institute of Nuclear Physics, Cracow  
University of Mining and Metallurgy, Cracow  
Soltan Institute for Nuclear Studies, Otwock-Swierk  
High Pressure Research Center, Polish Academy of Science, Warsaw  
Institute of Physics, Polish Academy of Science, Warsaw  
Polish Atomic Energy Agency, Warsaw  
Faculty of Physics, University of Warsaw



Moscow Engineering and Physics Institute, Moscow  
Institute for Theoretical and Experimental Physics (ITEP), Moscow  
Budker Institute for Nuclear Physics (BINP), Novosibirsk  
Budker Institute for Nuclear Physics (BINP), Protvino  
Institute for High Energy Physics (IHEP), Protvino  
Institute for Nuclear Research (INR) Russian Academy of Sciences, Troitsk



Centro de Investigaciones Energéticas, Medioambientales y Tecnológicas (CIEMAT), Madrid



Paul-Scherrer-Institut (PSI), Villigen



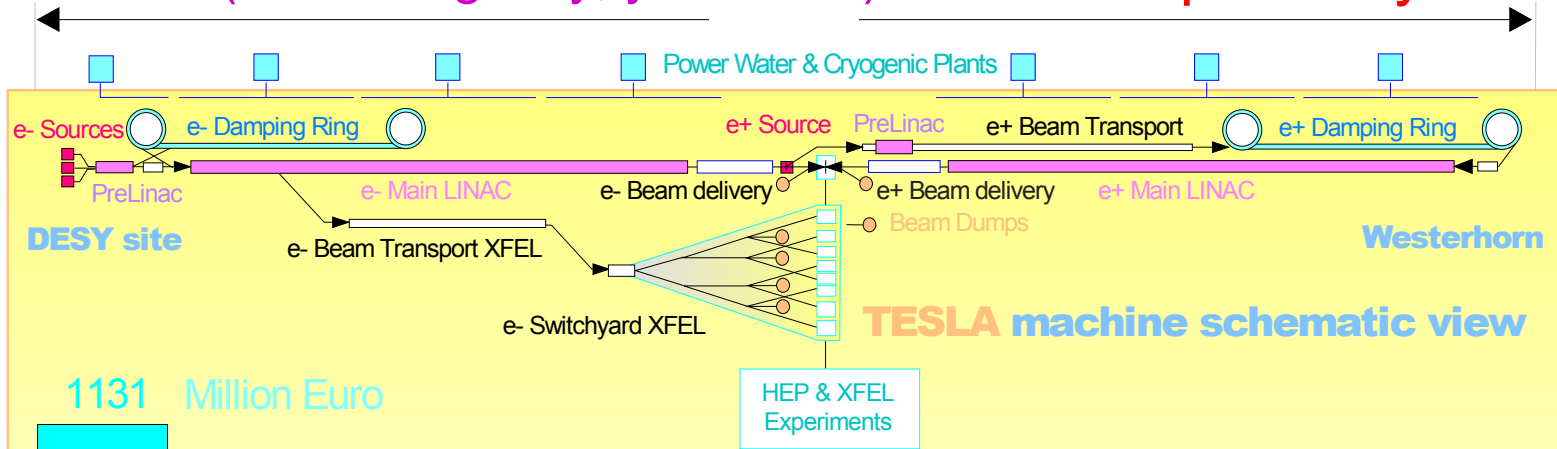
Argonne National Laboratory (ANL), Argonne IL  
Fermi National Accelerator Laboratory (FNAL), Batavia IL  
Cornell University, Ithaca NJ  
University of California, Los Angeles CA  
Jefferson Lab, Newport News VA

Joint Institute for Nuclear Research (JINR), Dubna

← MIT (Jan 2004)

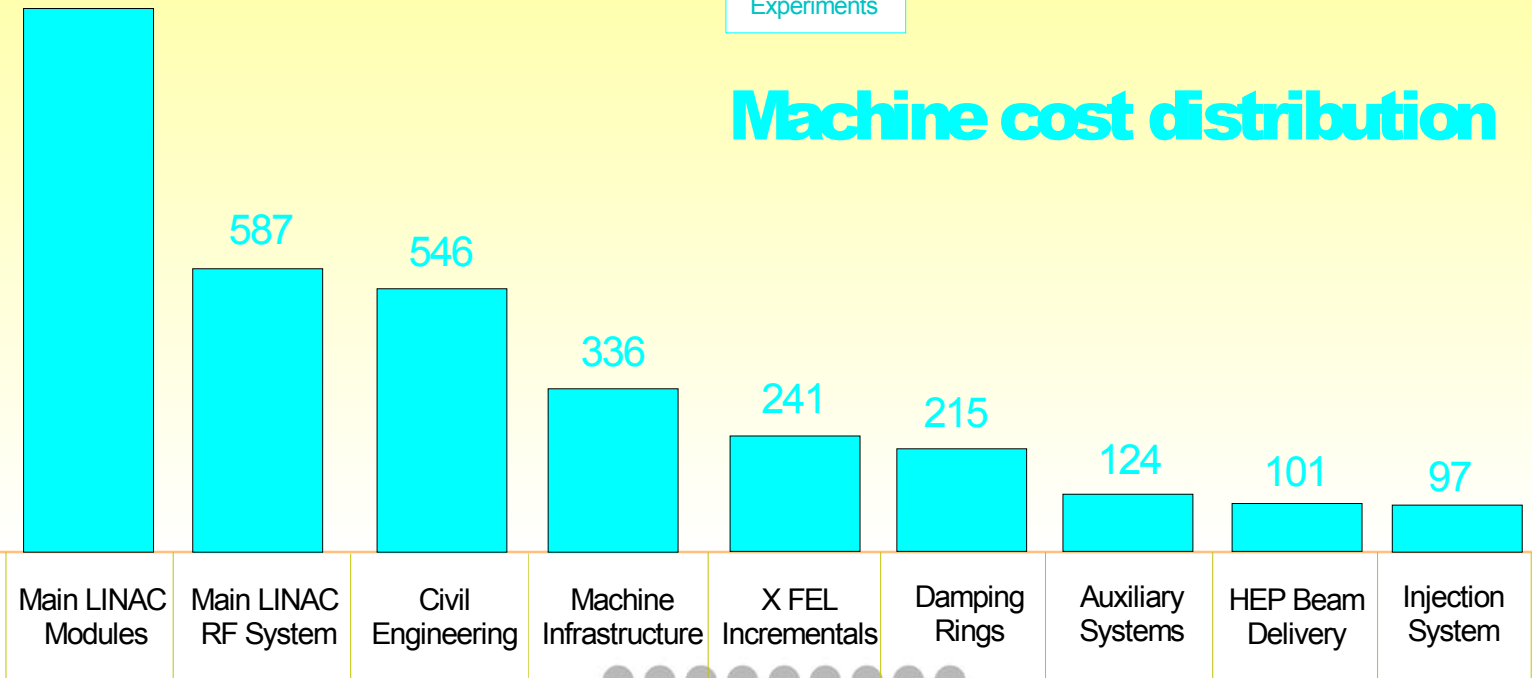
# Cost estimate 500GeV LC, one e+e- IP:

**3,136 M€** (no contingency, year 2000) + ~7000 person years



1131 Million Euro

## Machine cost distribution



**Why...**



**...technology?**

Low RF losses in resonators ( $Q_0 = 10^{10}$ , pure Nb at  $T=2K$ )

→ High AC-to-beam efficiency

→ Long pulses/many bunches with low RF peak power

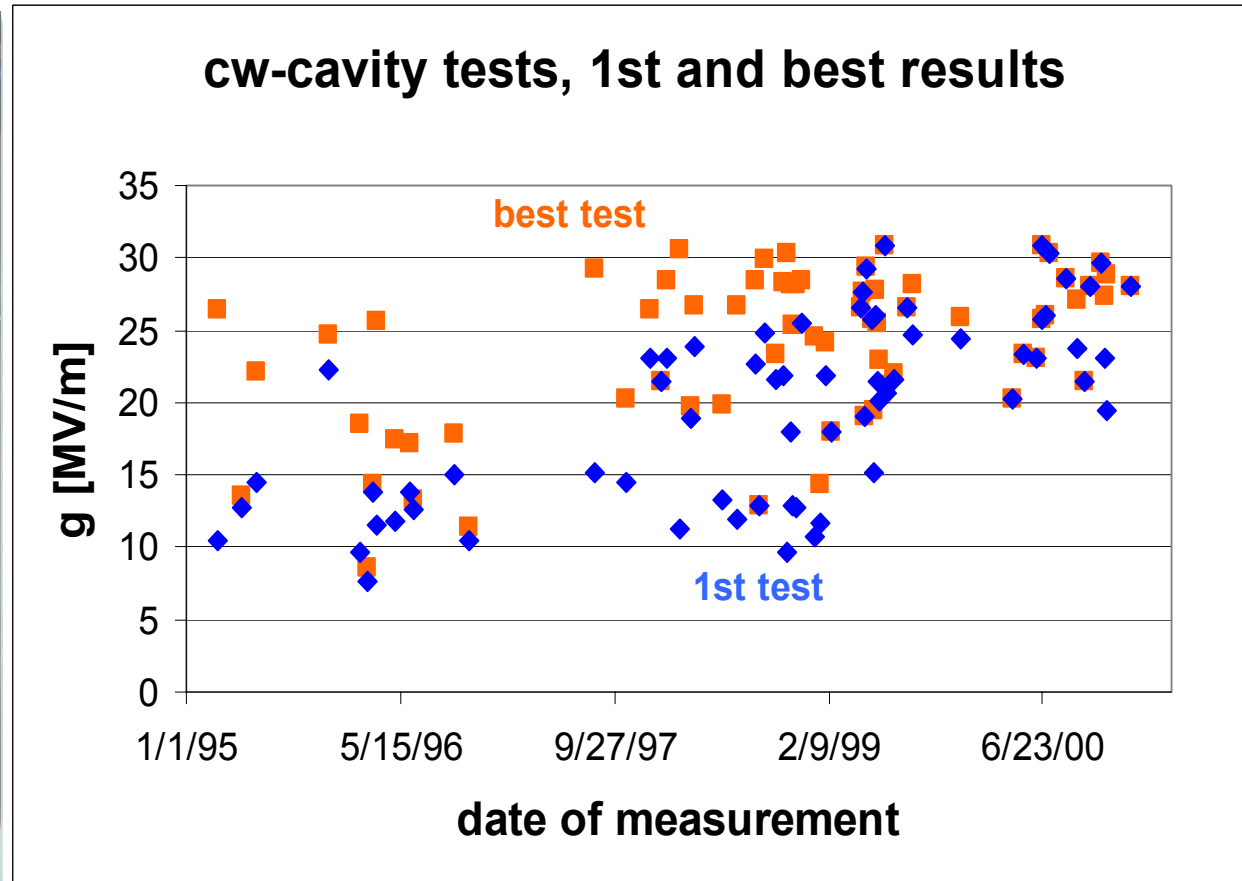
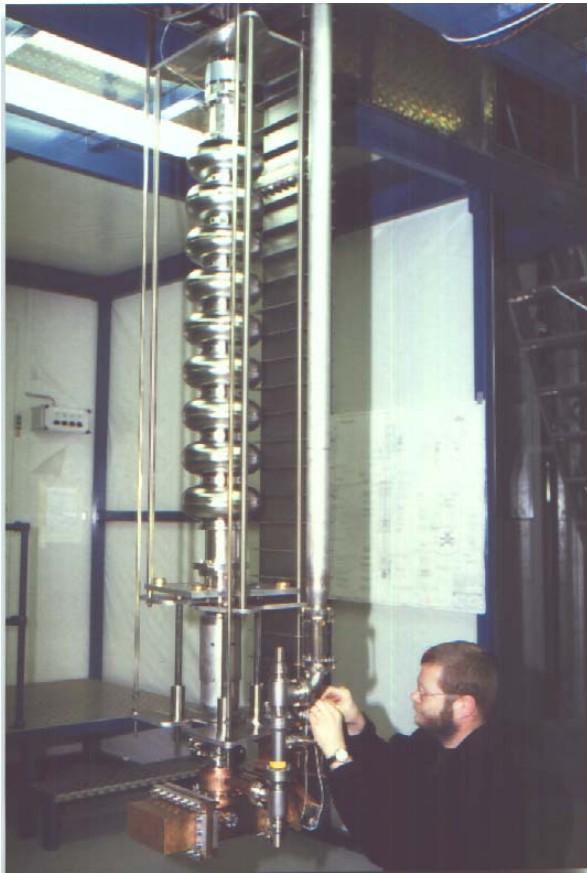
→ Fast intra-train orbit&energy feedback & luminosity stabilisation

Low frequency ( $f=1.3$  GHz), small wakefields  $\propto f^3$

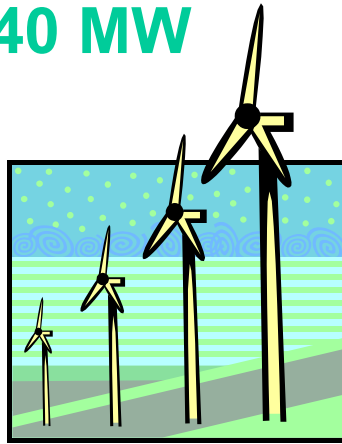
→ Relaxed alignment tolerances, good beam stability



Accelerating gradient on test stand reached 25 MV/m *on average* for 1999/2000 cavity production



Site power: **140 MW**



**Linac: 97MW**

**Sub-systems: 43MW**

**RF:  
76MW**



**78%**

**Cryogenics:  
21MW**

**Injectors**

**Damping rings**

**Water,  
ventilation, ...**



**65%**

**Beam:  
22.6MW**

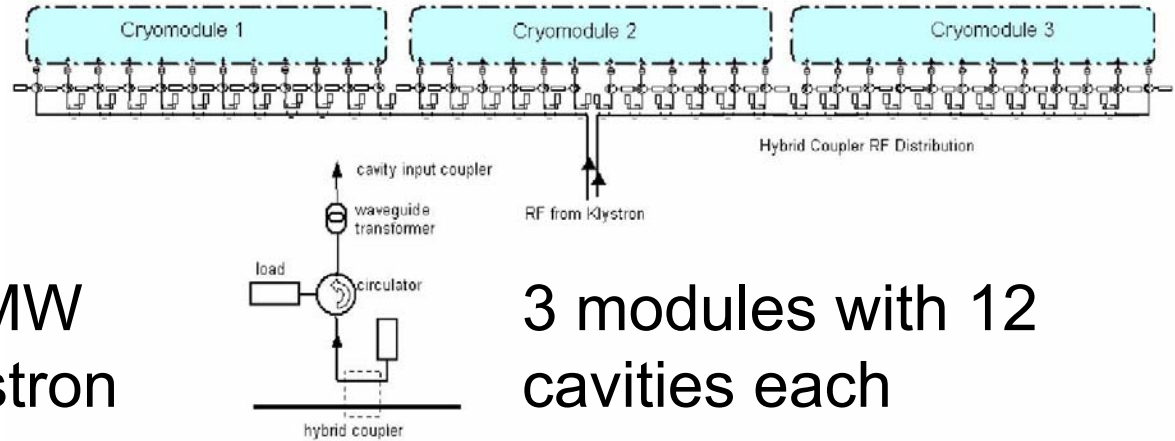
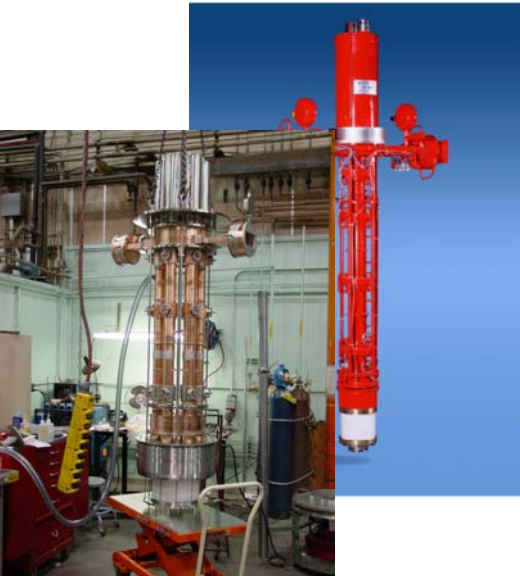


**60%**

**TESLA**



# Main Linac basic unit:



10MW  
klystron

3 modules with 12  
cavities each

3 prototypes delivered  
from European industry  
operated at design spec

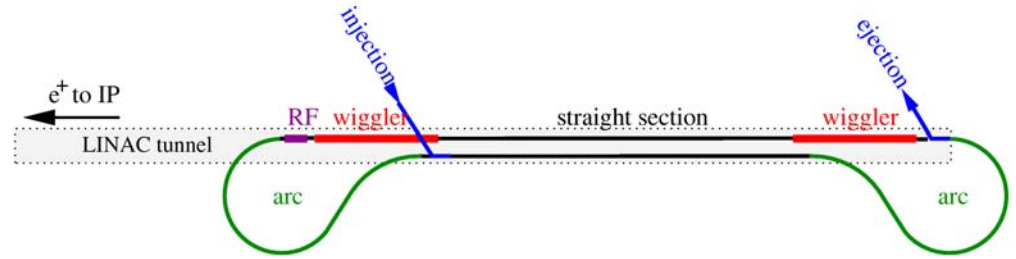
Ongoing: prototypes from  
two more vendors

Per main linac:

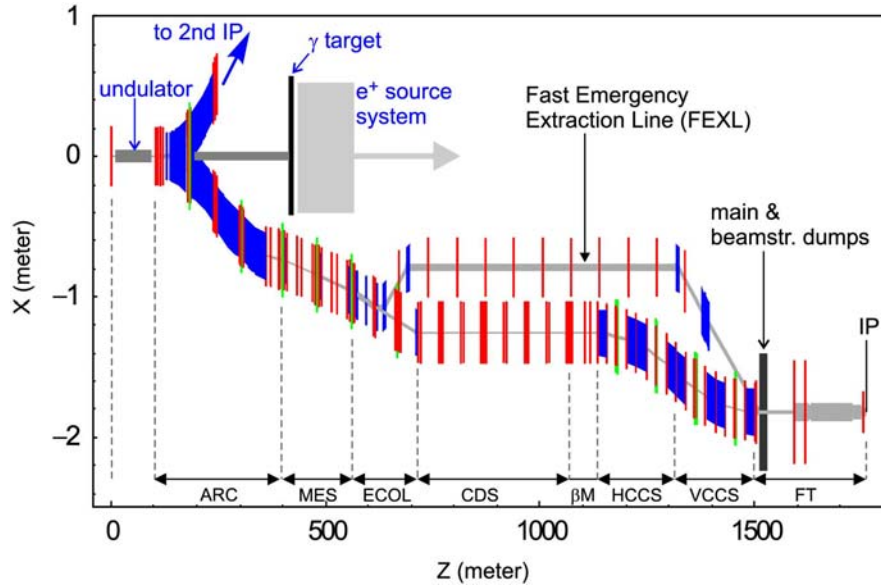
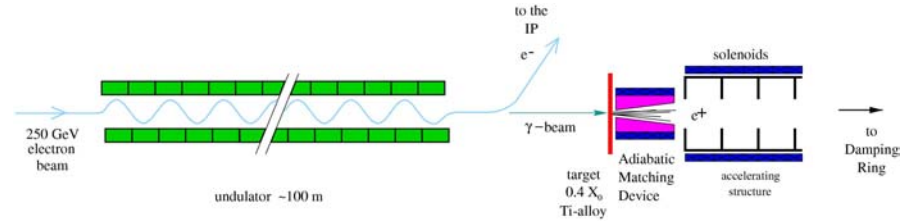
286 units, incl. 2% reserve  
for failure handling

# The sub-systems...

- Considerable complexity
- technical and beam dynamics challenges

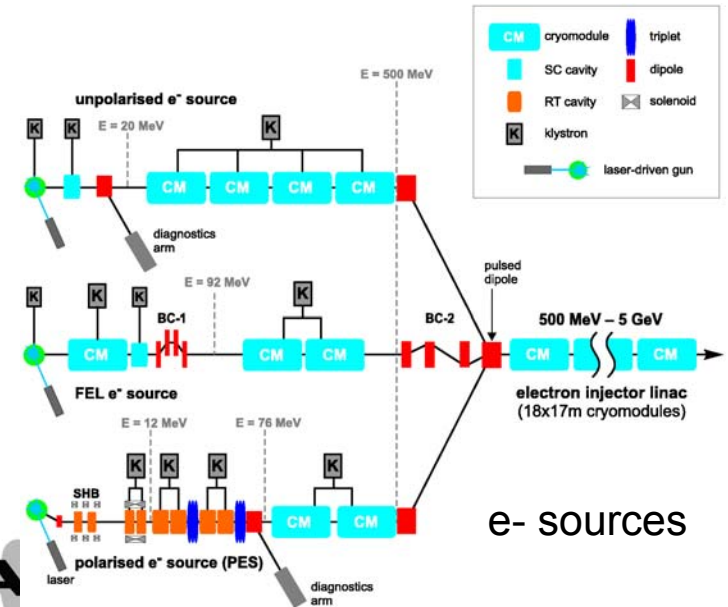


Damping ring



Beam delivery

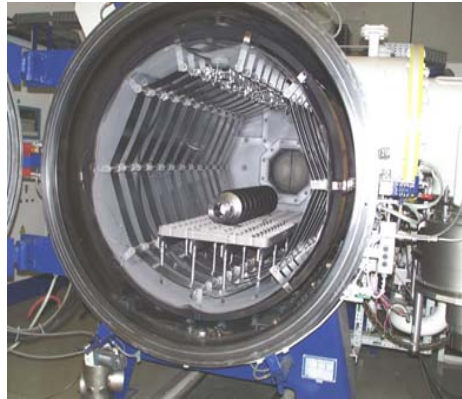
## e+ source



e- sources

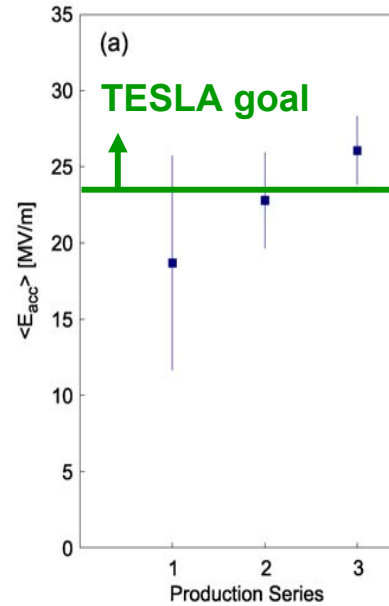
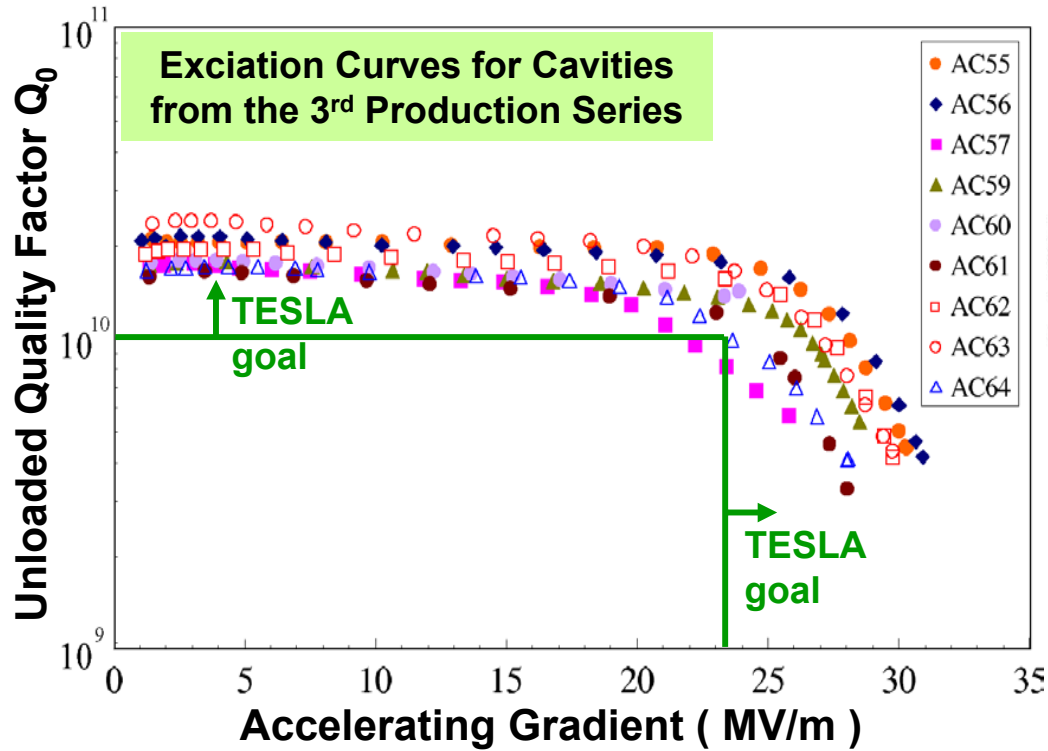


# Preparation of Cavities

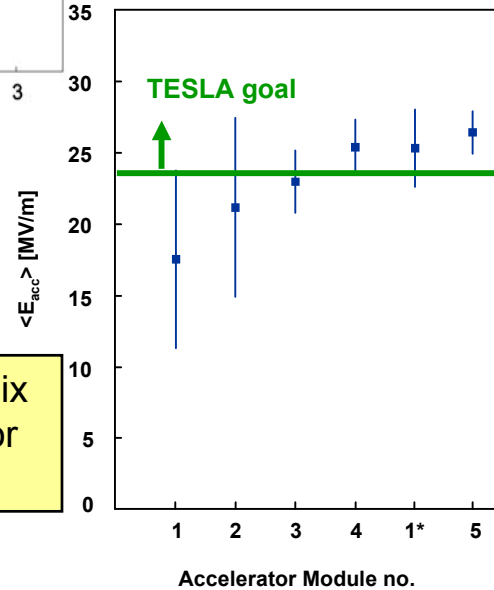


**TESLA**

# High Gradient Performance



The First Three Production Series  
(without electro-polished cavities)



The First Six Accelerator Modules

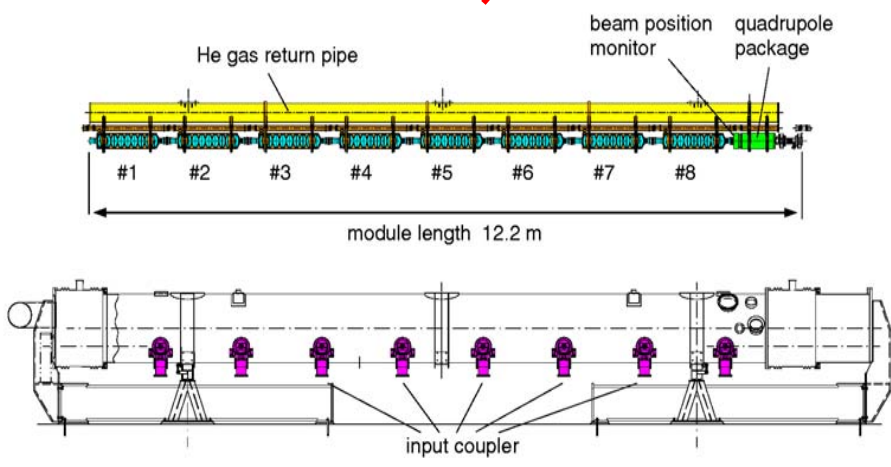
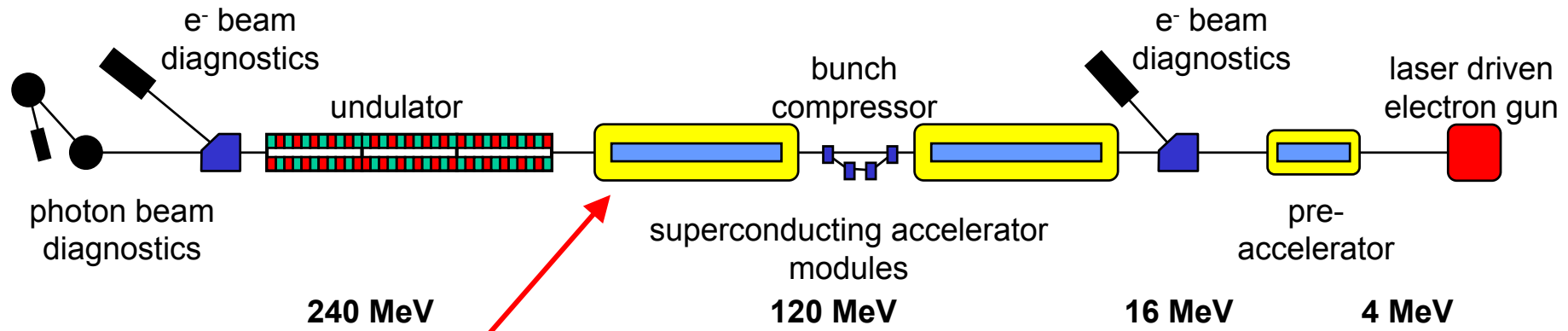
Approx. 70 cavities were produced in three production series. **Gradient and gradient spread improved a lot.**

**Six accelerator modules** with 8 cavities each were assembled. Three of them were used in the TTF Linac.

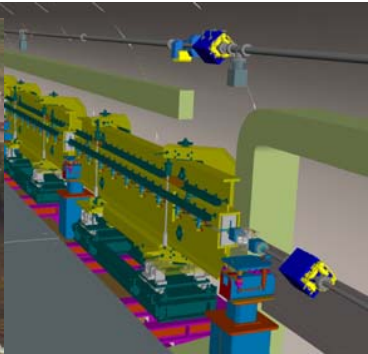
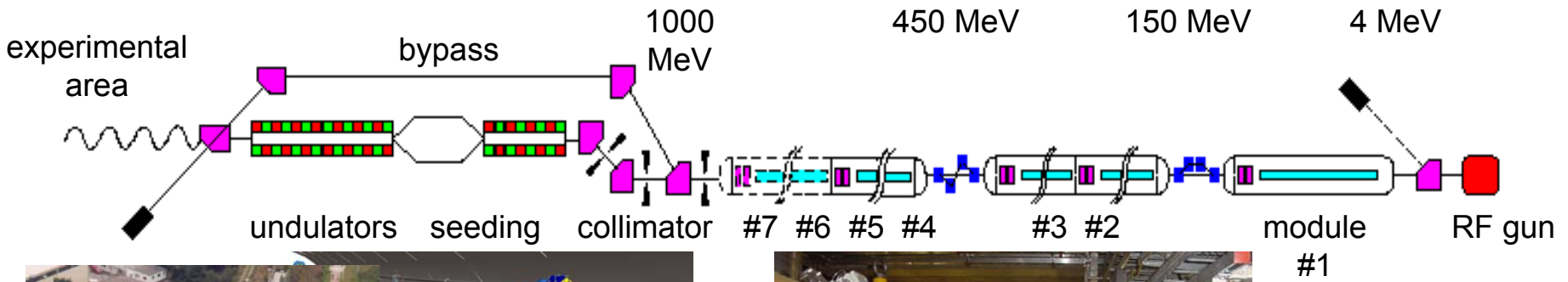
**Modules 4 and 5 tests started in autumn 2003.**



# TESLA Test Facility Linac (Phase-I until 2003)



# TTF Phase-II (from 2004)



experience with 5

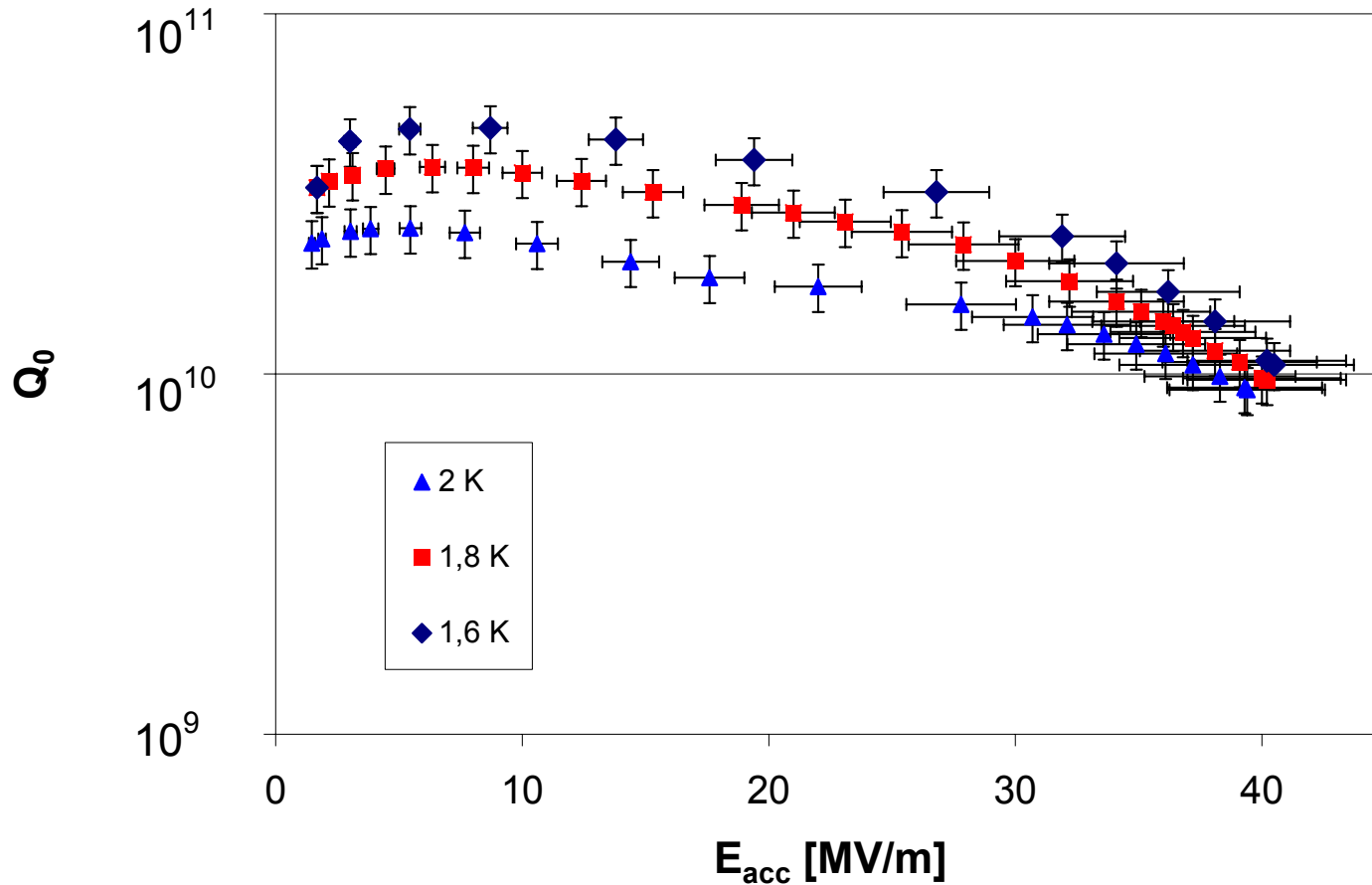
facility

length

- Beam test of module with high-performance EP cavities (M6)

# CW test of best 9-cell EP-treated (at DESY) cavity

note: no 1400 C titanisation treatment!



# Tunnel layout being reviewed: Optimise usage of the cross section

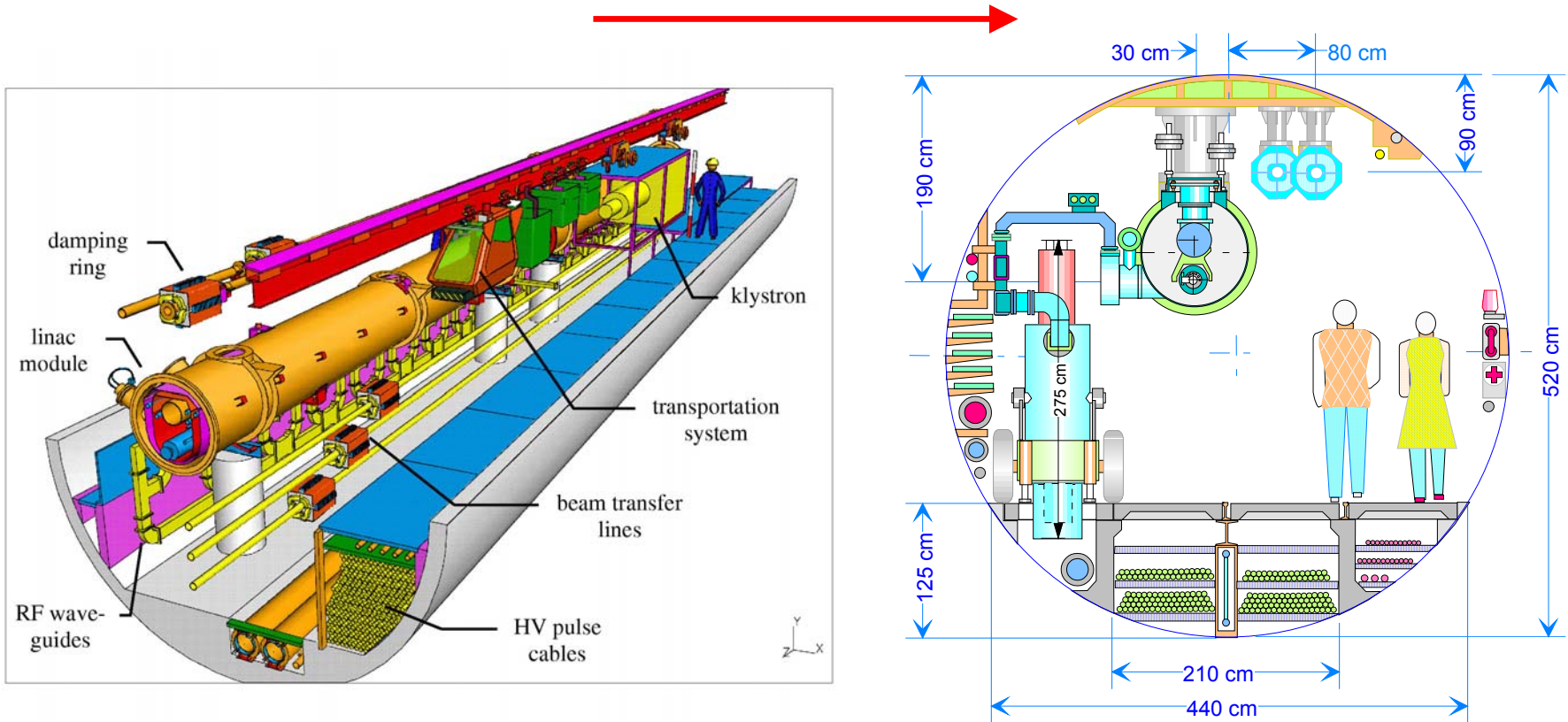


Figure 3. Main LINAC, Damping Ring & Klystron Station

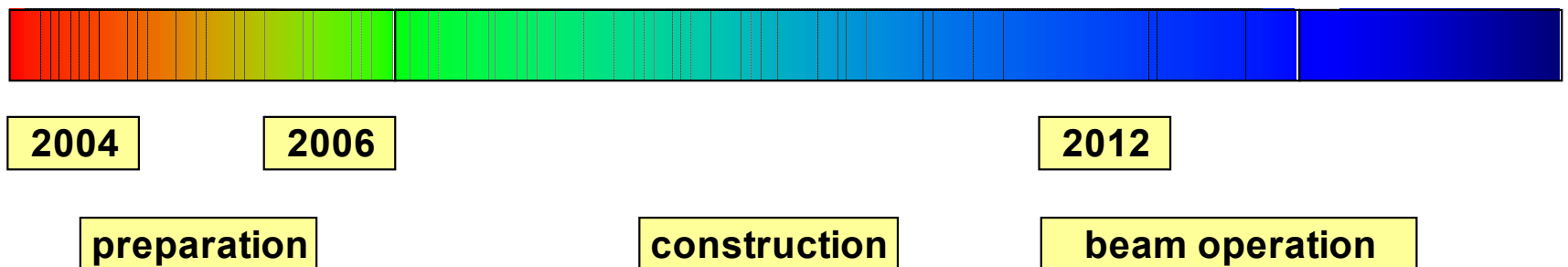


# XFEL Project - brief overview

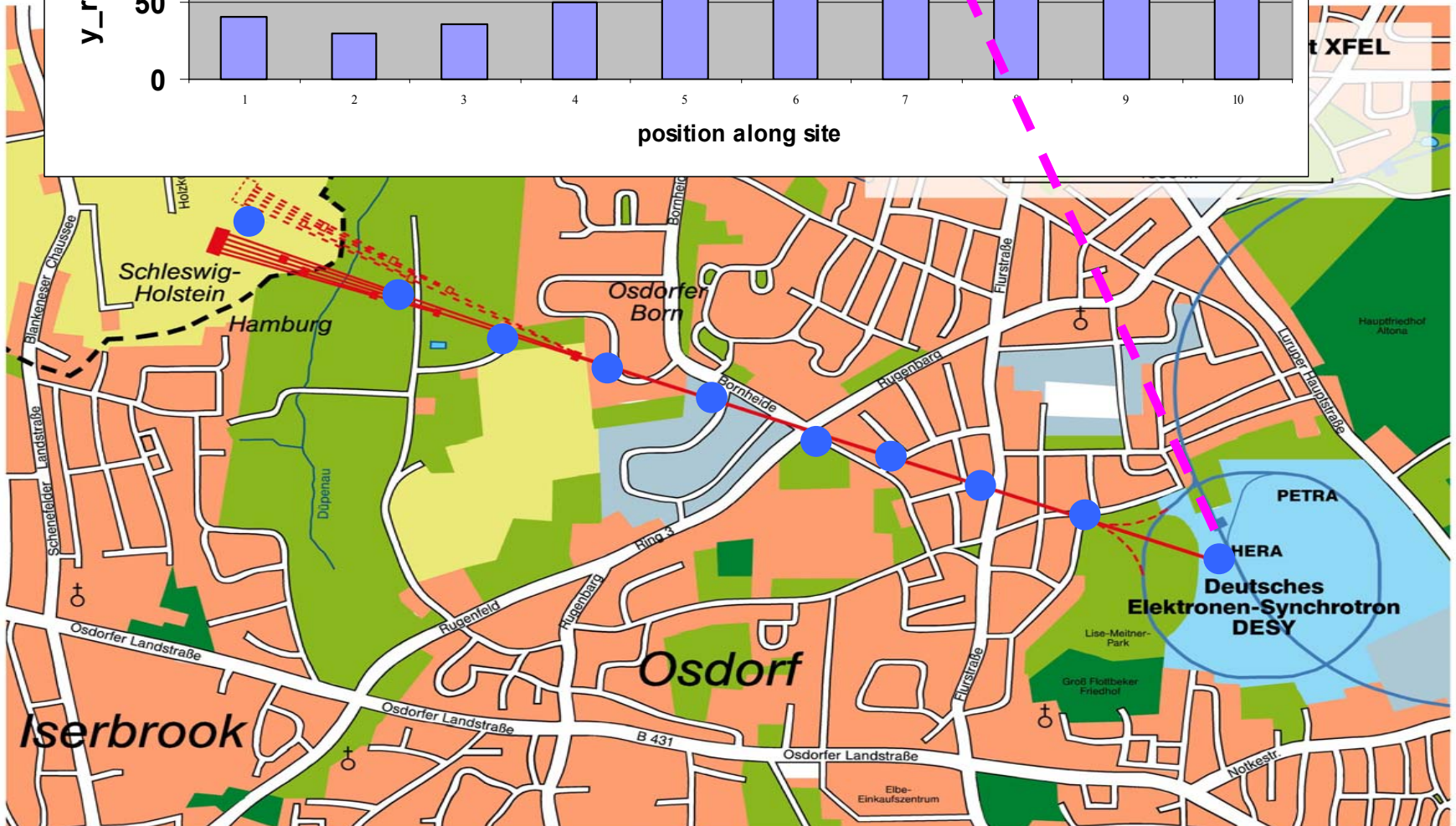
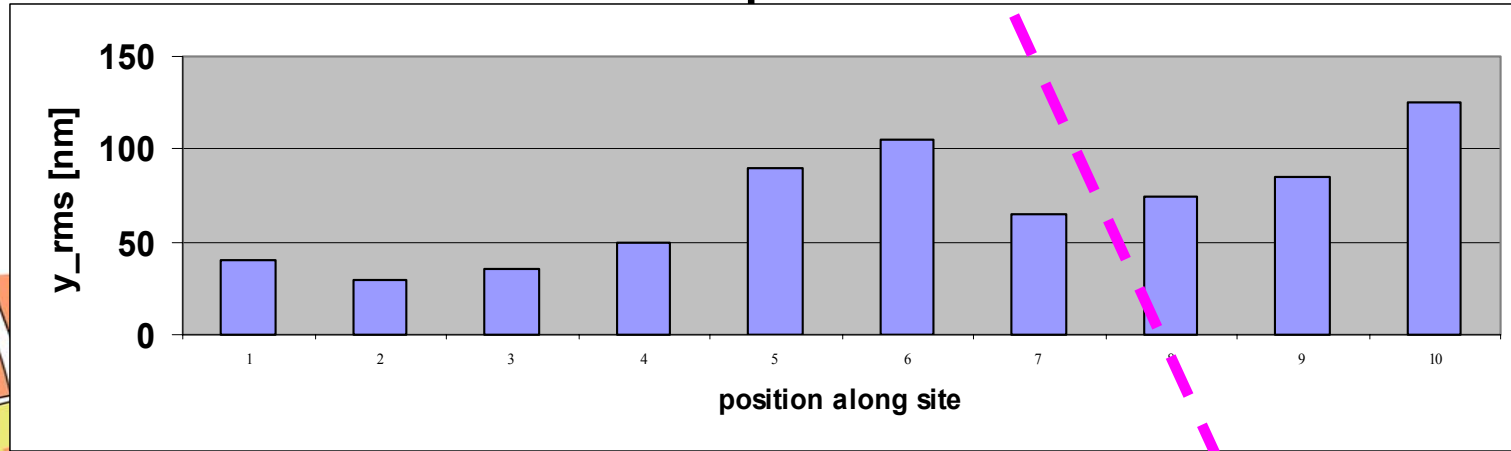
- 4<sup>th</sup> generation SR user facility with SASE-FEL concept in the 1 – 100 Angstrom ( $\rightarrow 0.5\text{\AA}$ ) wavelength (1<sup>st</sup> harmonic) and 100fs ( $\rightarrow < 1\text{fs}$ ) pulse length regime
- In 1<sup>st</sup> stage 3 SASE & 2 spontaneous undulator beam lines, 10 experimental stations
- Driver: 1.5km linac in **TESLA** technology, 20GeV beam energy @ 23MV/m gradient

# Overview cont'd

- German government Feb. 2003: go-ahead for XFEL as European project, incl. funding 50% of total 684 M€ (year 2000) project cost, + contribution from Länder HH & Schleswig-Holstein, ~ 40% European Partners
- Project organisation at Europ. Level (scientific/technical & administrative/financial) ongoing, completed in 2005



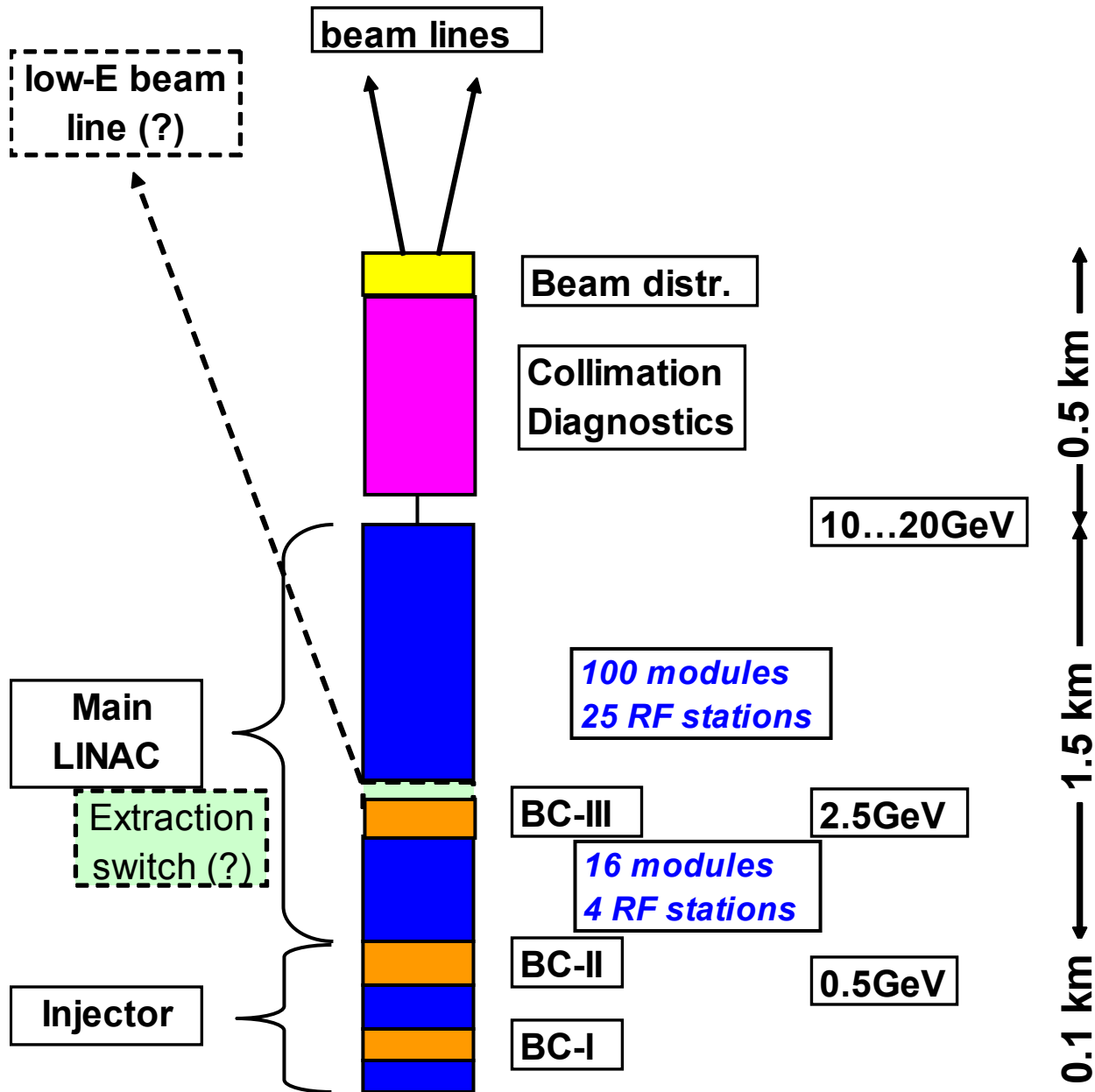
# New XFEL site: independent from LC site



# Accelerator reference parameters

<b>Main linac</b>	
Energy gain	0.5 → 20 GeV
# installed modules	116
# active modules	104
acc gradient	22.9 MV/m
# installed klystrons	29
Bunch spacing	200 ns
beam current	5 mA
power→beam p. klystron	3.8 MW
incl. 10% + 15% overhead	4.8 MW
matched $Q_{\text{ext}}$	$4.6 \cdot 10^6$
RF pulse	1.37 ms
Beam pulse	0.65 ms
Rep. rate	10 Hz
Av. Beam power *	650 kW
Total AC power	≈ 9 MW

\* Power limitation to ~300kW per beamline → solid beam dump possible



# Layout with *single* linac tunnel

*E.g.:*

Electronics in tunnel/radiation environment  
(→ test in DESY-LINAC-II)

Handling of RF and cavity,  
power supply failures

Stray fields?

Supports and alignment

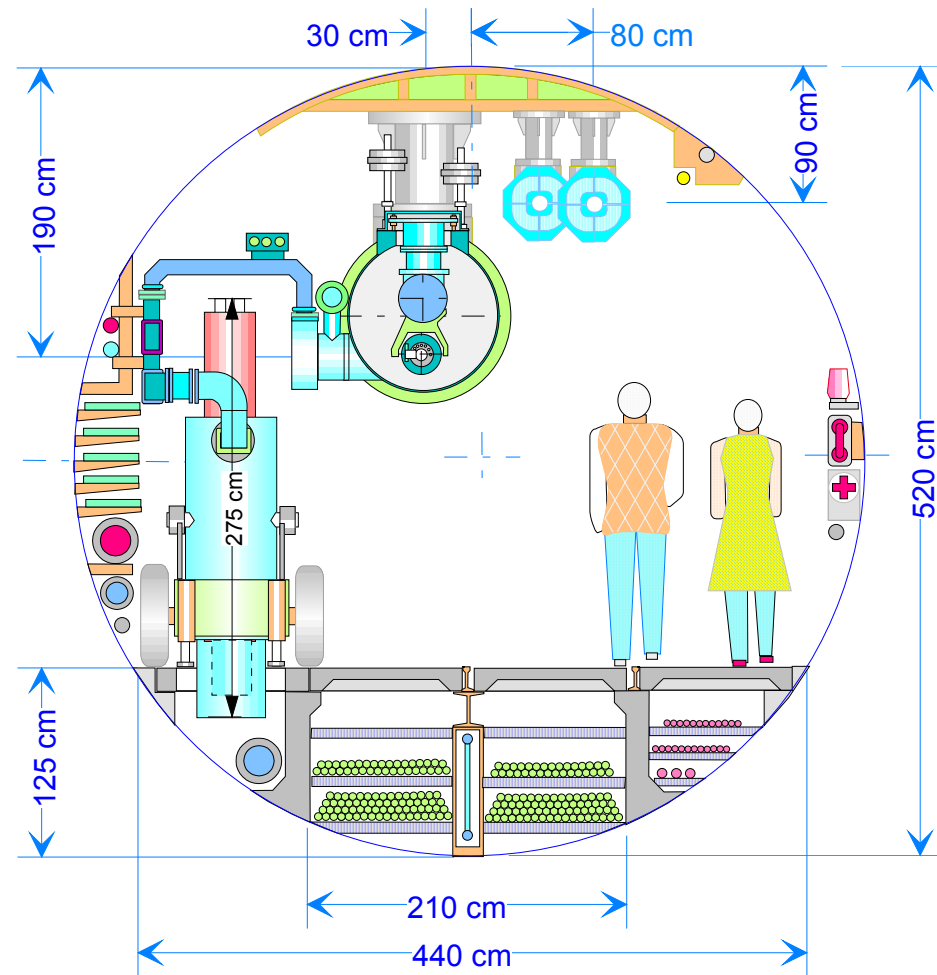


Figure 3. Main LINAC, Damping Ring & Klystron Station

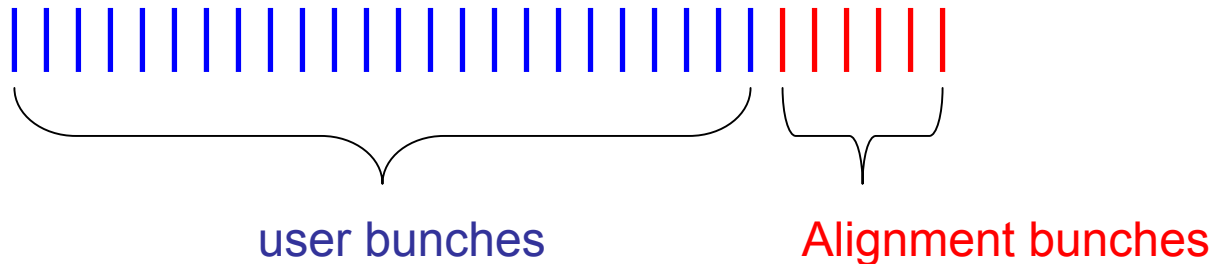
# Beam dynamics

(assuming same alignment tolerances; comparison not exhaustive; rough scaling  $\pm$ factor 2 for some of the XFEL parms)

Issue	parameter	TESLA LC	XFEL	comment
m.b. transverse wake	peak orbit ampl.	$1\sigma$	$0.2\sigma - 0.4\sigma$	intra-train feed-forward!
BC / $\Phi_{RF}$ error	$\Delta E$ , time, $\sigma_z$	$O(0.1^\circ)$	$O(0.01^\circ)$	
Synchronisation	$\Delta t$	$<0.5ps$	$<0.05ps$	
$1\mu m$ Orbit stab. BDS / undulator	$\Delta\varepsilon/\varepsilon / \Delta y'$	few %	$0.1\sigma'$	intra-train feedback!
Energy jitter	$\Delta E/E$	$O(10^{-4})$	$(O10^{-4})$	

# Intra-train beam stabilisation

- From ground vibration: jitter  $\sim 0.1\sigma$  at end of linac
  - Can be enhanced during “single events” e.g. heavy traffic, and by quad support eigenmodes
  - Other effects: stray fields, HOMs, ...
- → feedback system between linac and distribution to undulators



Also active stabilisation of energy and possibly other beam parameters



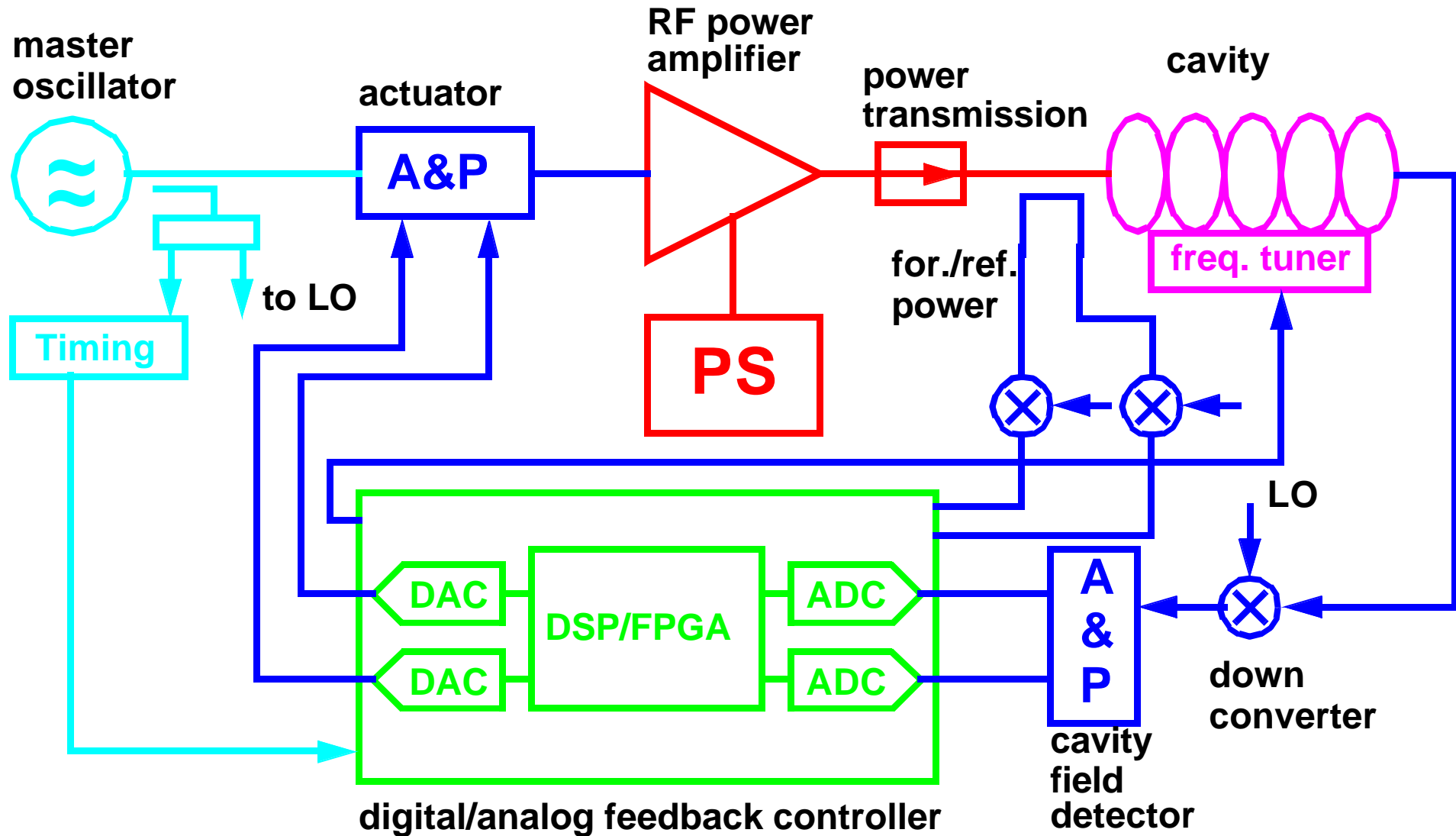
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# RF Control

- RF System Architecture
- Requirements for RF Control
- Sources of Perturbations
- RF Control Design Considerations
- Measured and Predicted Performance
- Conclusion



# RF System Architecture



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# RF Control Requirements

- Maintain **Phase** and **Amplitude** of the accelerating field within given tolerances to **accelerate** a charged particle beam
- Minimize **Power** needed for control
- RF system must be **reproducible**, **reliable**, **operable**, and **well understood**.
- Other performance goals
  - **build-in diagnostics** for calibration of gradient and phase, cavity detuning, etc.
  - provide **exception handling** capabilities
  - meet performance goals over wide range of operating parameters



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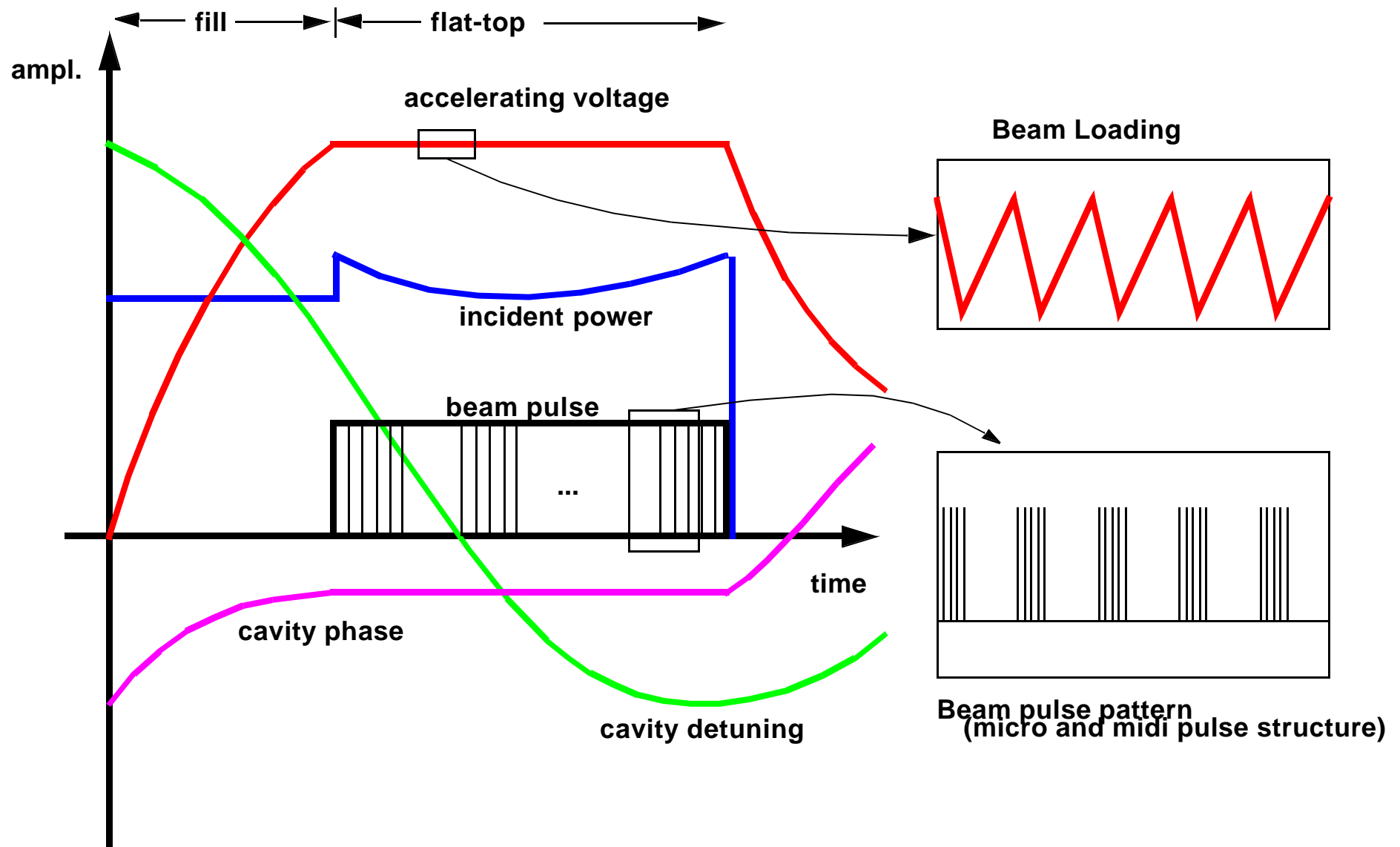
# Requirements RF Control

- Derived from beam properties
  - energy spread
  - emittance
  - bunch length (bunch compressor)
  - arrival time
- Different accelerators have different requirements on field stability (approximate RMS requirements)
  - 1% for amplitude and 1 deg. for phase (example: SNS)
  - 0.1% for amplitude and 0.1deg.for phase (linear collider)
  - up to **0.01% for amplitude and 0.01 deg. for phase** (XFEL)

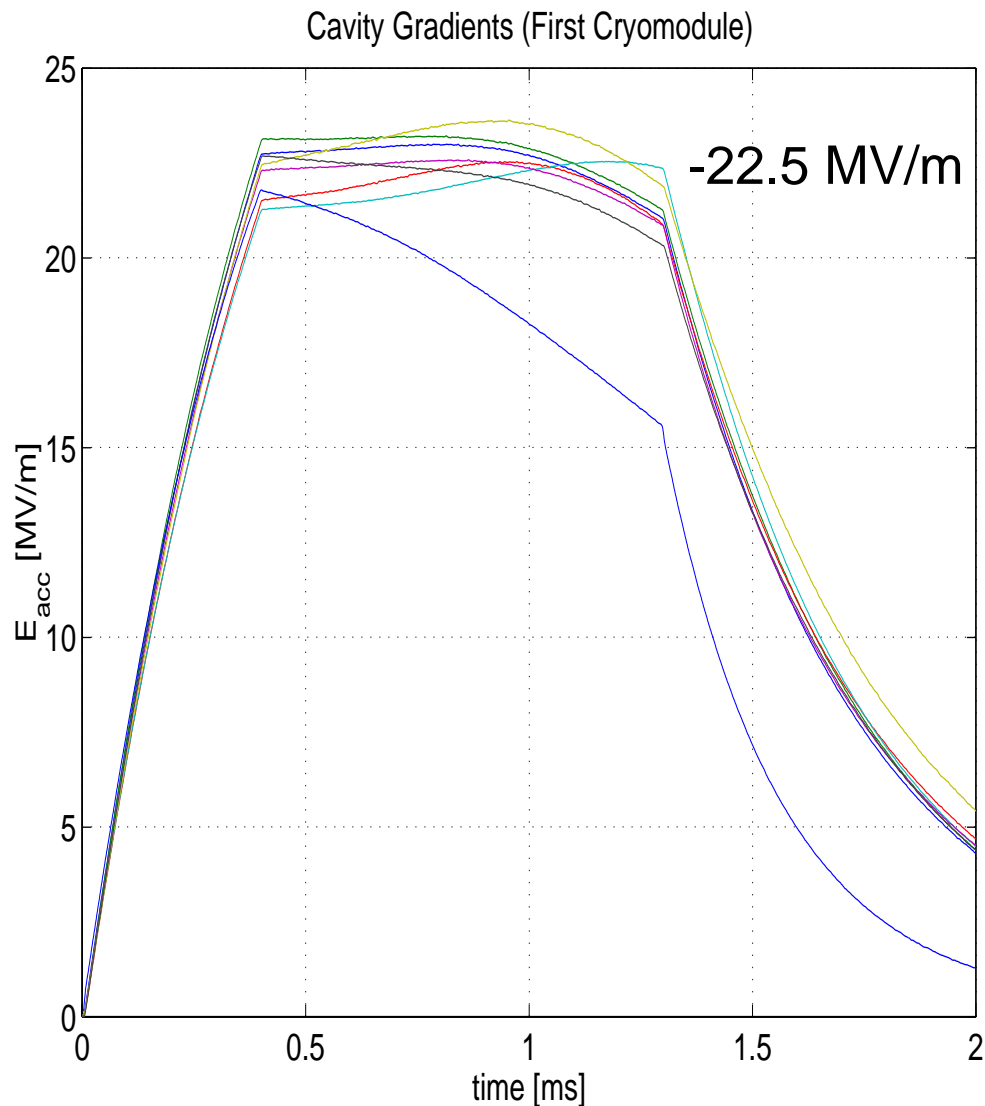
Note: Distinguish between correlated and uncorrelated error



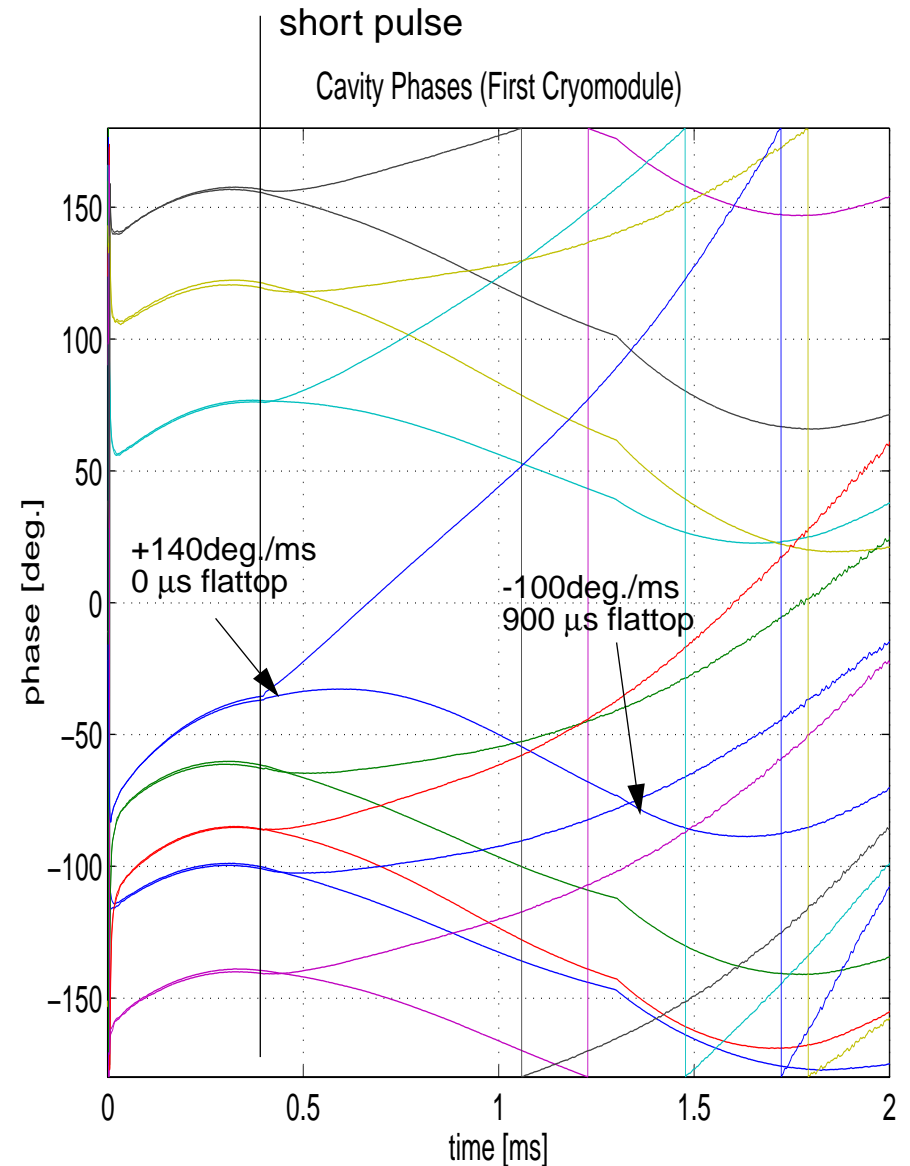
# Typical Parameters in a Pulsed RF System



# Pulsed Operation at High Gradients



Gradient (8 cavities)



Phase (8 cavities)

# Sources of Perturbations

## o Beam loading

- **Beam current fluctuations**
- **Pulsed beam transients**
- Multipacting and field emission
- Excitation of HOMs
- **Excitation of other passband modes**
- Wake fields

## o Cavity drive signal

- HV- Pulse flatness
- HV PS ripple
- Phase noise from master oscillator
- Timing signal jitter
- Mismatch in power distribution

## o Cavity dynamics

- cavity filling
- settling time of field

## o Cavity resonance frequency change

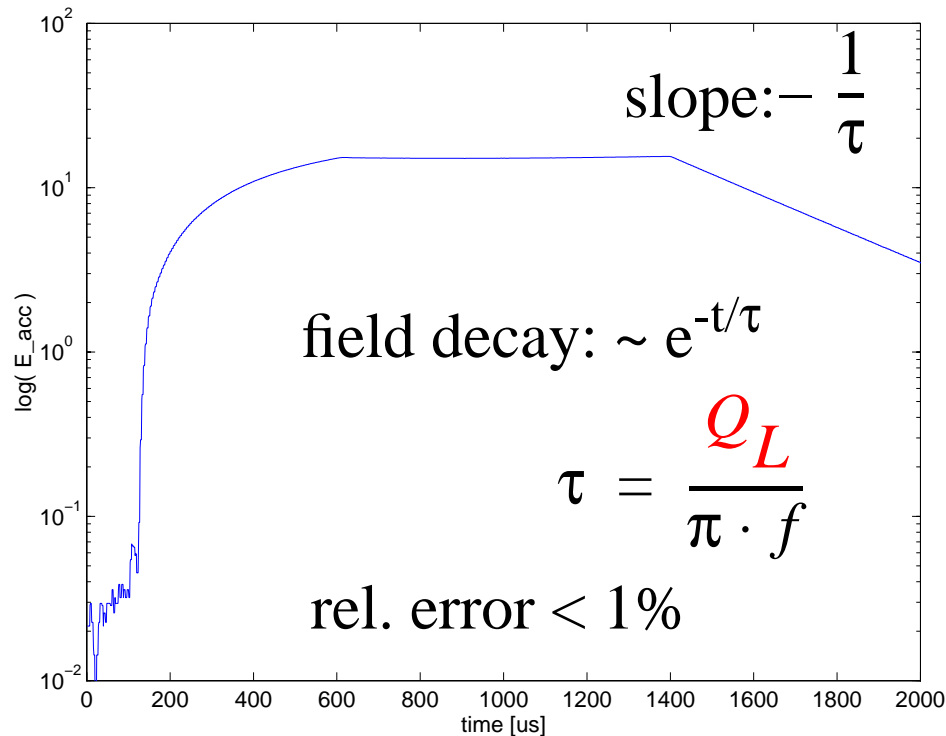
- thermal effects (power dependent)
- **Microphonics**
- **Lorentz force detuning**

## o Other

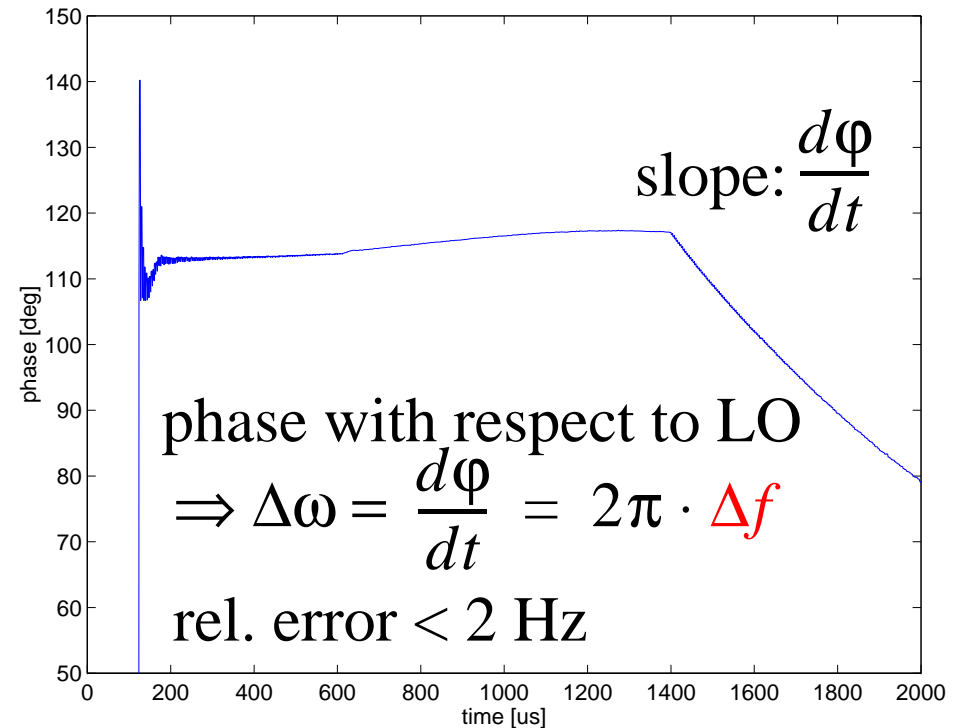
- Response of feedback system
- Interlock trips
- Thermal drifts (electronics, power amplifiers, cables, power transmission system)



# Measurement of Cavity $Q_L$ and Detuning



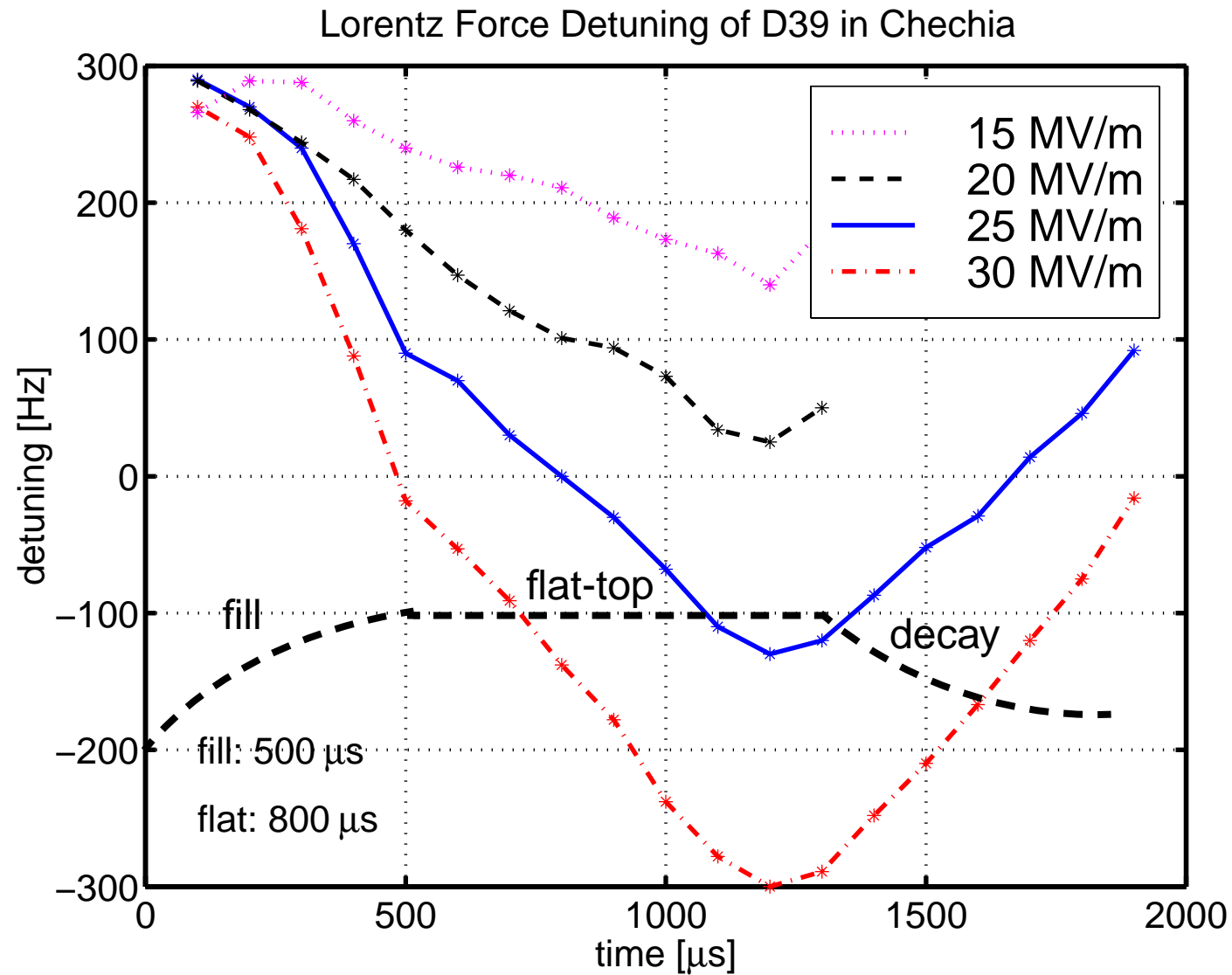
**Loaded Q**



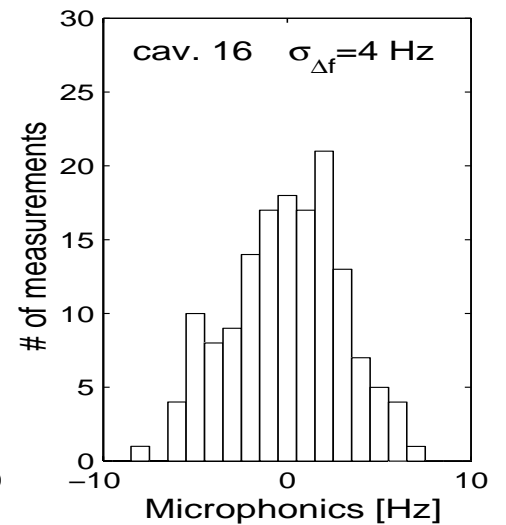
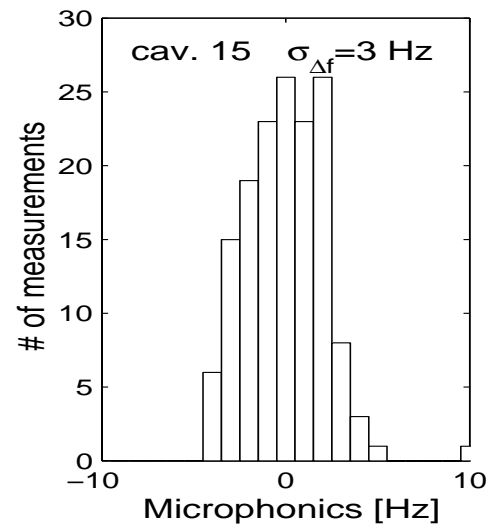
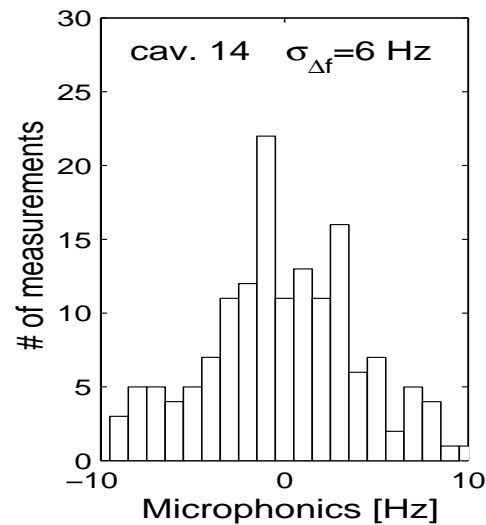
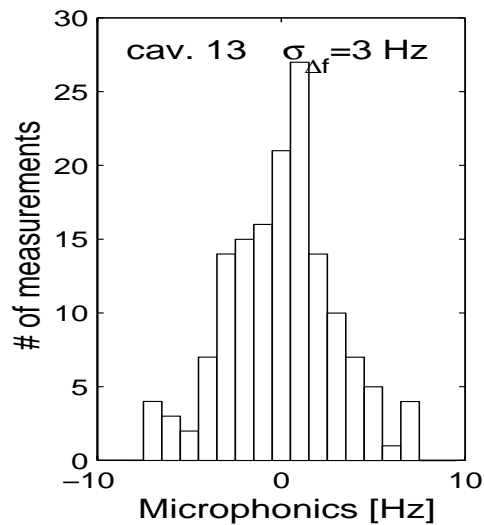
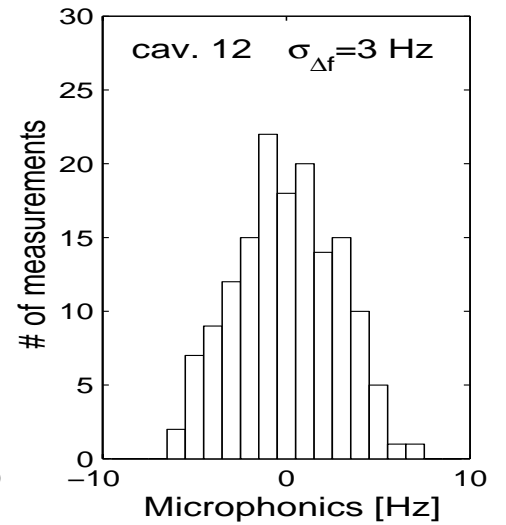
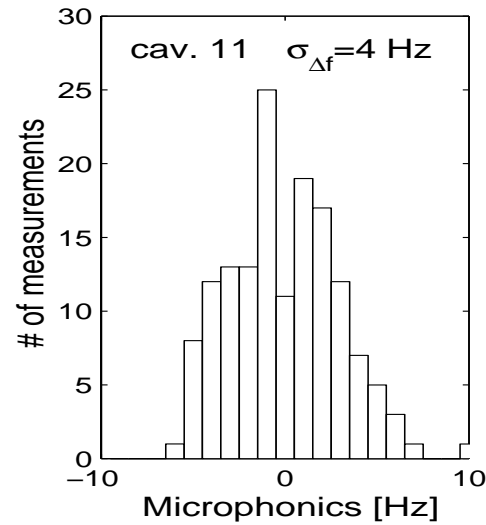
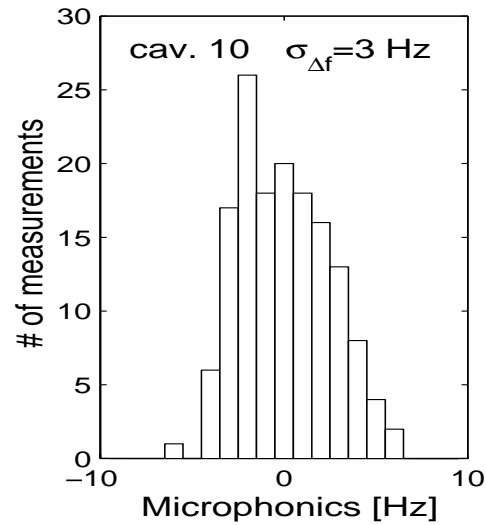
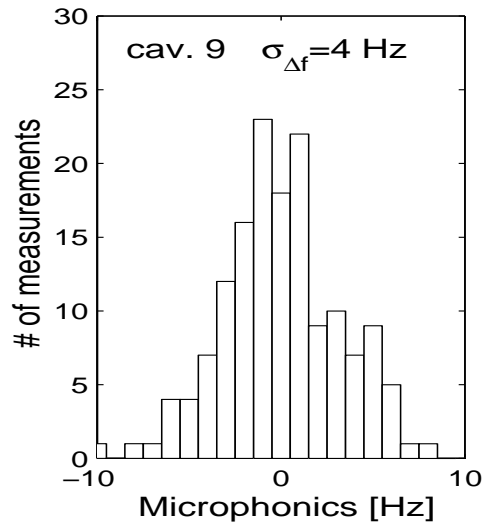
**Detuning**



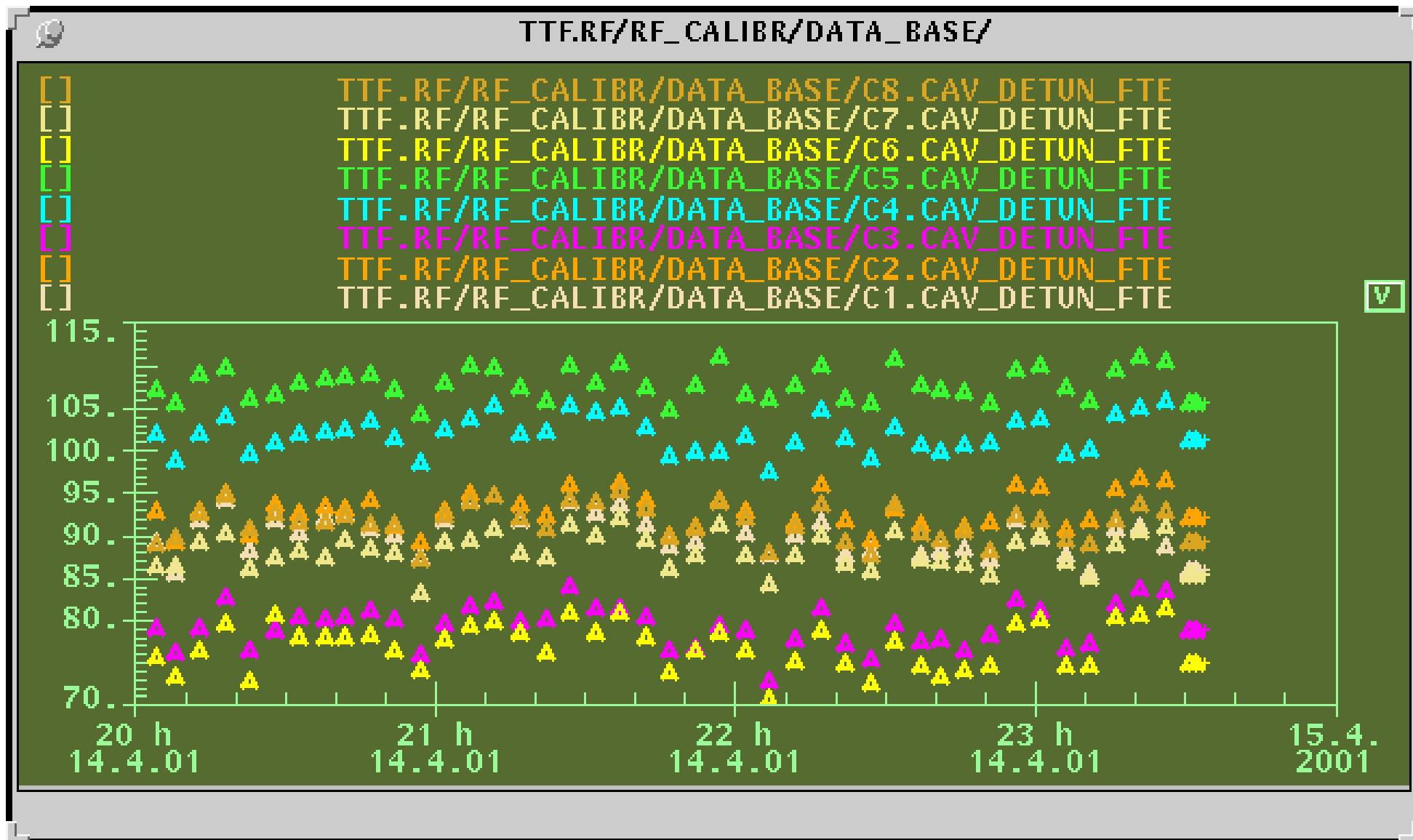
# Lorentz Force Detuning



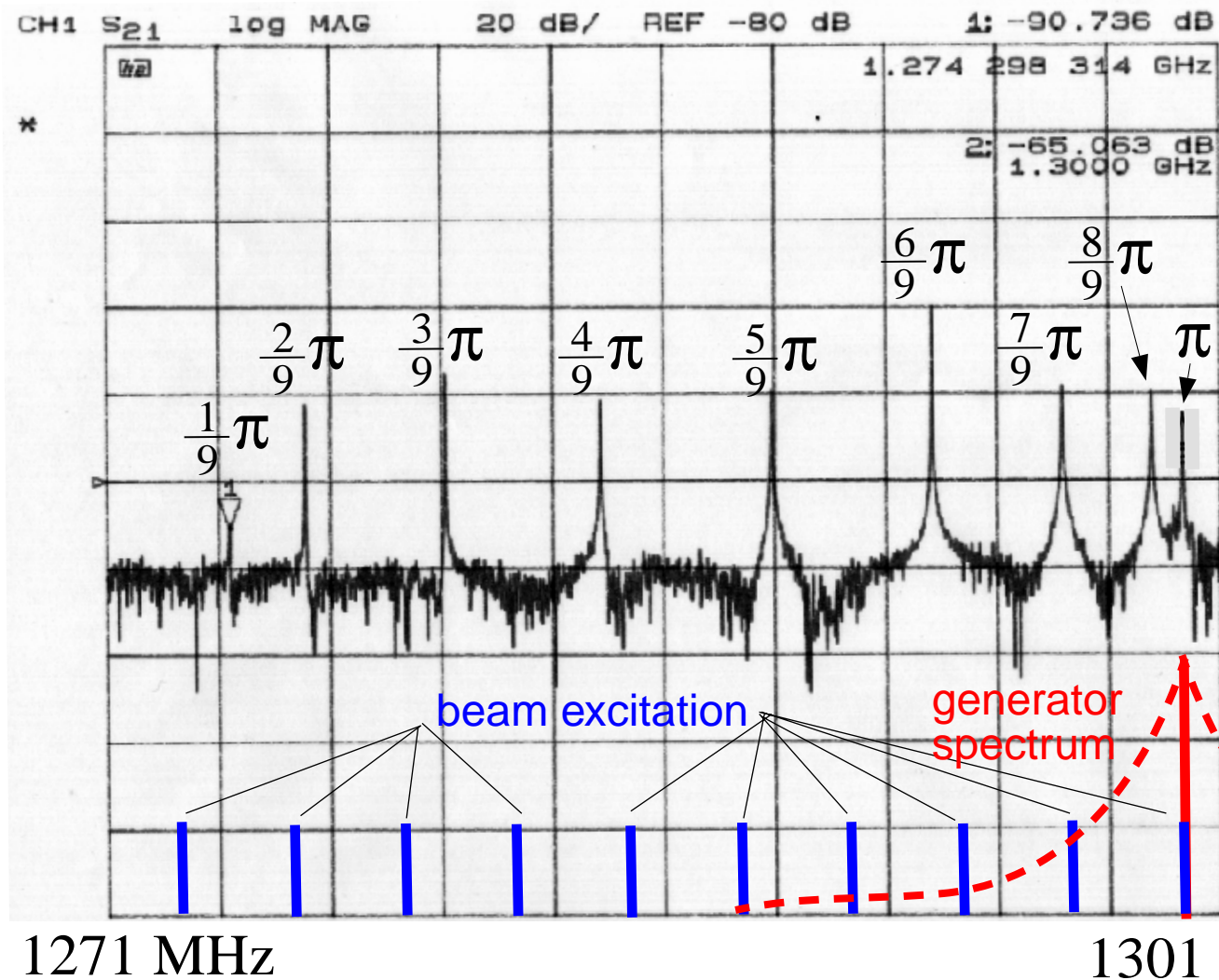
# Microphonics at TTF



# Long Term Drift of Resonance Frequency

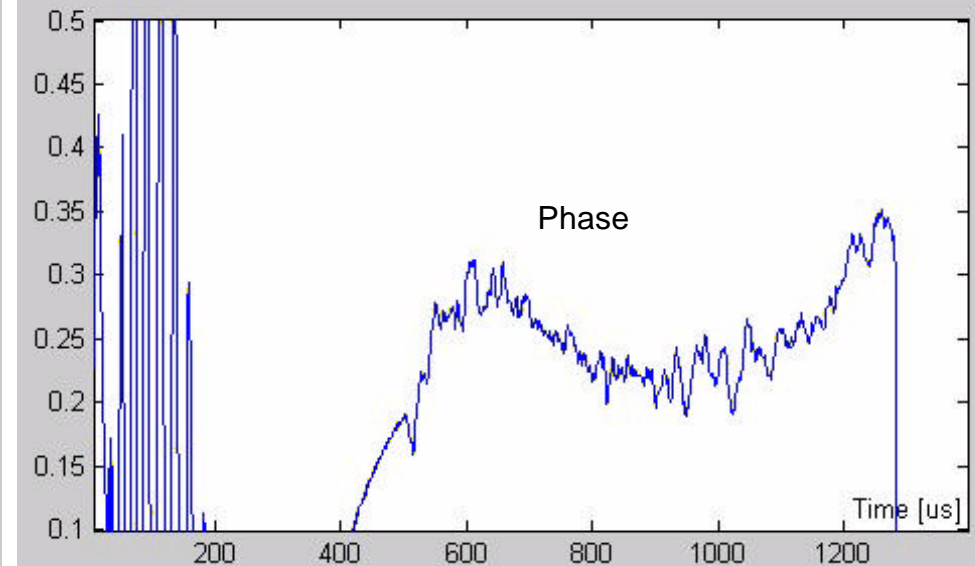
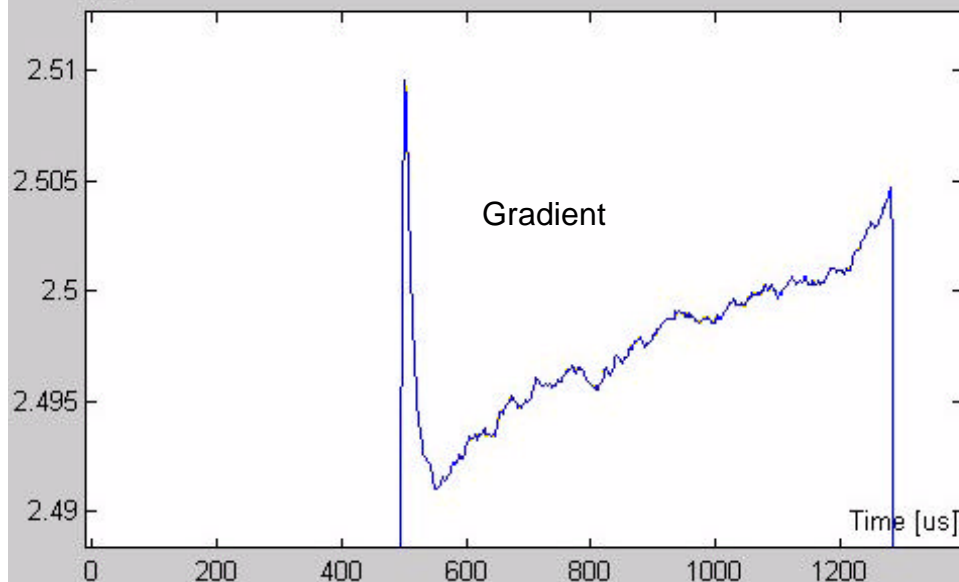
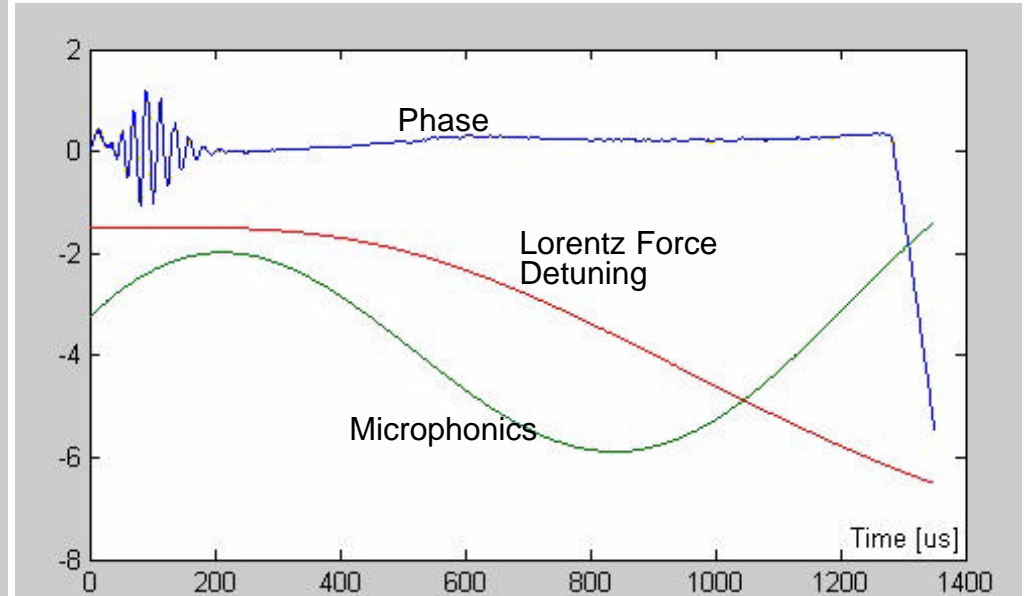
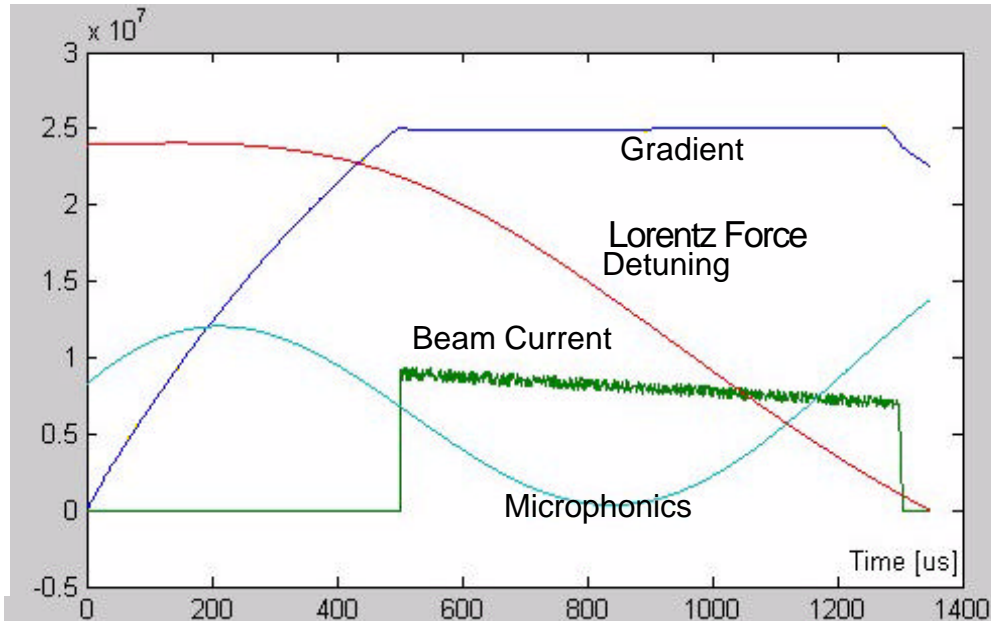


# Excitation of other Passband Modes



- $f_{\pi} = 1300.091$  MHz
- $f_{8/9\pi} = 1299.260$  MHz
- $f_{7/9\pi} = 1296.861$  MHz
- $f_{6/9\pi} = 1293.345$  MHz
- $f_{5/9\pi} = 1289.022$  MHz
- $f_{4/9\pi} = 1284.409$  MHz
- $f_{3/9\pi} = 1280.206$  MHz
- $f_{2/9\pi} = 1276.435$  MHz
- $f_{1/9\pi} = 1274.387$  MHz

# RF Regulation TESLA Cavity (Simulation)



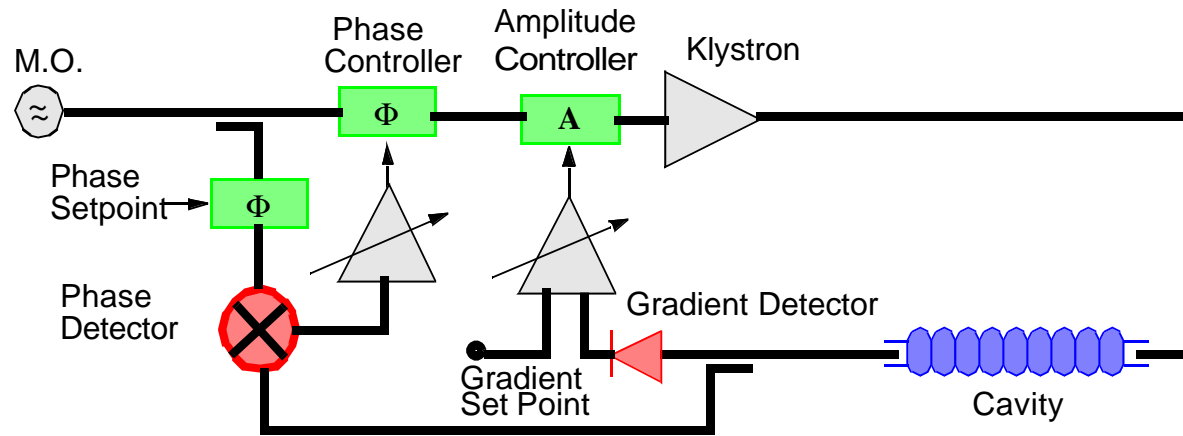
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# Control Choices (1)

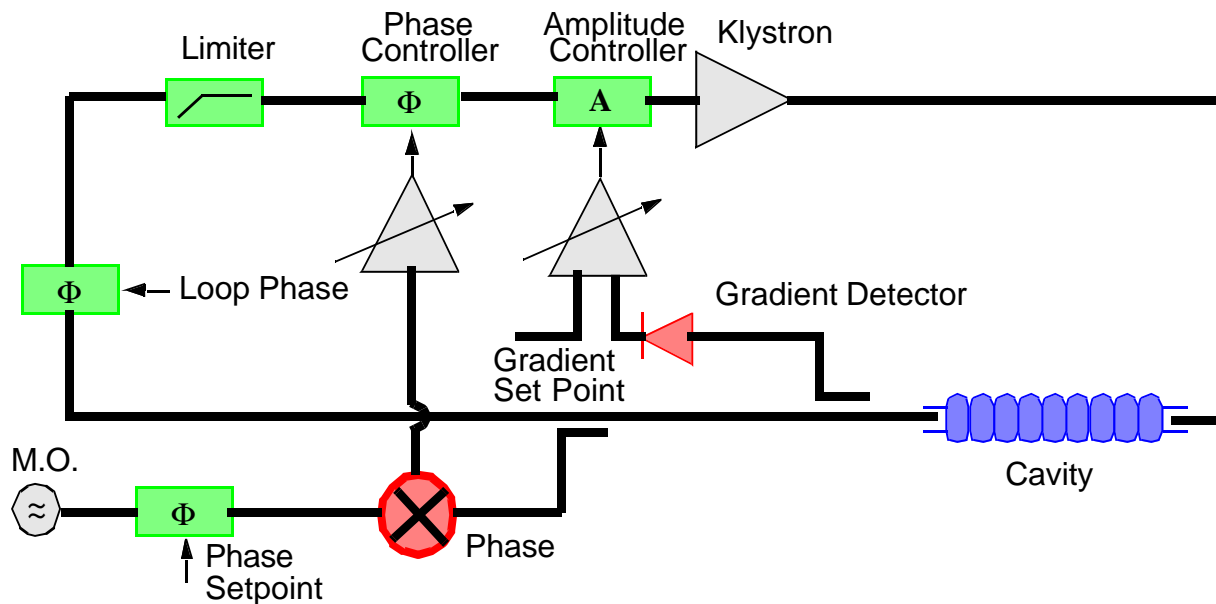
- Self-excited Loop (**SEL**) vs Generator Driven System (**GDR**)
- **Vector-sum** (VS) vs **individual** cavity control
- **Analog** vs **Digital** Control Design
- Amplitude and Phase (**A&P**) vs In-phase and Quadrature (**I/Q**) detector and controller



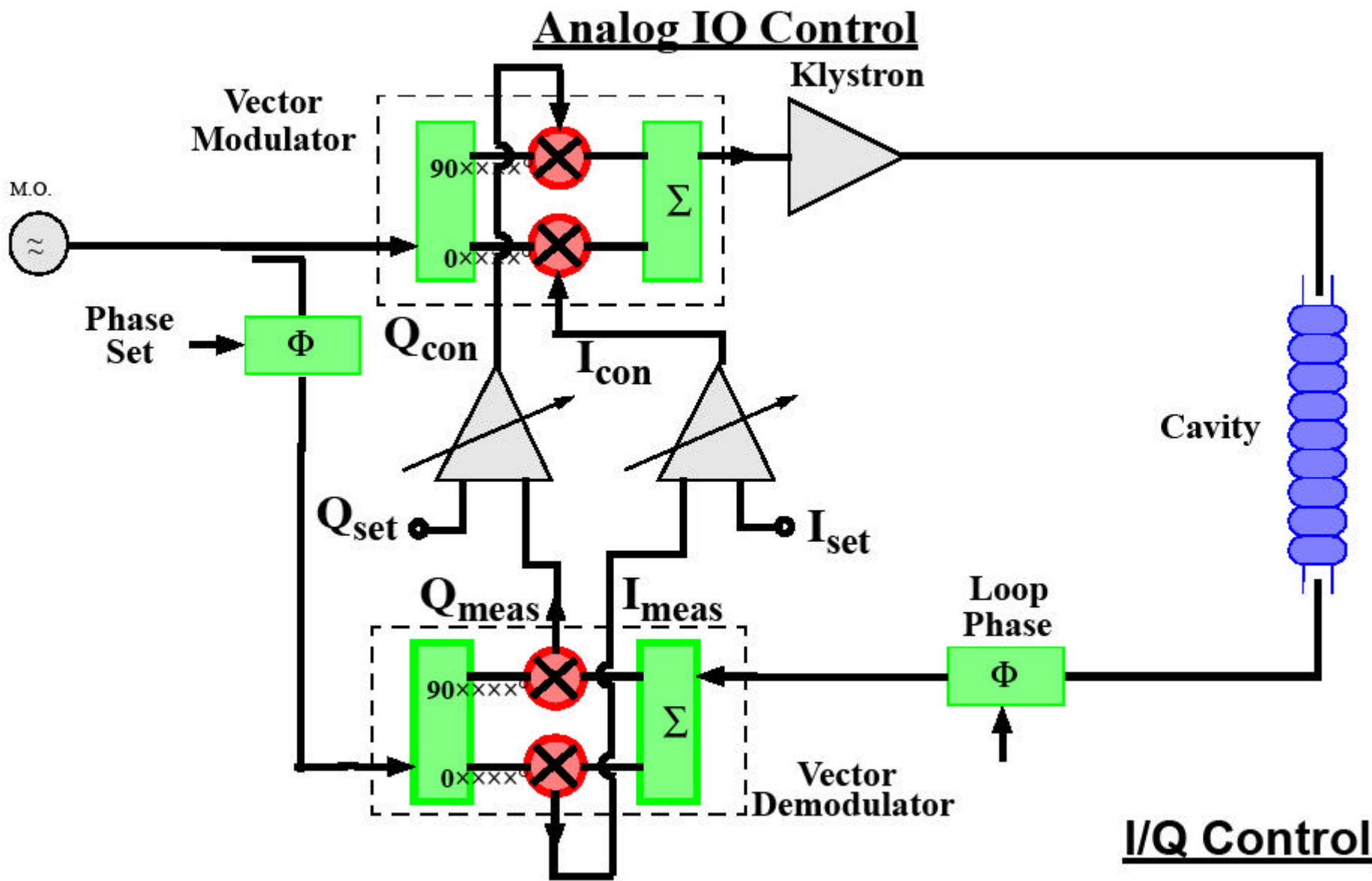
# Control Choices (2)



**Generator Driven Resonator**

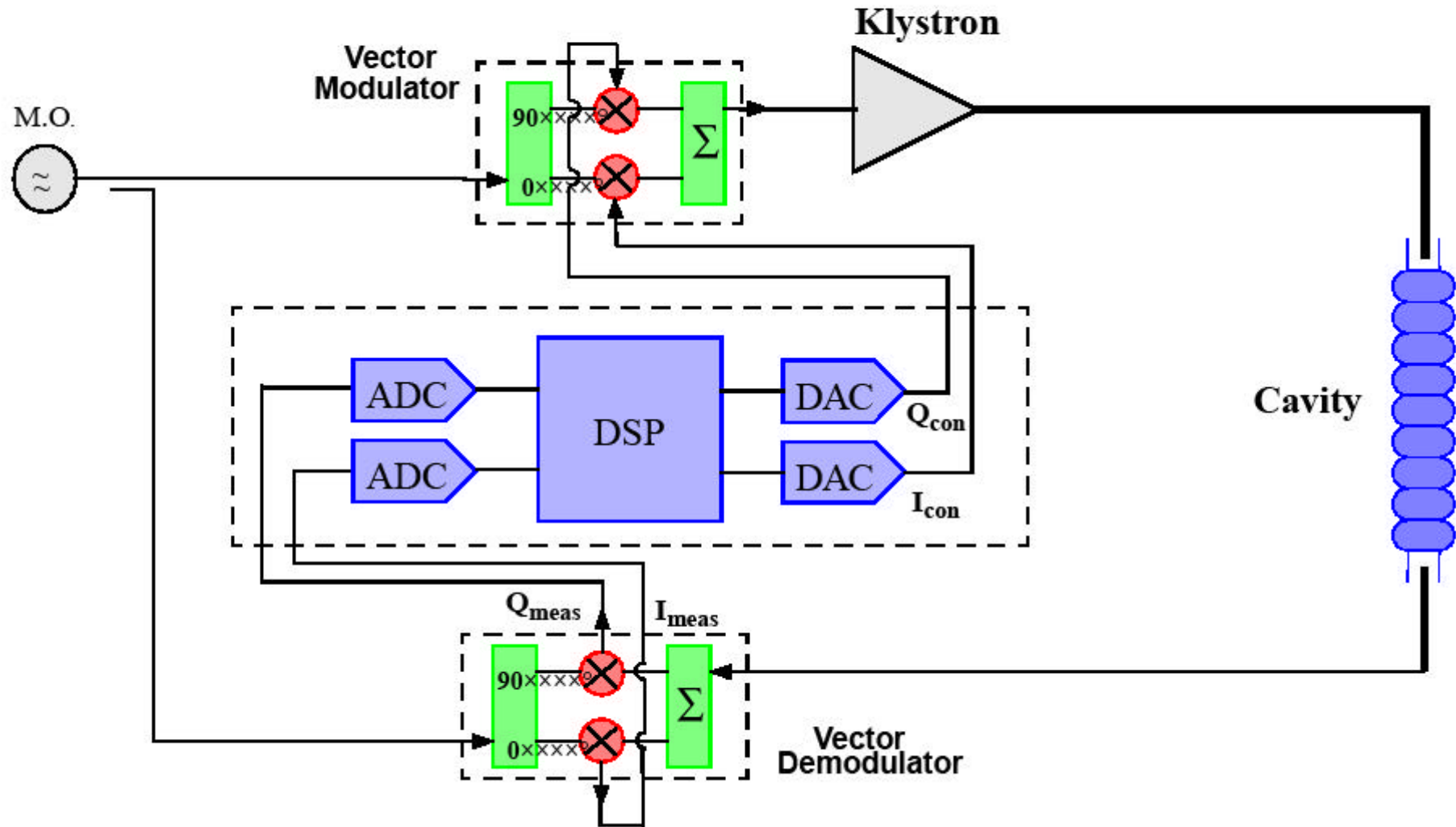


**Self Excited Loop**

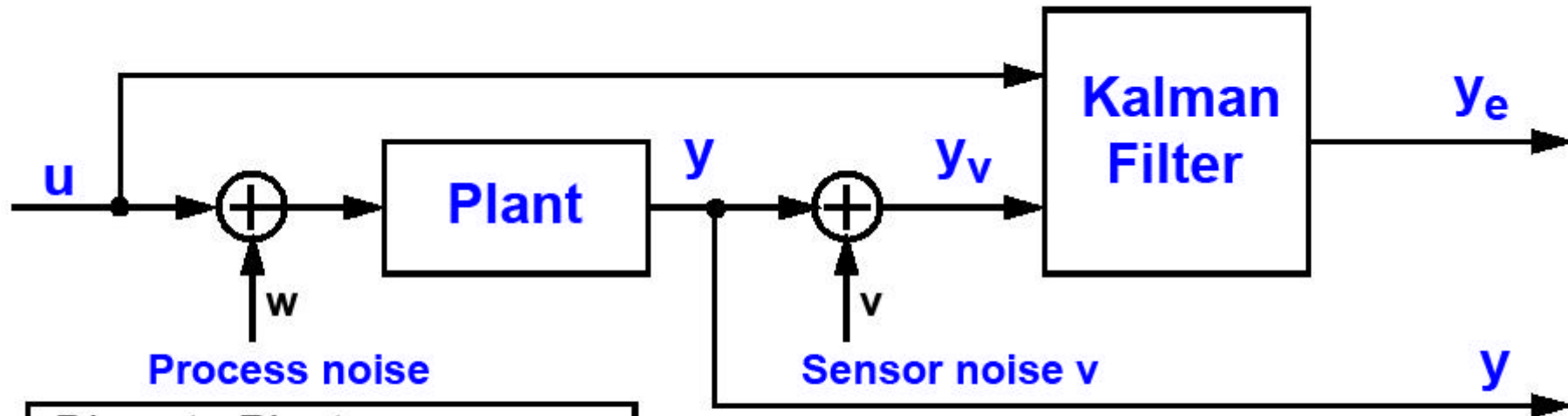




# Digital IO Control



## Principle Kalman Filter (steady state)



Discrete Plant:  
 $x[n+1]=Ax[n]+B(u[n]+w[n])$   
 $y[n]=Cx[n]$

Noisy output measurement:  $y_v[n]=Cx[n]+v[n]$

Measurement update:

$$\hat{x}[n|n]=\hat{x}[n|n-1]+M(y_v[n]-C\hat{x}[n|n-1])$$

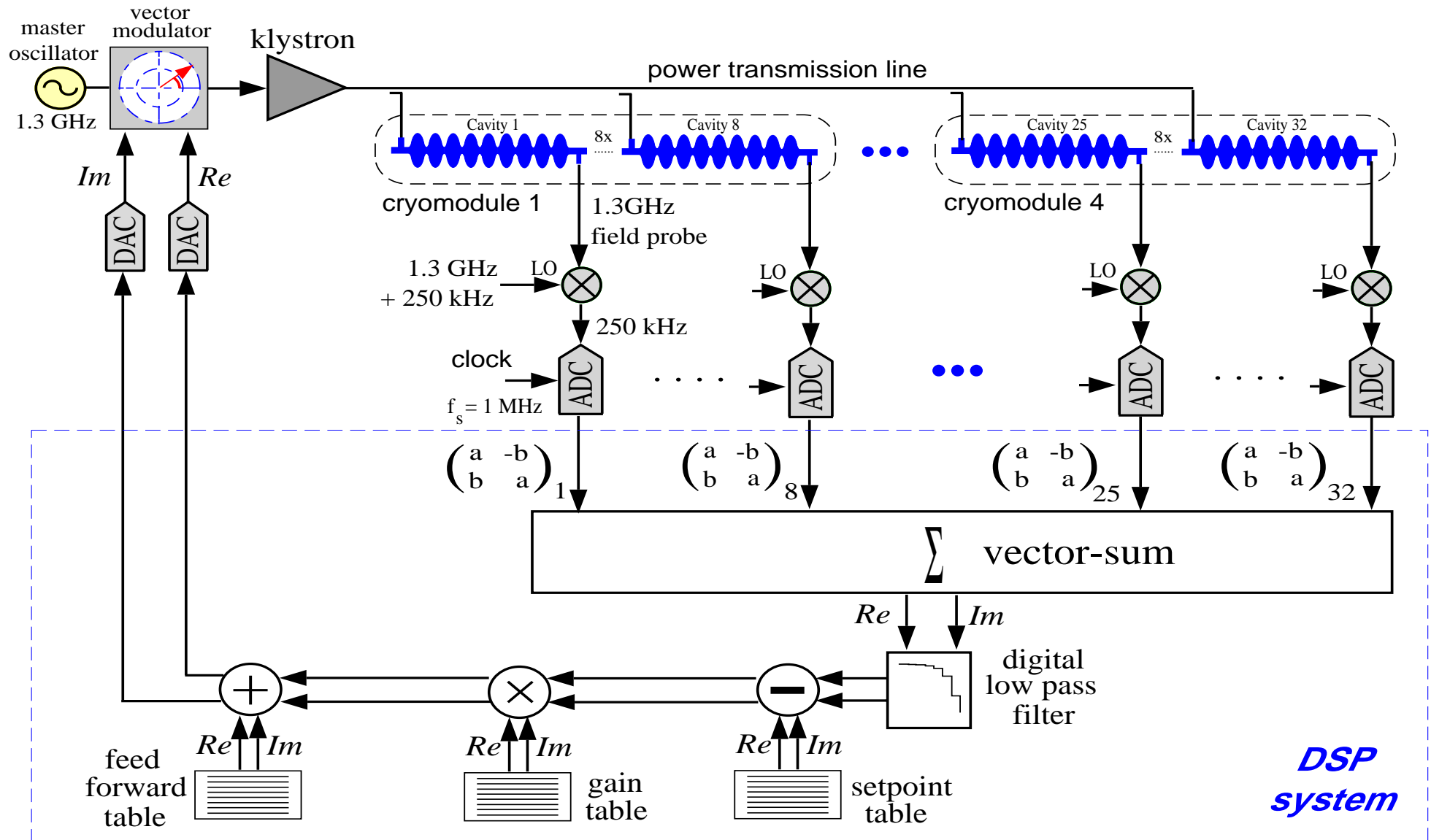
Time update:  $\hat{x}[n+1|n]=A\hat{x}[n|n]+Bu[n]$

The correction term is a function of the innovation, i.e. the discrepancy

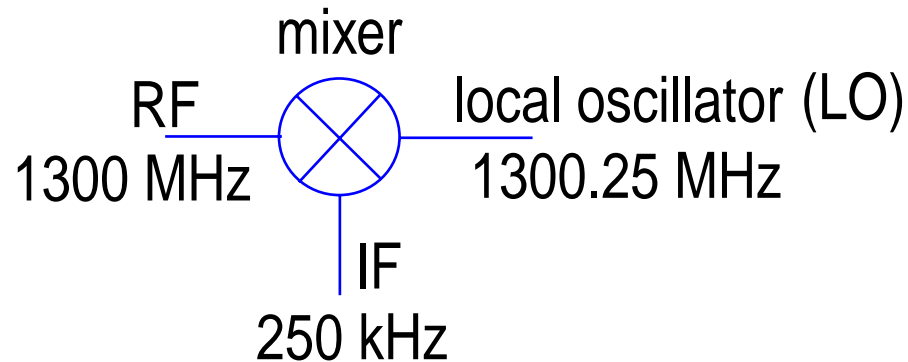
$$y_v[n+1]-C\hat{x}[n+1|n]=C(x[n+1]-\hat{x}[n+1|n])$$

The innovation gain matrix  $M$  is chosen to minimize steady-state covariance of the estimation error given the noise covariances  $E(w[n]w[n]^T)=Q$  and  $E(v[n]v[n]^T)=R$

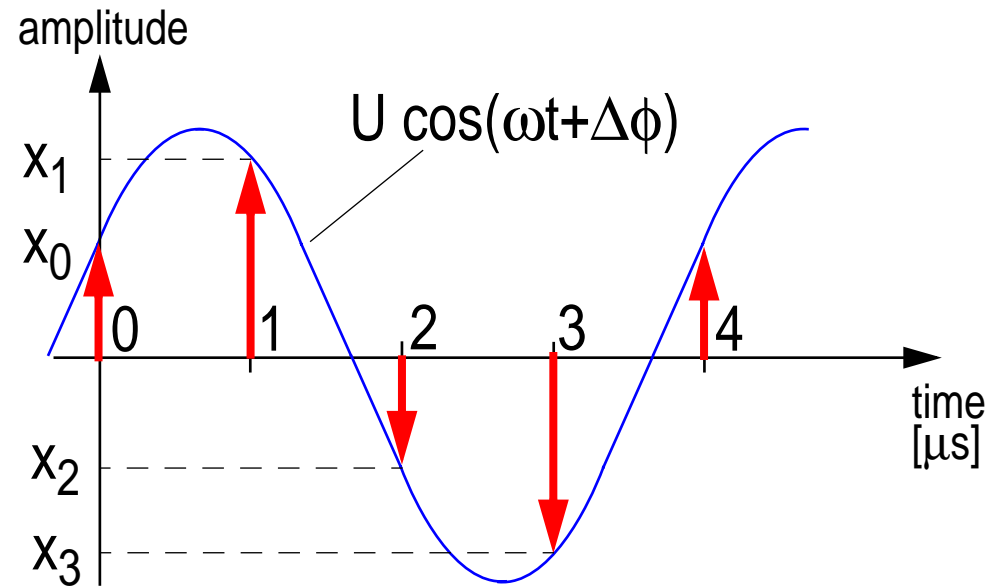
# Digital Control at the TTF



# Digital I/Q Detection

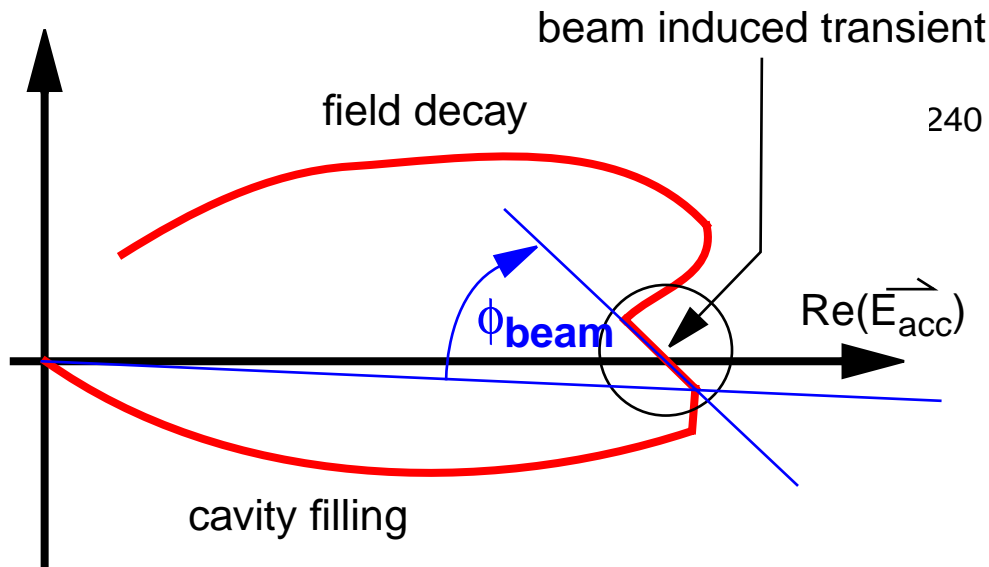
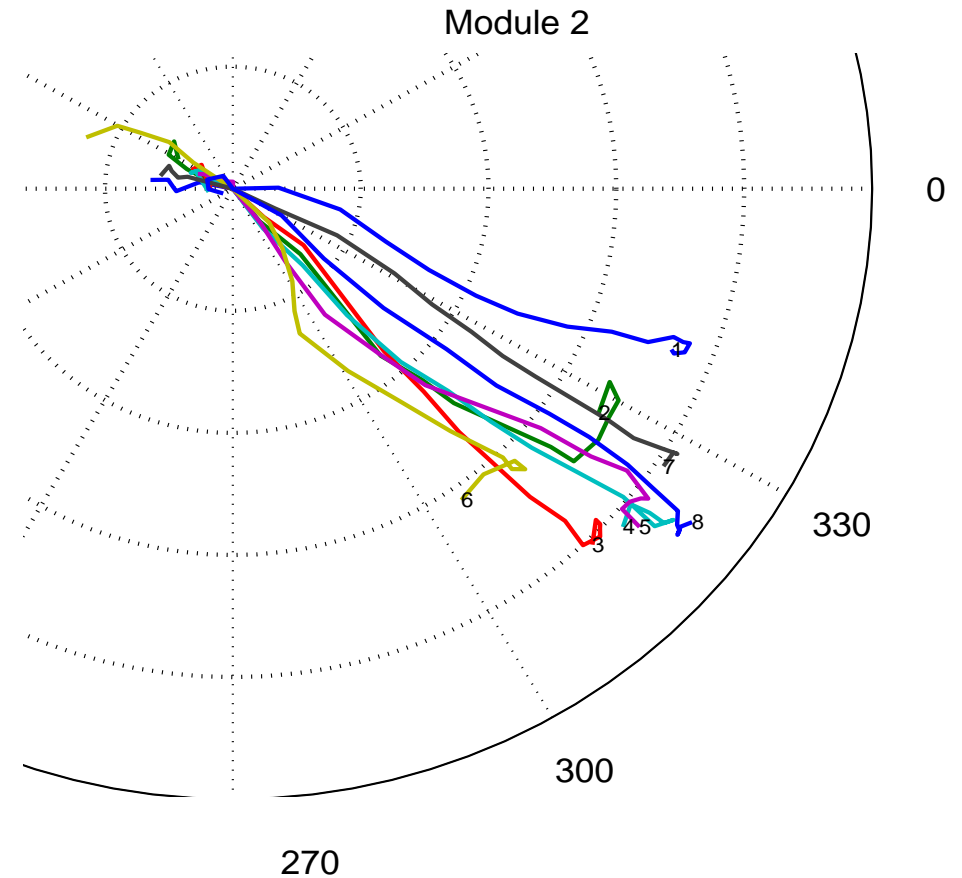
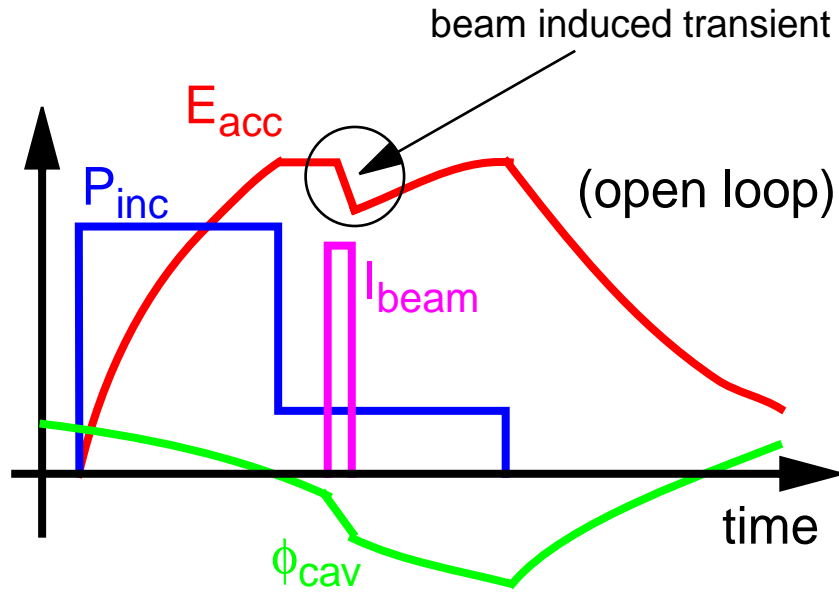


- downconversion of cavity field to IF frequency at 250 kHz
- complete phase and amplitude information of the accelerating field is preserved.



- sample IF signal at 1MHz rate
- subsequent samples describe real and imaginary component of the cavity field.

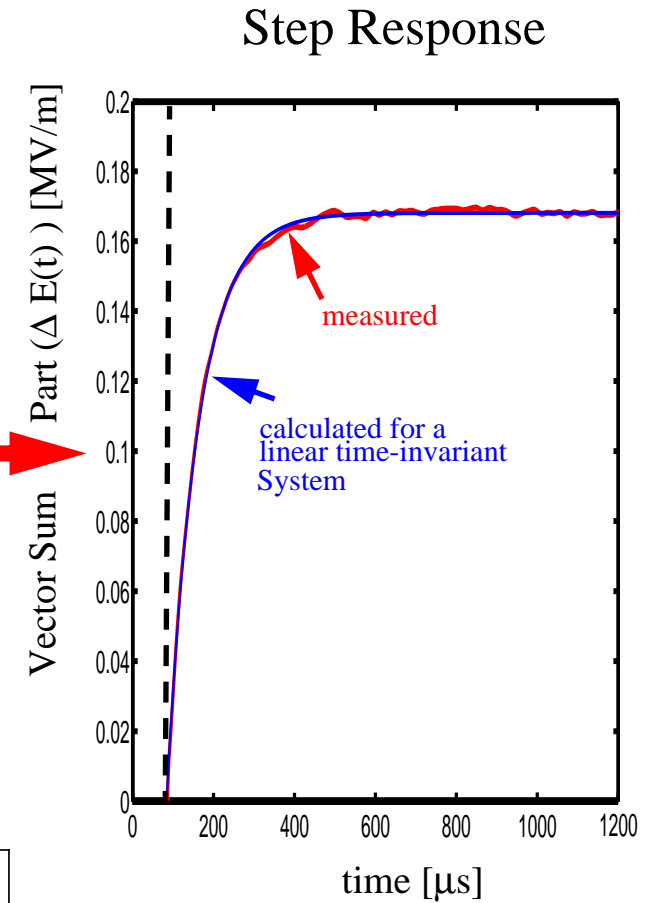
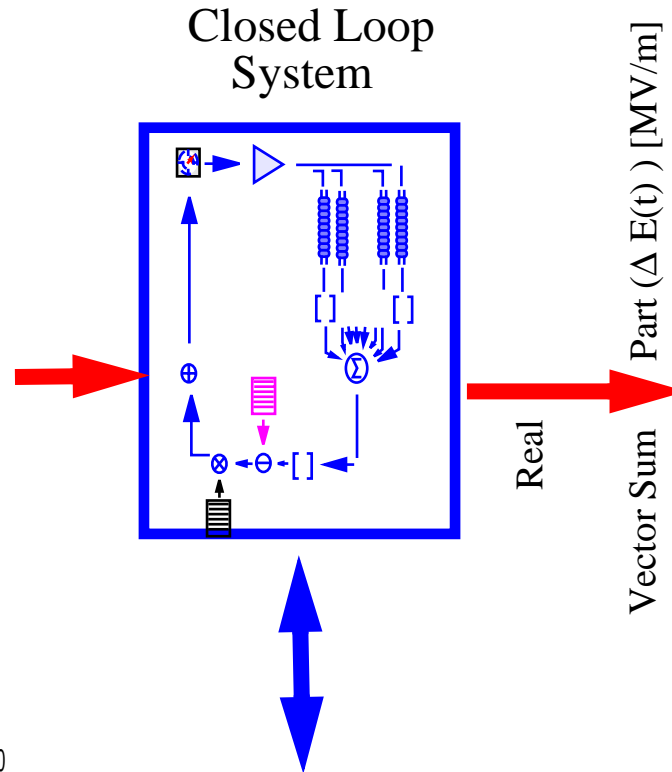
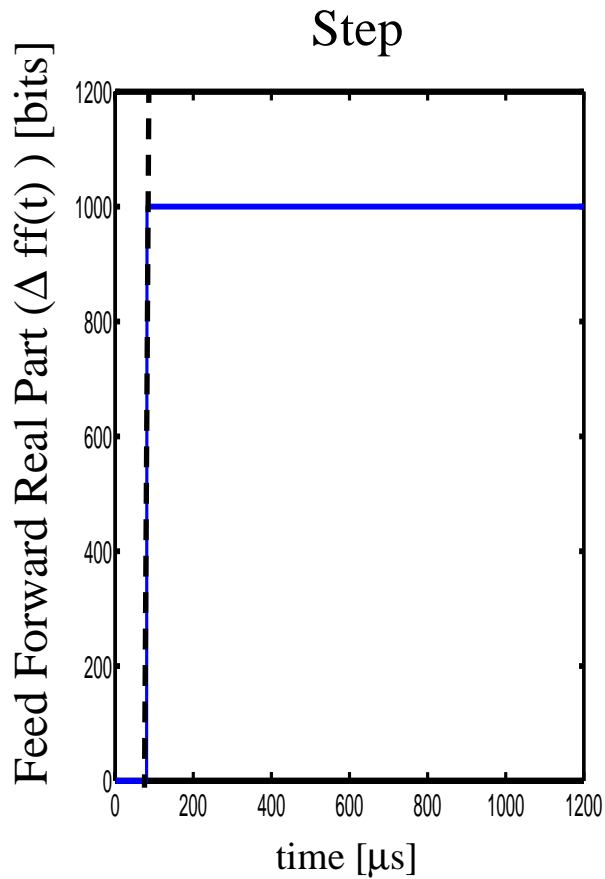
# Beam Transient based Phase and Gradient Calibration



for  $\Delta t \ll \tau_{cav}$ :

$$\Delta V_{ind} = I \cdot \Delta t \cdot \left( \frac{r}{Q} \right) \cdot \pi \cdot f$$

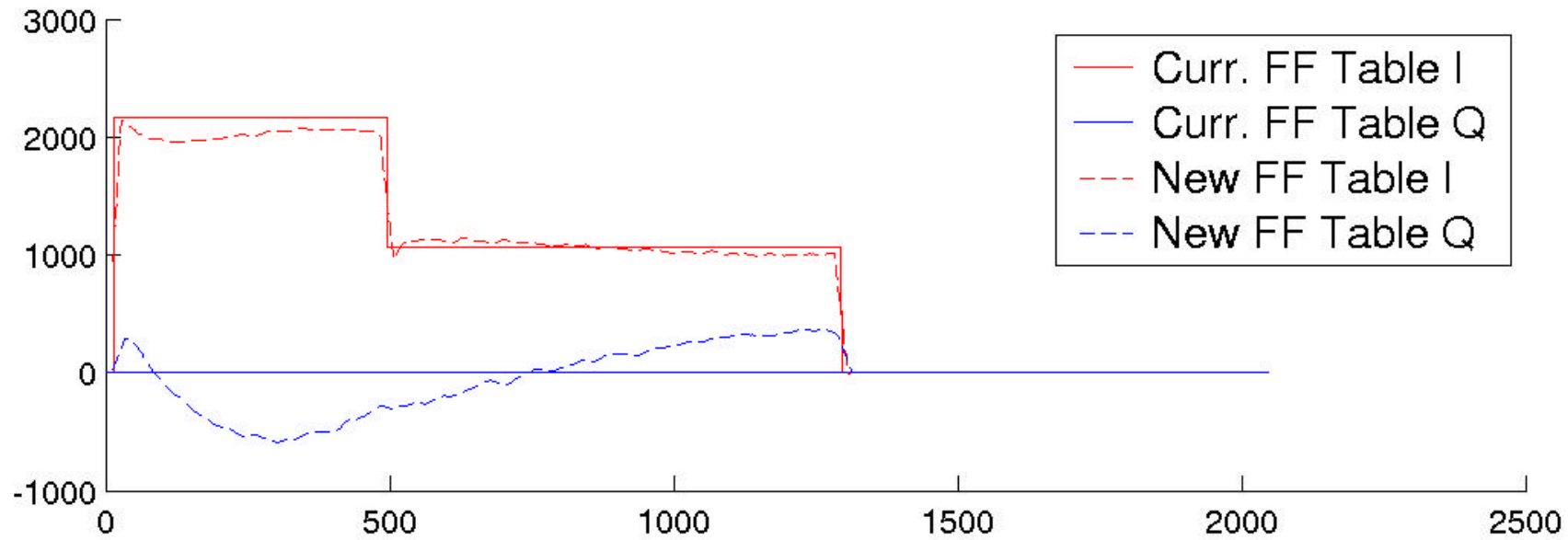
# Adaptive Feedforward



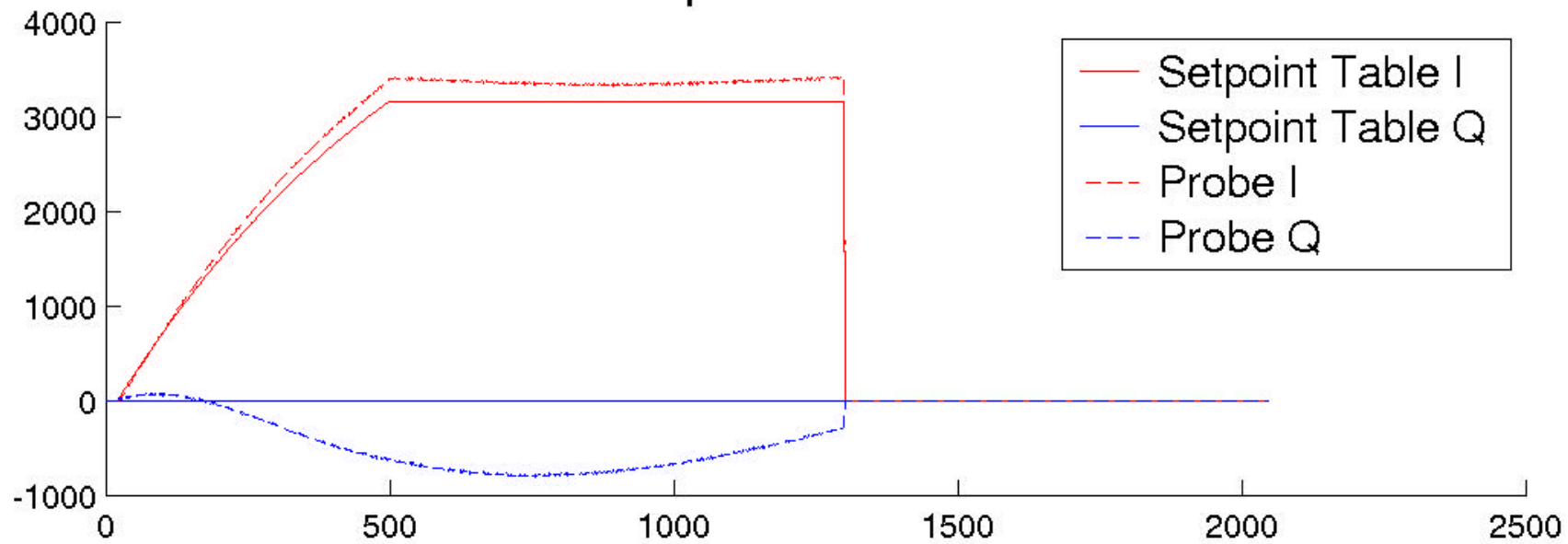
$$\begin{bmatrix} \Delta E(\tau_1) \\ \Delta E(\tau_2) \\ \dots \\ \Delta E(\tau_n) \end{bmatrix} = \begin{bmatrix} T_{11} & T_{12} & \dots & T_{1n} \\ T_{21} & T_{22} & \dots & T_{2n} \\ \dots & \dots & \dots & \dots \\ T_{n1} & T_{n2} & \dots & T_{nn} \end{bmatrix} \begin{bmatrix} \Delta ff_1 \\ \Delta ff_n \\ \dots \\ \Delta ff_n \end{bmatrix}$$

$$\Delta ff(t) = \sum_j \Delta ff_j \Theta(t - t_j).$$

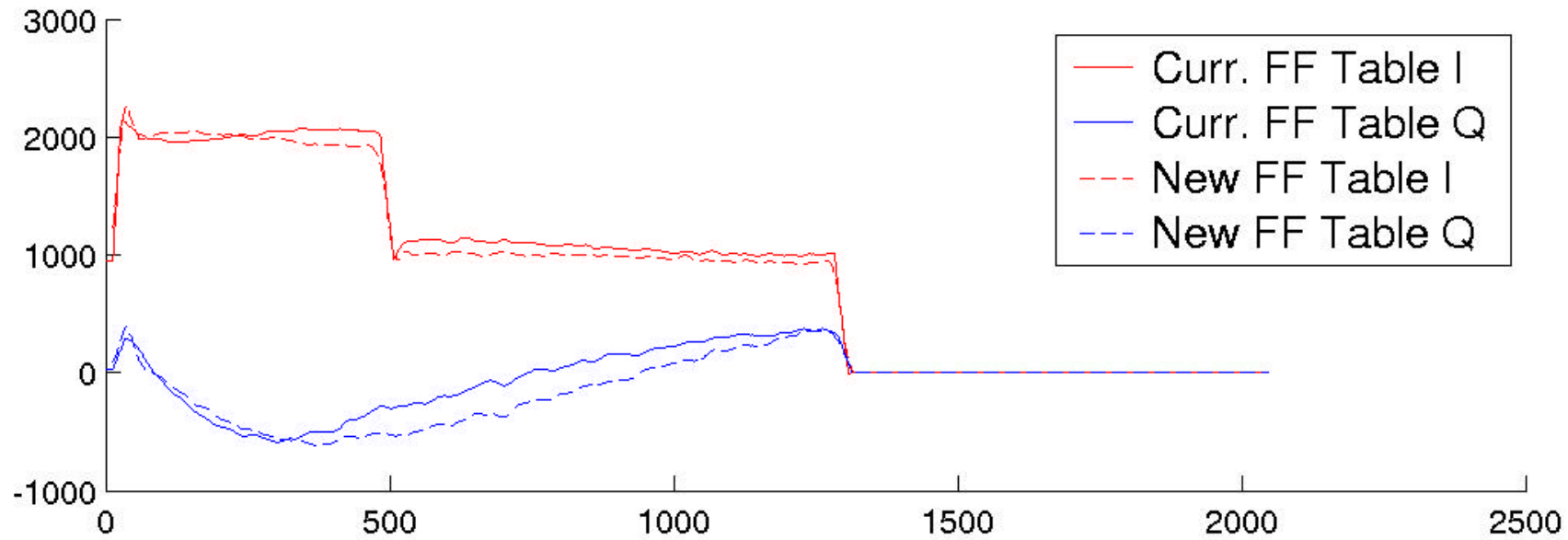
## Old vs. New Feedforward Table



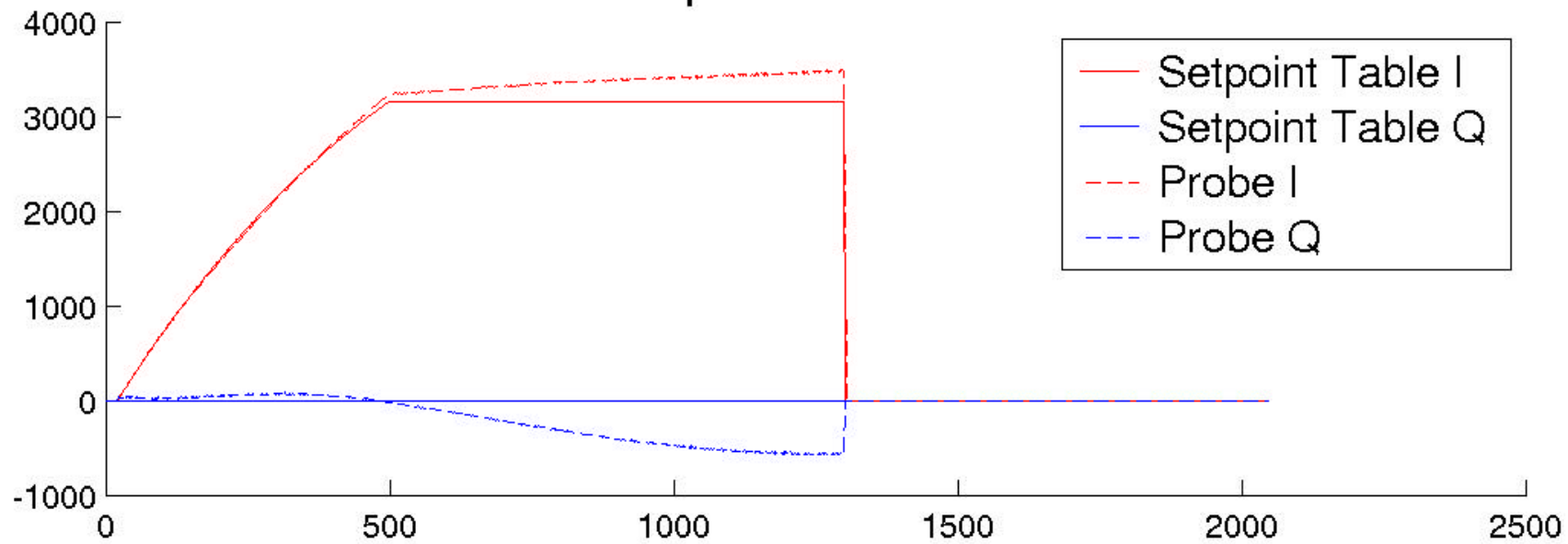
## Setpoint vs. Probe



## Old vs. New Feedforward Table

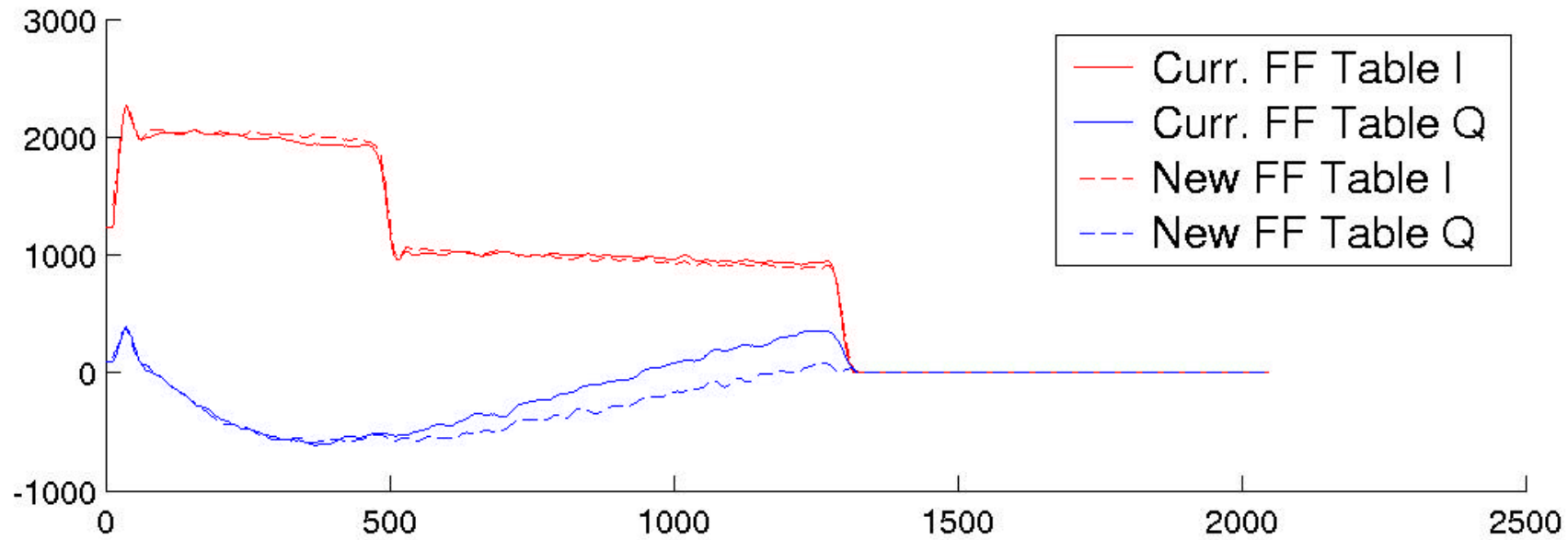


## Setpoint vs. Probe

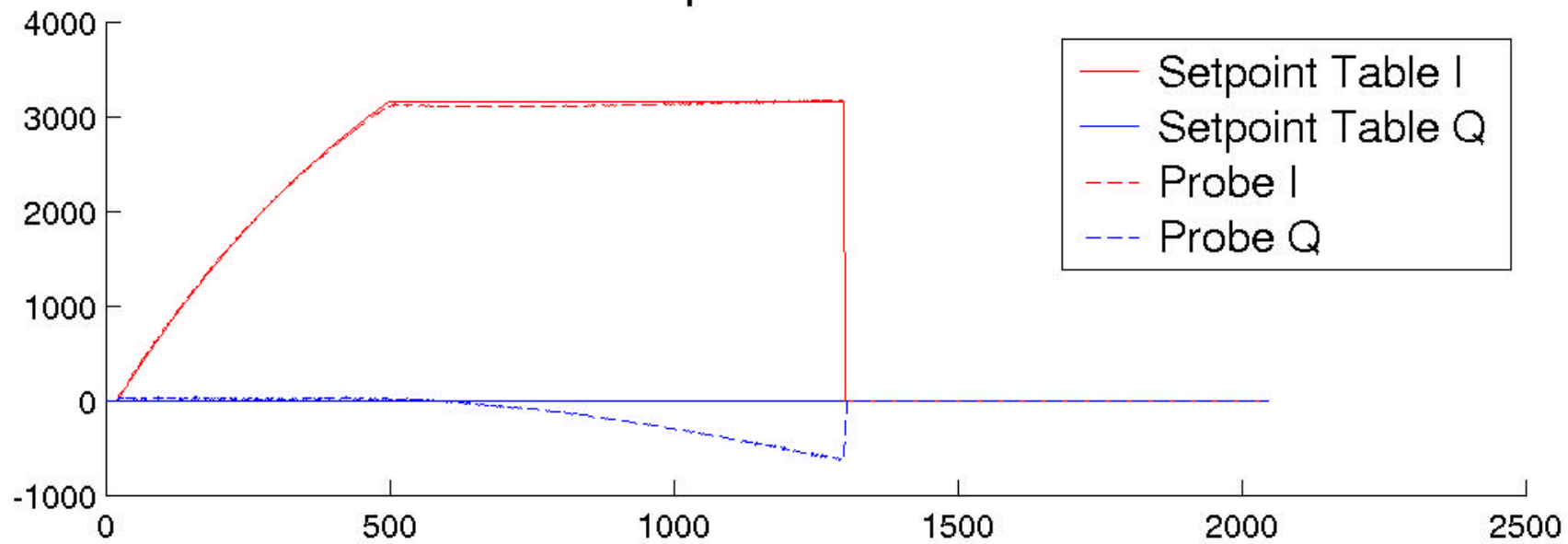




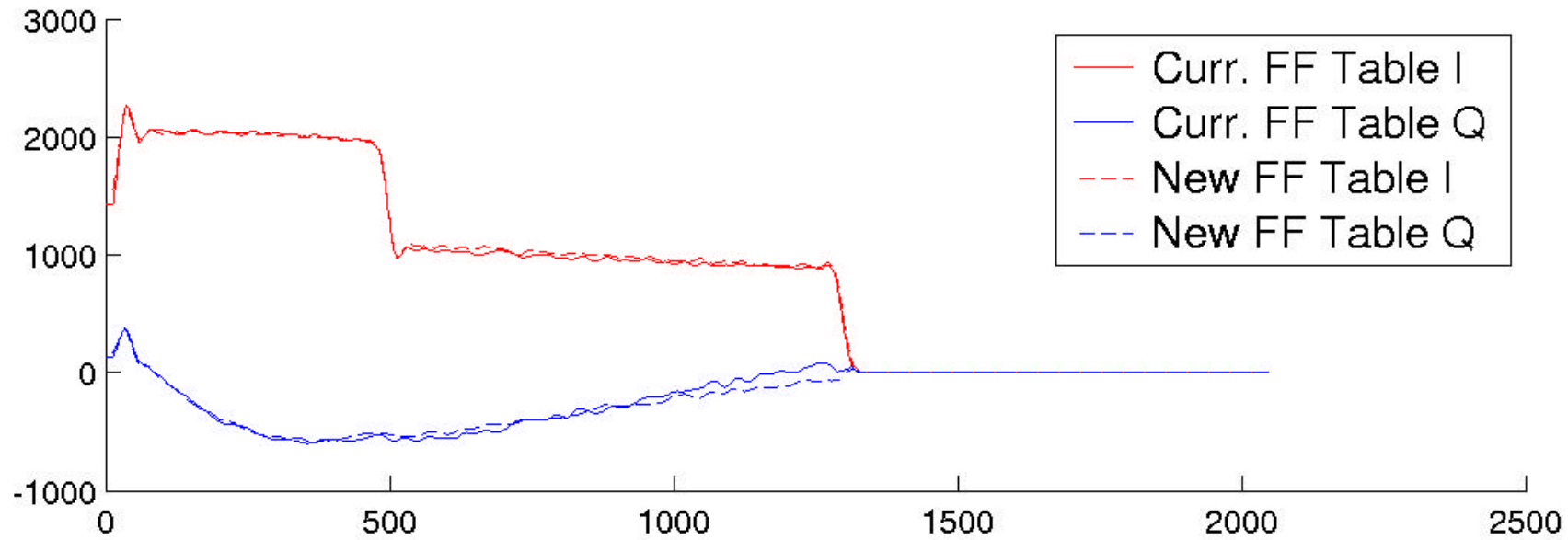
### Old vs. New Feedforward Table



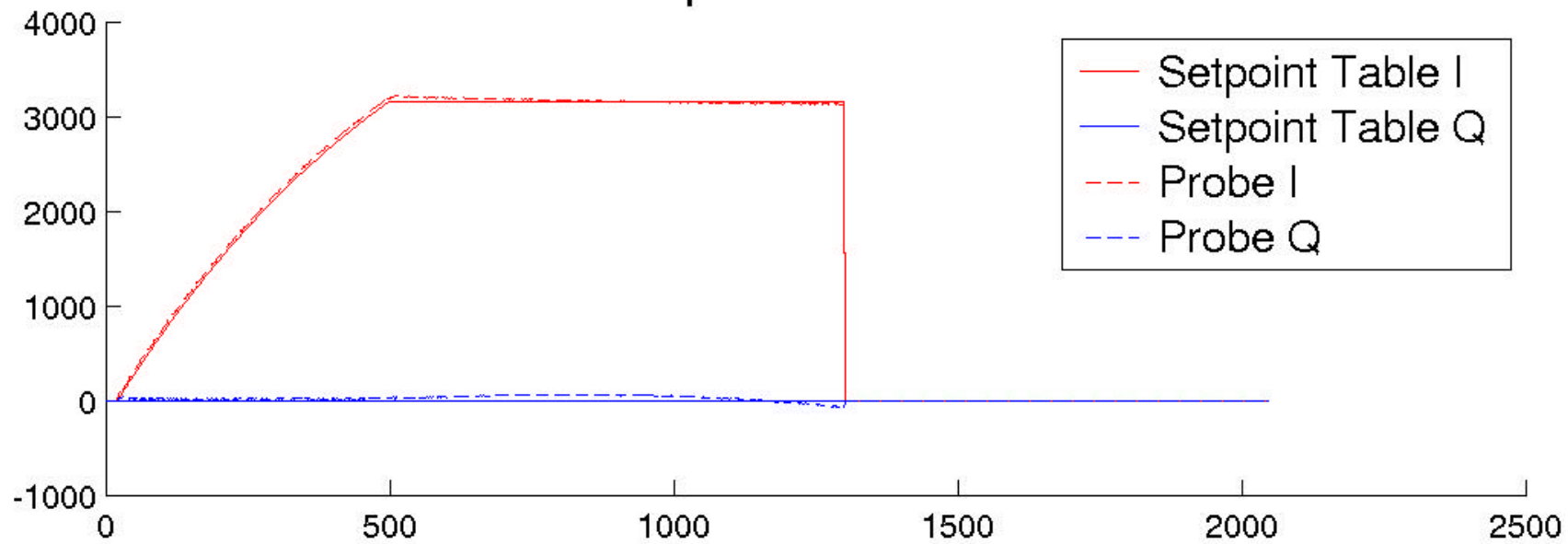
### Setpoint vs. Probe



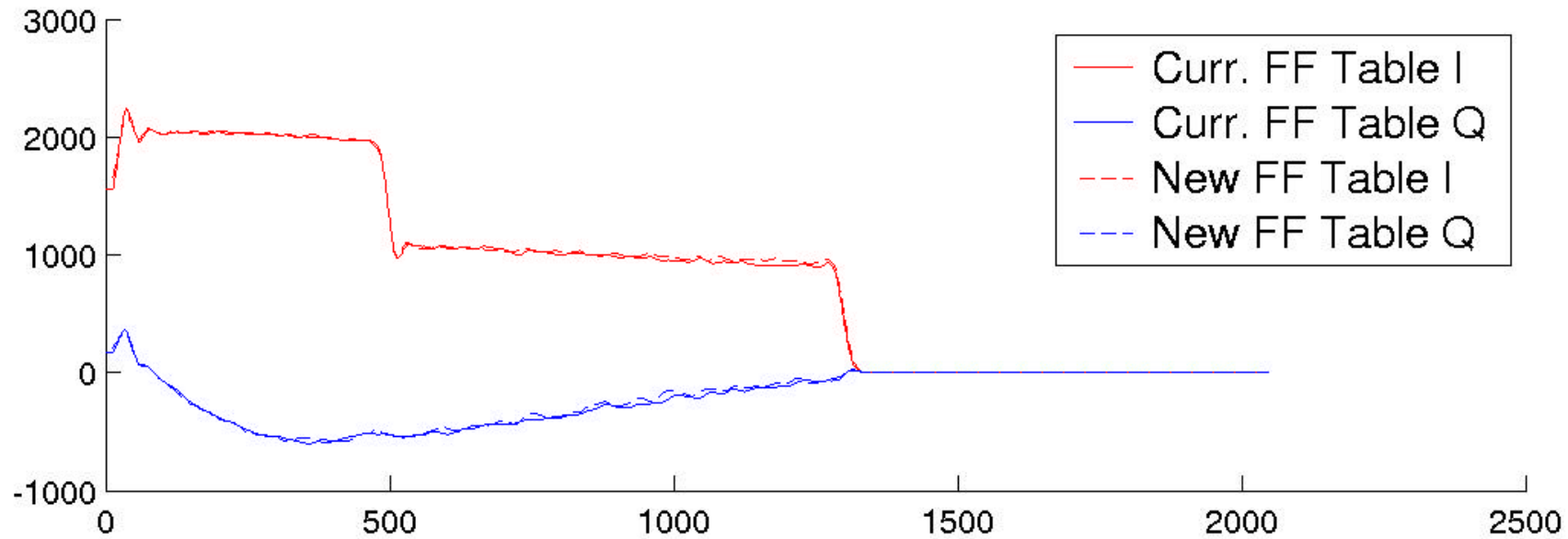
### Old vs. New Feedforward Table



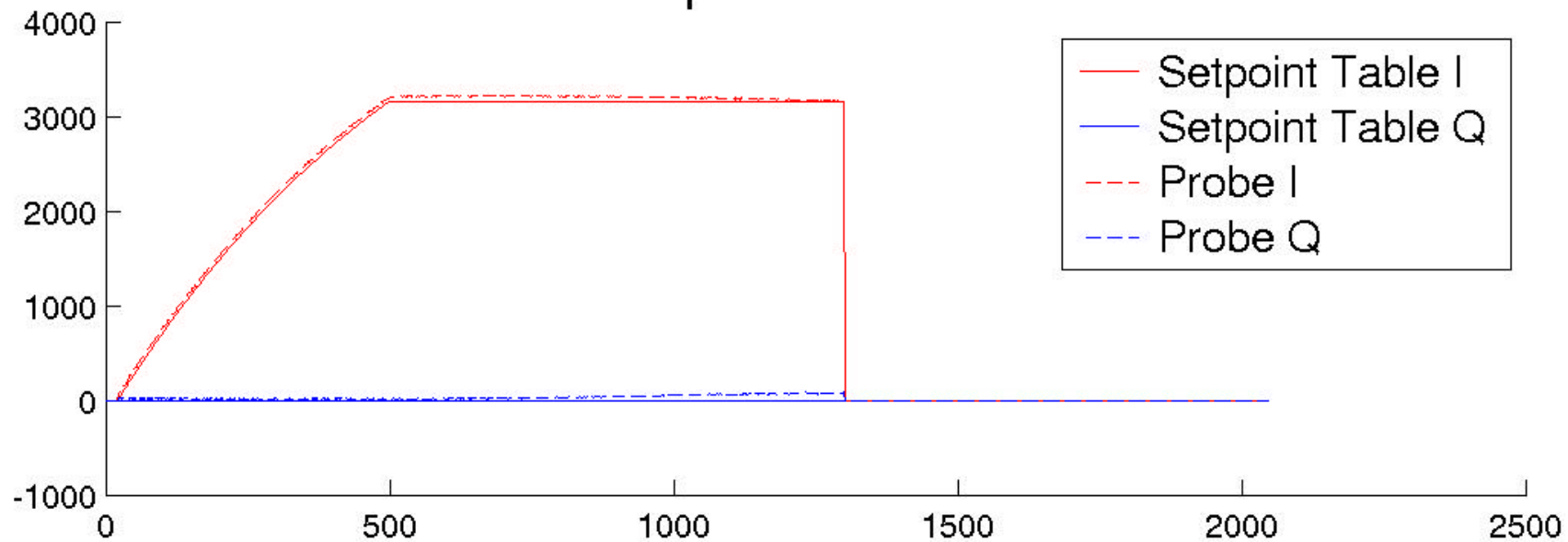
### Setpoint vs. Probe



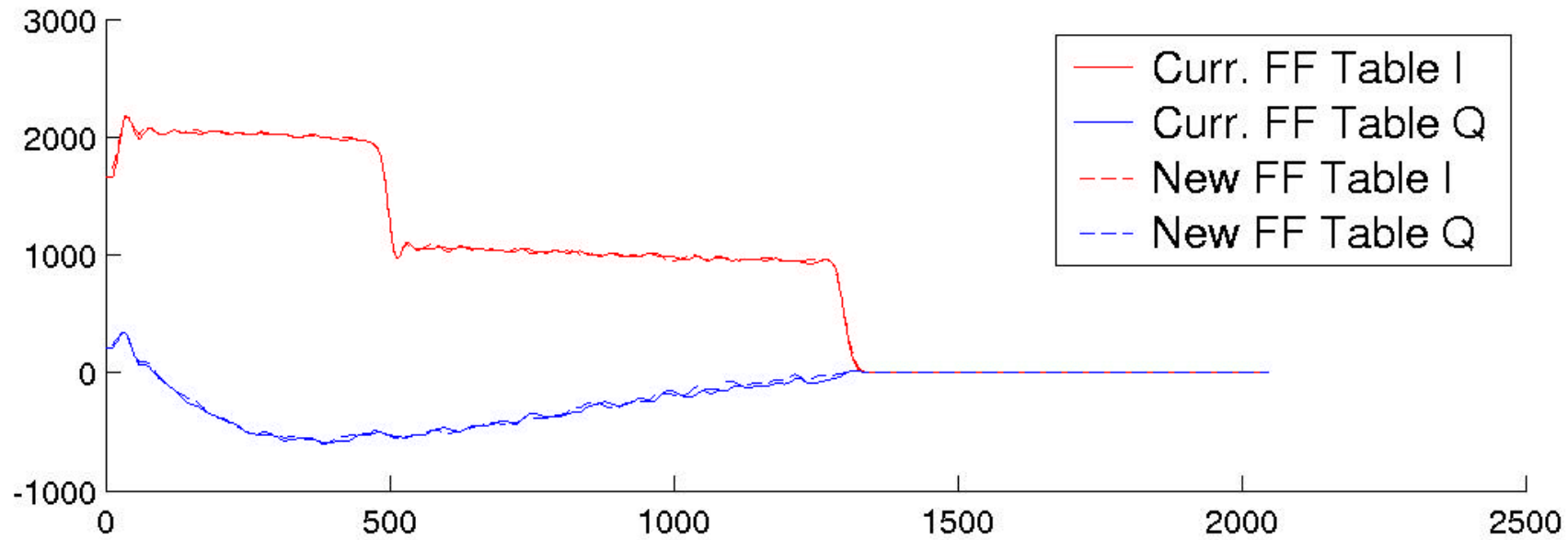
## Old vs. New Feedforward Table



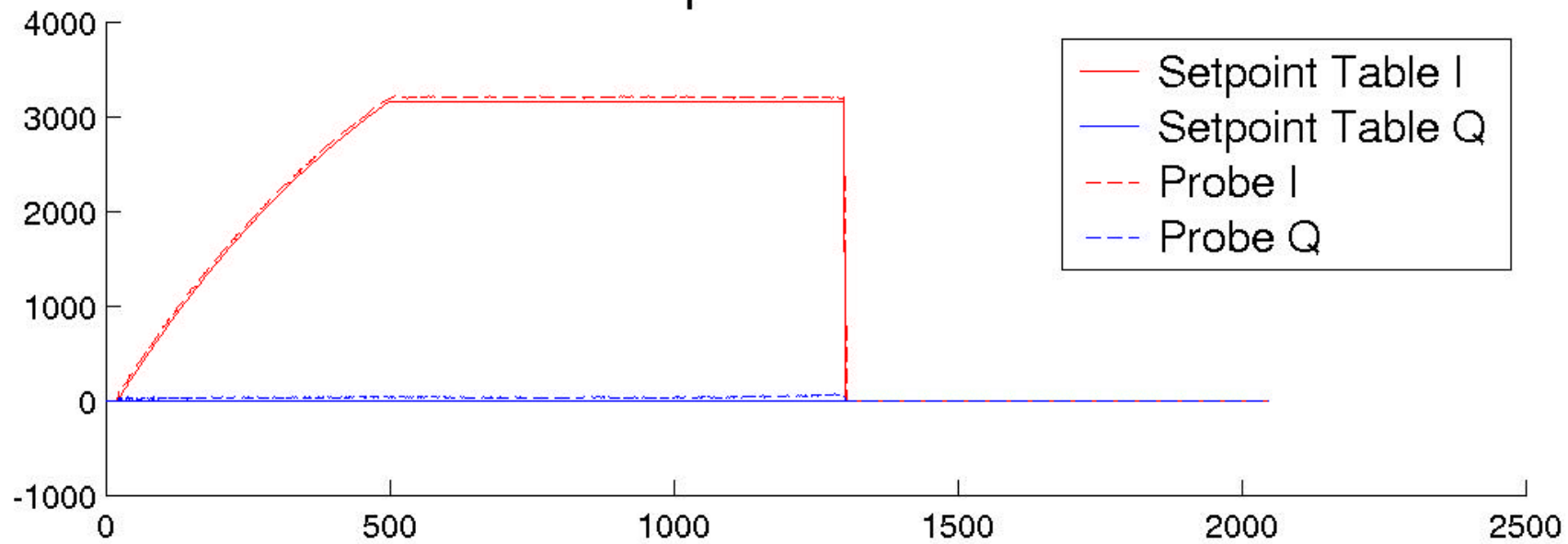
## Setpoint vs. Probe



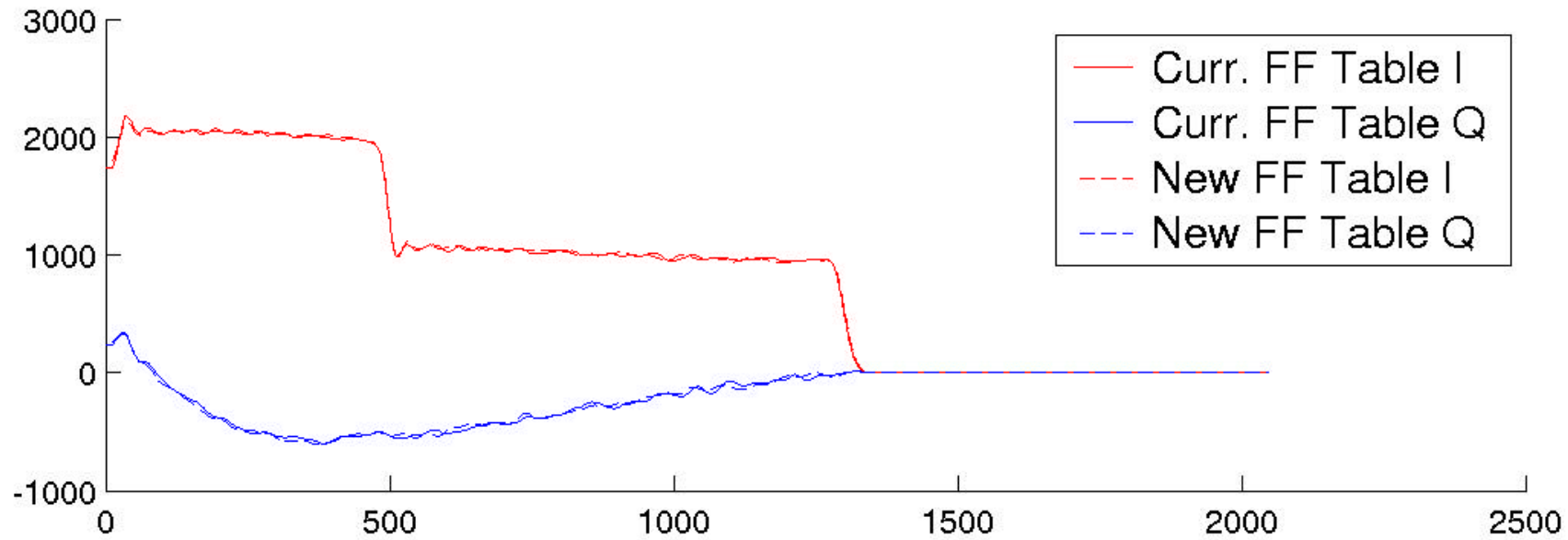
## Old vs. New Feedforward Table



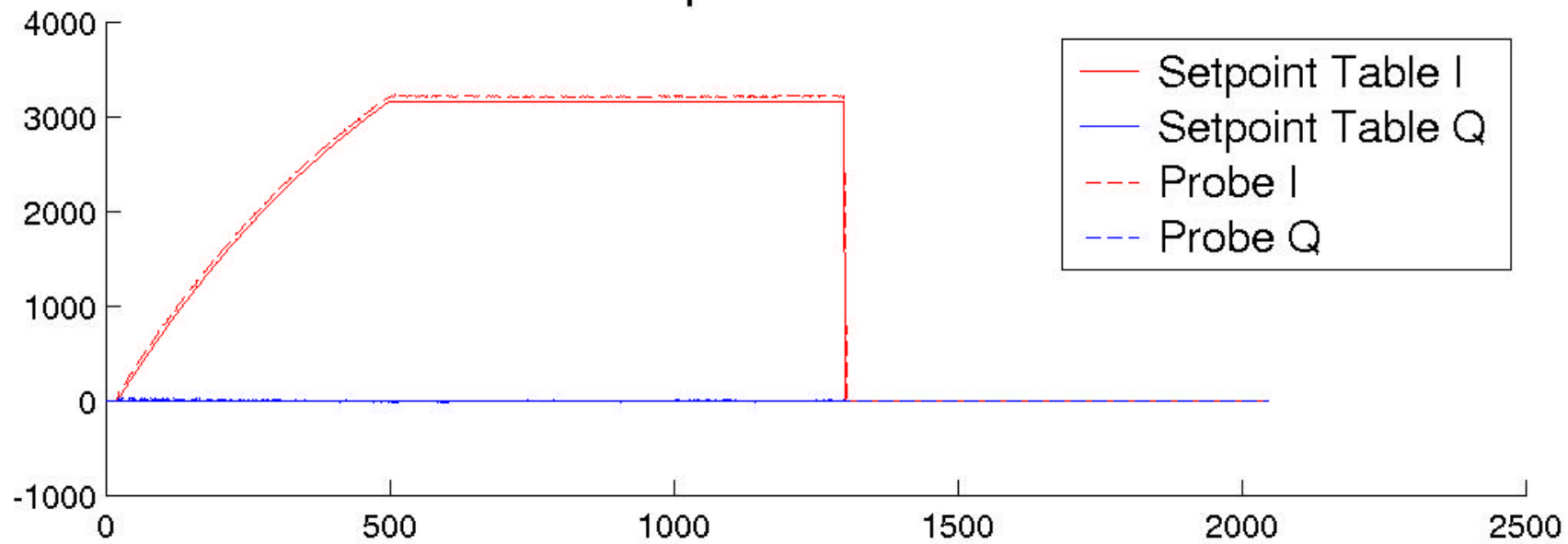
## Setpoint vs. Probe



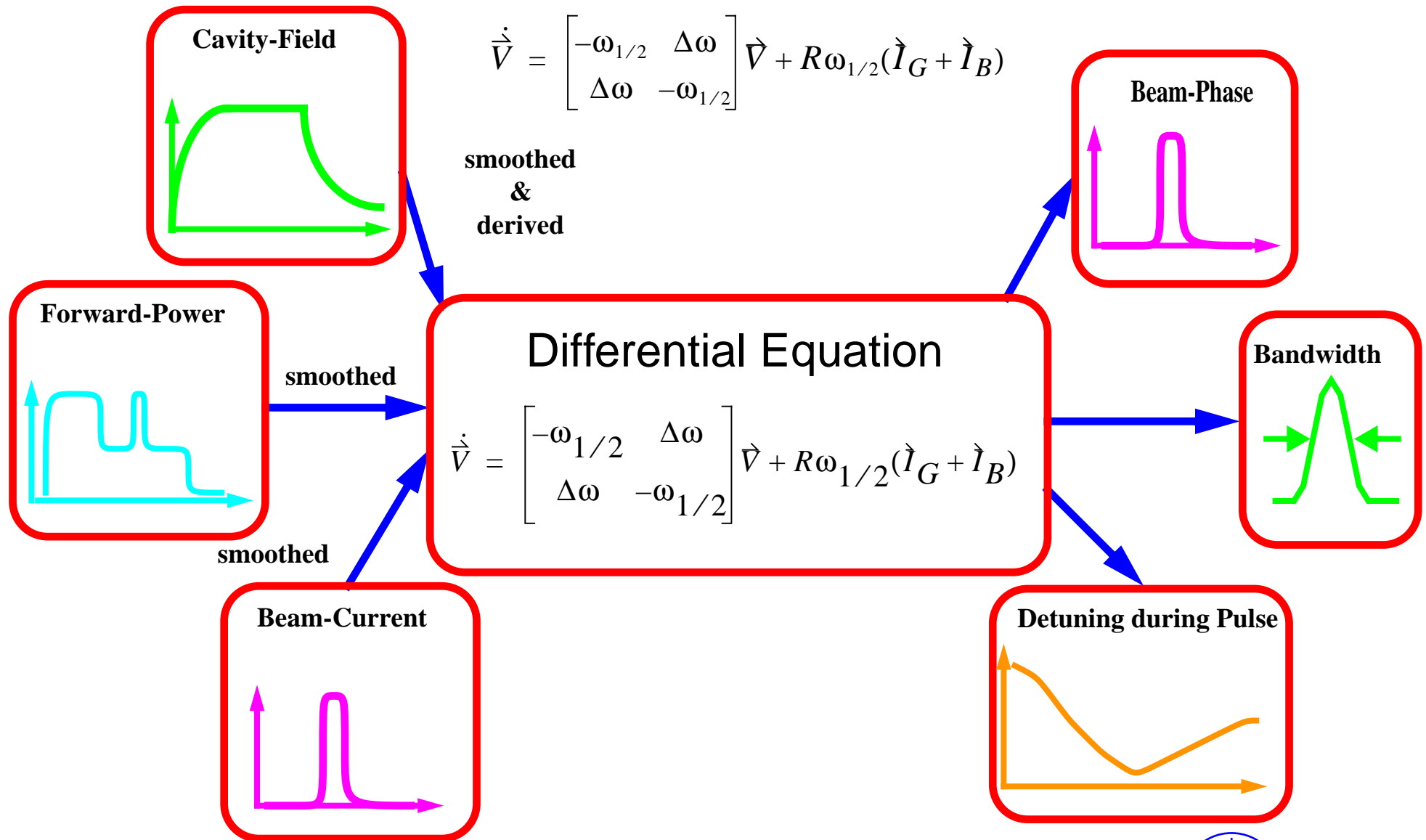
## Old vs. New Feedforward Table



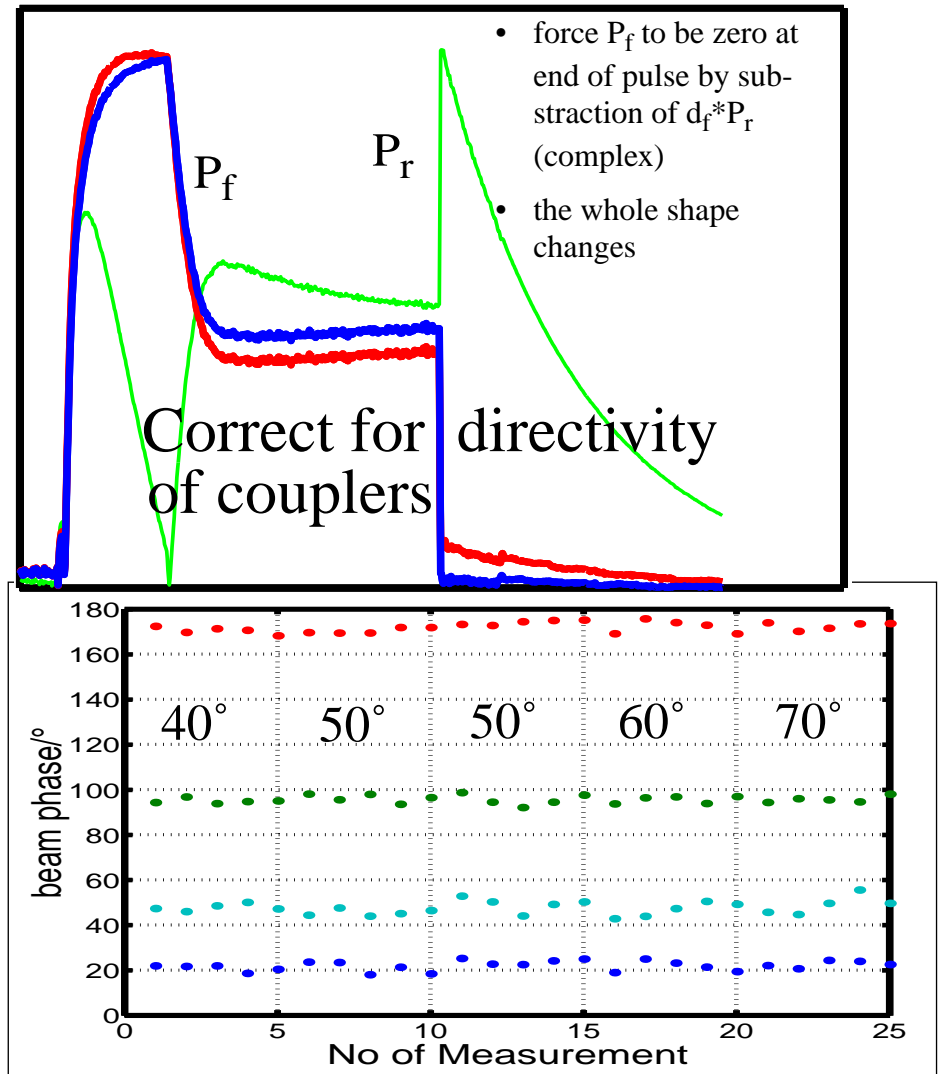
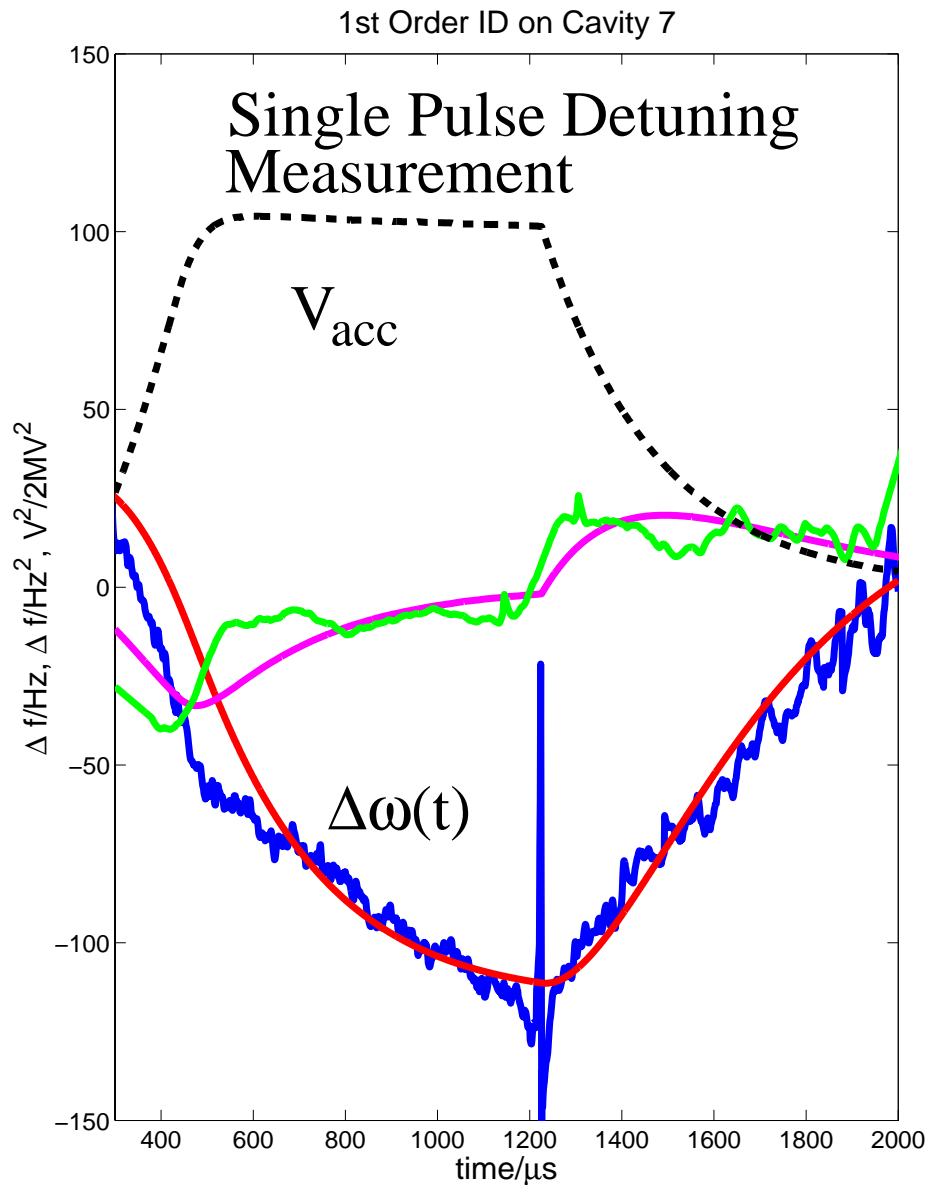
## Setpoint vs. Probe



# System Identification (1)

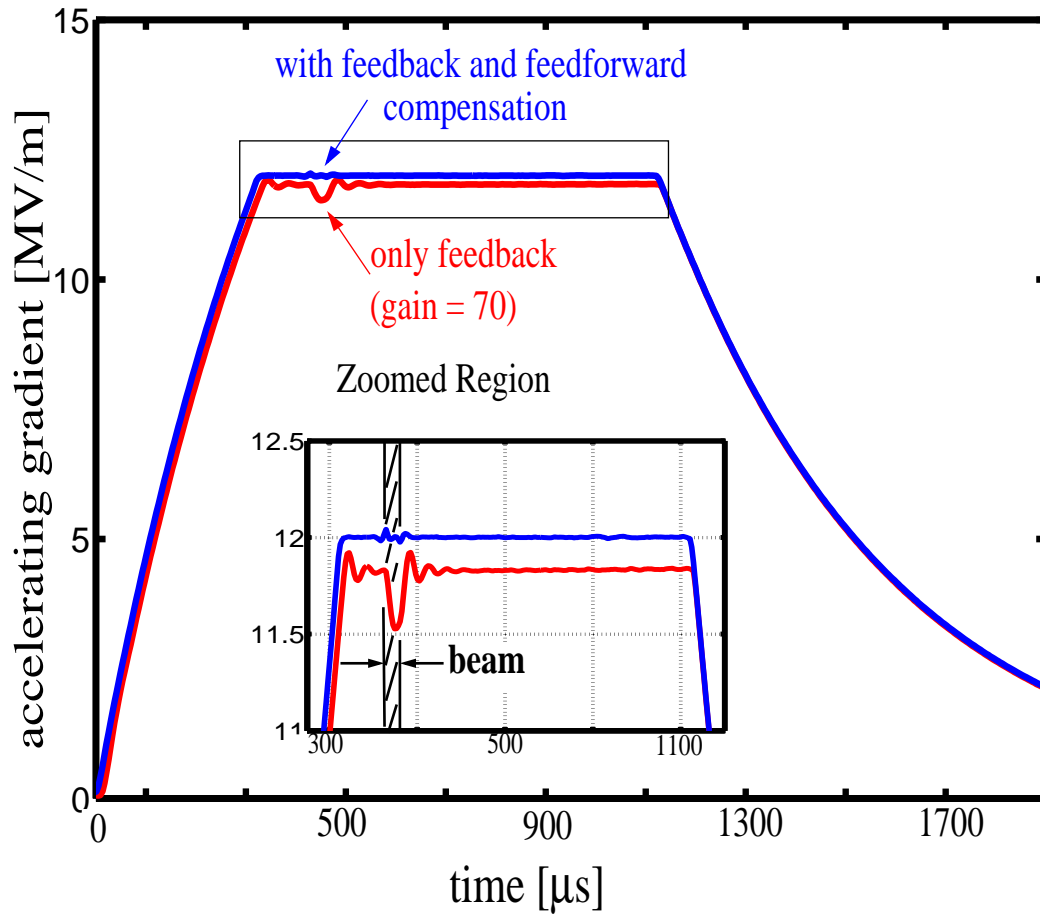


# System Identification (2)

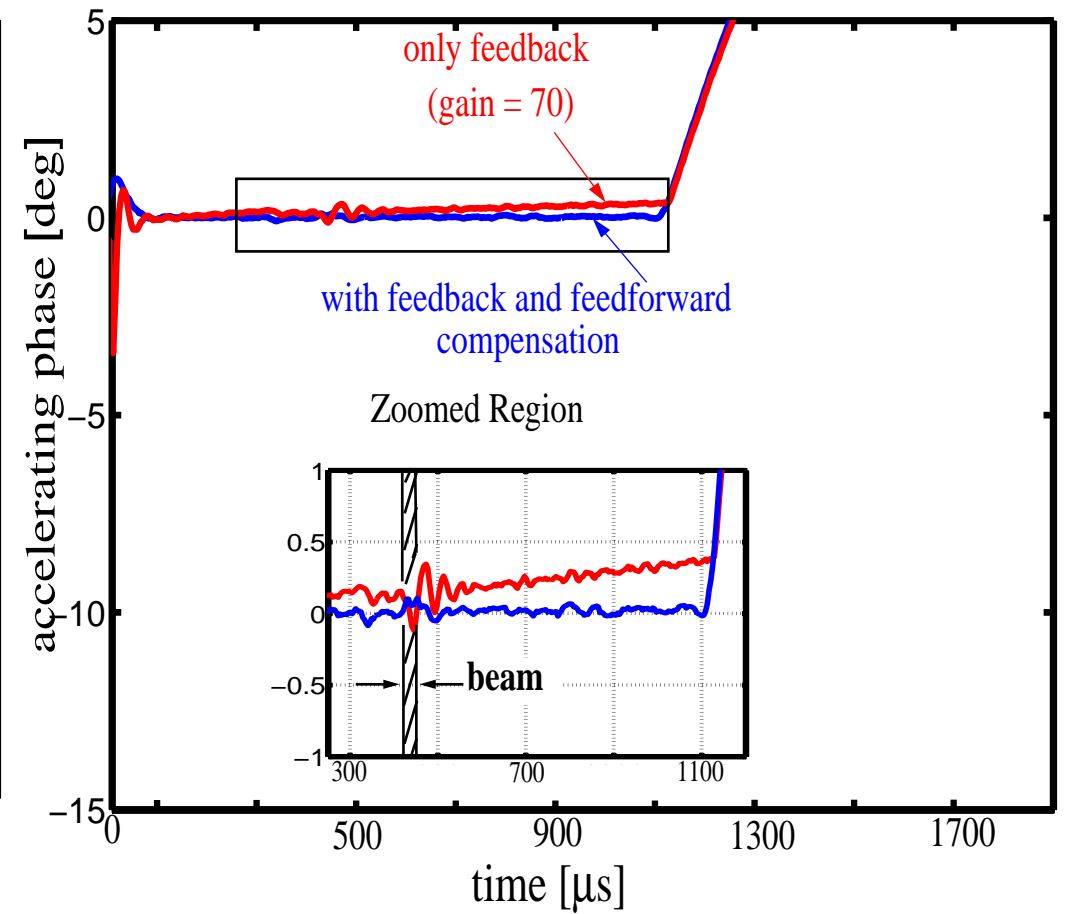


Beam phase of 4 cavities for different phase of  $V_{acc}$

# Performance at TTF (1)



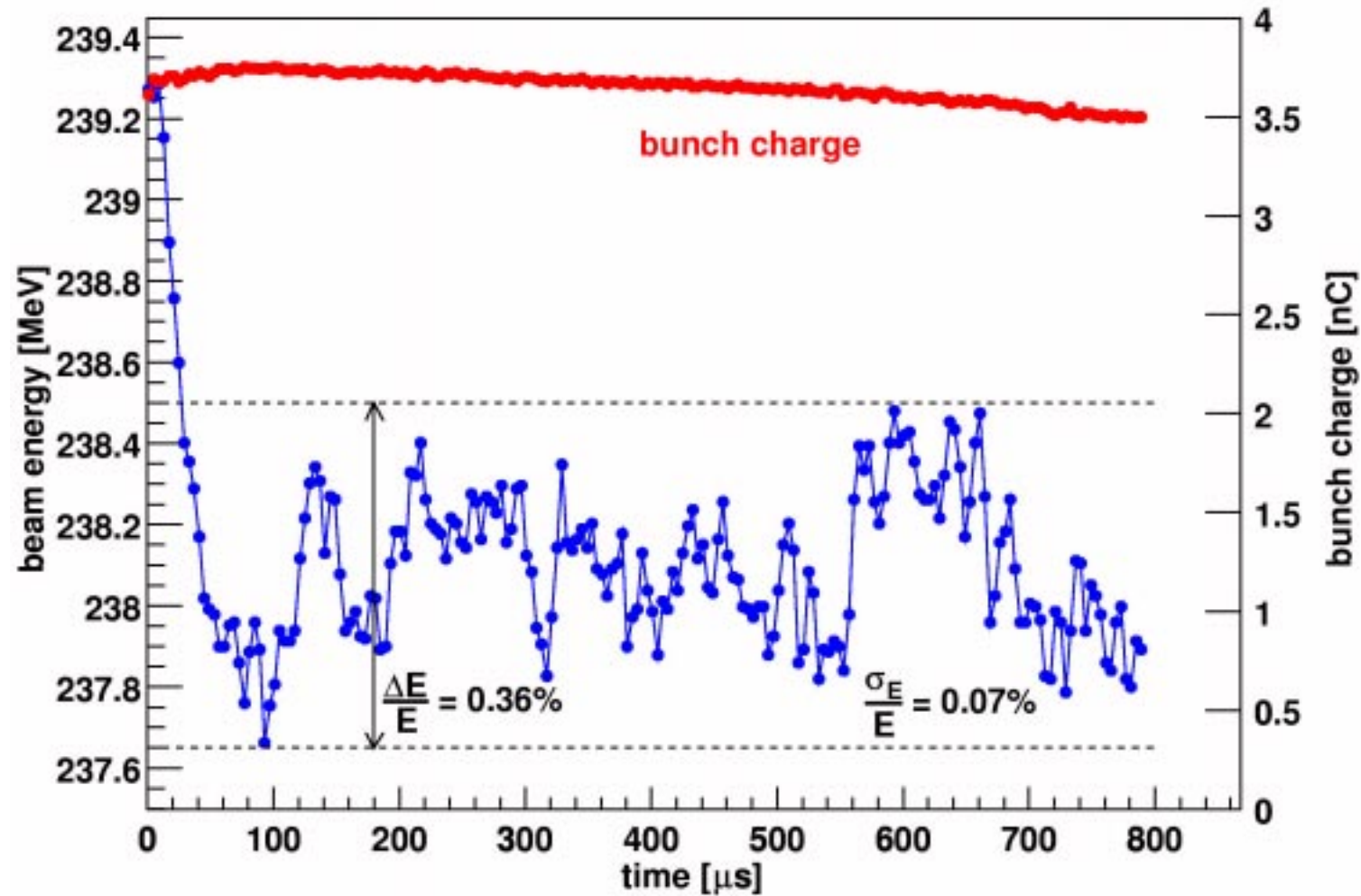
## Amplitude



## Phase

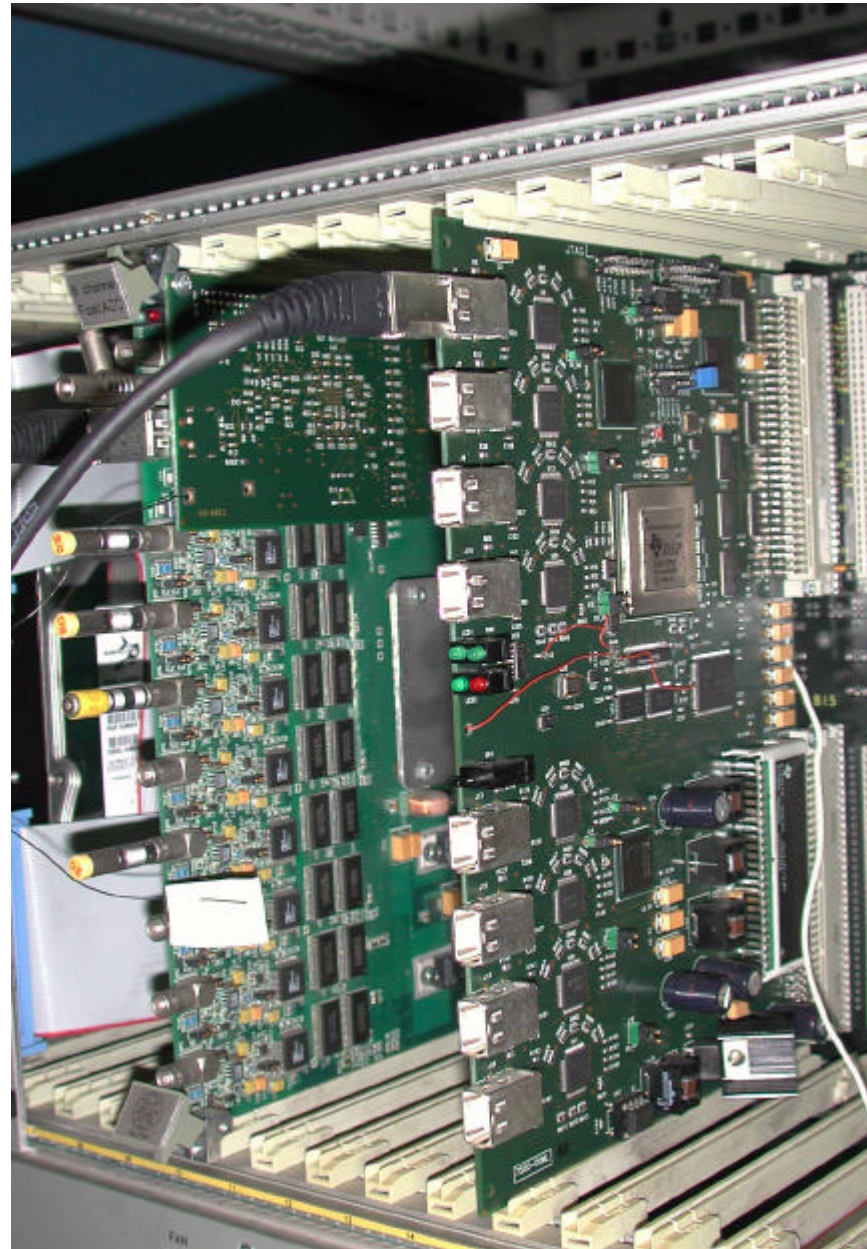


# Performance at TTF (2)

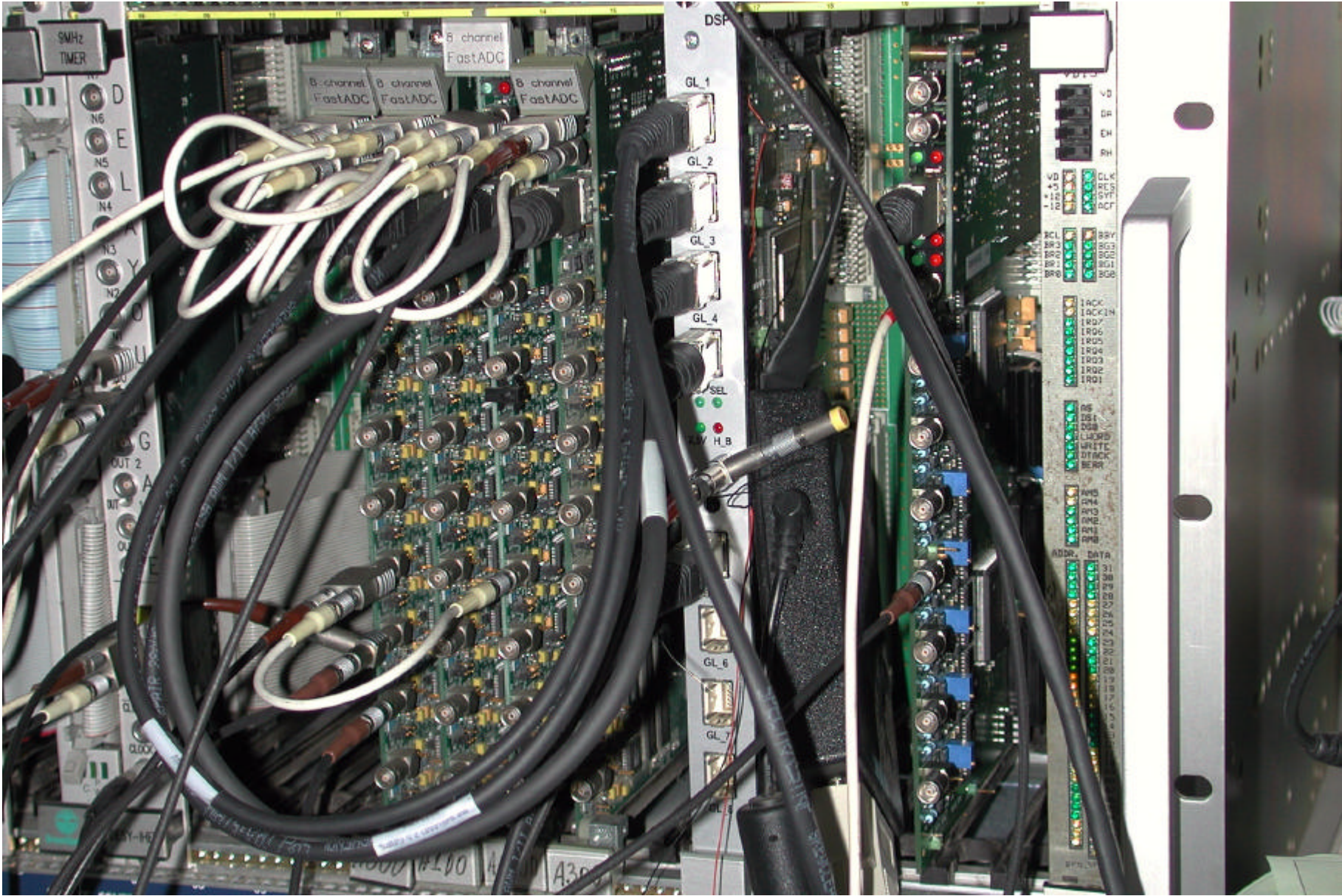


Operation with long beam pulses

# C67 DSP board

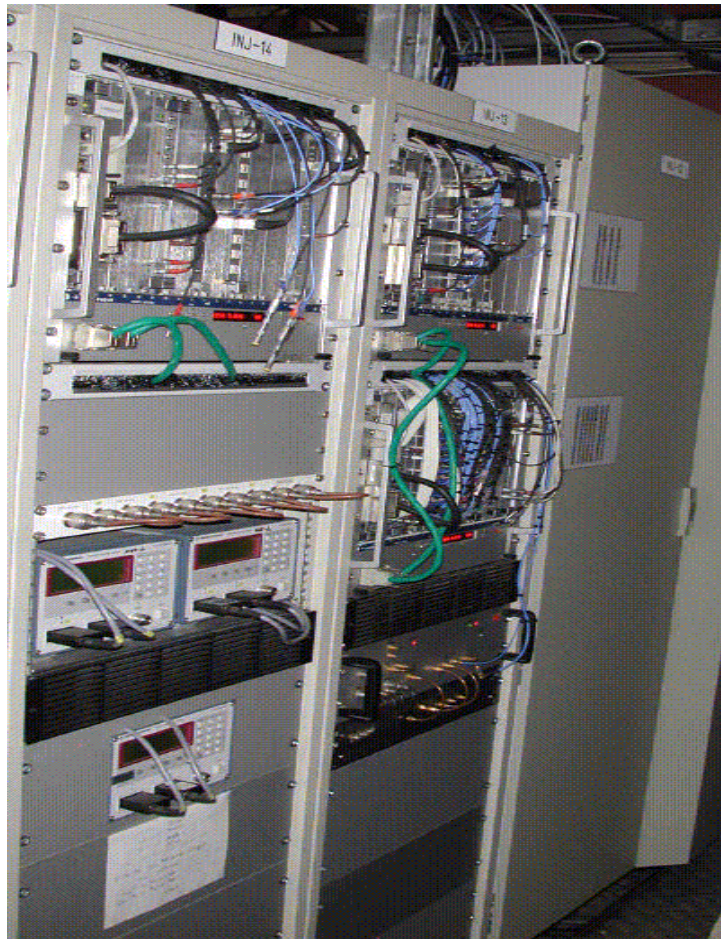


# C67 DSP board

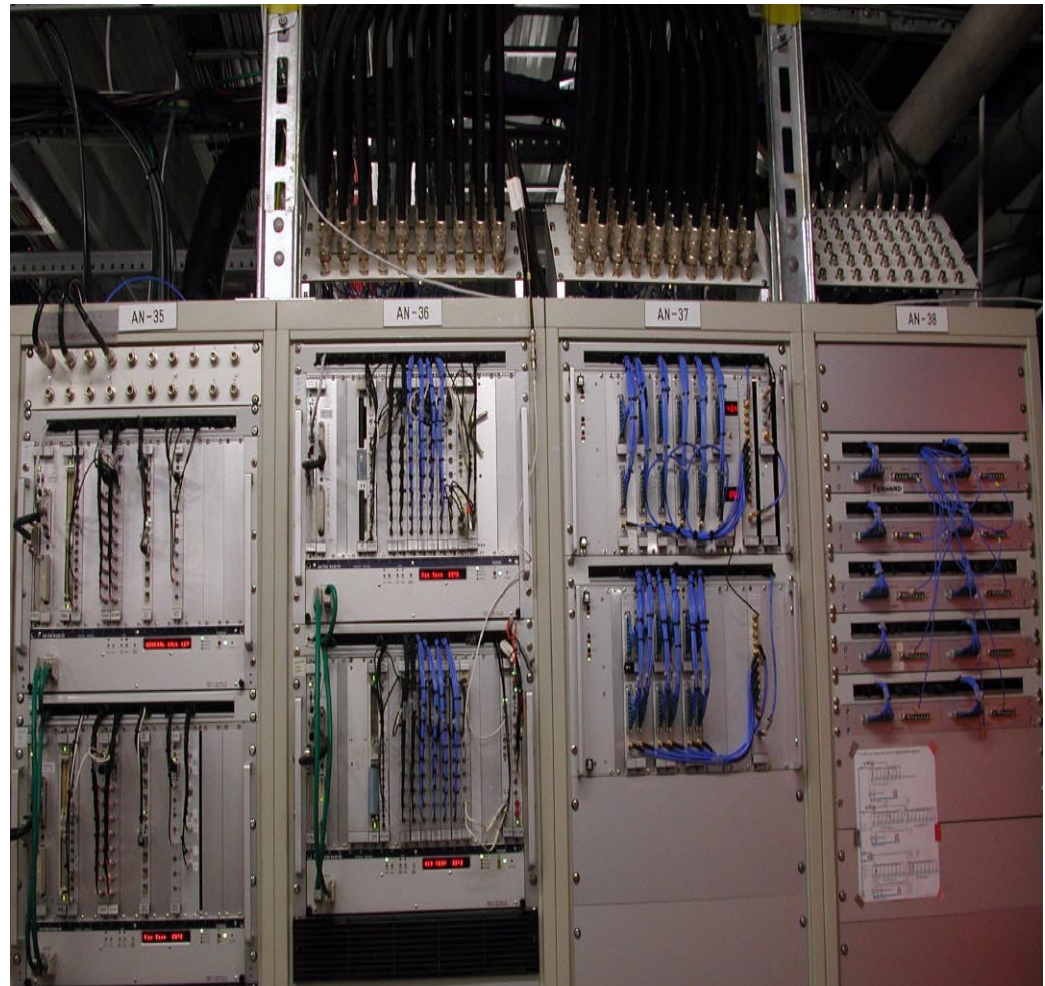


# Digital Feedback Hardware

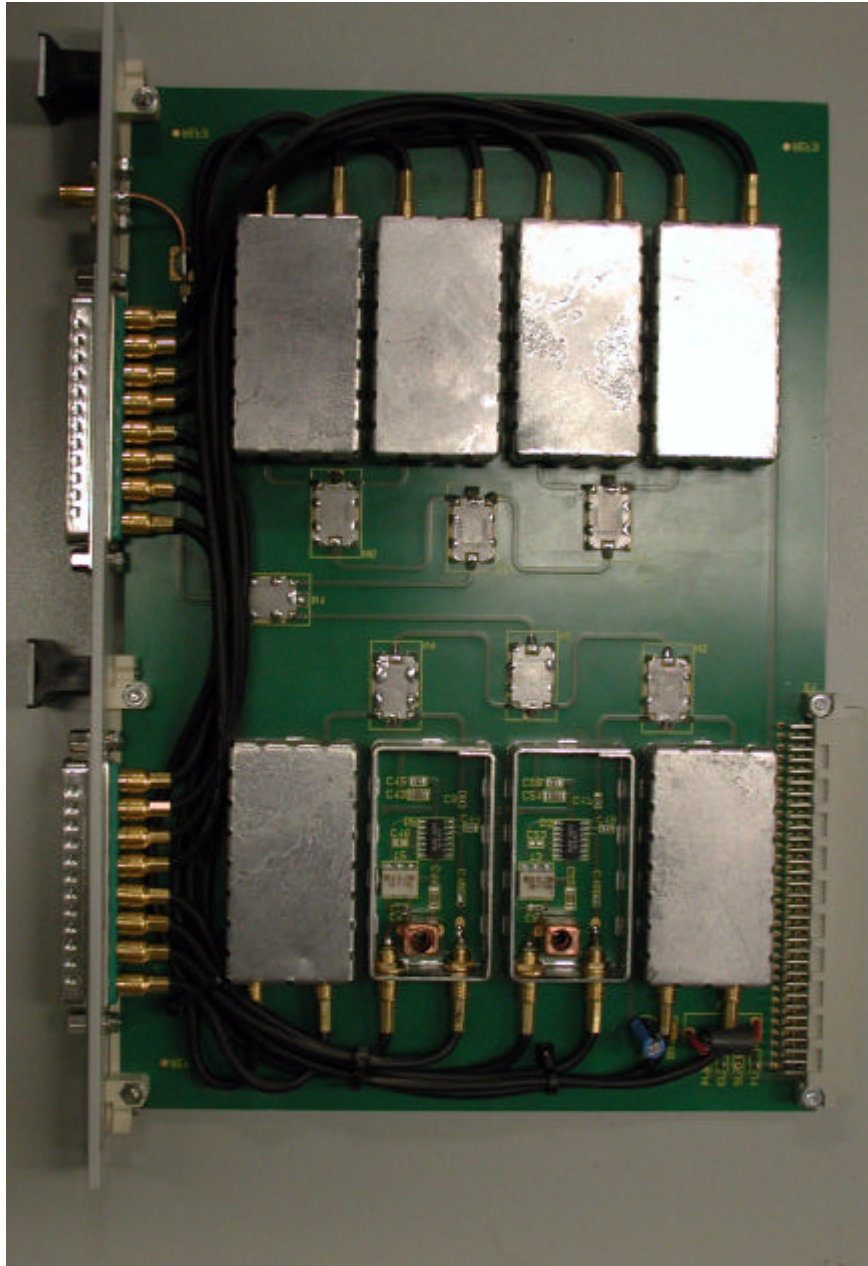
Gun and ACC1



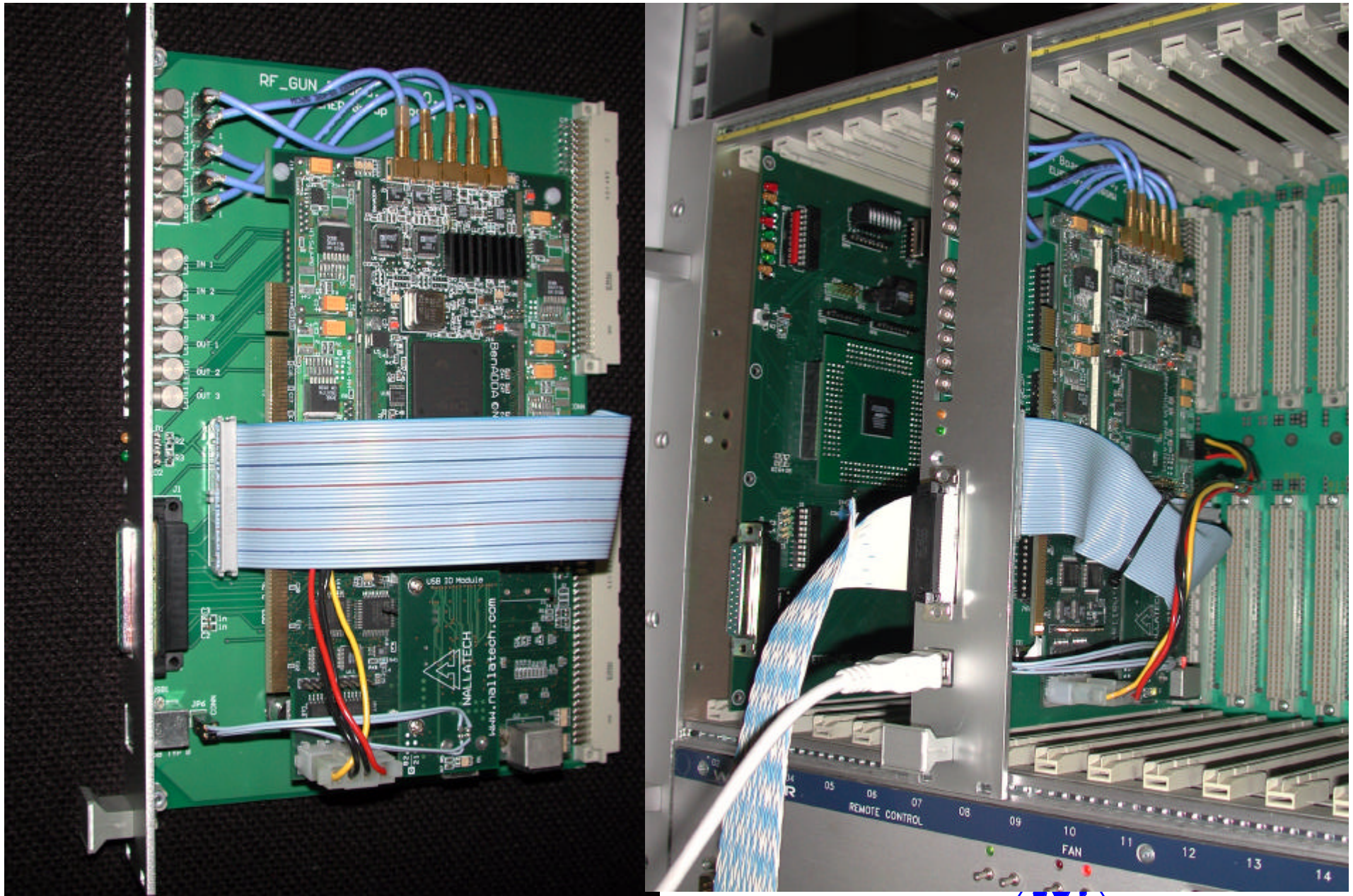
ACC2, ACC3, ACC4 & ACC5



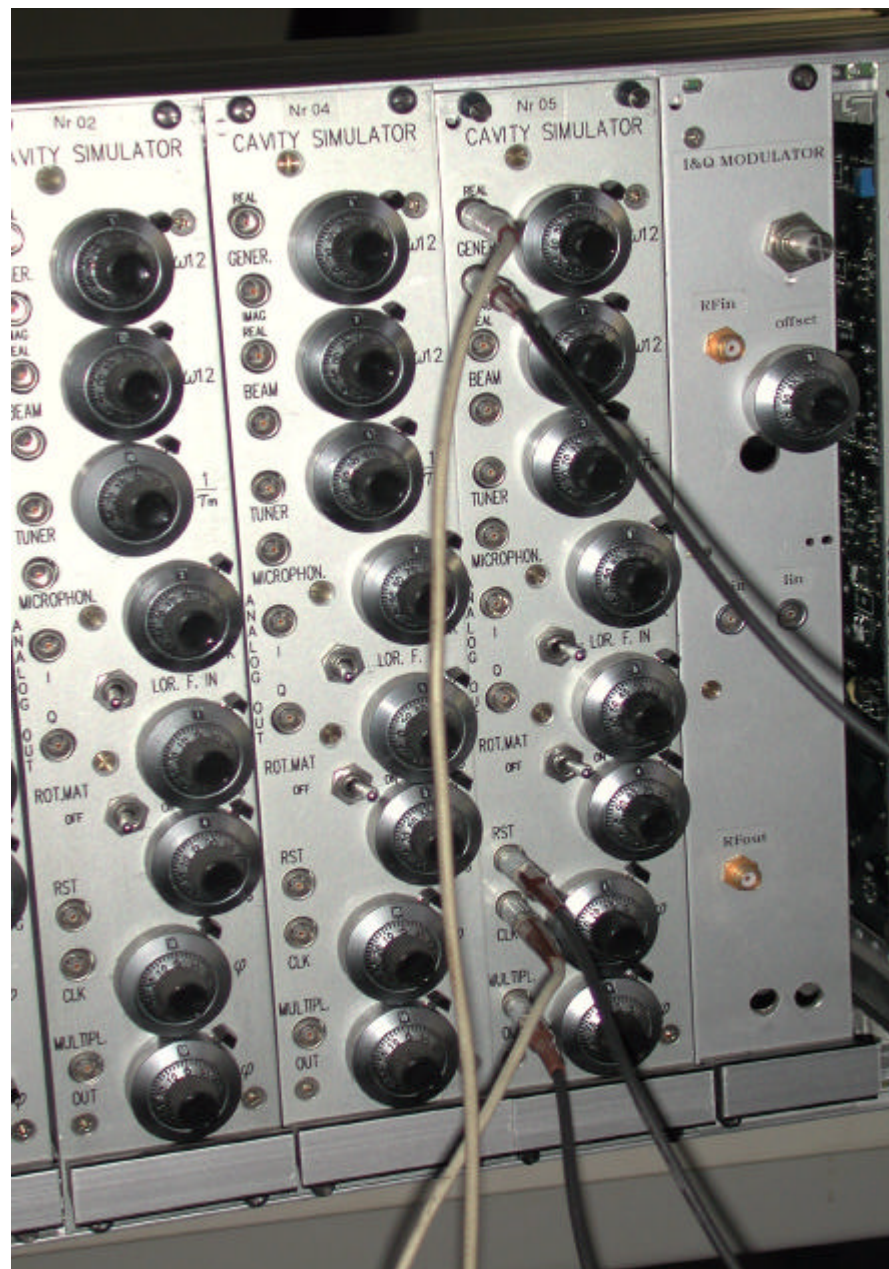
# Downconverter



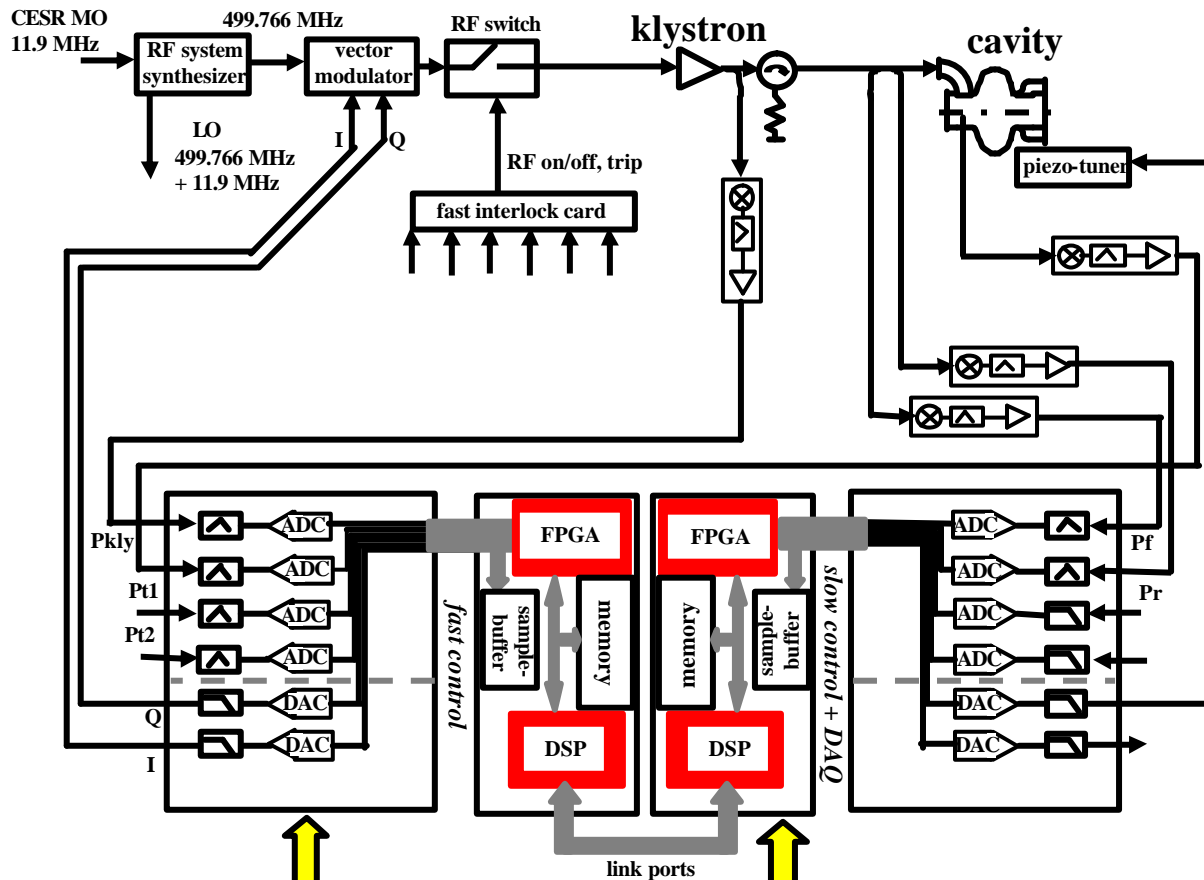
# FPGA based RF Gun Controller



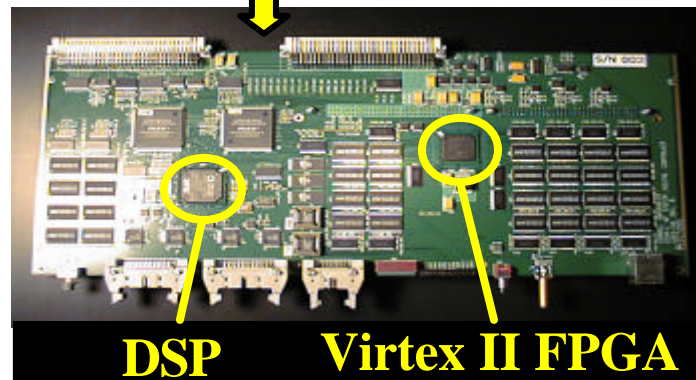
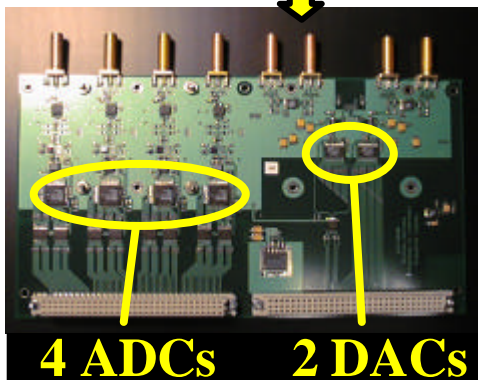
# Cavity Simulator



# Ultra-Fast Digital RF Field Control System for CESR and ERLs



- *very low delay in the control loop ( $\gg 1$  ms)*
- *Field Programmable Gate Array (FPGA) design combines the speed of an analog system and the flexibility of a digital system*
- *high computation power allows advanced control algorithms*
- *all boards have been designed in house*
- *generic design: digital boards can be used for a variety of control and data processing applications*



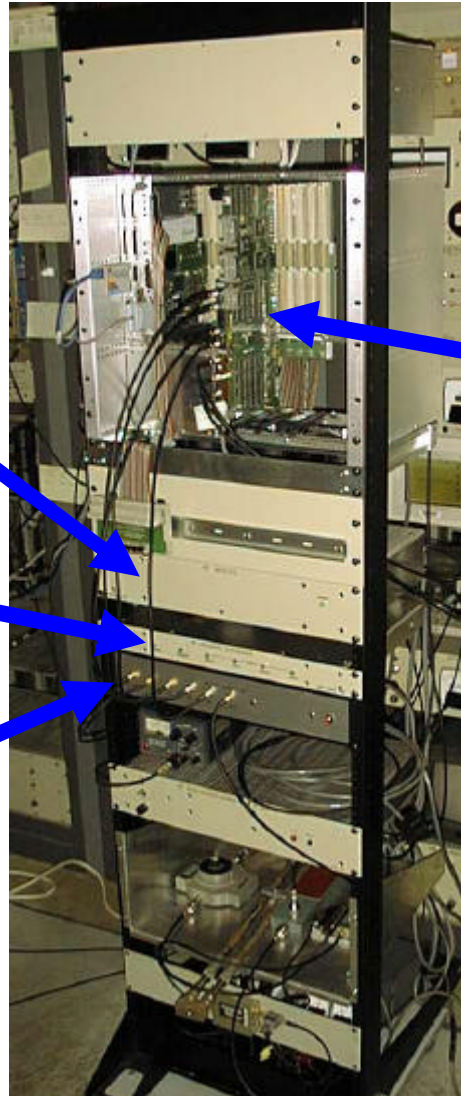


# *Cornell's Digital RF Control System:*

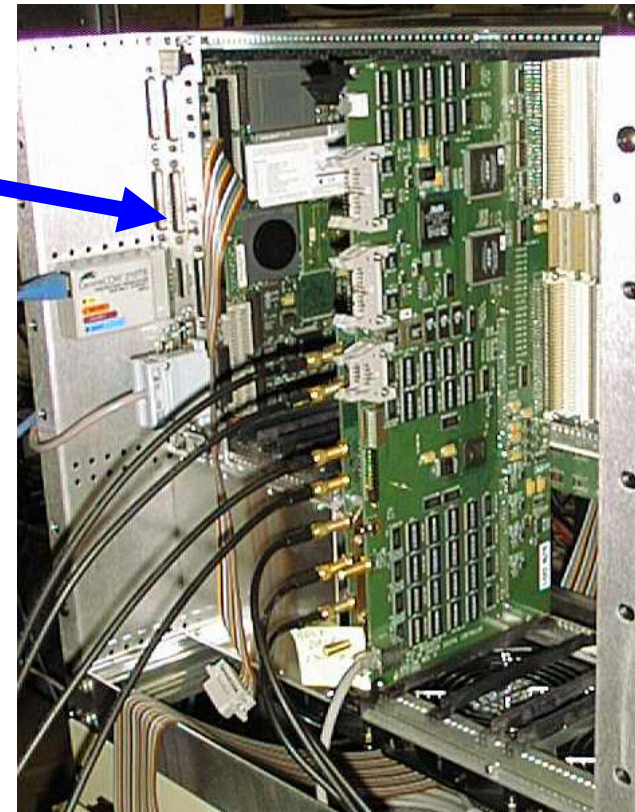
*RF Down-Converters*

*500 MHz  
frequency  
synthesizer*

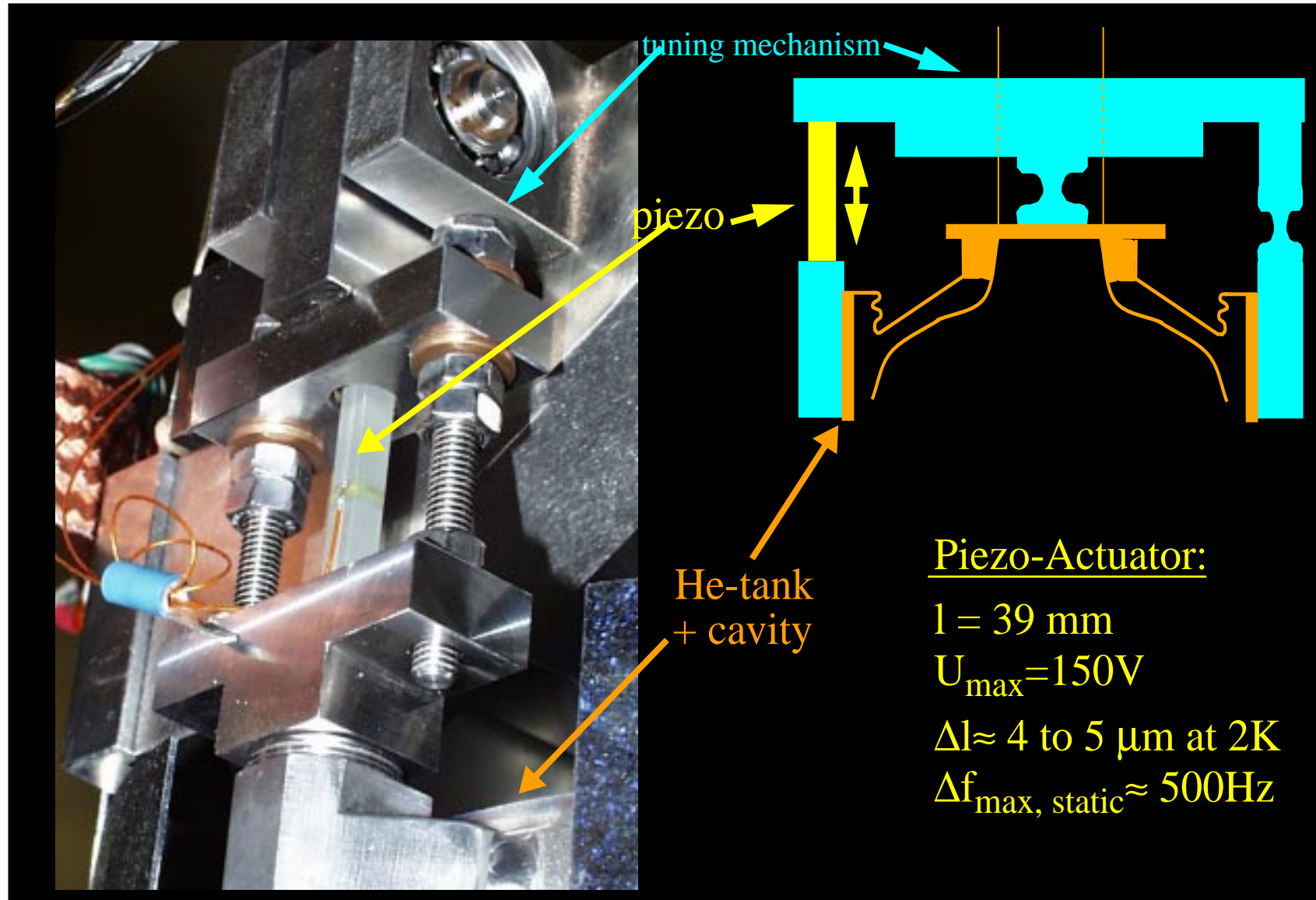
*vector modulator*



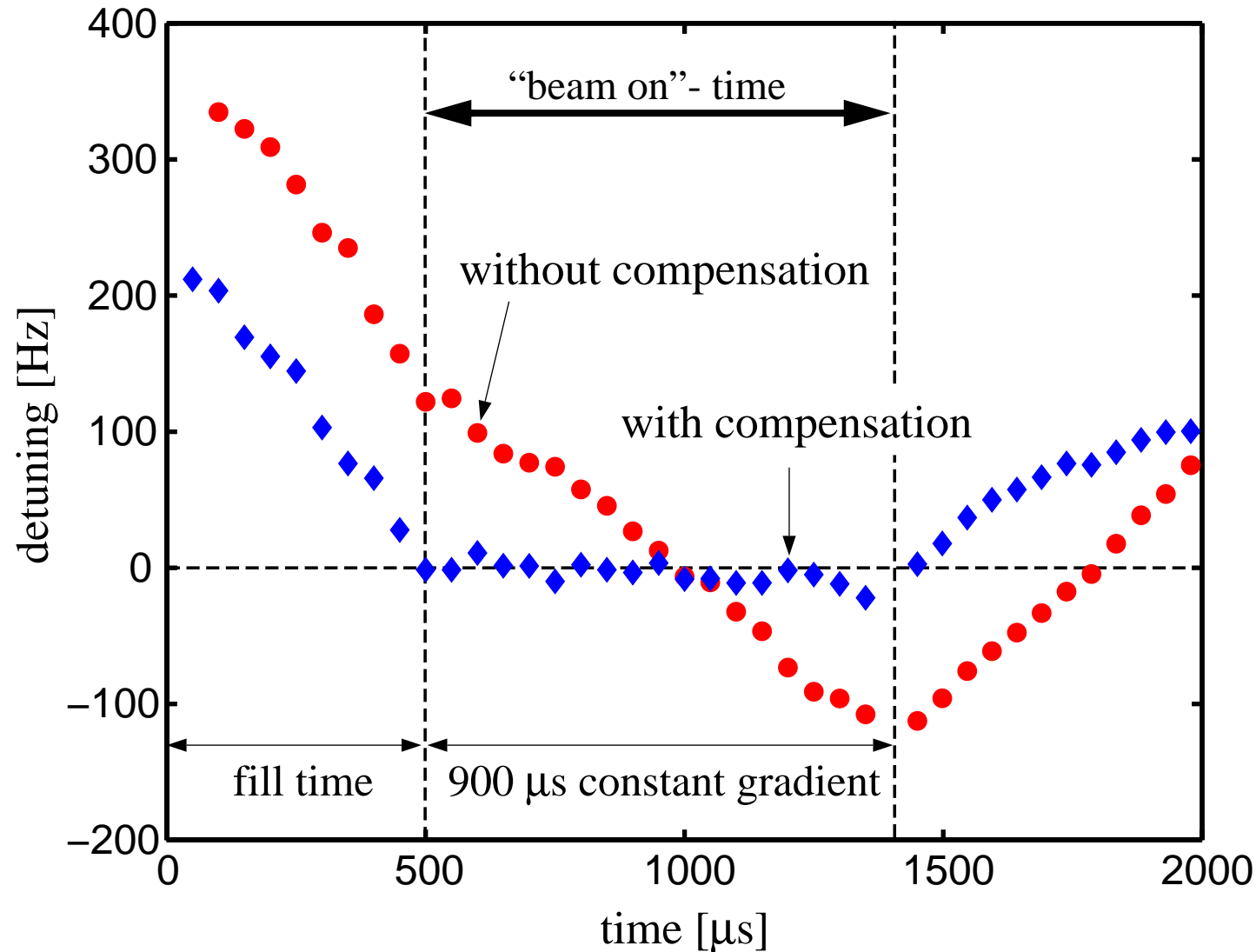
*Digital Boards:*



# Active Compensation of Lorentz Force Detuning (1)



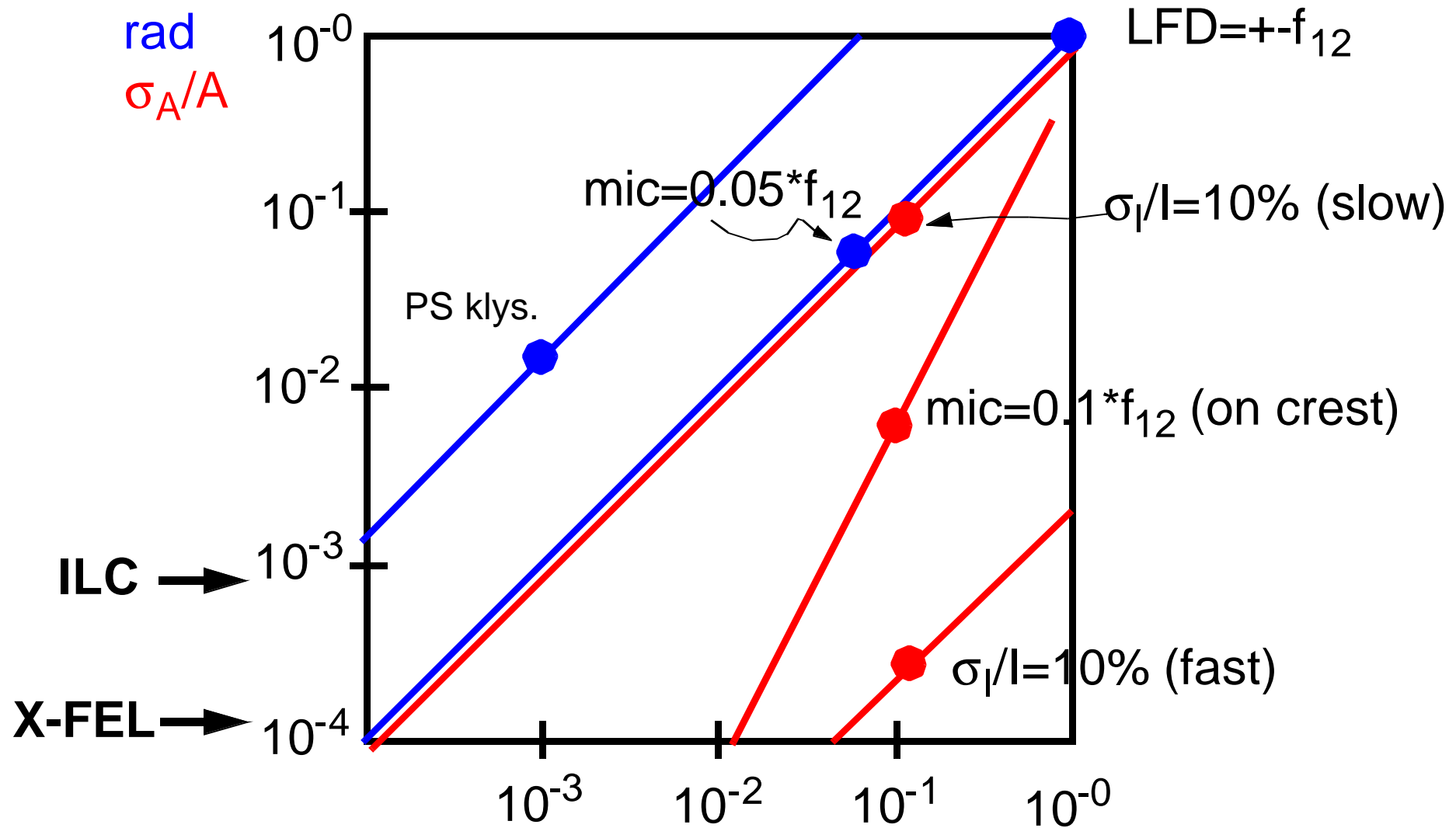
# Active Compensation of Lorentz Force Detuning (2)



**9-cell cavity  
operated at  
23.5 MV/m**

**Lorentz force  
compensated  
with fast  
piezoelectric  
tuner**

# Open Loop Errors



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# Conclusion

- Field regulation ranging from 1% to  $10^{-4}$  amplitude and 1 deg. to 0.01 deg. for phase (in critical sections) will be required for future superconducting and normalconducting accelerators
- Noise sources for superconducting cavities are understood
  - Microphonics ( typ. 10 Hz)
  - Lorenz force detuning ( 1-3 Hz/(MV/m)<sup>2</sup>)
  - Beam loading (few %)
- Rapid development in digital technology (DSP, FPGA, ADC, DAC) favors digital design for feedback/feedforward control.
- Fast Control with incident wave
  - feedforward for repetitive errors (beam,LFD, klystr.)
  - feedback (stochastic errors)



- 
- Limitation of feedback: **Latency in Loop** (limits loop gain) and **Noise**
  - Limitation of feedforward: Measurement and **Estimation of Perturbations**
  - Resonance control with fast mechanical tuner promising
    - Lorentz force compensation successfully demonstrated
    - For microphonics control first result promising results
  - Present achievements
    - **$10^{-4}$  in amplitude and 0.03 deg.** have been achieved at  $QL=1e7$
  - Outlook: Phase stability of 0.01 deg. appears feasible



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# Additional Requirements for X-FEL & ILC

- Installation in Tunnel
  - Packaging (airconditioned racks)
  - Availability (Redundancy)
  - Maintenance
  - Upgradability (20 years operation)
- Radiation environment
  - Total ionizing dose
  - Single Event Upset (SEU)
- Large Scale Installation
  - Operability (Automated operation with FSM)
  - Exception handling
- X-FEL specific: Field stability and higher rep. rate
- ILC specific: High gradient (35MV/m)

