

# **Superconducting RF-I**

## **- Basics for SRF Cavity -**

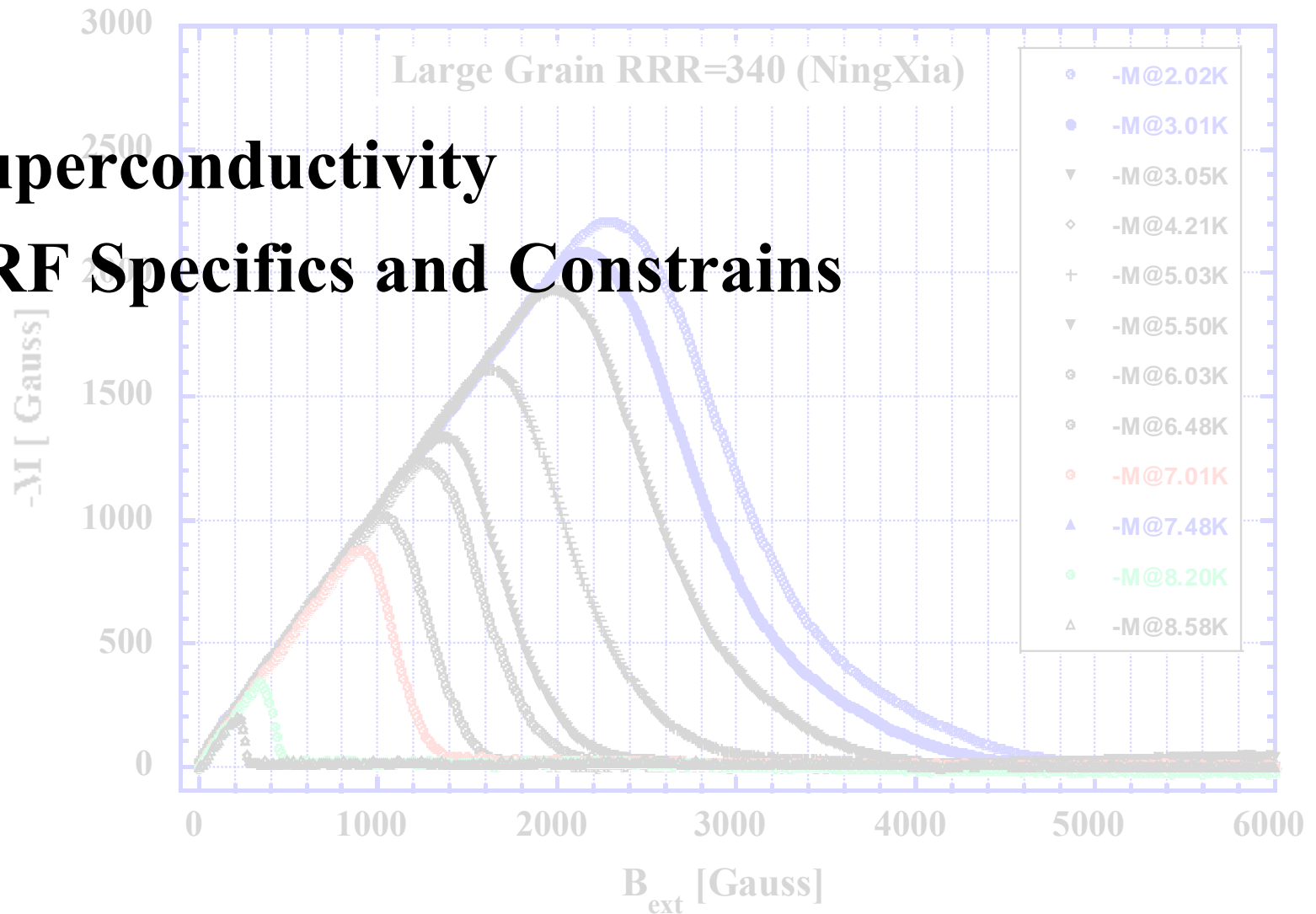
**K.Saito KEK**

- 1. Superconductivity Basics**
- 2. Niobium Material**
- 3. SRF Cavity Design**
- 4. HOM Issue**
- 5. Lorentz Detuning**
- 6. RF input coupler**
- 7. Cavity Dressing**
- 8. Cryomodule**
- 9. Cryogenics**

# 1. Superconductivity Basics

## 1.1 Superconductivity

## 1.2 SRF Specifics and Constrains



# 1.1 Superconductivity

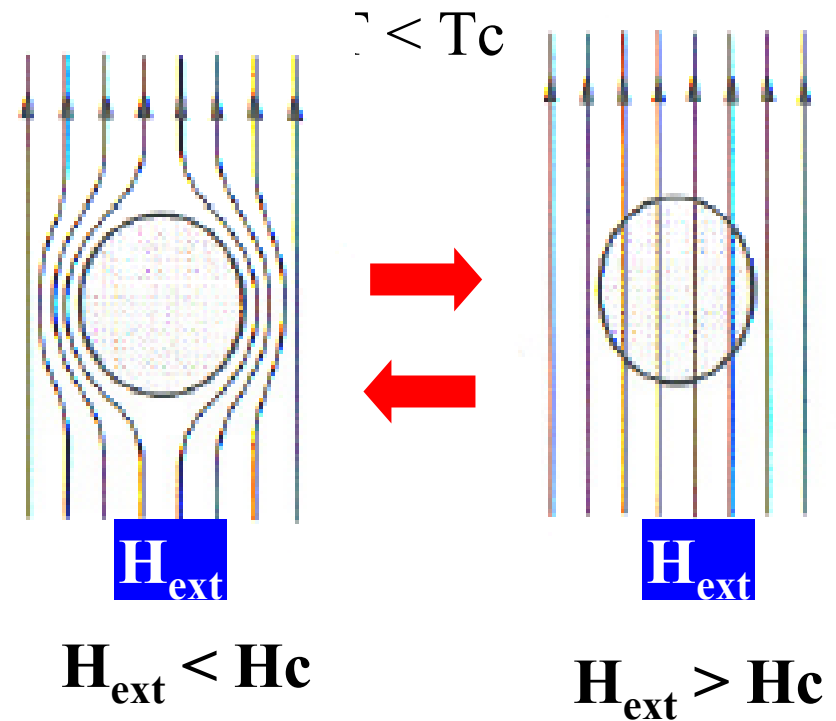
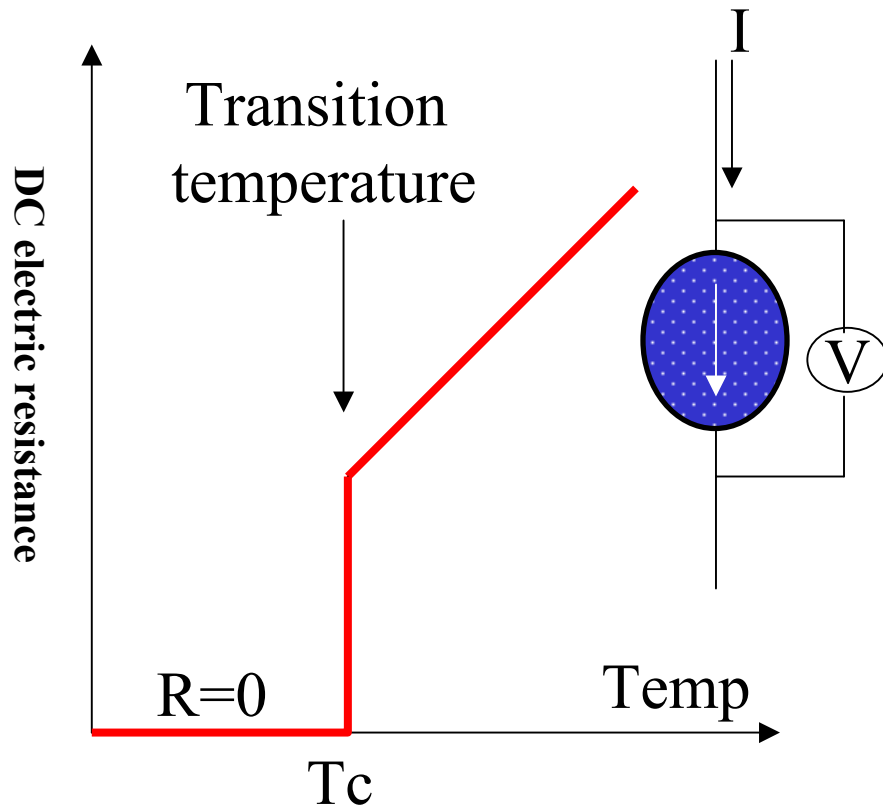
1911 by K. Onnes

Zero resistance @  $T_c$

1933 by Meissner and Ochsenfeld (experiment)  
1935 Phenomenological theory by F. and H. London

Perfect diamagnetism  $< H_c$

Meissner effect

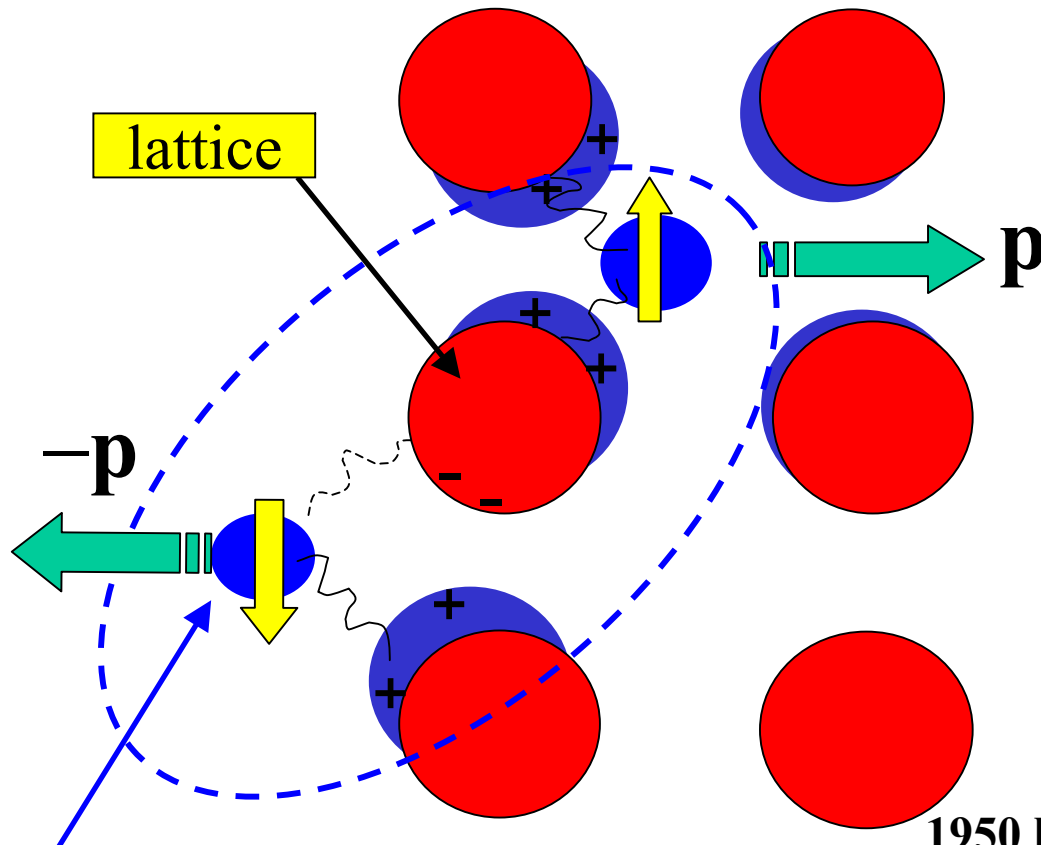


# Microscopic Theory

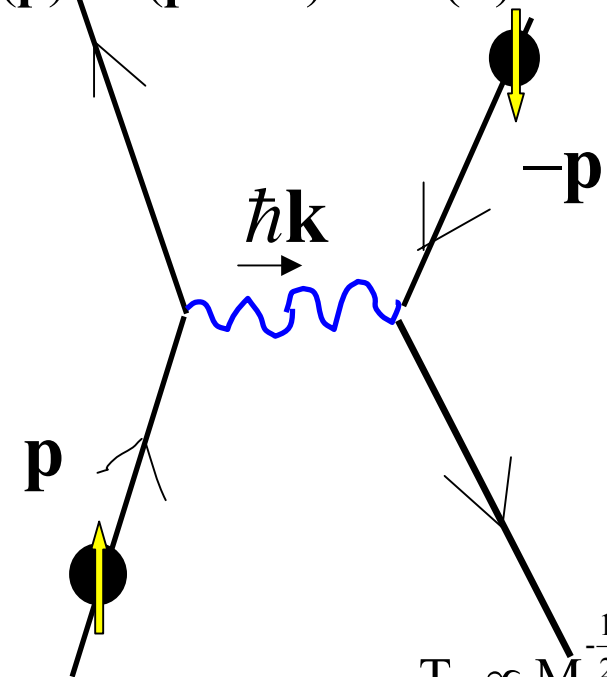
Two electrons having opposite spin and momentum get an attractive interaction through lattice/electron interaction.

Attractive interaction through electron-lattice interaction

$$V = \frac{|V_{\mathbf{p}-\hbar\mathbf{k},\mathbf{p}}|^2}{\varepsilon(\mathbf{p}) - \varepsilon(\mathbf{p} - \hbar\mathbf{k}) - \hbar\omega(\mathbf{k})}$$



Electron with down spin



Isotope effect of Tc

1950 by Reynolds and Maxwell

BCS theory

1957 by Bardeen, Cooper, and Schrieffer

$$T_C \propto M^{-\frac{1}{2}},$$

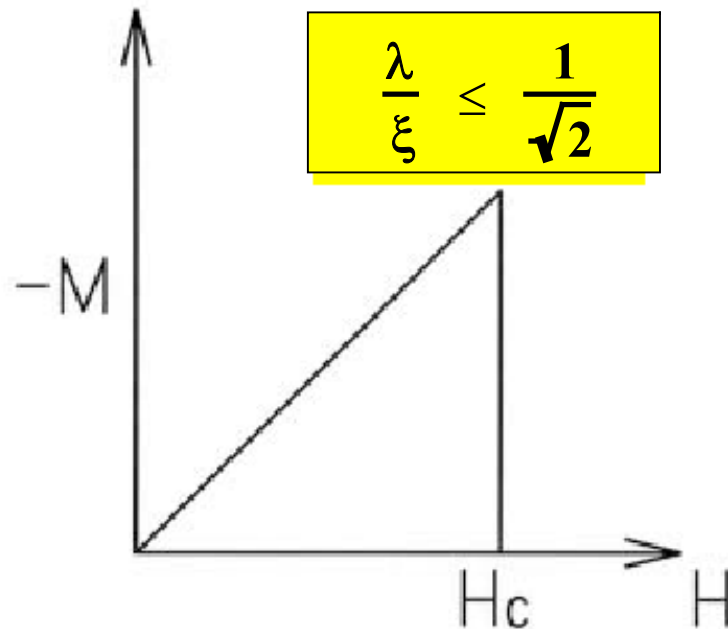
$$H_C \propto M^{-\frac{1}{2}}$$



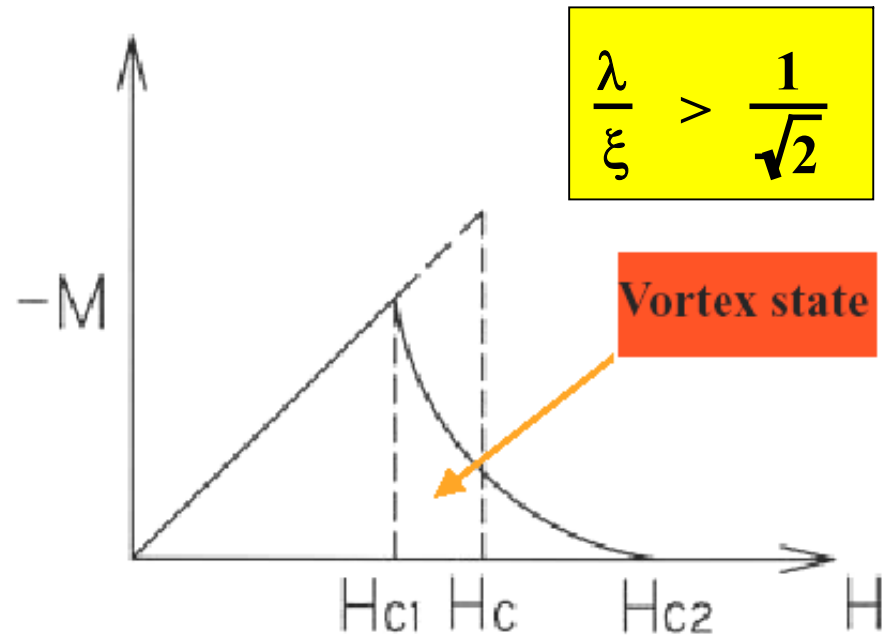
# Two Types of Superconductor

1937 by Schubnikov (experiment), 1957 Abrikosov (theory)

Type-I



Type-II



$$G_n - G_s = \frac{1}{2} \mu H_c^2 \equiv \int_0^{H_{c2}} M dH$$

# Vortex state

Flux quantization, 1961 by Deaver and Fairbank

Observed by iron powder

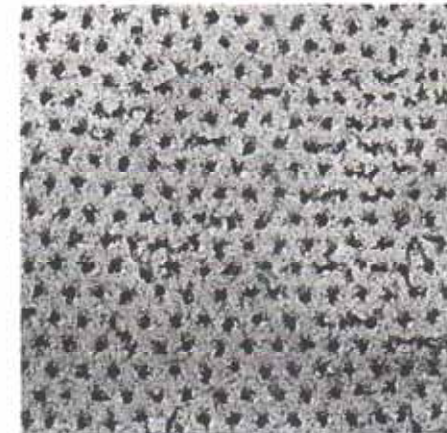
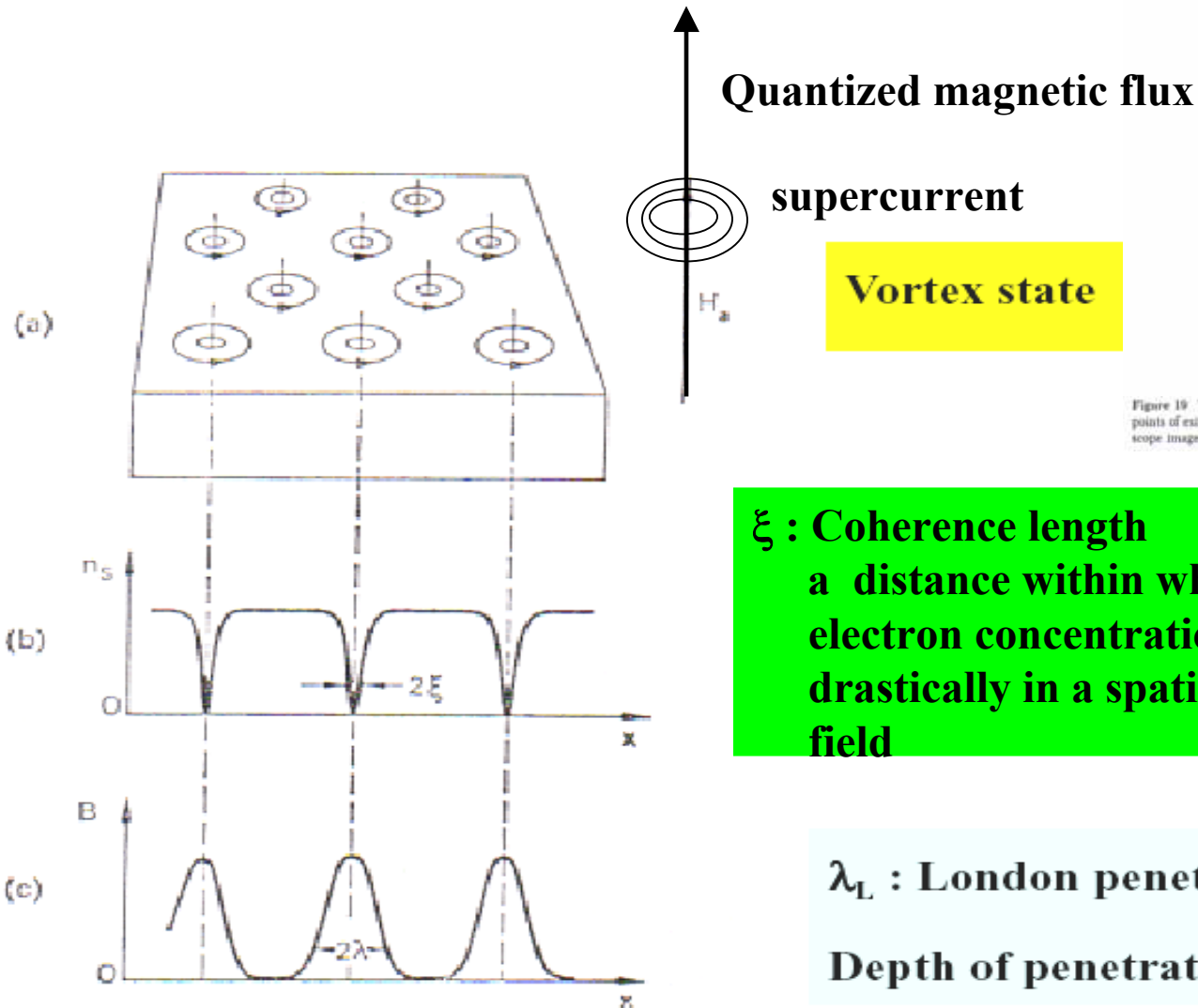


Figure 19. Triangular lattice of fluxoids through top surface of a superconducting cylinder. The points of exit of the flux lines are decorated with fine ferromagnetic particles. The electron microscope image is at a magnification of 8300, by U. Eismann and H. Trauble.

$\xi$  : Coherence length  
 a distance within which the superconducting electron concentration cannot change drastically in a spatially-varying magnetic field

$\lambda_L$  : London penetration depth  
 Depth of penetration of the magnetic field

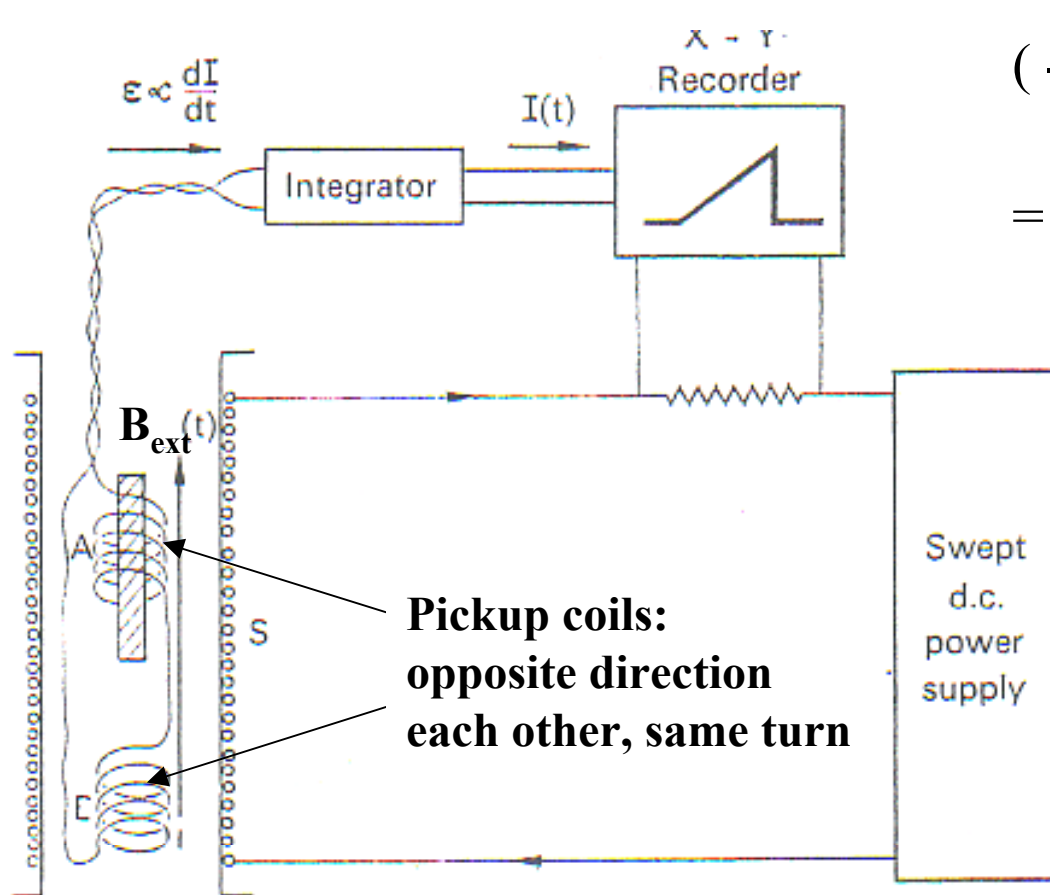
# Critical magnetic field measurement

$$V = V_A + V_B = -\frac{d}{dt}\Phi_A + \frac{d}{dt}\Phi_B$$

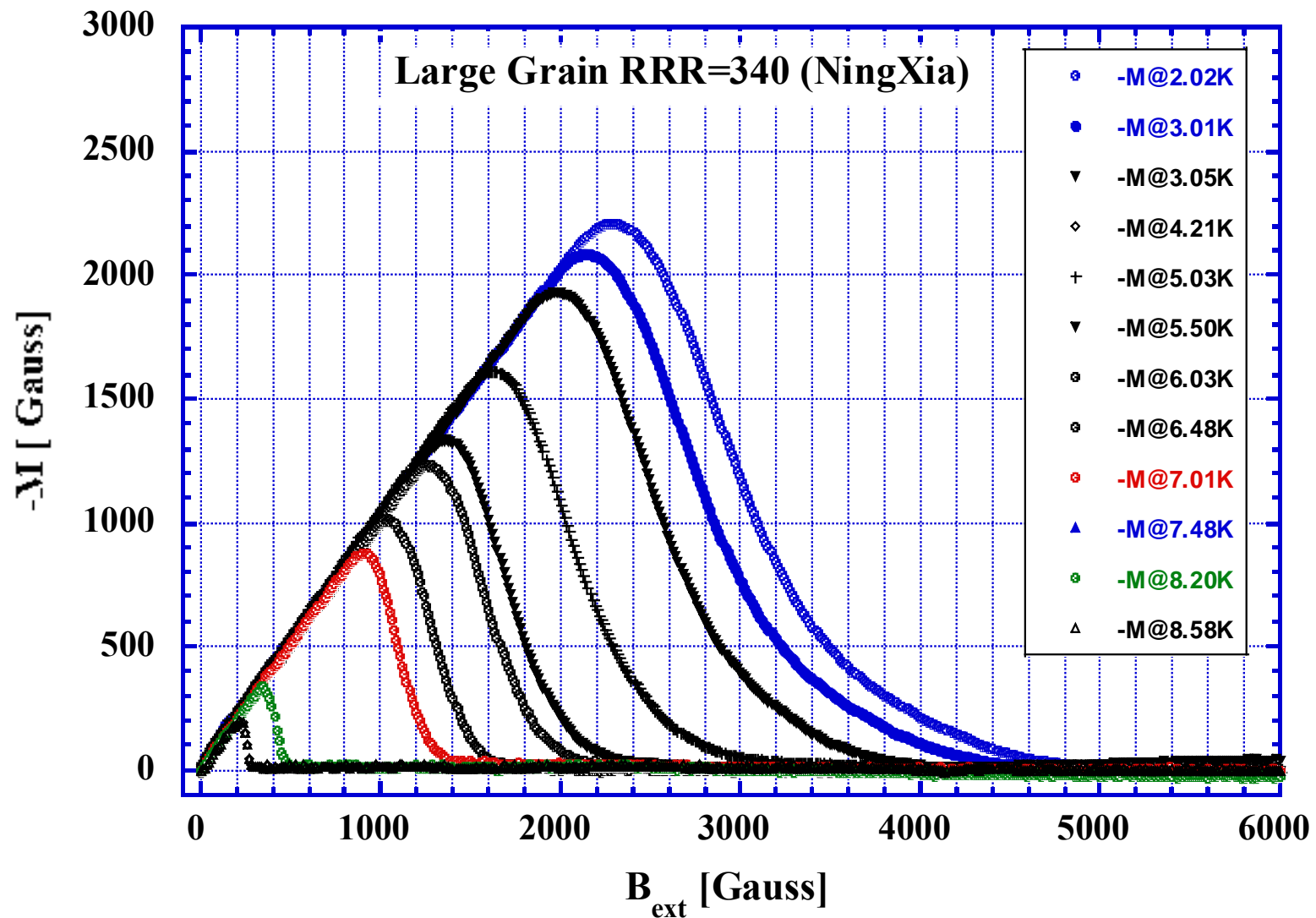
$$\left(-nS_0\mu\frac{d}{dt}B_{ext} + \frac{d}{dt}M\right) + nS_0\mu\frac{d}{dt}B_{ext}$$

$$= \frac{d}{dt}M$$

$$M = \int_0^t V dt$$



# Example of demagnetization curve on Niobium (NingXia, Large Grain RRR=340)



# Abrikosov's Theory for Type-II

## Perturbation theory $T \sim T_c$

$$H_c = \frac{\kappa}{\lambda^2} \frac{\hbar c}{\sqrt{2}e} = \frac{\kappa}{\lambda^2} \frac{(hc/2e)}{2\pi\sqrt{2}} = \frac{\phi_0}{2\pi\sqrt{2}\lambda\xi}$$

$$H_{c2} = \sqrt{2} \frac{\lambda}{\xi} \frac{\phi_0}{2\pi\sqrt{2}\lambda\xi} = \frac{\phi_0}{2\pi\xi^2}$$

$$H_{c1} = \frac{\phi_0}{4\pi\lambda^2} \ln\left(\frac{\lambda}{\xi} + 0.08\right)$$

$$\begin{aligned} \phi_0 &= hc/2e = 2.0678 \times 10^{-7} \text{ Gauss} \cdot \text{cm}^2 \\ &= 2.0678 \times 10^{-15} \text{ T} \cdot \text{m}^2 \end{aligned}$$

$$H_C(T) = H_C(0) \left[ 1 - (T/T_C)^2 \right]$$

$$\lambda(T) = \frac{\lambda(0)}{\sqrt{1 - (T/T_C)^4}}$$

### Exercise I.

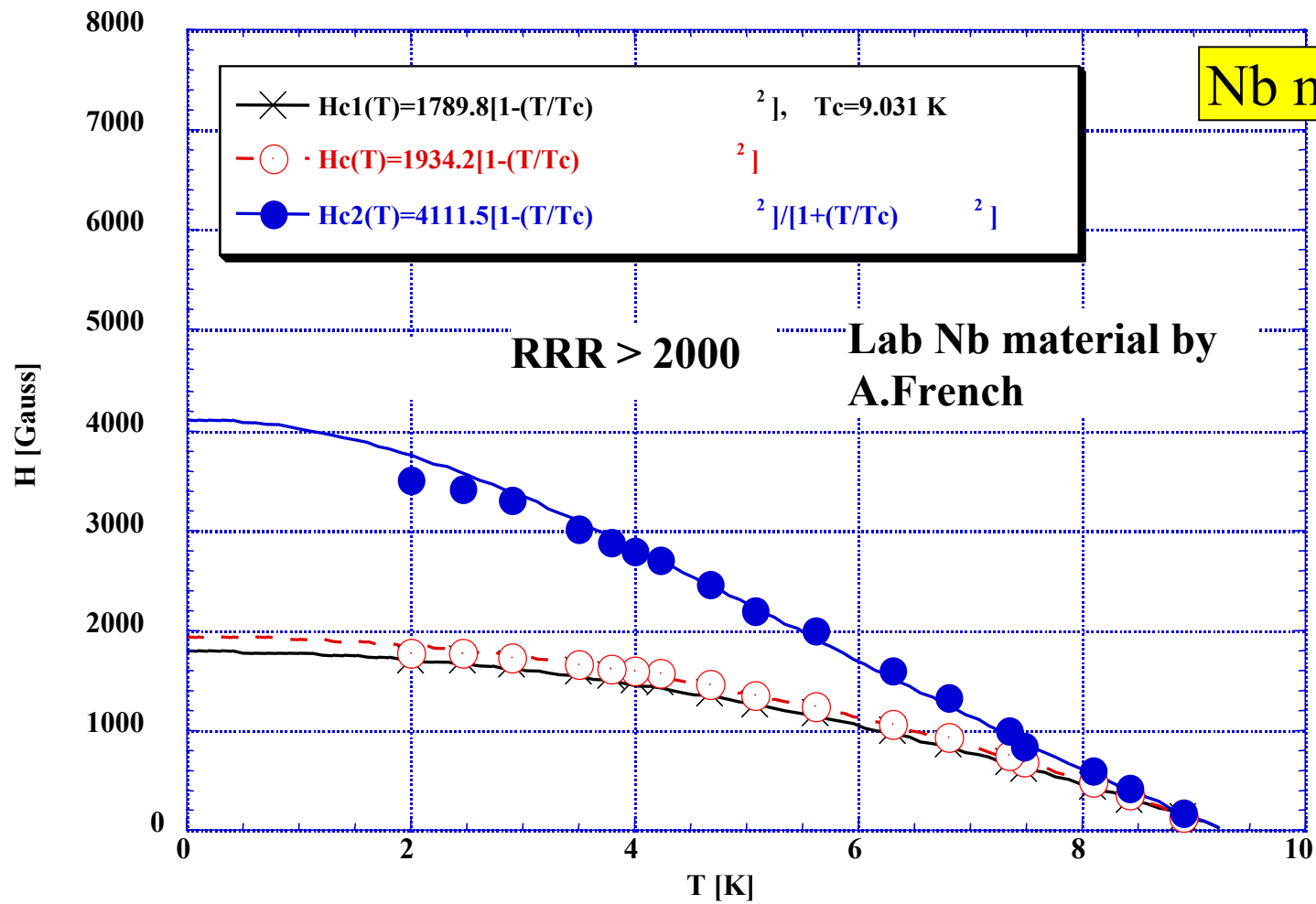
Show the formulas for  $\xi$ ,  $\lambda$  by  $H_c, H_{c2}$ .

Get the T-dependences for  $\xi$ ,  $H_{c2}$ ,  $\kappa$ ,  $H_C^{\text{RF}}$ .

Expand for all T range (assumption)

$$\xi(T) = \xi(0) \cdot \sqrt{\frac{1 + (T/T_C)^2}{1 - (T/T_C)^2}} \quad \kappa(T) = \frac{\kappa(0)}{1 + (T/T_C)^2}$$

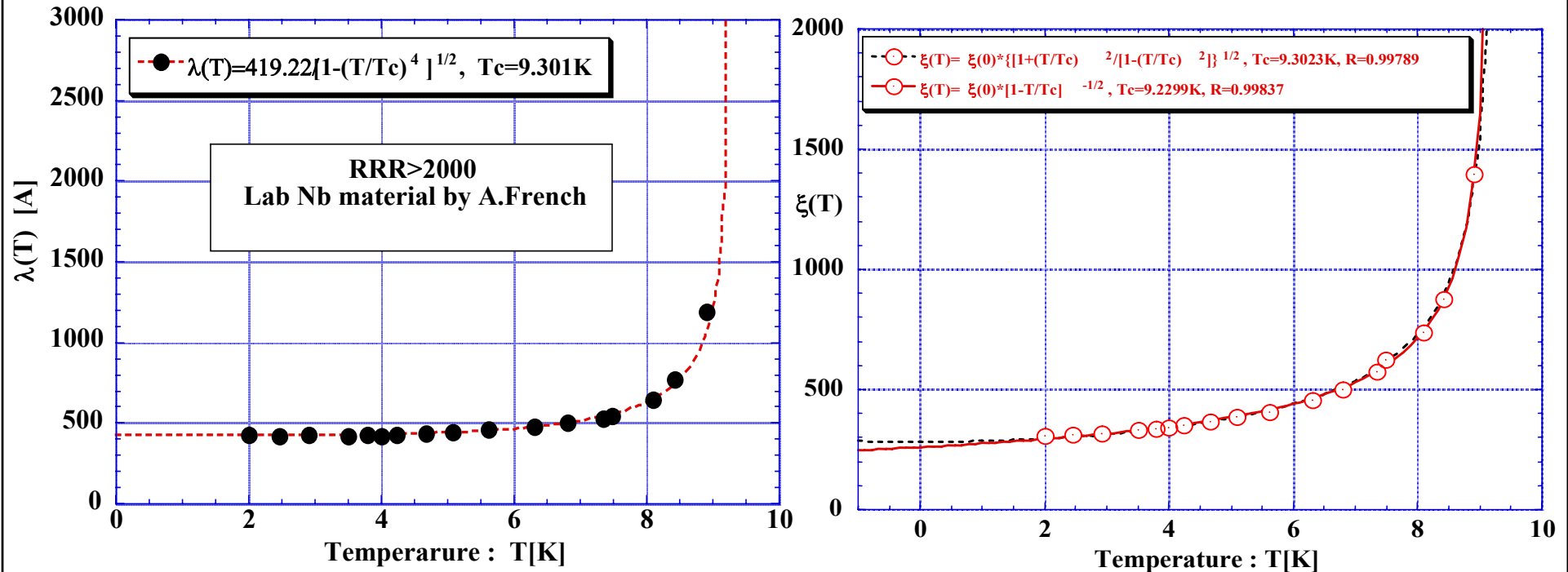
# T-dependence of $H_{C1}$ , $H_C$ , $H_{C2}$



$$H_c(T) = H_c(0) \cdot \left[ 1 - \left( \frac{T}{T_c} \right)^2 \right]$$

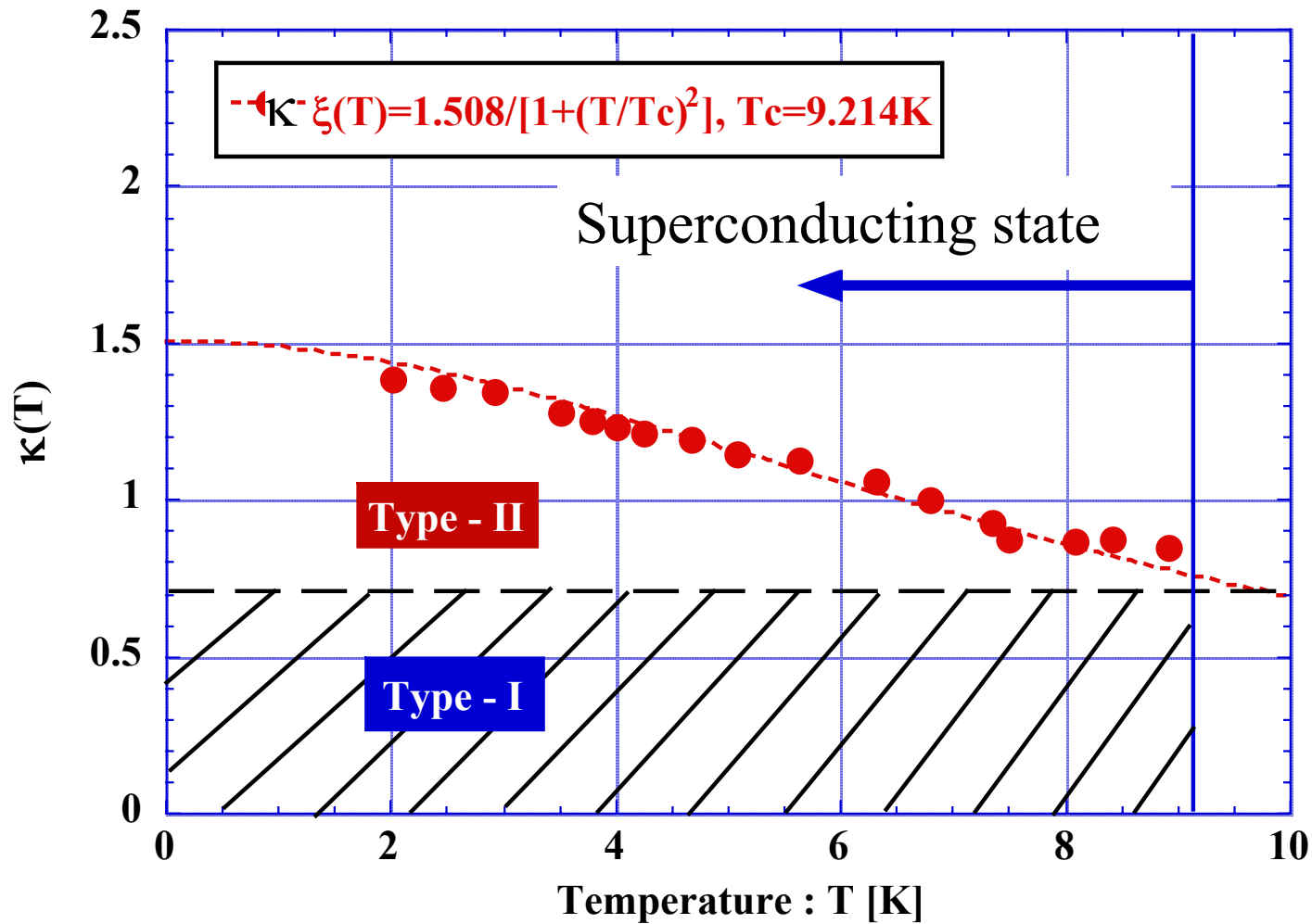
# T-dependence of $\lambda$ and $\xi$

Lab material, RRR>2000



$$\lambda(T) = \frac{\lambda(0)}{\sqrt{1 - (T/T_c)^4}}, \quad \xi(T) = \xi(0) \cdot \sqrt{\frac{1 + (T/T_c)^2}{1 - (T/T_c)^2}}$$

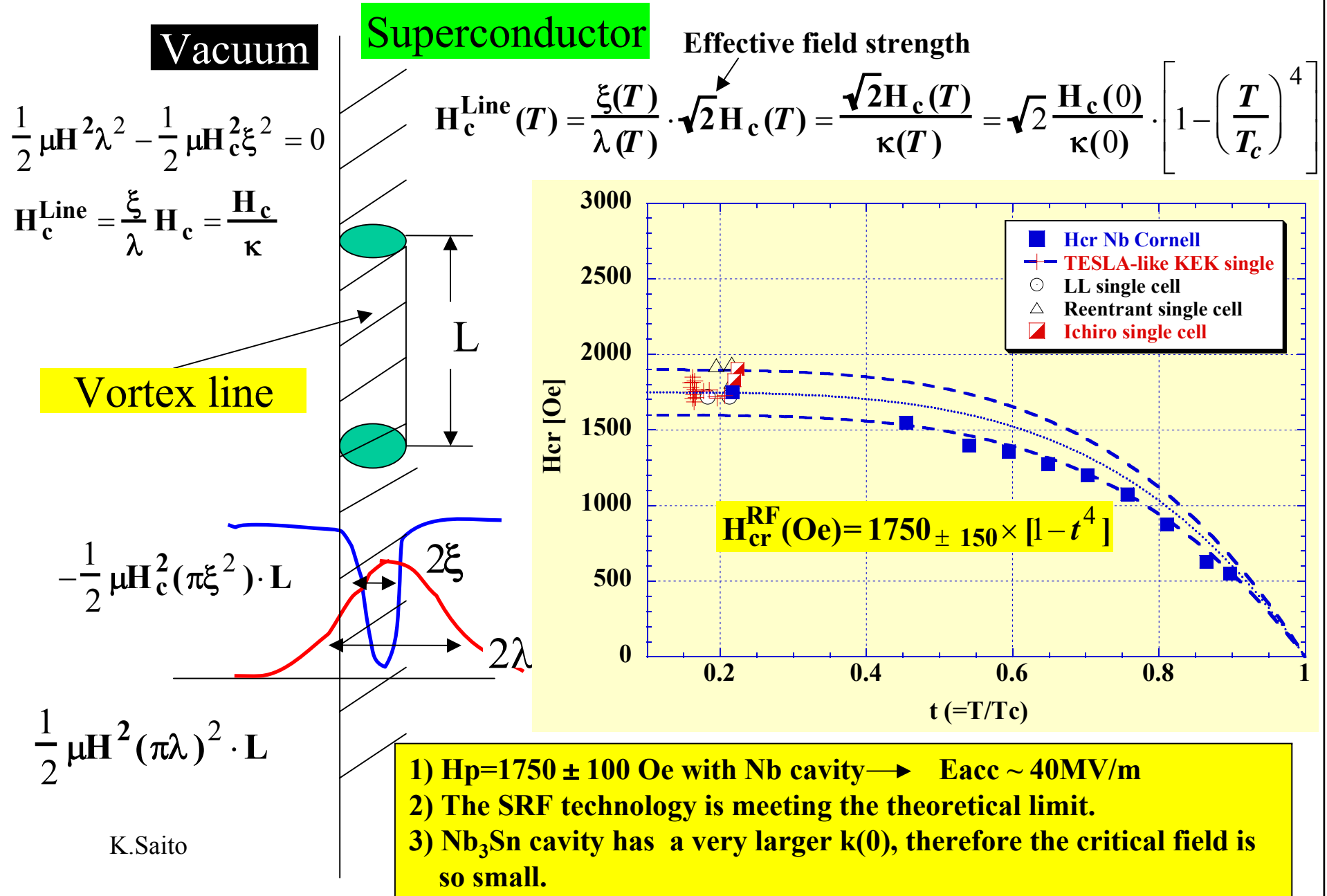
# T-dependence of $\kappa$ with Lab material



$$\kappa(T) = \frac{\kappa(0)}{1 + (T / T_C)^2}$$

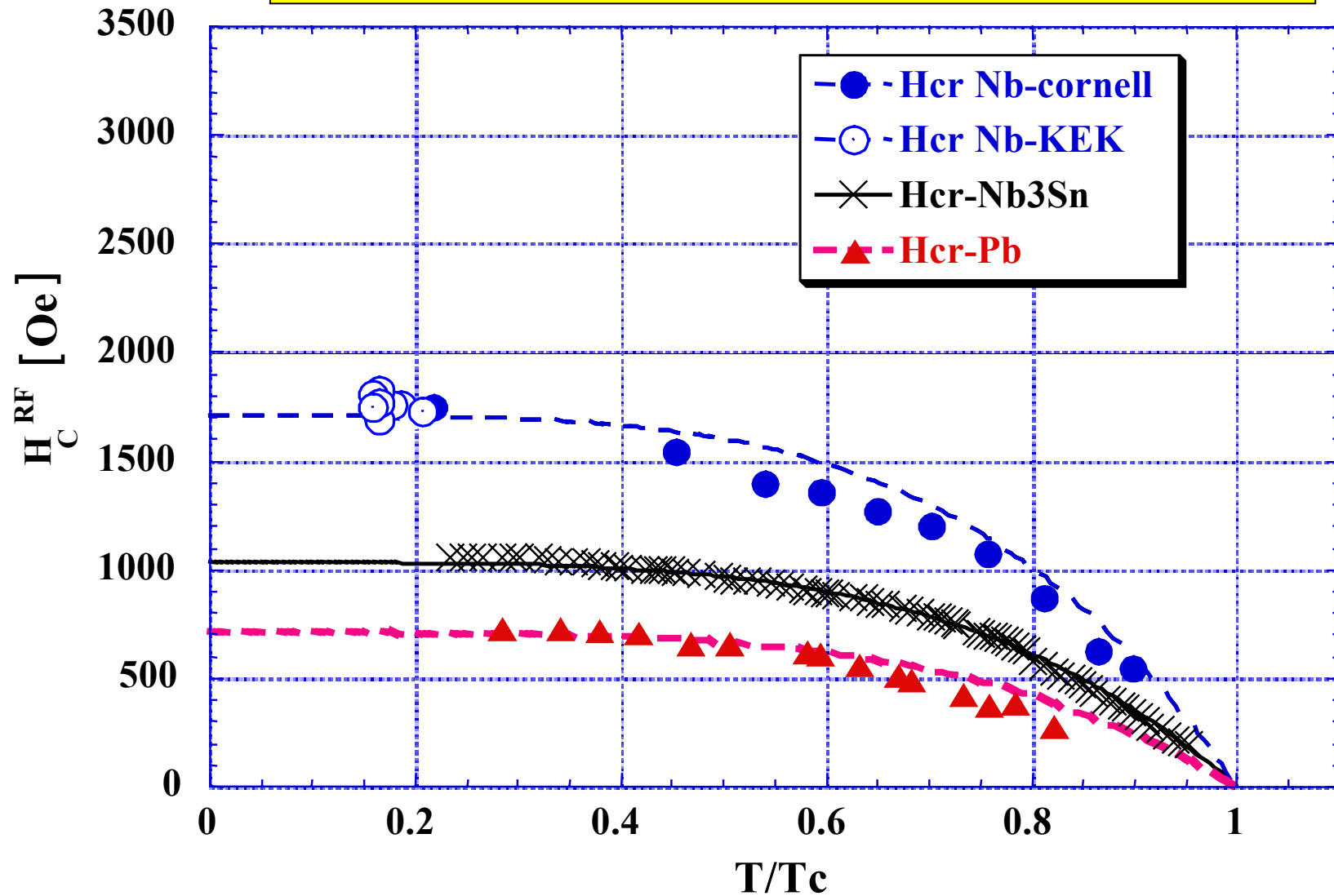


# Attempt for RF Field limitation model



# Checking of the model for other materials

The model looks good for the type-II material cavity !



# What material is best for SRF cavity?

## Material point of view:

- Smaller heat loading for refrigerator → Higher  $T_c$

- High gradient

$H_{RF} > H_c^{RF}$ , then normal conducting

$$H_c^{RF} = \sqrt{2} \cdot \frac{H_c}{\kappa}, \quad \kappa : G - L \text{ parameter}$$

This is very much different from superconducting magnet

The material with higher  $H_c$  and smaller  $\kappa$ -value

If  $H_c$  is high enough, Type-I material is better because of the smaller  $\kappa$ -value.

- Good formability

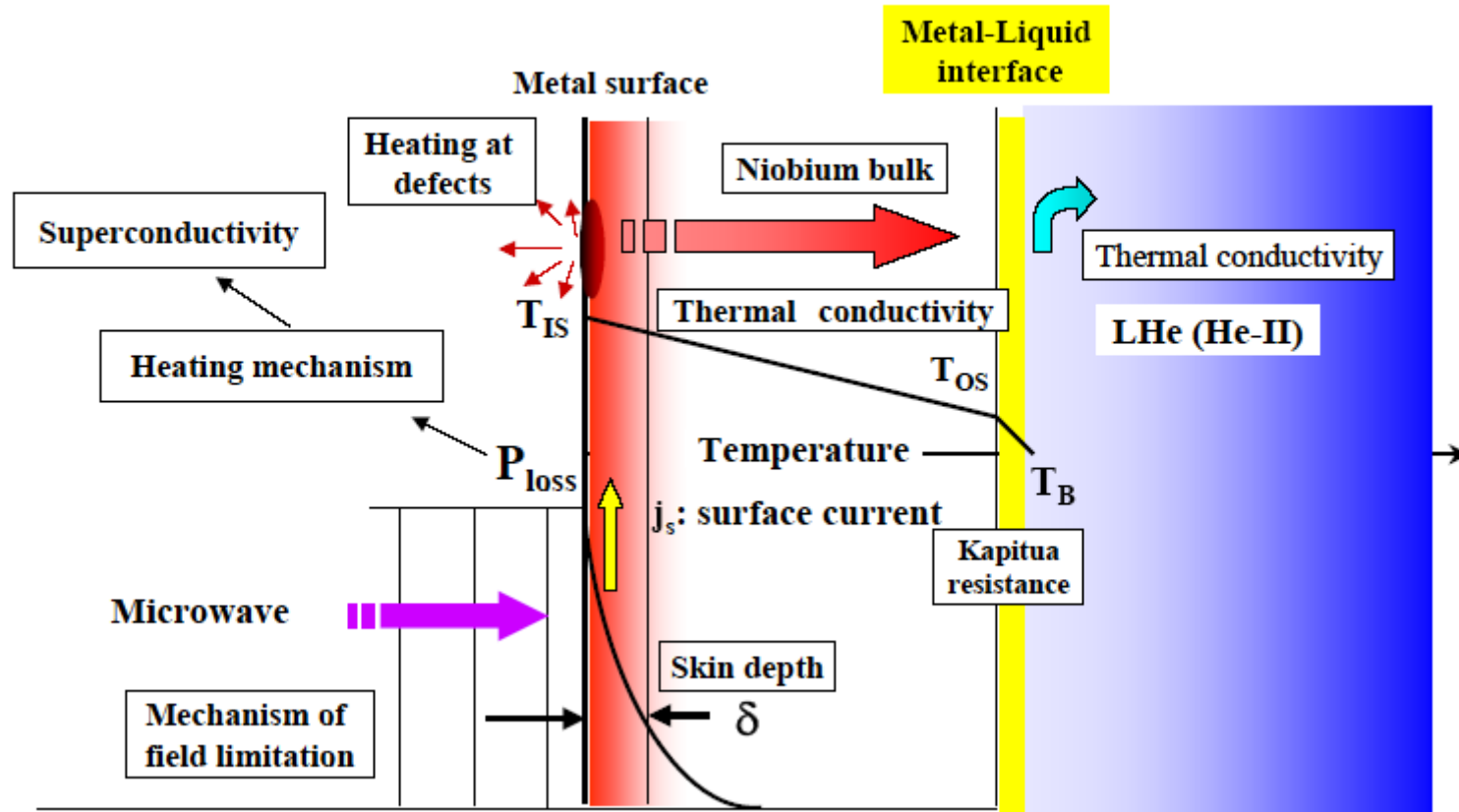
Materials	$T_c$ [K]	$H_c$ , [Gauss]	$H_{c1}$	Type	Fabrication
Pb	7.2	803		I	Electroplating
Nb	9.25	1900,	1700	II	Deep drawing, film
Nb3Sn	18.2	5350,	300	II	Film
MgB2	39	4290,	300	II	Film

Niobium has higher  $T_c$ ,  $H_c$  and enough formability.

Now, niobium is widely used for RF sc cavity production.

# 1.2 SRF Specifics and Constrains

What happens when microwave is input a cavity?



***Surface resistance*** is very very small.

➔ Cavity performance strongly depends on the surface.

Thermal conductivity @ superconducting state is very small.

➔ ***High thermal conductivity*** is very important.

# Surface resistance of normal conducting Case

Maxwell Equations for conductor ( $\epsilon, \mu, \rho = 0$ )

$$\nabla \cdot \vec{B} = 0, \nabla \times \vec{E} + \mu \frac{\partial \vec{H}}{\partial t} = 0$$

$$\nabla \cdot \vec{D} = 0, \nabla \times \vec{H} - \epsilon \frac{\partial \vec{E}}{\partial t} - \sigma \vec{E} = 0$$

$$\vec{J} = \sigma \vec{E} \quad (\text{Ohm's Law})$$

$$\vec{E}(\vec{x}, t) = \vec{E}_\ell(\vec{x}, t) + \vec{E}_t(\vec{x}, t),$$

$$\vec{H}(\vec{x}, t) = \vec{H}_\ell(\vec{x}, t) + \vec{H}_t(\vec{x}, t)$$

From Maxwell Equation,

$$\frac{\partial \vec{H}_\ell}{\partial t} = 0, \quad \vec{E}_\ell(\vec{x}, t) = \vec{E}_\ell(0) \cdot e^{-\frac{\sigma t}{\epsilon}}$$

For the transvers,

$$\text{Plane wave : } \vec{E}_t(\vec{x}, t) = \vec{E}_t(0) \cdot \exp(i\vec{k} \cdot \vec{x} - \omega t)$$

$$\vec{H}_t(\vec{x}, t) = \frac{1}{\mu\omega} [\vec{k} \times \vec{E}_t(\vec{x}, t)],$$

$$[k^2 - (\epsilon\mu\omega^2 + i\mu\omega\sigma)] \begin{Bmatrix} \vec{E}_t(\vec{x}, t) \\ \vec{H}_t(\vec{x}, t) \end{Bmatrix} = 0$$

# Normal Conducting Case, continued

$$k^2 - (\epsilon\mu\omega^2 + i\mu\omega\sigma) = 0,$$

$$k = \alpha + i\beta,$$

$$\begin{Bmatrix} \alpha \\ \beta \end{Bmatrix} = \sqrt{\epsilon\mu} \cdot \omega \left[ \frac{\sqrt{1 + \left(\frac{\sigma}{\omega\epsilon}\right)^2} \pm 1}{2} \right]^{\frac{1}{2}}$$

For good electric conductor

$$\frac{\sigma}{\omega\epsilon} \gg 1$$

$$k \approx (1+i) \sqrt{\frac{\mu\sigma\omega}{2}}$$

$$\delta = \frac{1}{\beta} = \sqrt{\frac{2}{\mu\sigma\omega}} \quad : \text{Skin depth}$$

## Surface Impedance for normal conducting case

$$Z \equiv R_s + iX_s \equiv \frac{E_t}{H_t} \Big|_{\text{Surface}} = \frac{\mu\omega}{k}$$

**Exercise II.**  
Get the formula of  $R_s$   
for good electric conductor

$$R_s = \sqrt{\frac{\mu\omega}{2\sigma}} = \frac{1}{\sigma} \sqrt{\frac{\mu\sigma\omega}{2}} = \frac{1}{\sigma\delta}$$

$$P_{\text{loss}} = \frac{1}{2} R_s \cdot \int_S H_s^2 dS$$

## Surface resistance in superconductor (Two Fluid model)

**General equation:**  $m \frac{\partial \mathbf{v}}{\partial t} = q(\mathbf{E} + \mathbf{v} \times \mathbf{B}) - m\mathbf{v}\mathbf{v}$

**Two-fluid model by Gorter and Casimir in 1933**

$$\mathbf{J} = \mathbf{J}_s + \mathbf{J}_n, \quad \mathbf{J}_s = n_s q_s \mathbf{v}, \quad \mathbf{J}_n = n_n q_n \mathbf{v}$$

**Maxwell equation: neglecting the Lorentz term,  $\mathbf{v} \times \mathbf{B} \ll 1$**

$$m_s \frac{\partial \mathbf{v}_s}{\partial t} = q_s \mathbf{E}, \quad m_s = 2m_e, \quad q_s = -2e$$

$$m_e \frac{\partial \mathbf{v}_n}{\partial t} = q_n \mathbf{E} - m_e \mathbf{v} \mathbf{v}_n, \quad q_n = -e$$

$$\mathbf{E} = \mathbf{E}_0 e^{i\omega t} \Rightarrow \mathbf{J}_s = \frac{n_s q_s^2}{i\omega m_s} \mathbf{E}, \quad \mathbf{J}_n = \frac{n_n q_n^2}{i(\omega - i\nu)m_e} \mathbf{E}$$

$$\mathbf{J} = \left( \frac{n_s q_s^2}{i\omega m_s} + \frac{n_n e^2}{i(\omega - i\nu)m_e} \right) \mathbf{E}$$

$$\nu \gg \omega \Rightarrow \mathbf{J} = \left( \frac{n_n e^2}{\nu m_e} - i \frac{n_s q_s^2}{\omega m_s} \right) \mathbf{E} = \sigma \mathbf{E}, \quad \sigma = \sigma_n - i\sigma_s \Rightarrow R_s = \sqrt{\frac{\mu\omega}{2\sigma}}$$

# Surface resistance in superconductor

$$\sigma_n = \frac{n_n \cdot e^2 \cdot l}{m \cdot v_F} = \frac{e^2 \cdot l}{m \cdot v_F} \cdot n_s(T=0) \cdot e^{-\frac{\Delta}{k_B T}}$$

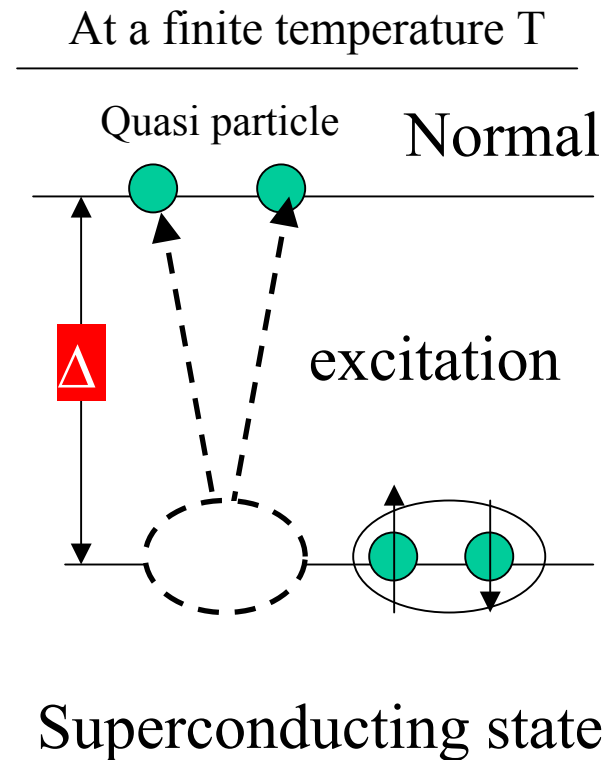
**Exercise III.**  
**Get this formula.**

$$R_S = \frac{1}{2} \cdot (2\pi)^2 \cdot \mu^2 \cdot f^2 \cdot \lambda_L^3 \cdot l \cdot \frac{n_s(0)}{m v_F} \cdot e^{-\frac{\Delta}{k_B T}}$$

$$= A \cdot f^2 \cdot e^{-\frac{\Delta}{k_B T}}$$

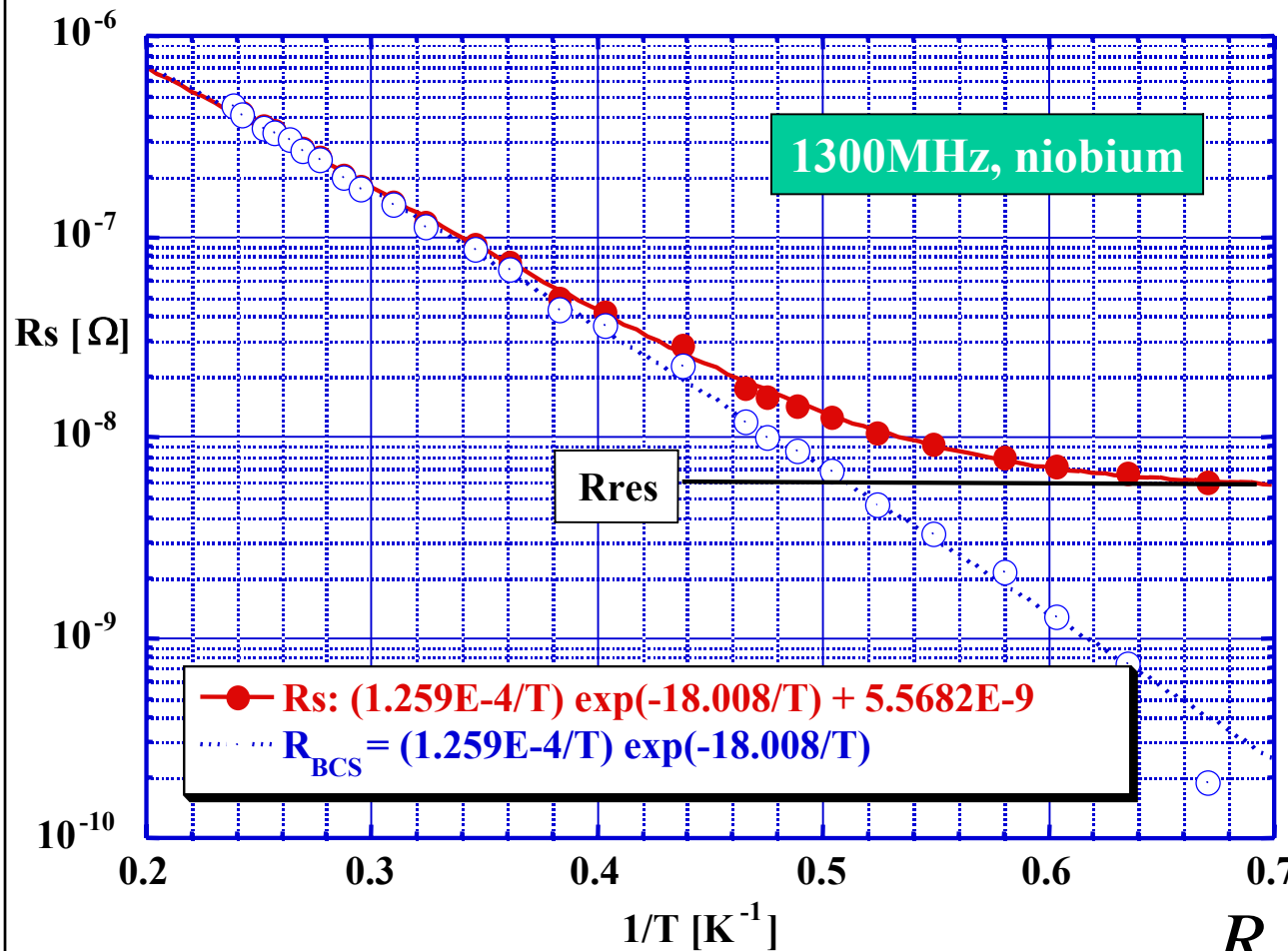
BCS Theory

$$R_S^{BCS}(T, \omega) = A(\lambda, \xi, l, T_c) \cdot \exp\left(-\frac{\Delta}{k_B T}\right)$$





# “Very Small” Surface Resistance in SRF Cavity



$$\frac{\Delta}{k_B} = 18.008 \Rightarrow \frac{2\Delta}{k_B T_c} = \frac{2 \cdot 18.008}{9.25} = 3.89$$

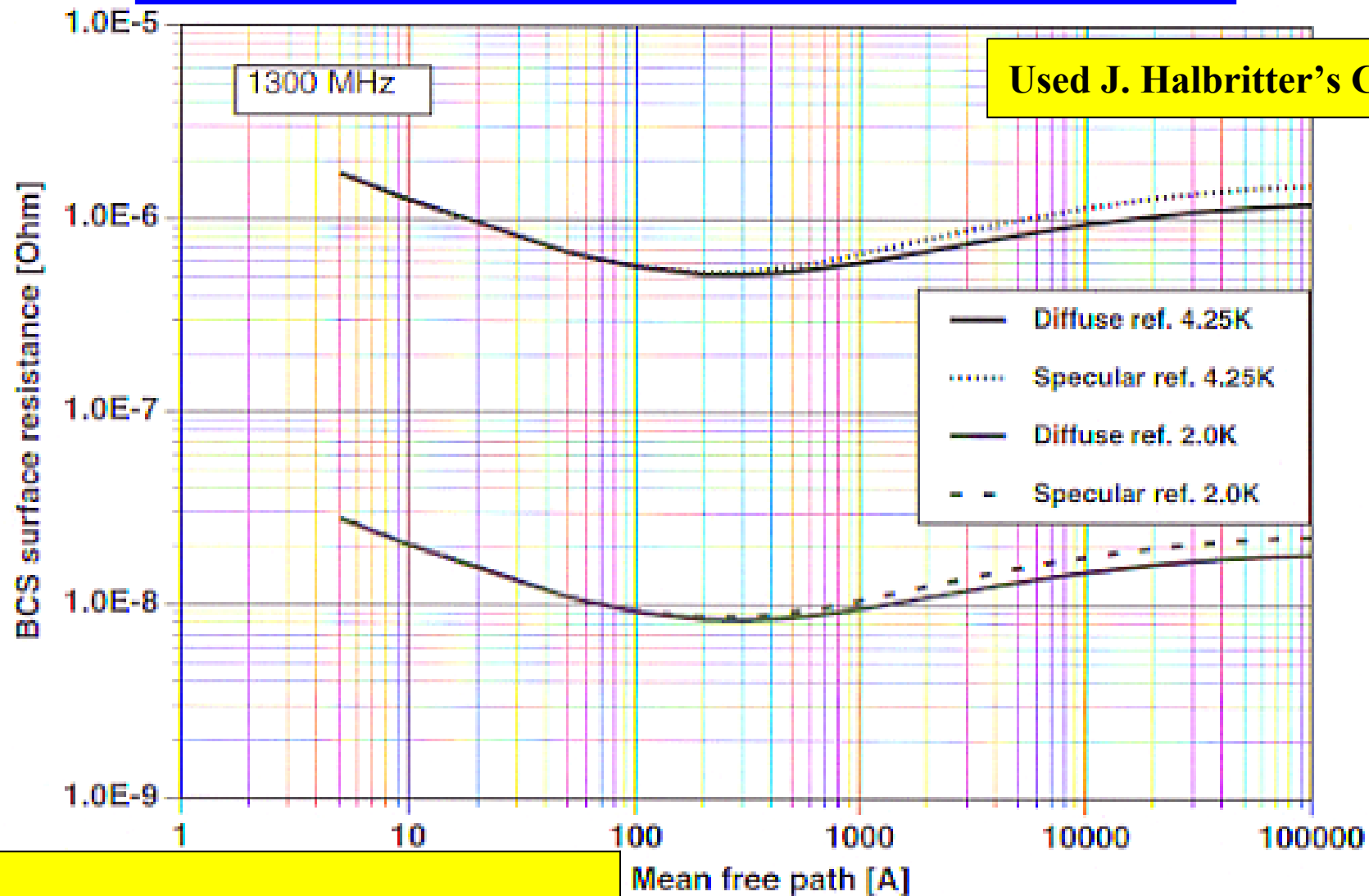
$$\frac{2\Delta}{k_B T_c} = 3.52 \text{ (BCS theory)}$$

**Residual surface resistance depends on residual magnetic field, surface contamination, and so on.**

$$R_S(T) = R_S^{BCS}(T) + R_{res}$$

$R_{BCS} \sim 8\text{n}\Omega$ ,  
 which is smaller a factor of  $10^{-6}$  than normal conducting!  
 The performance strongly depends on the surface!

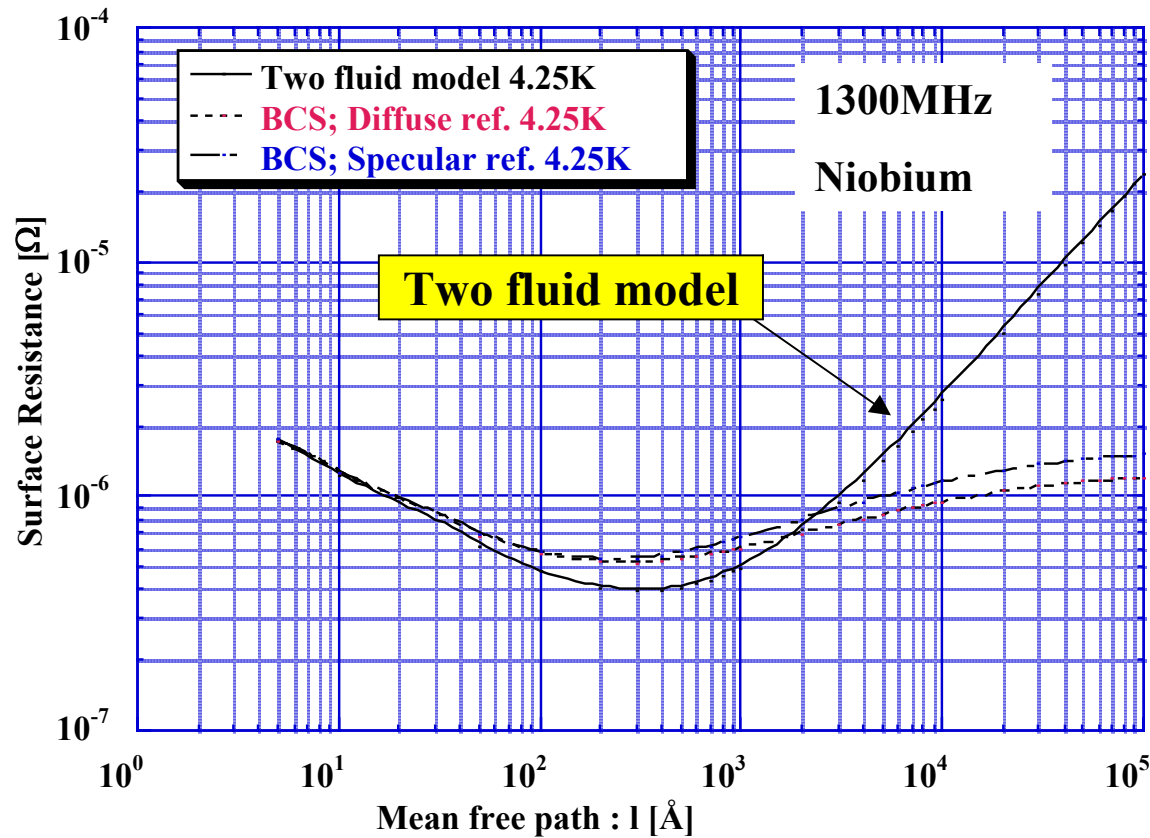
# BCS Surface Resistance Calculation for 1.3 GHz niobium cavity at 4.25 and 2K



$$R_{\text{BCS}} \sim 8\text{n}\Omega @ 2\text{K}, 1300\text{MHz}$$

$$\ell(\text{mean free path}) \propto RRR$$

# $R_s$ minimum around mean free path $l \approx 300 \text{ \AA}$



Strange behavior on mean free path( $l$ )

$R_s$  minimum

@  $l \sim 300$

London penetration depth  $\lambda$ :  $\lambda(l) = \lambda_{l=\infty} \cdot \sqrt{1 + \frac{\xi_o}{l}}$ ,

$$R_s(TF \text{ model}) \propto \left(1 + \frac{\xi_o}{l}\right)^{\frac{3}{2}} \cdot l,$$

$$l \ll 1, R_s \rightarrow \frac{\xi_o^{\frac{3}{2}}}{\sqrt{l}},$$

$$l \gg 1, R_s \rightarrow l$$

# Thermal conductivity

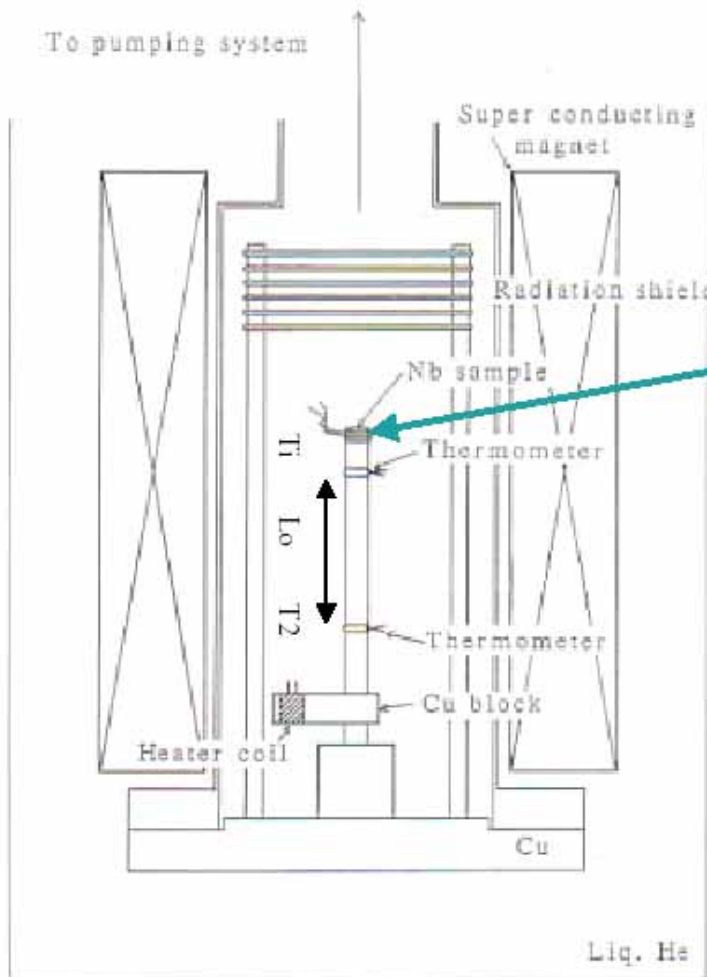
Normal conductor :  $\kappa_{en} = \frac{1}{W_{en}} = \left[ \frac{\rho}{L_0 T} + a T^2 \right]^{-1}$

$\rho = \frac{\rho_{300K}}{RRR}$  e-impurities scatt.

e-lattices scatt.

Wiedemann-Franz law:

$\kappa_e = \frac{\pi^2 n k_B^2 \tau}{3m} \cdot T, \quad \frac{\kappa_e}{\sigma} = \frac{\pi^2}{3} \left( \frac{k_B}{e} \right)^2 \cdot T = L_0 T$



$$P[w] = S(m^2) \cdot \kappa(T) \cdot \frac{T_1(K) - T_2(K)}{L_0(m)}$$

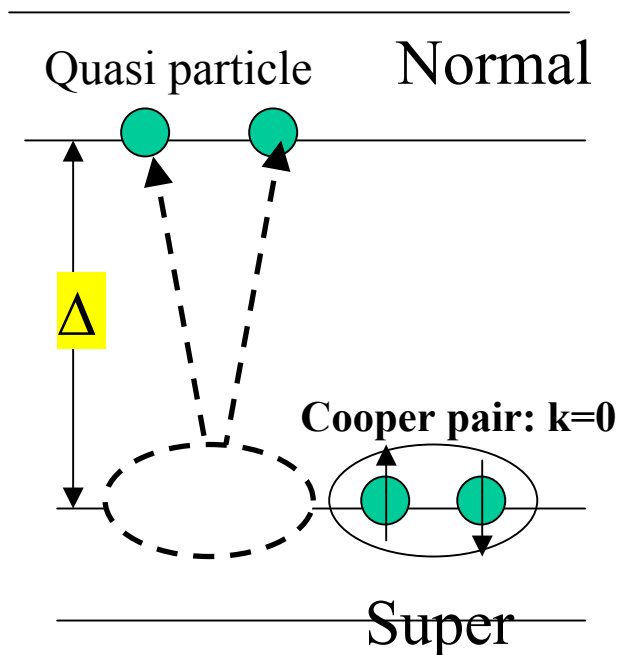
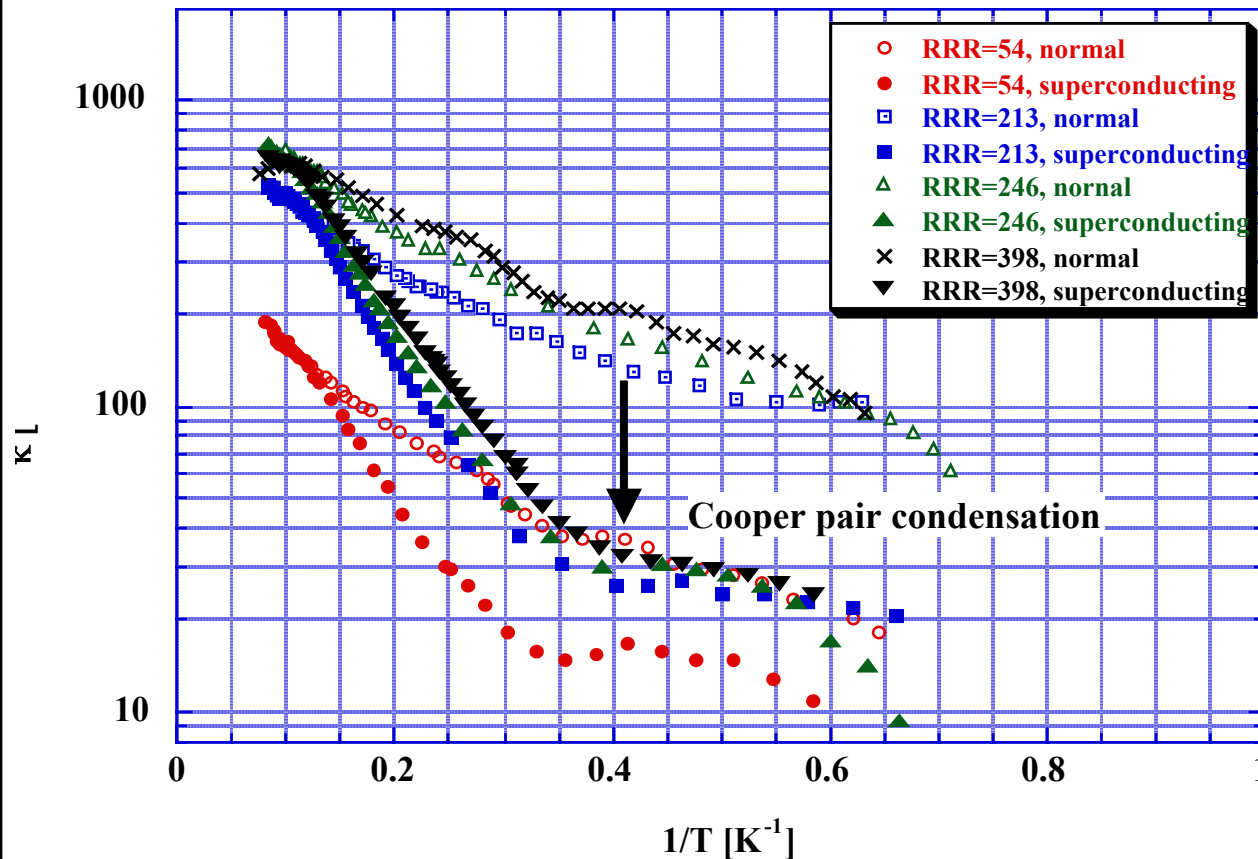
$$T \equiv \frac{T_1 + T_2}{2}, \quad S: \text{ area of cross - section}$$

$$\kappa(T) = \frac{P}{S} \cdot \frac{L_0}{T_1 - T_2} \quad \left[ \frac{w}{m \cdot K} \right]$$

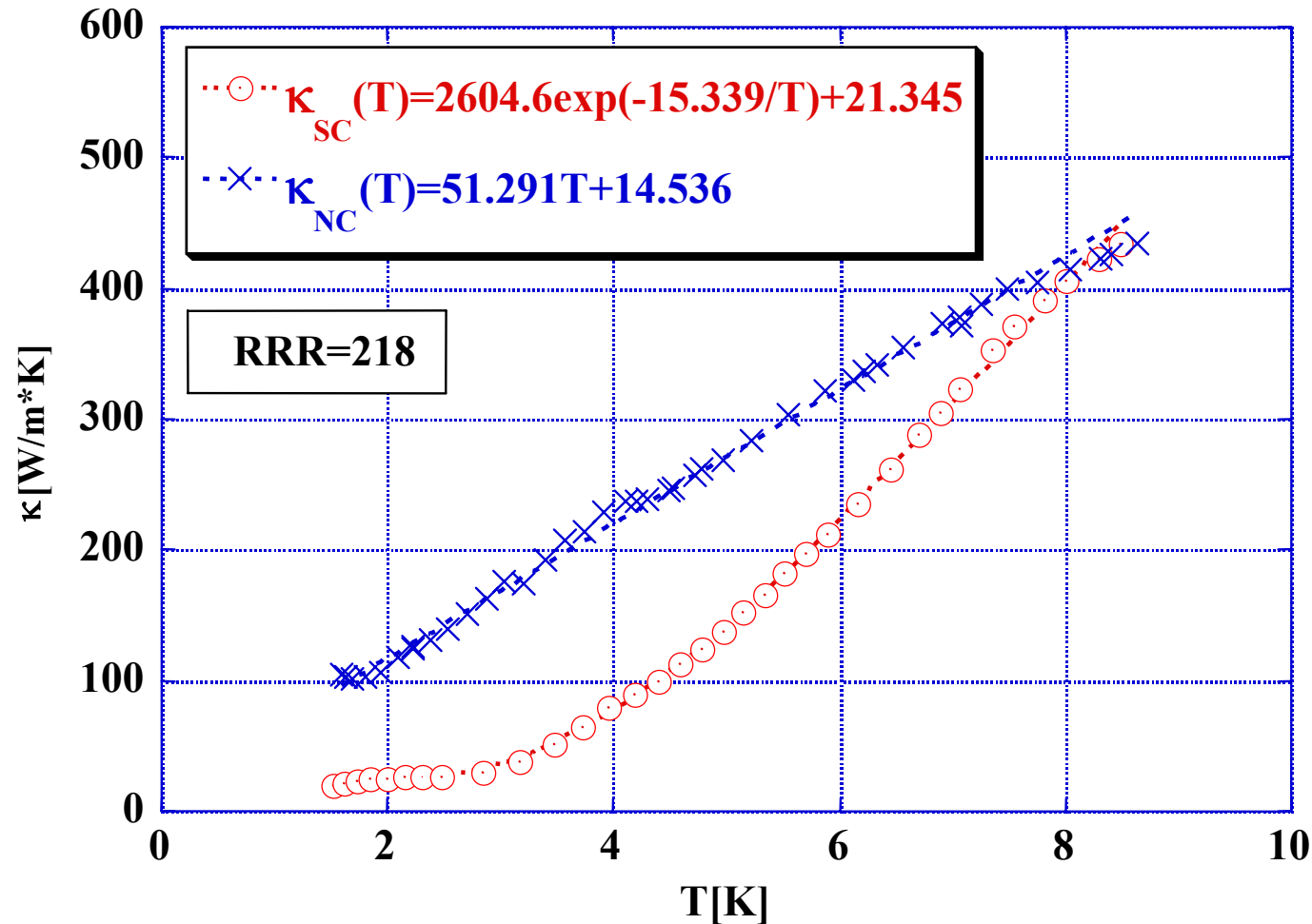
# Thermal conductivity of Nb material at low temperature

Boltzmann statistics : Existing probability  
at energy  $\Delta$ , and Temp.  $T$

$$\exp\left(-\frac{\Delta}{k_B \cdot T}\right)$$



# Thermal conductivity comparison with NC and SC



$$\frac{\Delta}{k_B} = 15.339$$

$$\Downarrow$$

$$\frac{2\Delta}{k_B T_c} = \frac{2 \times 15.339}{k_B 9.25}$$

$$2\Delta = 3.317 k_B T_c$$

**BCS theory**

$$2\Delta = 3.52 k_B T_c$$

# Calculation of thermal conductivity based on Quantum mechanics

$$\kappa_s(T) = R(y) \cdot \left[ \frac{\rho_{295K}}{L \cdot RRR \cdot T} + a \cdot T^2 \right]^{-1} + \left[ \frac{1}{D \cdot \exp(y) \cdot T^2} + \frac{1}{BlT^3} \right]^{-1}$$

e-impurities scatt.
e-phonons scatt.
lattice-phonons scatt.
lattice-grain boundaries scatt.

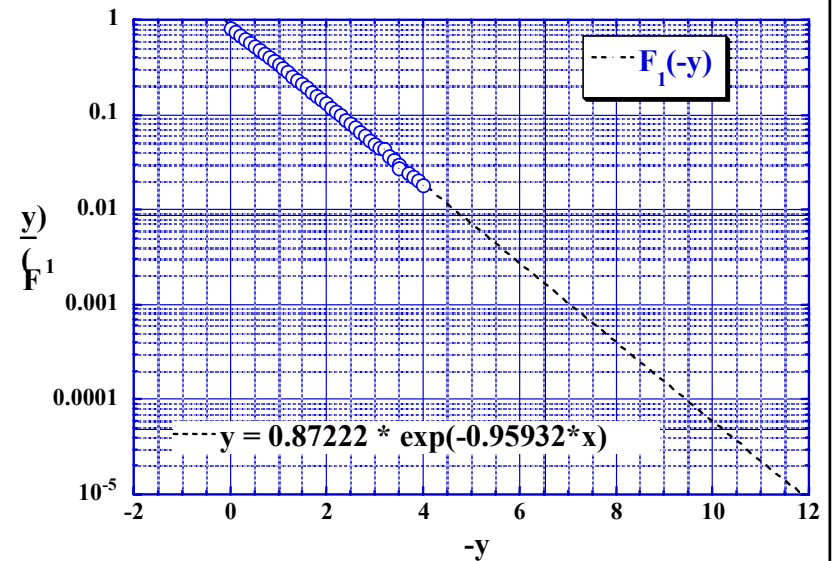
$$L = 2.05E-8, RRR = 200, \rho_{295K} = 14.5E-8 \Omega m, a = 7.52E-7$$

$$-y = \alpha \cdot \frac{T_c}{T}, \alpha = 1.53, T_c = 9.25K, T \leq 0.6 \cdot T_c$$

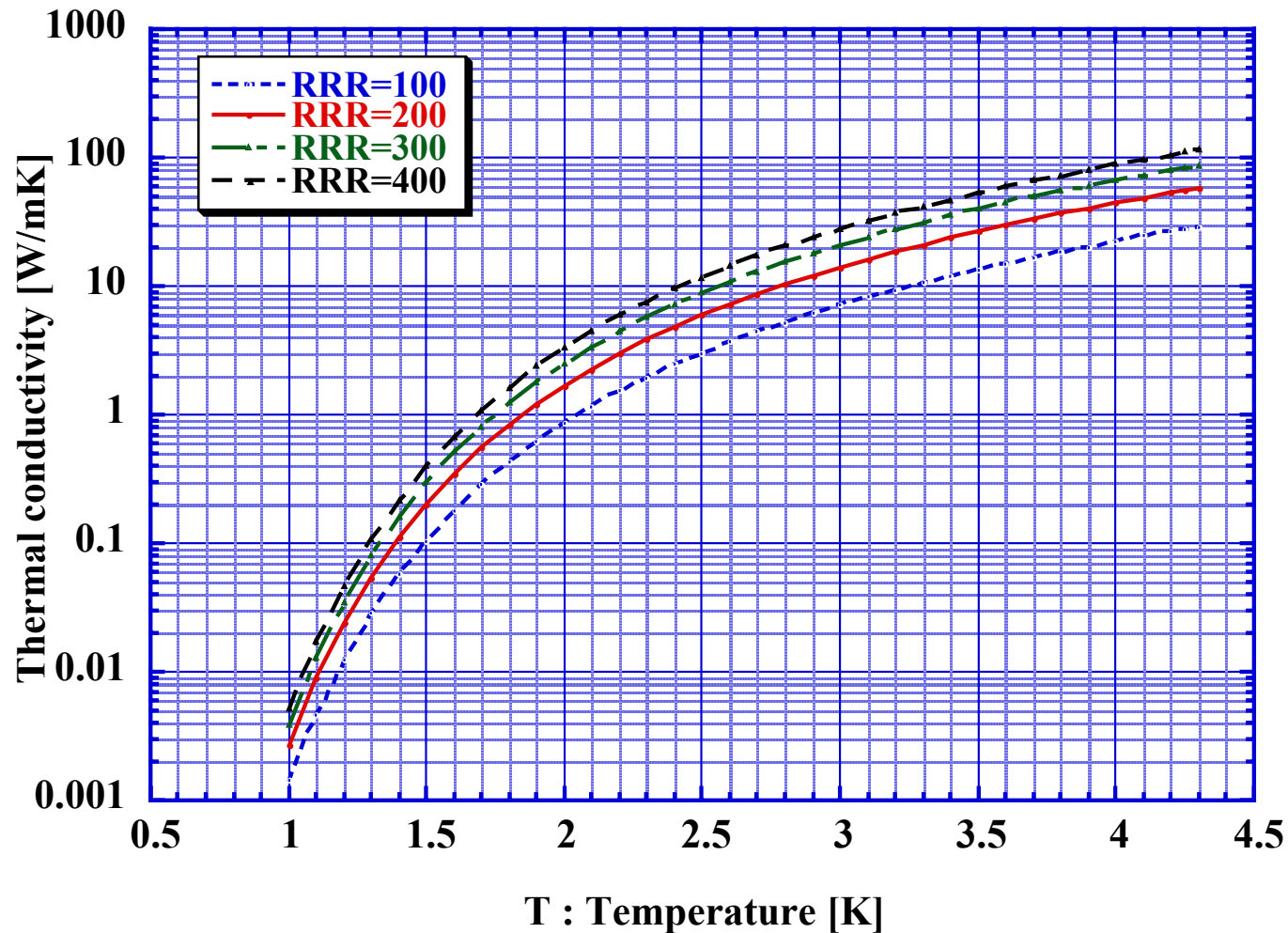
$$D = 4.27E-3, B = 4.34E3, l = 50\mu m$$

$$R(y) = \frac{\kappa_{es}}{\kappa_{en}} = \frac{2F_1(-y) + 2y \ln(1 + e^{-y}) + \frac{y^2}{(1 + e^y)}}{2F_1(0)},$$

$$F_n(-y) = \int_0^\infty \frac{z^n}{1 + e^{z+y}} dz$$



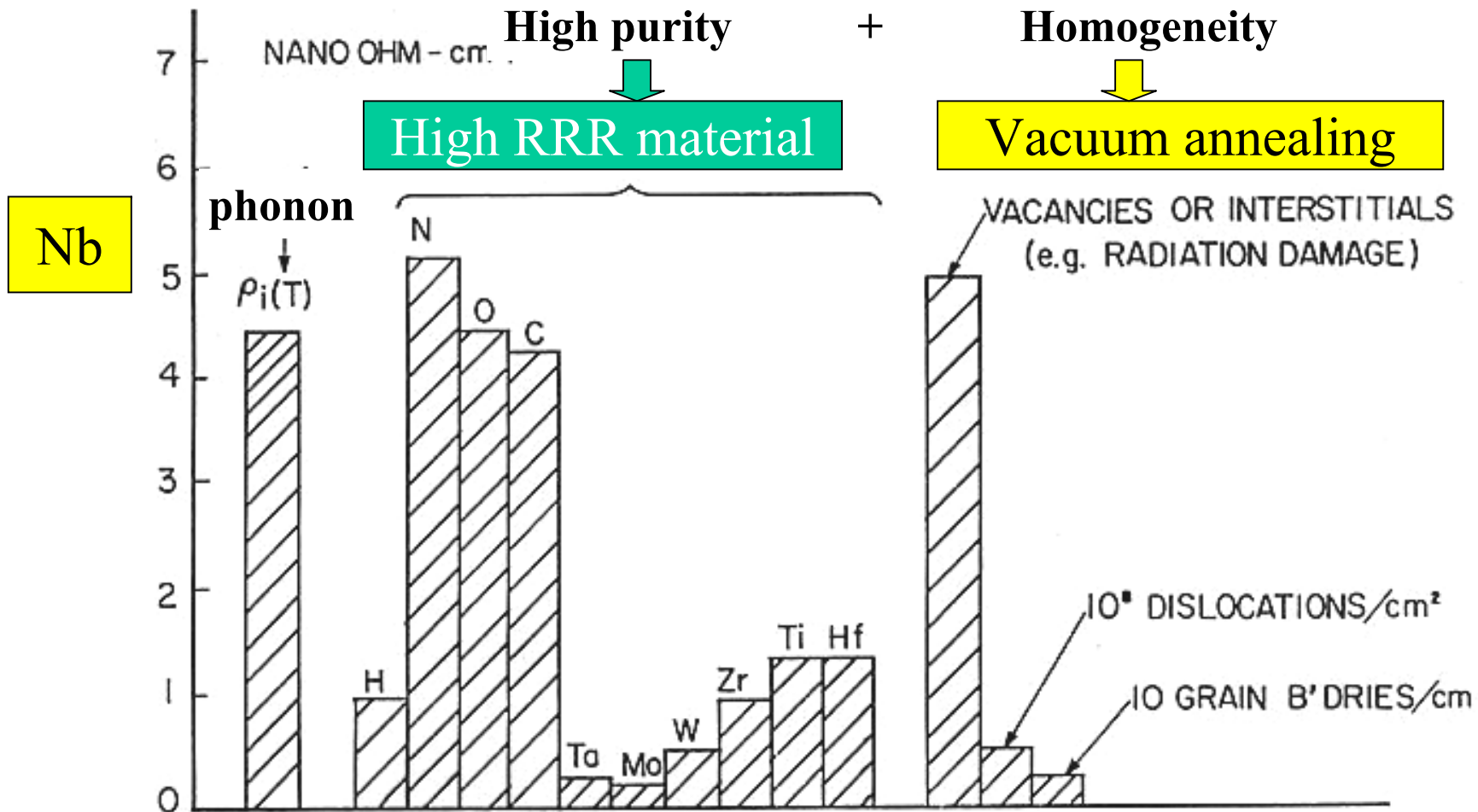
# Calculated $\kappa_{sc}(T)$



**Thermal conductivity of niobium in superconductivity @ 2K is 1/15 that of stainless at R.T. (15W/(m•K)) and 1/6800 of pure cooper at 4.2K**



# Scattering mechanism limits both thermal conductivity and electric conductivity



$$R = \text{e-phonon scat.} + \text{e-impurity scat.} + \text{e-inhomogeneity scat.} + \dots$$

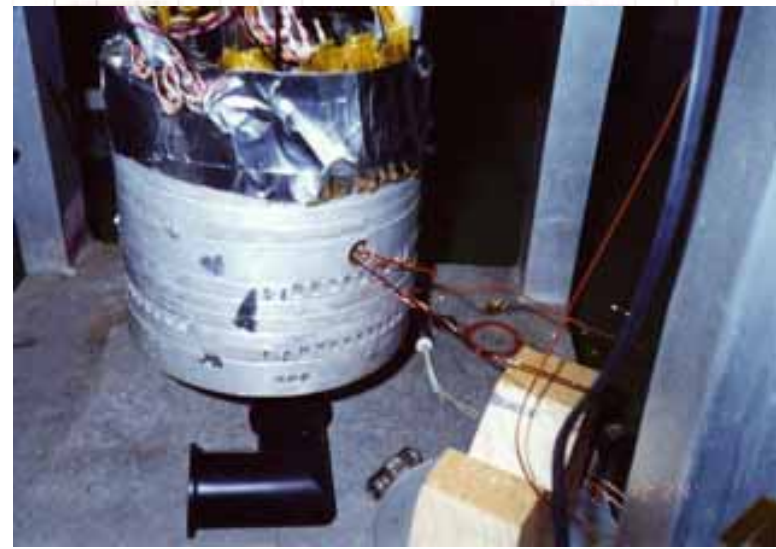
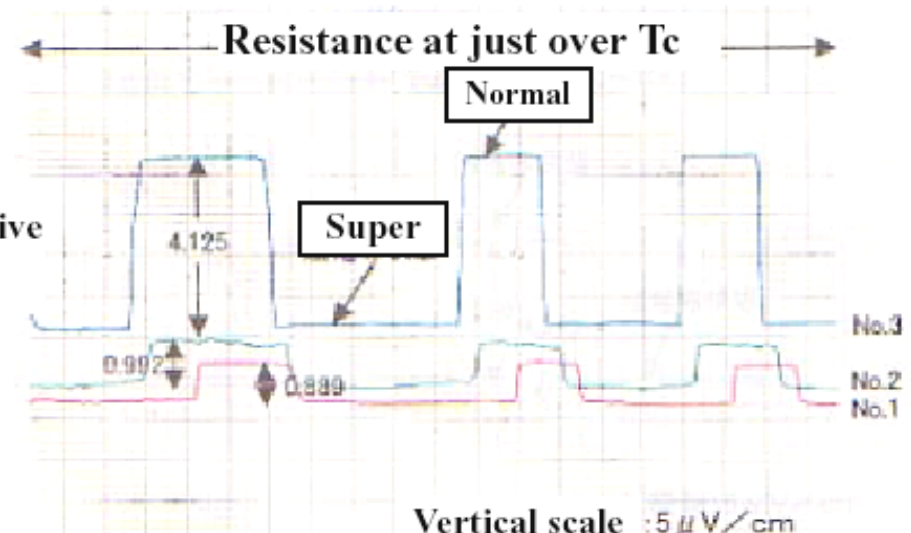
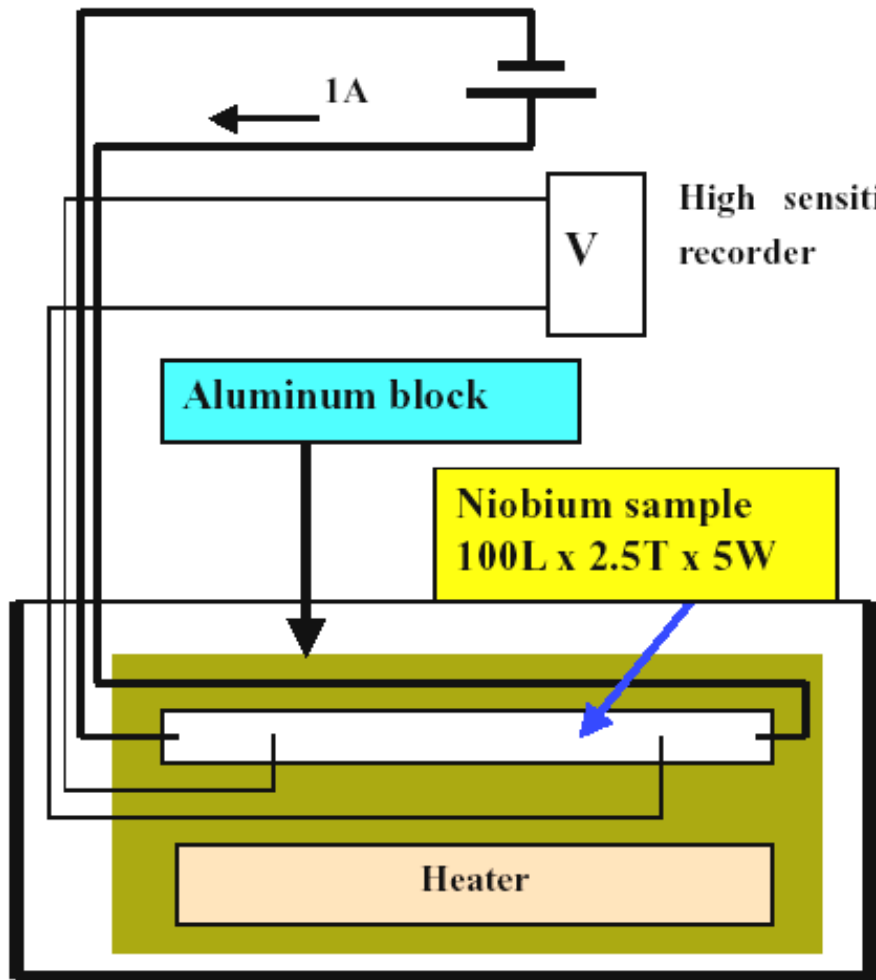
$$\frac{1}{\kappa} = \text{e-phonon scat.} + \text{e-impurity scat.} + \text{e-inhomogeneity scat.} + \dots$$

# RRR measurement

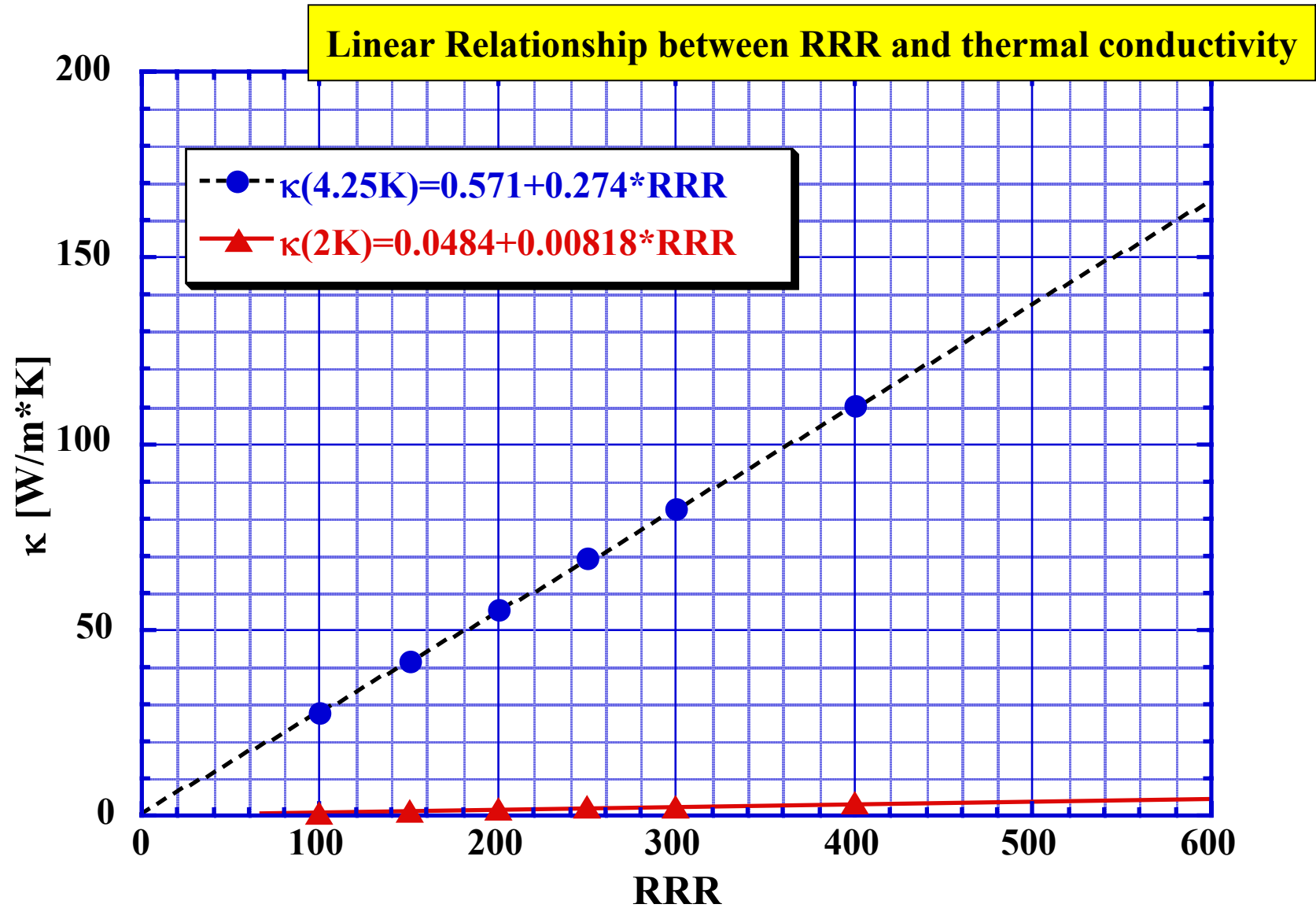
Very simple measurement!!

RRR is linearly proportional to thermal conductivity.

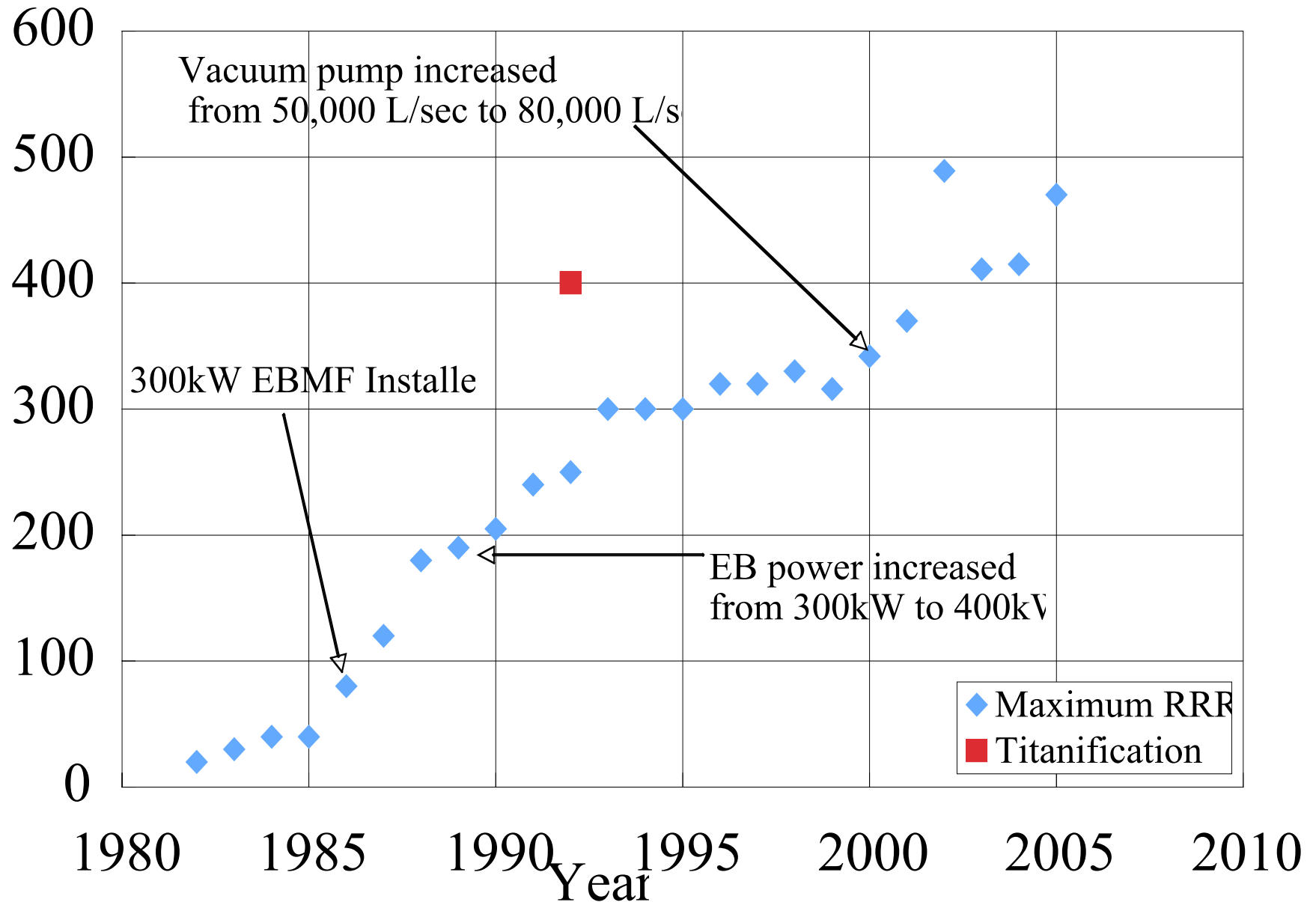
$$RRR \equiv \frac{R_{300K}}{R_{9.5K}}$$



# Linear relationship between $\kappa_S(2, 4.25\text{K})$ and RRR



# History of RRR improvement in a Nb production Company



# **2. Niobium Material**

## **2.1 Niobium Mien**

## **2.2 High Purity Niobium Industrial Production**

## 2.1 Niobium Miens



**Niobium mine: Carbonatite**

**Big three mines in the world**

**Brazil : Araxá (アラシャ)**

**Catalão (カタラウン)**

**Canada : St. Honore**

**(サン・オノレ)**

図1 世界のニオブ埋蔵地 (■)  
とニオブ製品を生産する主要な  
鉱山 (★)

金属 Vol.72 (2002) No.3

**Niobium is 33<sup>rd</sup> abundant metal element in the earth.**

# A Niobium Mine

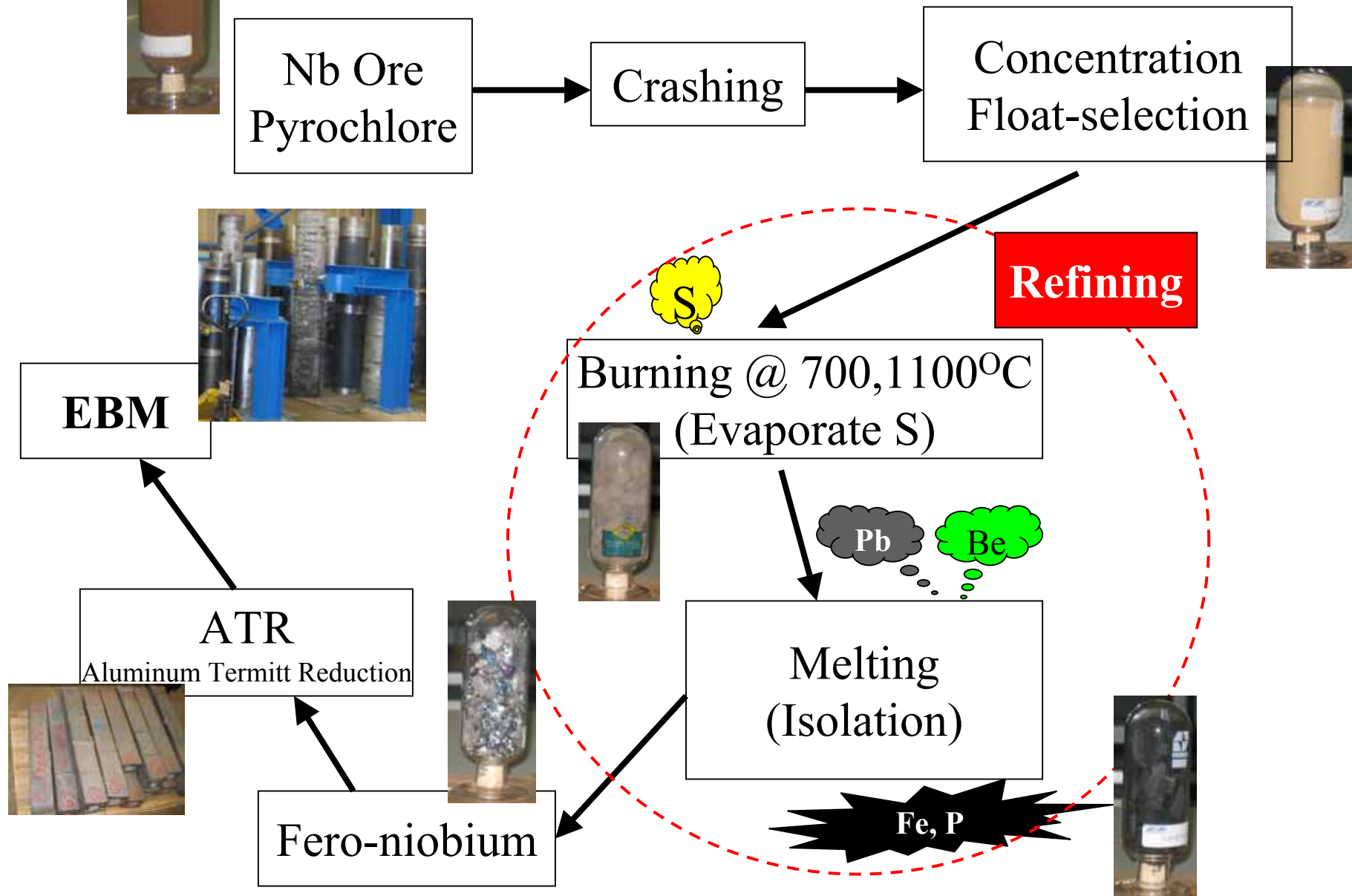


**Brazil, CBMM, Araxia Mine**



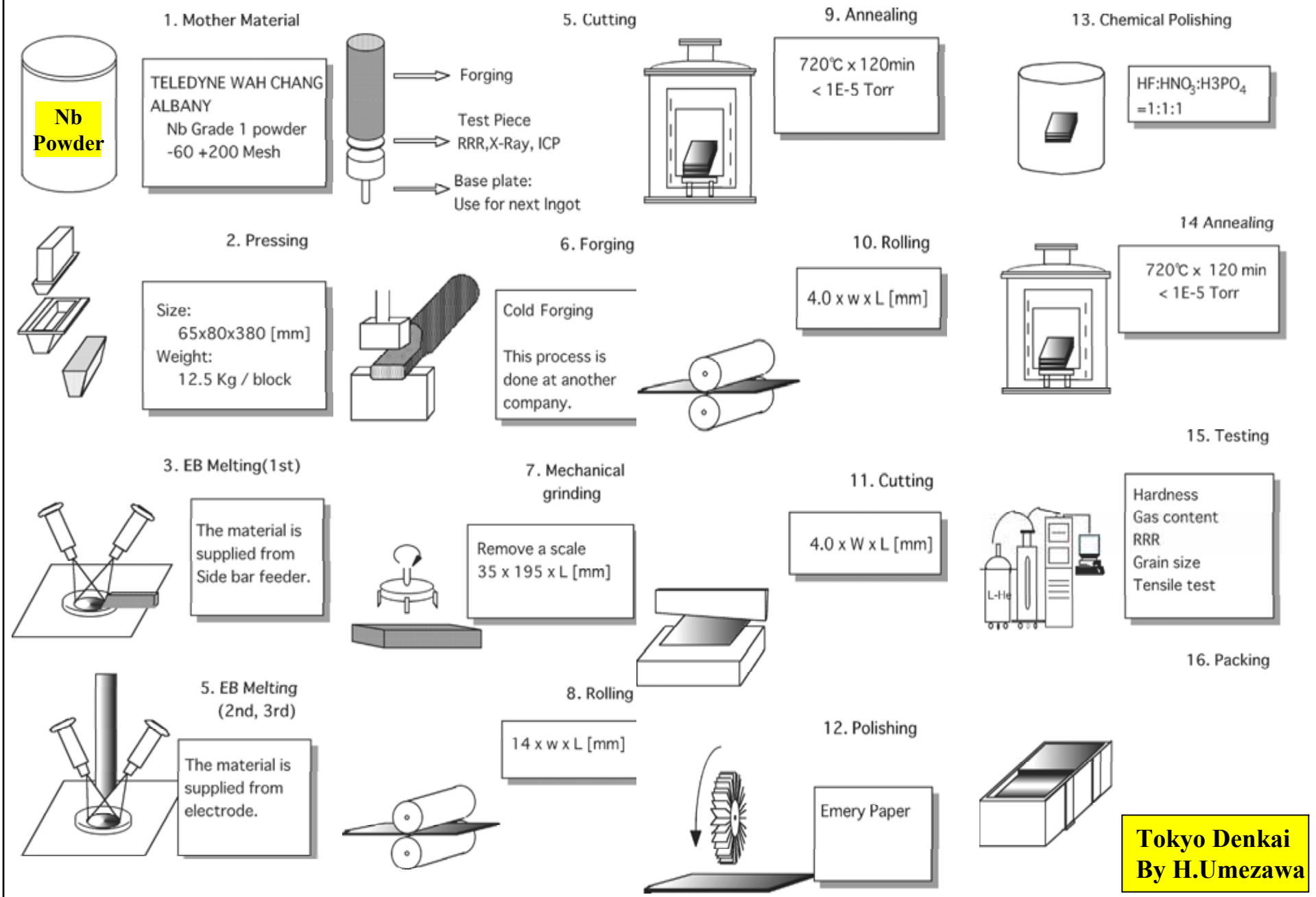
# Process of Niobium Refining

CBMM





# 2.2 High Purity Nb Industrial Production

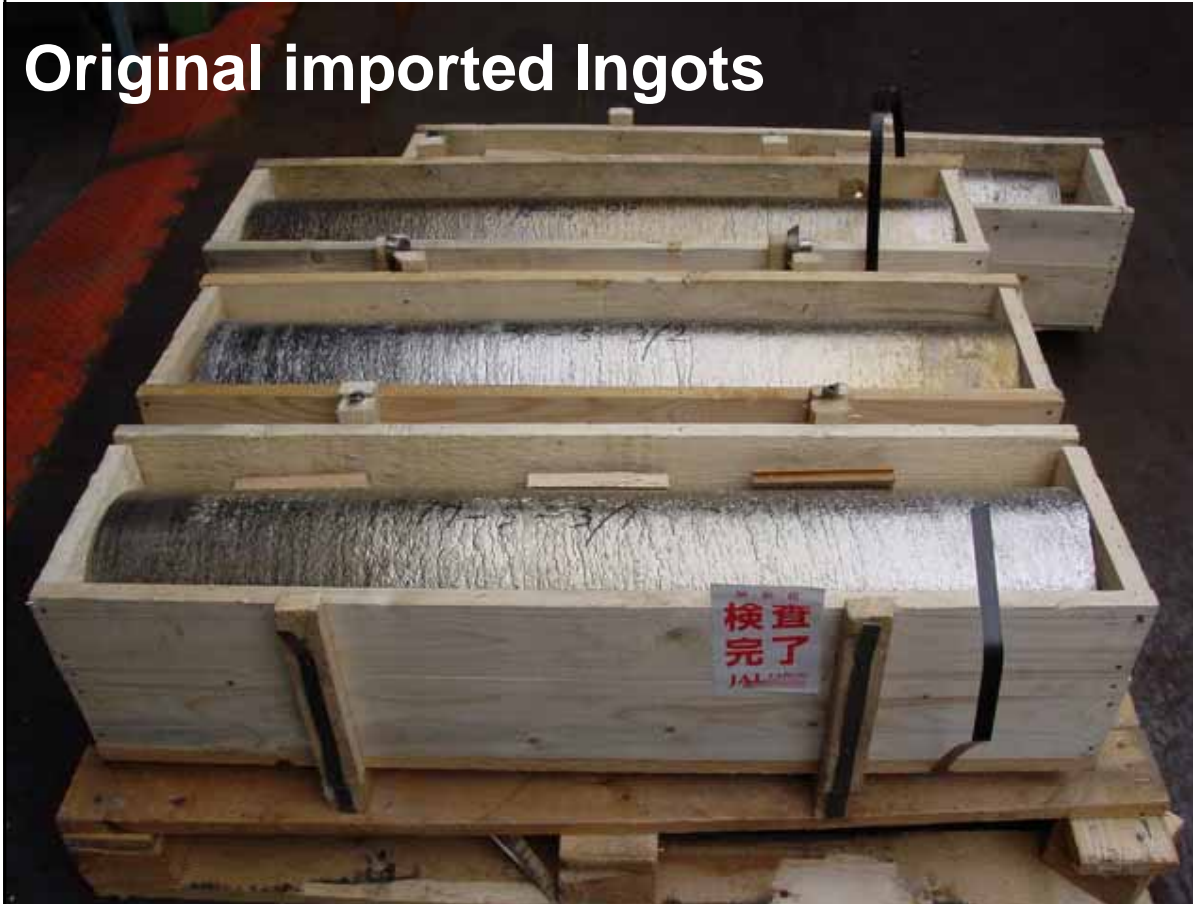


Tokyo Denkai  
By H.Umezawa

# Original material for high pure niobium

Tokyo Denkai

Original imported Ingots



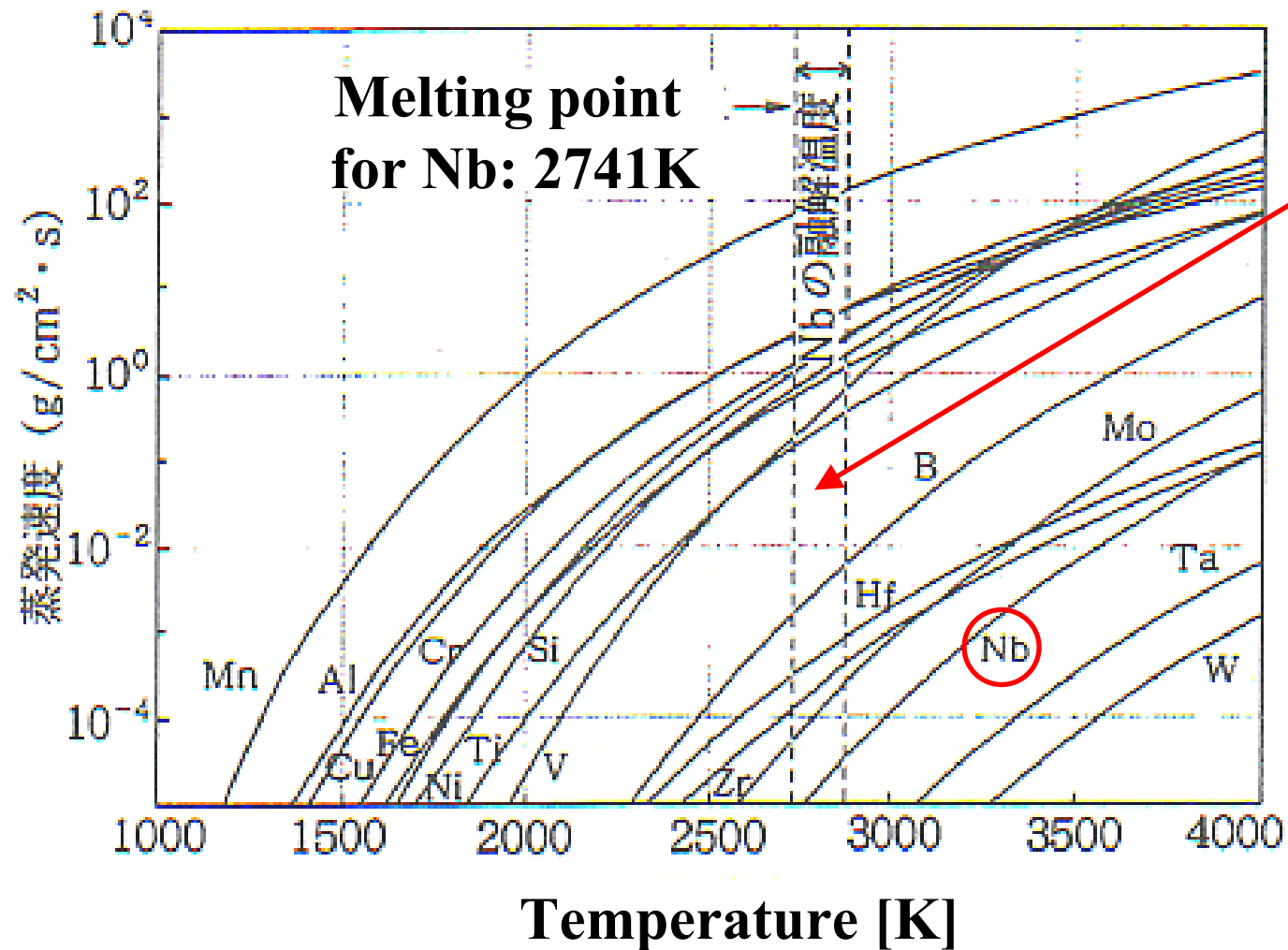
Imported Niobium powder



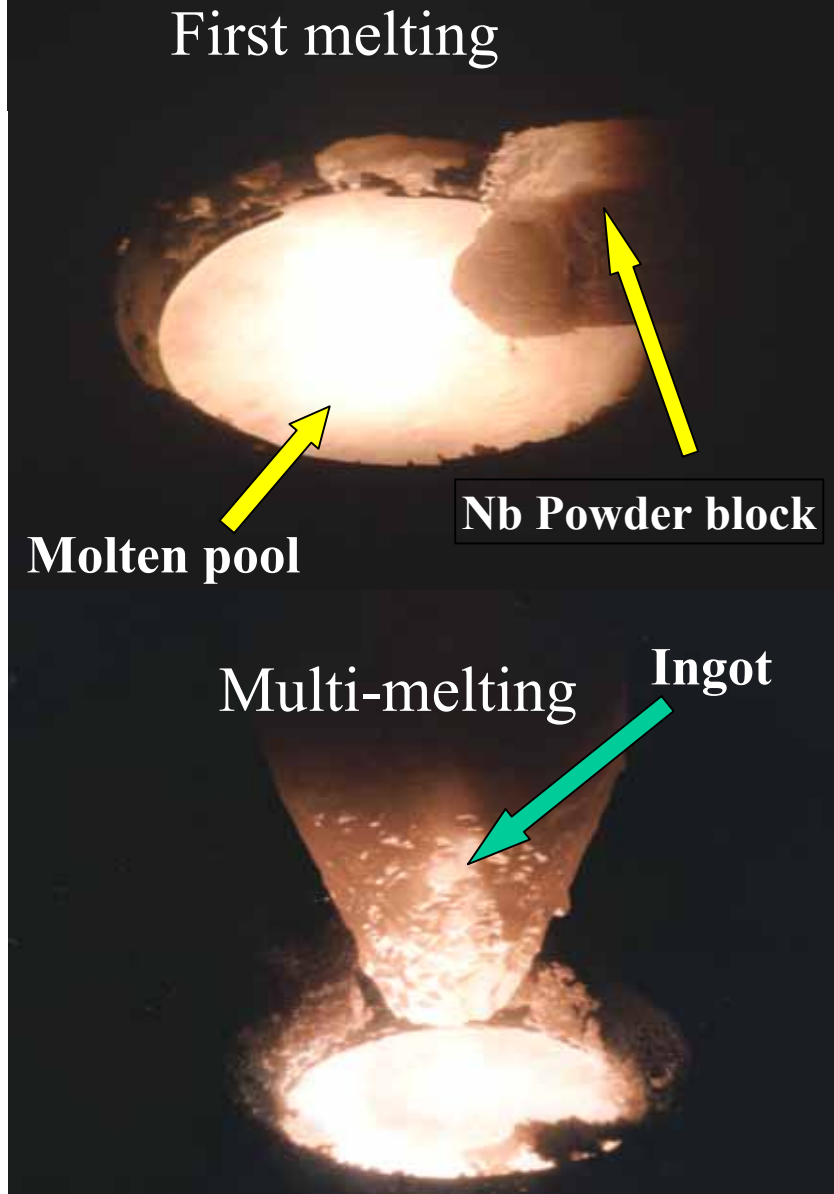
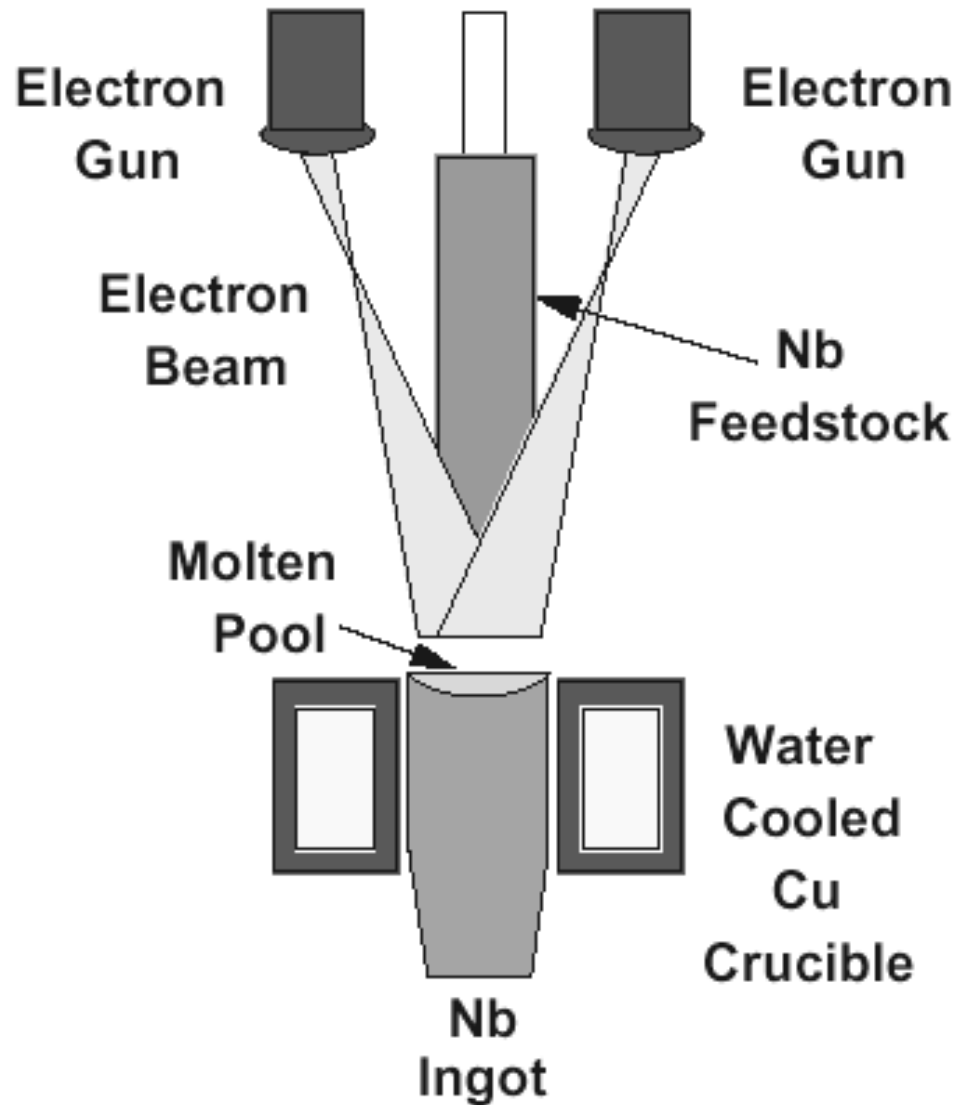
K.Saito

ILC 2nd Summer School Lecture  
Note

# Vapor Presser for various metals



# Electron Beam Melting





# EBM furnace and Nb Ingots

400kw EBM furnace



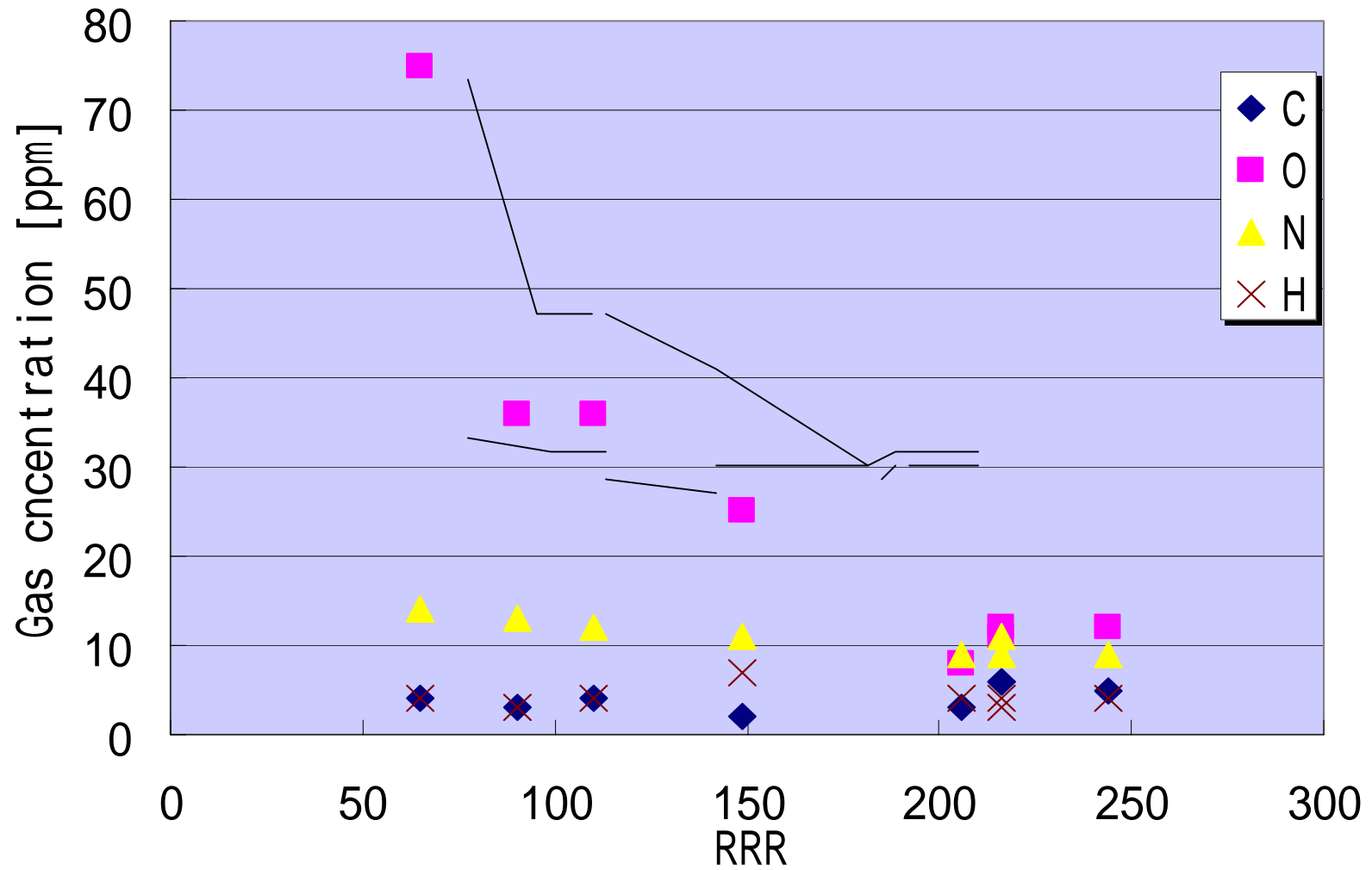
Tokyo Denkai

Nb Ingots after multi-melted



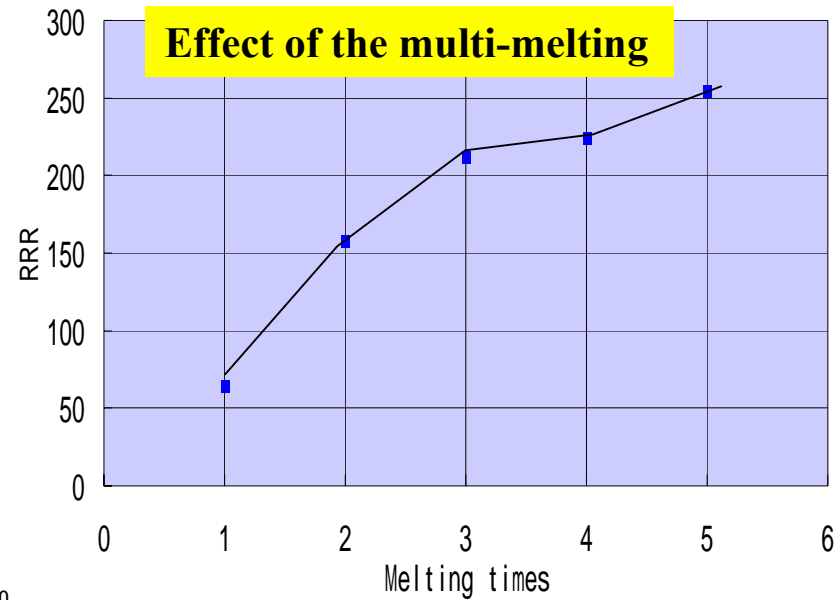
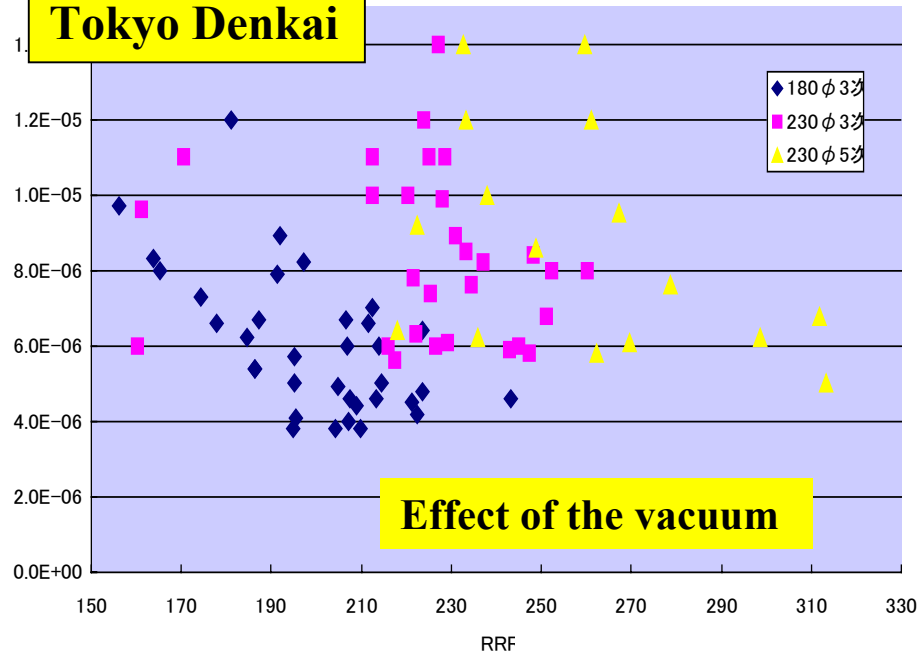
450 kg

# Impurities



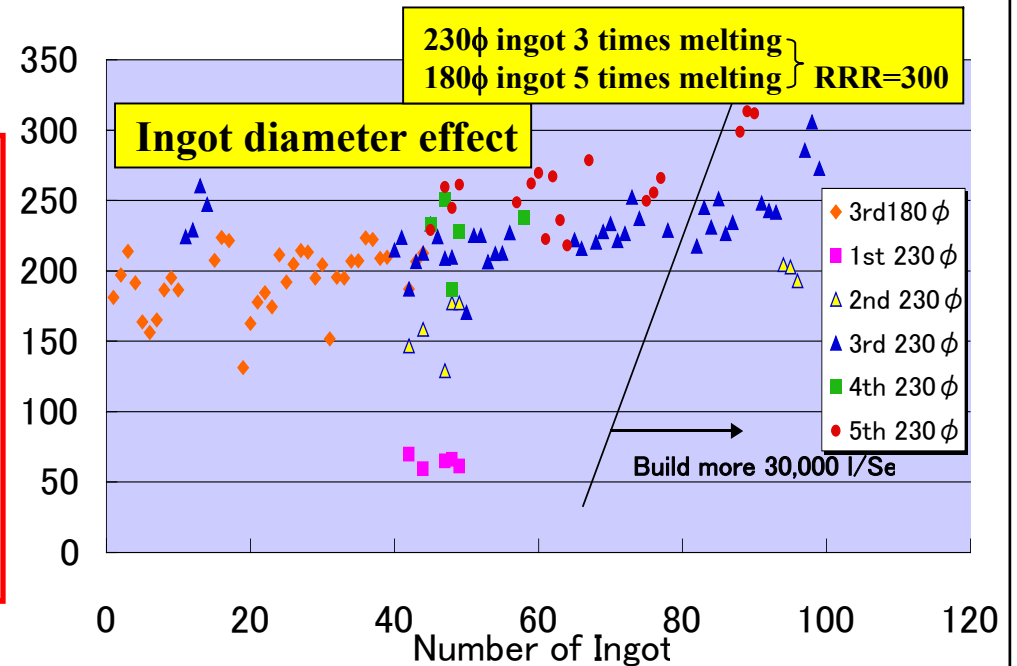
# Keys for High purity Nb Ingot production

## Tokyo Denkai



## Three keys:

- 1) High Vacuum,
- 2) Multi-melting,
- 3) Large molten pool surface (Large Ingot diameter)

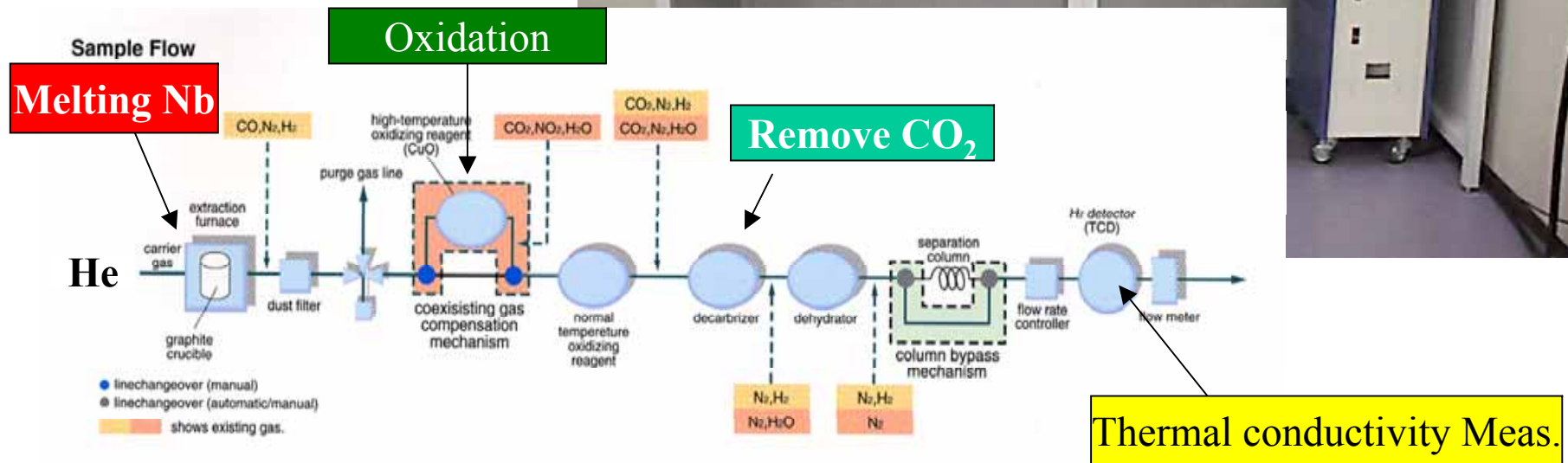


# Gas analysis in niobium

Tokyo Denkai



Case of N



Gas analysis (Hydrogen, Oxygen, Nitrogen) : HORIBA



# Regression Analysis Result

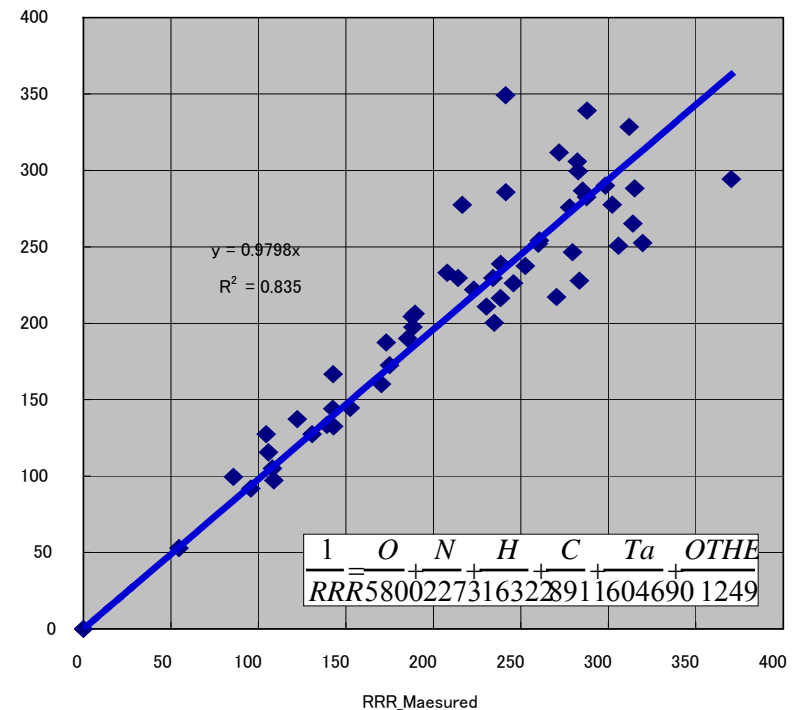
K.K.Schulze: J. Metals, 33(1981), 33-41

$$\frac{1}{RRR} = \frac{O}{5000} + \frac{N}{3900} + \frac{H}{1550} + \frac{C}{4100} + \frac{Ta}{550000} + \dots$$

Umezawa's (Tokyo Denkai) result.

$$\frac{1}{RRR} = \frac{O}{5800} + \frac{N}{2273} + \frac{H}{16322} + \frac{C}{8911} + \frac{Ta}{604690} + \frac{1}{1249}$$

Correlation of Measured and Calculated RRR



# Rolling

Tokyo Denkai

Intermediate rolling



Cleanroom

Final rolling



Careful control against dust

# Vacuum annealing system



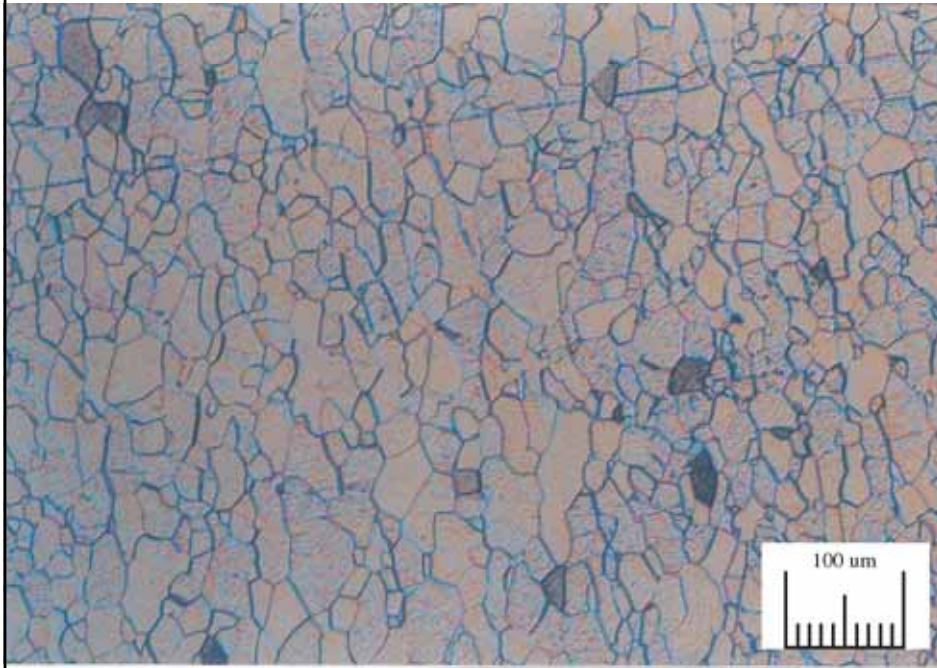
Tokyo Denkai  
1400°C Max,  
 $\sim 1 \times 10^{-6}$  Torr  
Effective working zone  
1000 $\phi$  x 1800L  
Ta heater



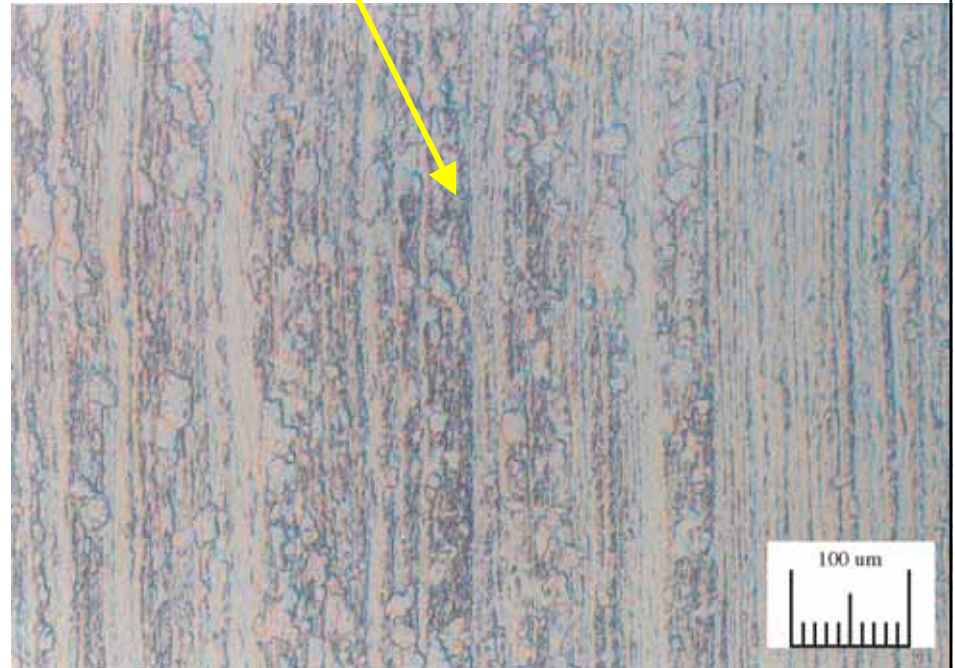


# Metallurgy of Nb

Remained roll rolled structure



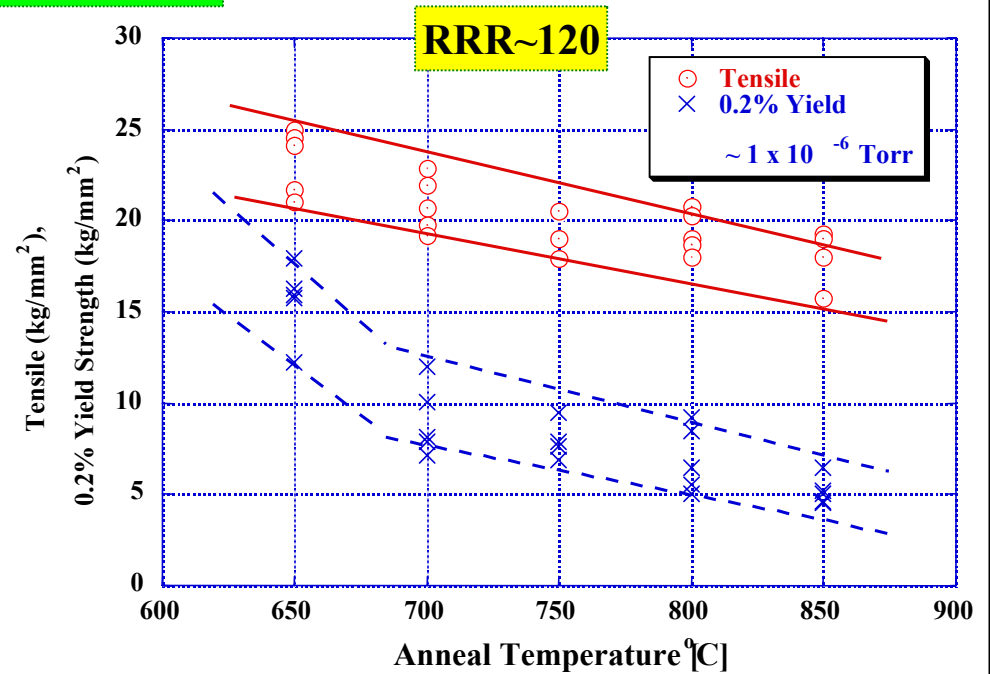
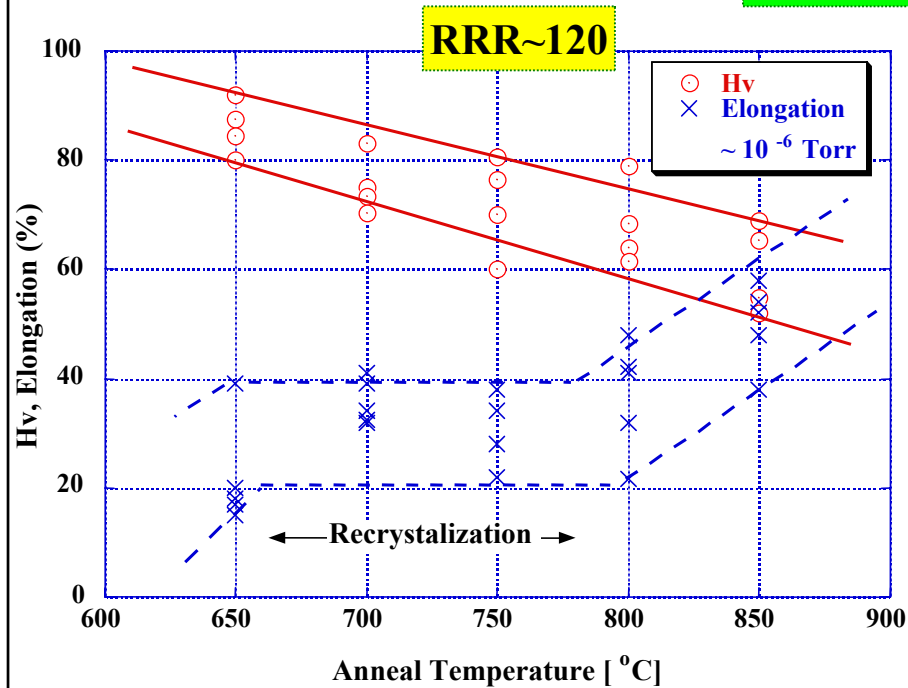
**Well annealed**



**None annealed**

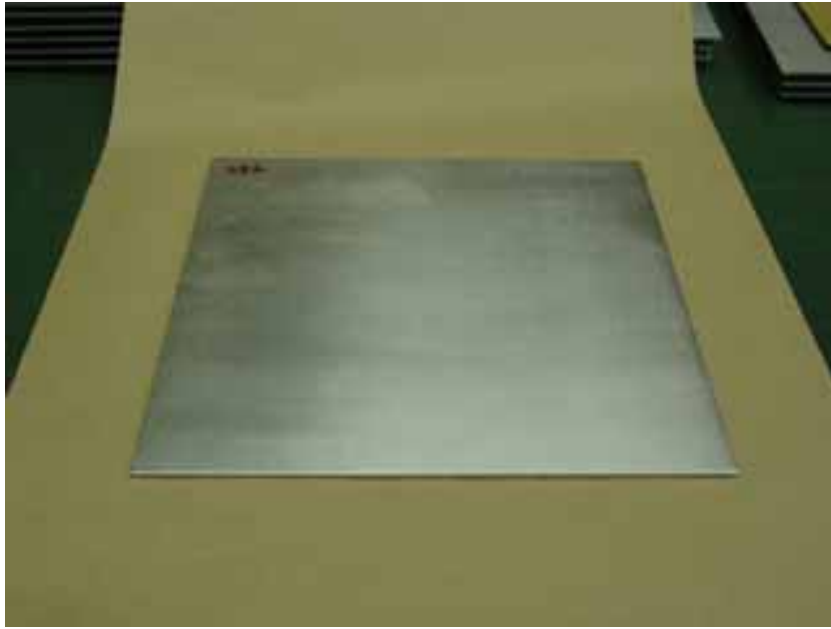
# Annealing Temp. and Mechanical Properties

TRISTAN



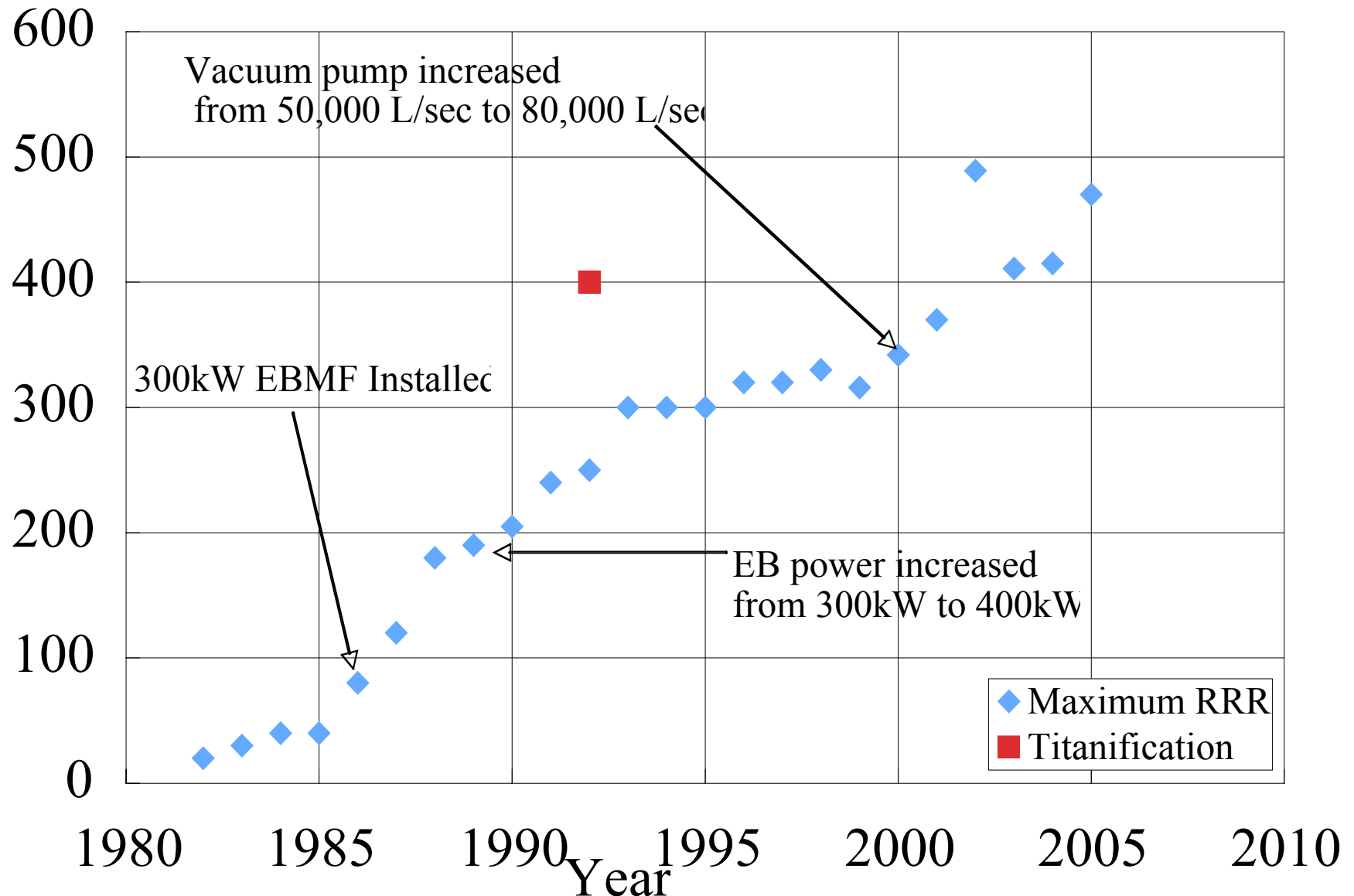
Re-crystallization Temperature : 680 ~ 780°C  
Vacuum Pressure :  $\sim 10^{-6}$  Torr

# High Pure Niobium Sheets



Tokyo Denkai

# Improvement of RRR at Tokyo Denkai



# RRR measurement



Tokyo Denkai

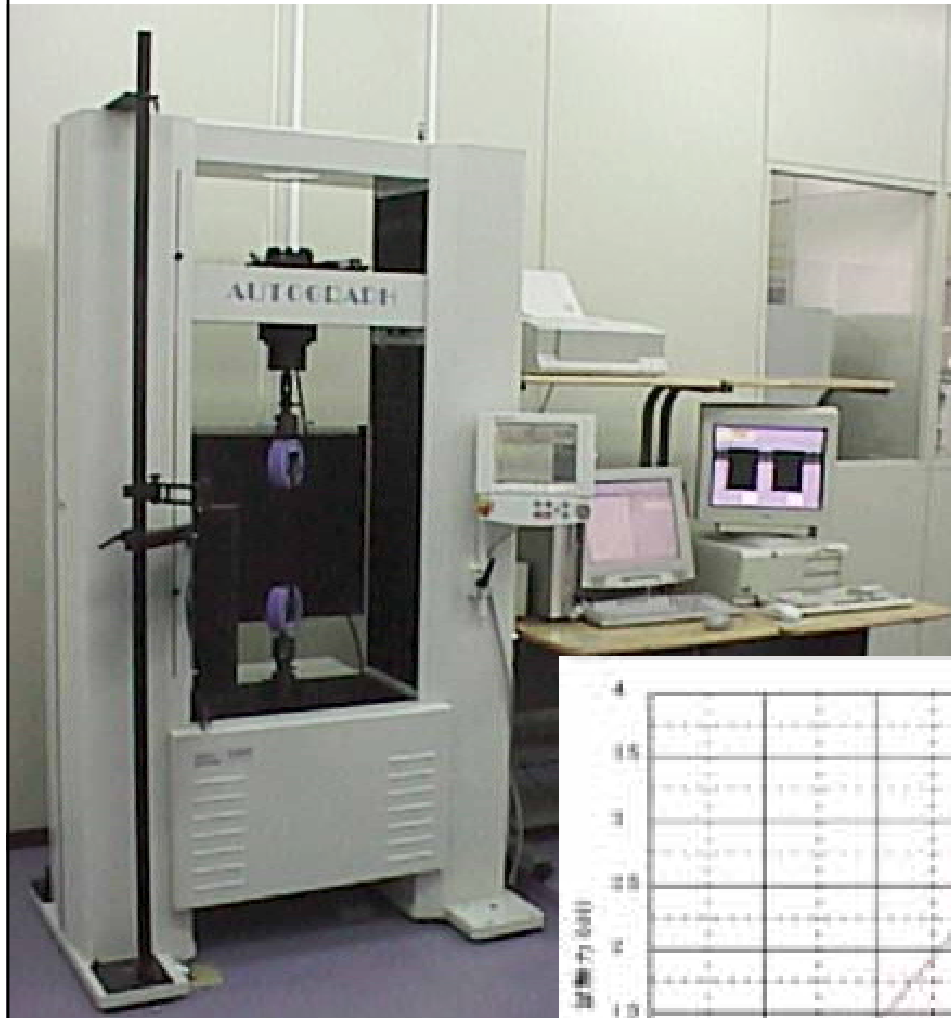
K.Saito



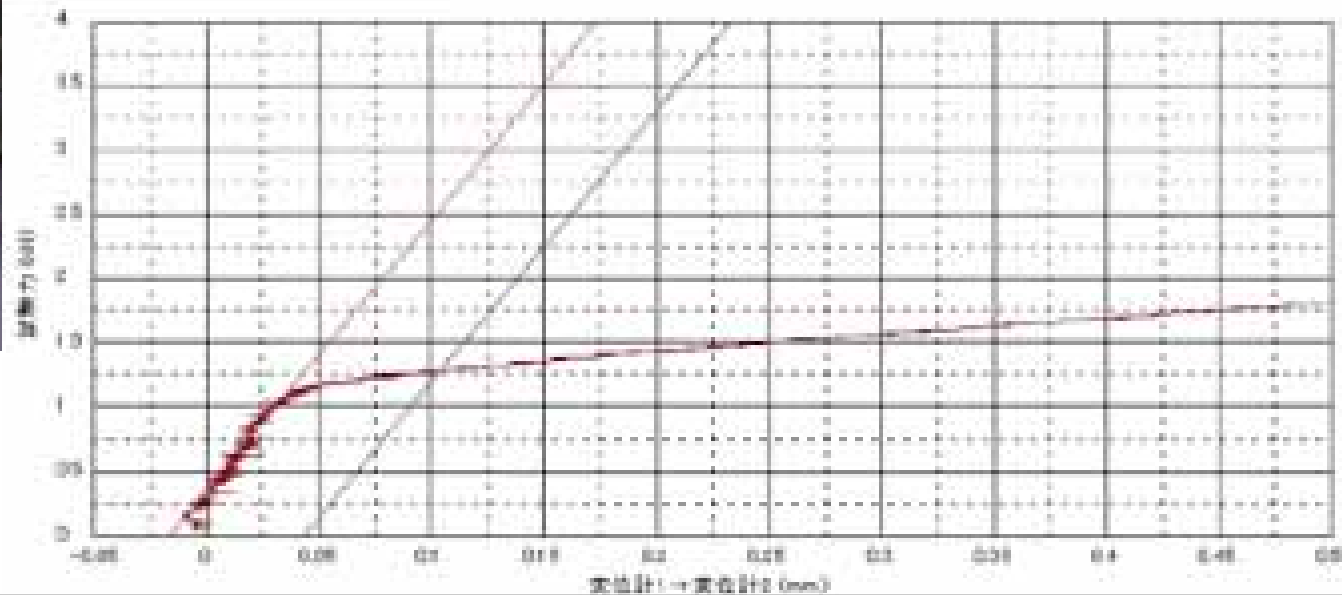


# Tensile Test

Tokyo Denkai



試験速度1:	05 mm/min	試験速度2:	25 mm/min		
切替点1:	1 mm	切替点2:	4 N/2mm		
形状: 平板					
単位	mm	mm	mm		
0-1	3070	0.900	25.004		
名前	前降伏点力	降伏点力	破断点位置	弾性率 Standard	破断点ひずみ
単位	N	N/mm <sup>2</sup>	mm	N/mm <sup>2</sup>	%
0-1	505008	144129	136704	21511	485701
名前	最大引張力				
単位	N				
0-1	505024				



K.Saito

# **3. SRF RF Cavity Design**

## **3.1 Single Cell Cavity Design**

## **3.2 Criteria General for Cavity Shape**

## **3.3 Criteria for Multi-cell Structures**

# What is RF cavity ?

## Principle of RF acceleration

TM-mode :  $E_z \neq 0$ ,  $B_z=0$ , frequency:  $f$

TM<sub>010</sub> - mode,  $\pi$ -mode, Standing Wave

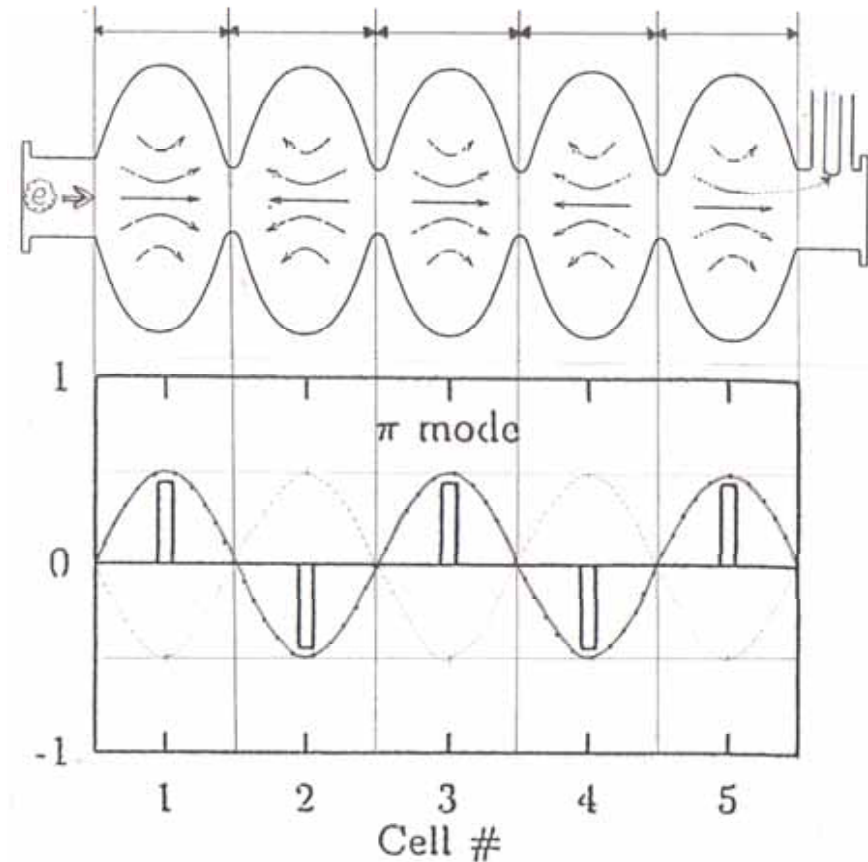
$V$ (electron velocity)  $\sim C$ (light velocity)

$L$ (cell length) =  $\lambda/2$  ;  $\lambda$ (wave length)= $C/f$

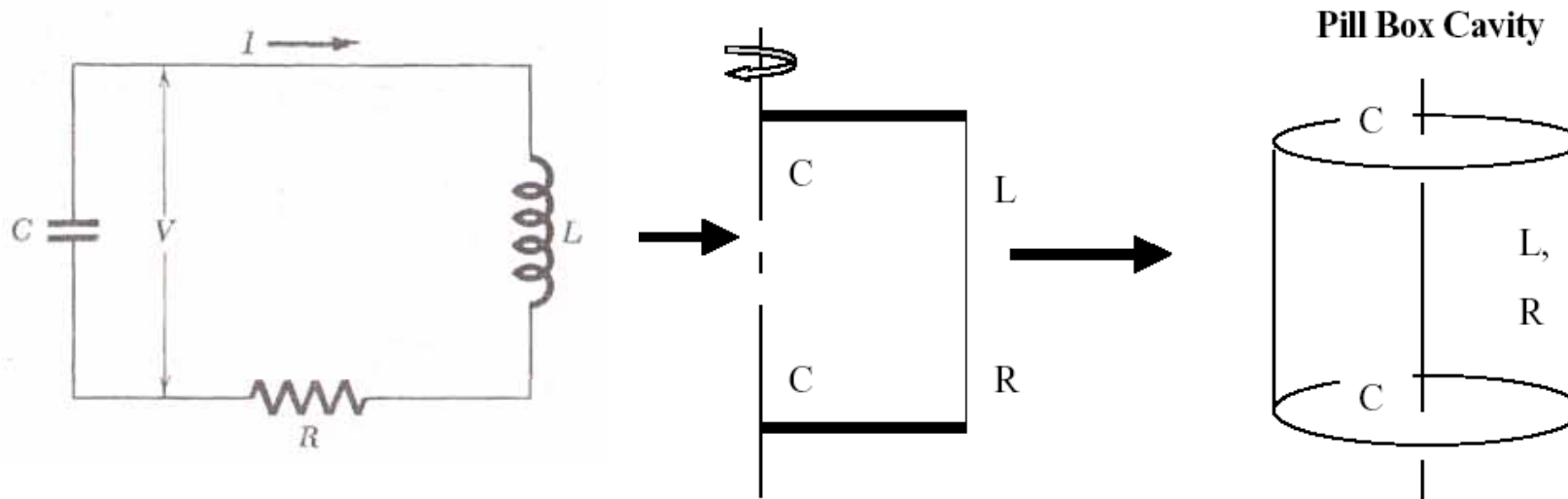
If the velocity is low like protons,

$\beta=V/C < 1$ , then  $L=\beta\lambda/2$

**RF Cavity: accelerates charged particles by the electric field synchronized with RF frequency.**



# Equivalent circuit



$$I = -\frac{dQ}{dt}, \quad Q = CV, \quad V = L\frac{dI}{dt} + RI$$

$$\frac{d^2V}{dt^2} + \left(\frac{R}{L}\right)\frac{dV}{dt} + \left(\frac{1}{LC}\right)V = 0, \quad V(t) = V_0 \exp(-\alpha + i\omega)t$$

$$(-\alpha + i\omega)^2 + (-\alpha + i\omega)\left(\frac{R}{L}\right) + \left(\frac{1}{LC}\right) = 0,$$

$$\alpha = \frac{R}{2L}, \quad \omega^2 = \frac{1}{LC} - \frac{R^2}{4L^2}$$

$$R \ll L, \quad \omega_0^2 = \frac{1}{LC} \Rightarrow f = \frac{1}{2\pi\sqrt{LC}}$$

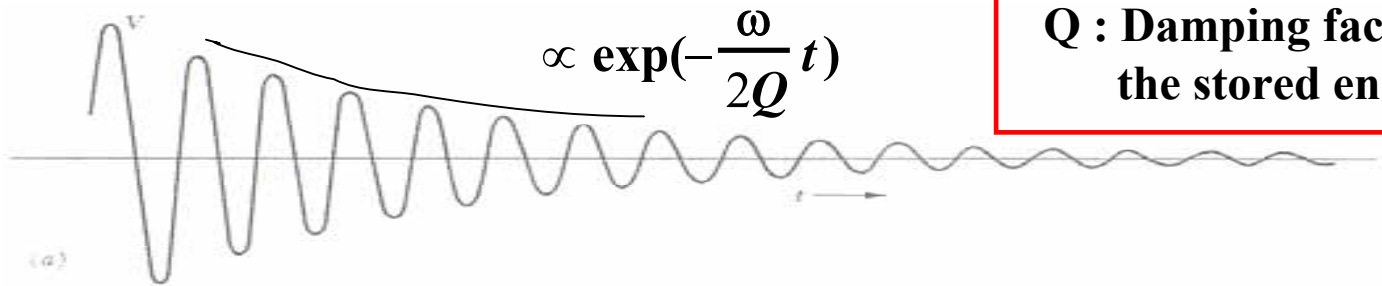
Q-value of the circuit

$$Q \equiv \omega \frac{\text{stored energy}}{\text{power loss / sec}} = \omega \frac{P}{dP/dt} = \omega \frac{L}{R}$$

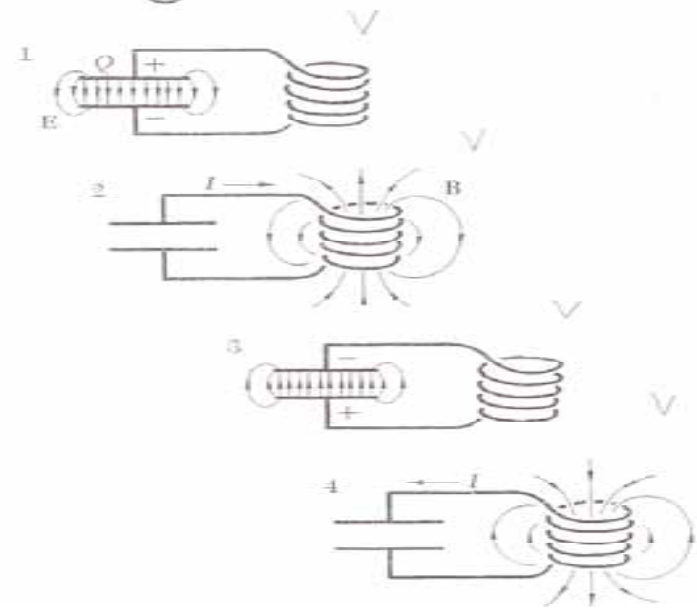
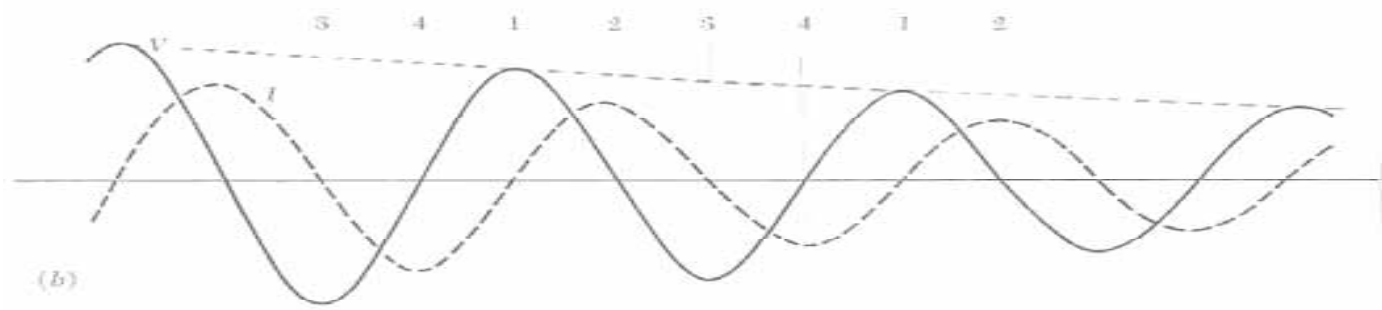
$$= \frac{\omega}{2\alpha}$$

**Q : proportional to 1/R**

# Simple Circuit Model of RF Cavity - Oscillation in the LCR Circuit -



**Q : Damping factor of the stored energy**



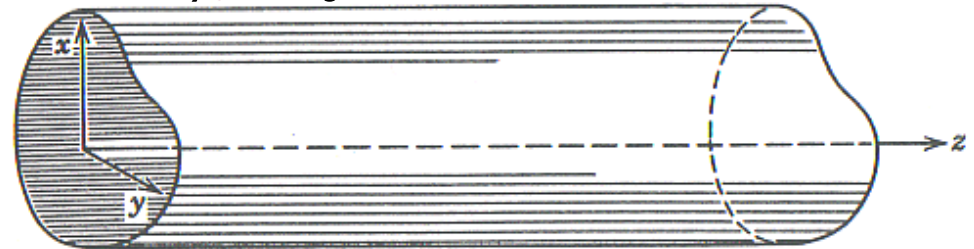
(a) The damped sinusoidal oscillation of voltage in the *RLC* circuit.  
 (b) A portion of (a) with the time scale expanded and the graph of the current *I* included.  
 (c) The periodic transfer of energy from electric field to magnetic field, and back again. Each picture represents the condition at times marked by the corresponding number in (b).

# Electro-magnetic field in a waveguide

Maxwell equations in a waveguide

$$\nabla \times \mathbf{E} = i \frac{\omega}{c} \mathbf{B}, \quad \nabla \cdot \mathbf{B} = 0, \quad \nabla \times \mathbf{B} = -i \mu \varepsilon \frac{\omega}{c} \mathbf{E}, \quad \nabla \cdot \mathbf{E} = 0, \quad \rho = 0, \quad \mathbf{j} = 0$$

$$\left( \nabla^2 + \mu \varepsilon \frac{\omega^2}{c^2} \right) \begin{Bmatrix} \mathbf{E} \\ \mathbf{B} \end{Bmatrix} = 0,$$



$$\mathbf{E}(x, y, z, t) = \mathbf{E}(x, y) \exp(\pm ikz - i\omega t), \quad k: \text{wavevector},$$

$$\mathbf{B}(x, y, z, t) = \mathbf{B}(x, y) \exp(\pm ikz - i\omega t),$$

$$\left[ \nabla_t^2 + \left( \varepsilon \mu \frac{\omega^2}{c^2} - k^2 \right) \right] \begin{Bmatrix} \mathbf{E} \\ \mathbf{B} \end{Bmatrix} = 0, \quad \nabla_t^2 \equiv \nabla^2 - \frac{\partial^2}{\partial z^2}, \quad \mathbf{E} = E_z \mathbf{e}_z + \mathbf{E}_t, \quad \mathbf{B} = B_z \mathbf{e}_z + \mathbf{B}_t$$

$$\mathbf{B}_t = \frac{1}{\left( \varepsilon \mu \frac{\omega^2}{c^2} - k^2 \right)} \left[ \nabla_t \left( \frac{\partial B_z}{\partial z} \right) + i \varepsilon \mu \frac{\omega}{c} \mathbf{e}_z \times \nabla_t E_z \right],$$

$$\mathbf{E}_t = \frac{1}{\left( \varepsilon \mu \frac{\omega^2}{c^2} - k^2 \right)} \left[ \nabla_t \left( \frac{\partial E_z}{\partial z} \right) - i \frac{\omega}{c} \mathbf{e}_z \times \nabla_t B_z \right]$$

**Exercise IV.**  
**Get this formula.**

# TM- mode Assign

TM-mode :  $\mathbf{B}_z = 0, \mathbf{E}_z \neq 0 \rightarrow$  Can accelerate beam Beam

$$\mathbf{B}_t = \frac{i\epsilon\mu \frac{\omega}{c}}{\left(\epsilon\mu \frac{\omega^2}{c^2} - k^2\right)} [\mathbf{e}_z \times \nabla_t E_z],$$

$$\mathbf{E}_t = \frac{1}{\left(\epsilon\mu \frac{\omega^2}{c^2} - k^2\right)} \nabla_t \left(\frac{\partial E_z}{\partial z}\right),$$

$$\left[ \nabla_t^2 E_z + \left(\epsilon\mu \frac{\omega^2}{c^2} - k^2\right) \right] E_z = 0,$$

Solve the eigenvalue problem,  
get k and Ez

Boundary condition  $E_z|_S = 0$  (  $\because \mathbf{n} \times \mathbf{E} = 0$  on the surface of perfect conductor)

$$\frac{B_z}{n}|_S = 0 \text{ (} \because \mathbf{n} \cdot \mathbf{B} = 0 \text{ on the surface,}$$

but automatically satisfied by the TM - mode condition)

# TE-mode Assign

TE-mode :  $E_z = 0, B_z \neq 0 \rightarrow$  **Can not accelerate beam**

$$\mathbf{B}_t = \frac{i\epsilon\mu \frac{\omega}{c}}{\left(\epsilon\mu \frac{\omega^2}{c^2} - k^2\right)} \nabla_t \left( \frac{\partial B_z}{\partial z} \right),$$

$$\mathbf{E}_t = \frac{-i \frac{\omega}{c}}{\left(\epsilon\mu \frac{\omega^2}{c^2} - k^2\right)} \mathbf{e}_z \times \nabla_t B_z,$$

$$\left[ \nabla_t^2 B_z + \left(\epsilon\mu \frac{\omega^2}{c^2} - k^2\right) \right] B_z = 0,$$

**Boundary condition**  $E_z|_S = 0$  (  $\because \mathbf{n} \times \mathbf{E} = 0$  on the surface of perfect conductor  
but automatically satisfied by the TE- mode condition)

$$\frac{B_z}{n}|_S = 0 \text{ ( } \because \mathbf{n} \cdot \mathbf{B} = 0 \text{ on the surface)}$$



# Eigevale problem

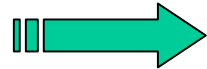
$\psi(x,y) = E_z(x,y)$  for TM- mode or  $B_z(x,y)$  for TE- mode

$$\left(\nabla_t^2 + \gamma^2\right)\psi = 0, \quad \psi|_S = 0 \text{ (for TM - mode) or } \frac{1}{n}\psi|_S = 0 \text{ (for TE - mode)}$$

$$\gamma^2 = \epsilon\mu \frac{\omega^2}{c^2} - k^2 \geq 0$$

From the boundary condition,

$$\gamma^2 = \gamma_\lambda^2, \quad \psi = \psi_\lambda \quad (\lambda = 1, 2, \dots)$$



$$k_\lambda^2 = \epsilon\mu \frac{\omega^2}{c^2} - \gamma_\lambda^2$$

If  $\omega < c \frac{\gamma_\lambda}{\sqrt{\epsilon\mu}}$ , then  $k_\lambda$  is an imaginal number. The wave is damped in the waveguide.

$$\omega_\lambda = c \frac{\gamma_\lambda}{\sqrt{\epsilon\mu}} \dots \text{cutoff frequency}$$

When  $\omega \geq \omega_\lambda$ , wave number  $k_\lambda$  is a real number,

then the wave can propagate into the waveguide.

# TM-mode in a Pill Box Cavity

TM-modes

$$\mathbf{E}(x, y, z, t) = \mathbf{E}(x, y) \exp(ikz - i\omega t)$$

When shorted at  $z = 0$  and  $z = d$ , then the wave makes a standing wave.

$$\therefore \mathbf{E}(x, y, z, t) = [\mathbf{A}(x, y) \cos(kz) + \mathbf{B}(x, y) \sin(kz)] \exp(-i\omega t)$$

If the cavity is made from perfect conductor,  $E_t = 0$  at  $z = 0$  and  $d$ .

$$\therefore \mathbf{E}(x, y, z) = \mathbf{B}(x, y) \sin(kz) \text{ and } \sin(kd) = 0 \Rightarrow kd = p\pi (p = 0, 1, 2, \dots) \Rightarrow k = \frac{p\pi}{d}$$

$$\mathbf{E}_z(x, y, z) = \Psi(x, y, z) \mathbf{e}_z = [\mathbf{A}_z(x, y) \cos(kz) + \mathbf{B}_z(x, y) \sin(kz)] \mathbf{e}_z$$

$$\mathbf{E}_t(x, y, z) = \frac{1}{\gamma^2} \nabla_t \left( \frac{\partial \Psi}{\partial z} \right), \text{ and the boundary condition: } E_t = 0 \text{ at } z = 0.$$

$$\Rightarrow \Psi = B_z(x, y) \cos(kz) = B_z(x, y) \cos\left(\frac{p\pi}{d} z\right)$$

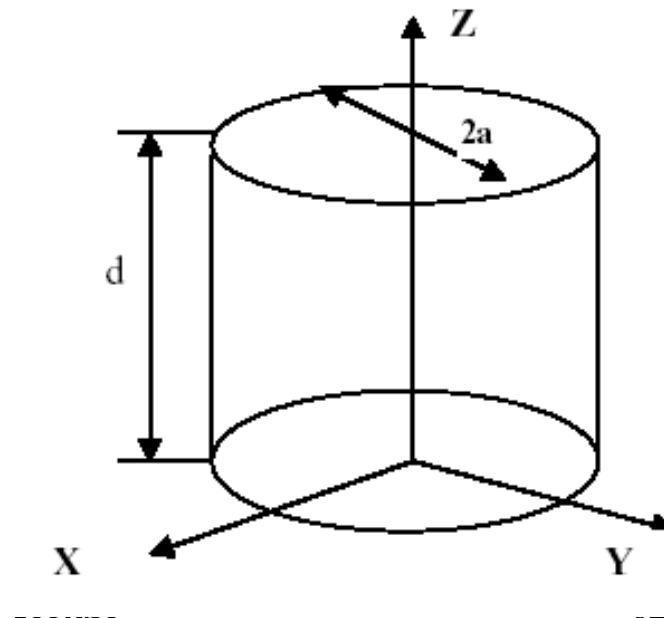
Now one can solve the eigenvalue problem.

$$(\nabla_t^2 + \gamma^2) \Psi = 0, \quad \gamma^2 = \varepsilon\mu \frac{\omega^2}{c^2} - k^2 = \varepsilon\mu \frac{\omega^2}{c^2} - \left(\frac{p\pi}{d}\right)^2$$

Cylindrical coordinate  $(r, \theta, z)$ ,  $\Psi \rightarrow \Psi = B_z(r, \theta)$

$$(\nabla_t^2 + \gamma^2) \Psi = \left( \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2} \right) \Psi + \gamma^2 \Psi = 0$$

$$\Psi(r, \theta) = R(r) \cdot \Theta(\theta)$$



$$r^2 \frac{\partial^2 R(r)}{\partial^2 r} + \frac{r}{R(r)} \frac{\partial R(r)}{\partial r} + \gamma^2 r^2 = -\frac{1}{\Theta(\theta)} \frac{\partial^2 \Theta(\theta)}{\partial^2 \theta}$$

$$-\frac{1}{\Theta(\theta)} \frac{\partial^2 \Theta(\theta)}{\partial^2 \theta} = m^2 \Rightarrow \Theta(\theta) = \Theta_0 \exp(\pm im\theta), m = 0, 1, 2, \dots$$

$\Theta$  is for a single-value function at  $\theta=0 \sim 2\pi$ .

$$\rho = \gamma r,$$

$$\frac{\partial^2 R}{\partial^2 \rho} + \frac{1}{\rho} \frac{\partial R}{\partial \rho} + \left(1 - \frac{m^2}{\rho^2}\right) R = 0 \Rightarrow R: m\text{th Besselfunction}(J_m)$$

For no divergence at  $\rho=0 \Rightarrow R(\rho) = J_m(\rho)$

Boundary condition:  $E_z(r, \theta) = 0$  at  $r = a \Rightarrow J_m(\gamma a) = 0 \Rightarrow \gamma a = \rho_{m,n}$ : nth solution of  $J_m$

$\rho_{m,n}$	n=1	n=2	n=3
m=0	$\rho_{0,1} = 2.405$	$\rho_{0,2} = 5.520$	$\rho_{0,3} = 8.654$
m=1	$\rho_{1,1} = 3.832$	$\rho_{1,2} = 7.016$	$\rho_{1,3} = 10.173$
m=2	$\rho_{2,1} = 5.136$	$\rho_{2,2} = 8.417$	$\rho_{2,3} = 11.620$

$$\gamma_{m,n} = \frac{\rho_{m,n}}{a}, \text{ thus } \Psi(r, \theta) = J_m\left(\frac{\rho_{m,n}}{a} \cdot r\right) \cdot \exp(\pm im\theta),$$

Resonance frequency (TM<sub>m,n,p</sub> – mode)

$$\omega_{m,n,p} = \frac{c}{\sqrt{\epsilon\mu}} \sqrt{\frac{\rho_{m,n}^2}{a^2} + \frac{p^2 \pi^2}{d^2}}$$

For  $E_t$  and  $B_t$ , calculate

$$\mathbf{B}_t = \frac{i\varepsilon\mu\frac{\omega}{c}}{\left(\varepsilon\mu\frac{\omega^2}{c^2} - k^2\right)} [\mathbf{e}_z \times \nabla_t E_z],$$

$$\mathbf{E}_t = \frac{1}{\left(\varepsilon\mu\frac{\omega^2}{c^2} - k^2\right)} \nabla_t \left( \frac{\partial E_z}{\partial z} \right),$$

$TM_{m,n,p}$  – mode

$$E_z = E_0 \cos(kz) J_m\left(\frac{\rho_{m,n}}{a} r\right) \exp(-im\theta),$$

$$B_z = 0$$

$$E_r = \frac{iE_0 p\pi}{\gamma_{m,n,p}} \cos\left(\frac{p\pi}{d} z\right) \frac{\partial J_m(\rho)}{\partial \rho} \exp(-im\theta),$$

$$B_r = -\frac{E_0 m\varepsilon\mu\omega_{m,n,p}}{\gamma_{m,n,p}} \cos(kz) J_m\left(\frac{\rho_{m,n}}{a} r\right) \exp(-im\theta)$$

$$E_\theta = \frac{E_0 m p \pi}{\gamma_{m,n,p}^2 d c} \cos\left(\frac{p\pi}{d} z\right) J_m\left(\frac{\rho_{m,n}}{a} r\right) \exp(-im\theta),$$

$$B_\theta = \frac{iE_0 \varepsilon\mu\omega_{m,n,p}}{\gamma_{m,n,p} c} \cos(kz) \exp(-im\theta) \frac{\partial J_m(\rho)}{\partial \rho}$$

## Design of $TM_{010}$ -mode single cell cavity

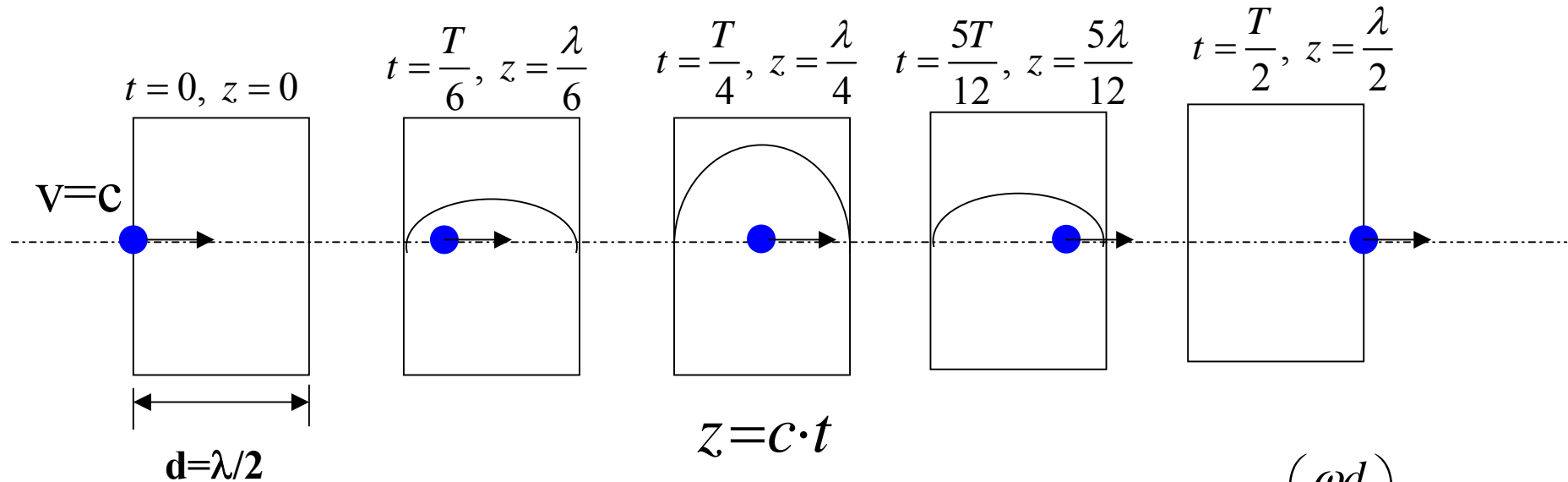
### **Exercise V.**

**Make design a 1300MHz single cell Pill Box cavity**

- 1. What is the diameter of the cell?**
- 2. What is the cell length?**

# Transit time factor

$$E_z(r=0, z, t) = E_0 J_0\left(\frac{\rho_{0,1}}{a} r\right) \cdot \exp(-i\omega t)$$



$$V = \left| \int_0^d E_z(r=0, z) e^{i\omega t} dz \right| = \left| \int_0^d E_z(r=0, z) e^{i\omega \frac{z}{c}} dz \right| = E_0 \left| \int_0^d e^{i\omega \frac{z}{c}} dz \right| = E_0 d \frac{\sin\left(\frac{\omega d}{2c}\right)}{\frac{\omega d}{2c}} = E_0 d \cdot T$$

$T$  : Transit time factor

$$T = \frac{2}{\pi} = 0.637 \quad (\text{for Pill Box Cavity})$$

$$E_{acc} \equiv \frac{V}{d} = E_0 T$$

# Characteristic parameters of RF cavity

Surface Impedance  $Z[\Omega]$ :  $Z \equiv \frac{E_{//}}{H_{//}} = R_S + iX, \quad R_S = \frac{1}{\sigma\delta} = \sqrt{\frac{\mu\omega}{2\sigma}},$

Skin depth  $\delta$  [m]:  $\delta = \sqrt{\frac{2}{\mu\omega\sigma}}$

Wall loss  $P_{\text{loss}}$  [W]:  $P_{\text{loss}} = \frac{1}{2} R_S \int_S H_S^2 ds \quad \left( = \frac{\pi R_S E_0^2}{(\mu/\varepsilon)} J_1^2(2.405) \cdot a \cdot (a+d) \quad \text{for pill box cavity} \right)$

Transit time factor  $T$ :  $T = \frac{\int_0^d E_z e^{i(\omega \times \frac{z}{c})} dz}{\int_0^d E_z dz} \quad \left( = \frac{2}{\pi} \quad \text{for pill box cavity} \right)$

Accelerating Voltage  $V$ :  $V = \int_0^d E_0(\rho=0, z) e^{i(\omega \frac{z}{c})} dz \quad \left( = dE_0 T \quad \text{for pill box} \right)$

Accelerating gradient  $E_{\text{acc}}$ :  $E_{\text{acc}} = \frac{V}{d} \quad \left( = E_0 T = 2 \frac{E_0}{\pi} \quad \text{for pill box cavity} \right)$

Stored energy  $U$ :  $U = \frac{1}{2} \mu \int_V H^2 dv = \frac{1}{2} \varepsilon \int_V E^2 dv \quad \left( = \frac{\pi \varepsilon E_0^2}{2} \cdot J_1^2(2.405) \cdot d \cdot a^2 \quad \text{for pill box cavity} \right)$

Unloaded Q-value  $Q_0$ :  $Q_0 = \frac{\omega \cdot U}{P_{\text{loss}}} \quad \left( = \omega \cdot \frac{\mu \cdot a^2 d}{2 \cdot a(a+d)} \cdot \frac{1}{R_S} \quad \text{for pill box cavity} \right)$

# Characteristic parameters of RF cavity

Shunt impedance  $R_{sh} [\Omega]$ :  $R_{sh} = \frac{V^2}{P_{loss}}$  ( $= \frac{4(\epsilon/\mu)d^2}{\pi^3 R_s J_1^2(2.405)a(a+d)}$  for pill box cavity)

Geometrical factor  $\Gamma$ :  $\Gamma = Q_0 \cdot R_s = \frac{\omega\mu \int_V H^2 dv}{\int_S H_s^2 ds}$  ( $= \frac{\omega\mu da^2}{2(a^2 + ad)}$  for pill box cavity)  $\Rightarrow R_s = \frac{\Gamma}{Q_0}$

$R/Q$ :  $(R/Q) = \frac{R_{sh}}{Q_0} = \frac{V^2}{\omega U}$  Goodness of the cavity shape, No dependent on material

$E_{SP}/E_{acc}$  ( $= \frac{\pi}{2} = 1.57$  for pill box cavity),  $H_{SP}/E_{acc}$  ( $= 30.5 \frac{O_e}{MV/m}$  for pill box cavity)

Smaller value is better from field emission problem point of view

Smaller value is better from high gradient point of view

Pill-box cavity maximum  $E_{acc} = 1750/30.5 = 57.4 MV/m$



# Frequency dependence of the cavity parameters

Characteristic Parameter	$\omega$ dependence Normal conducting	$\omega$ dependence Super conducting
$R_s$	$\omega^{\frac{1}{2}}$	$\omega^2$
$P_{\text{loss}}$	$\omega^{-\frac{3}{2}}$	No dependence
U	$\omega^{-3}$	$\omega^{-3}$
$Q_0$	$\omega^{-\frac{1}{2}}$	$\omega^{-2}$
$R_{\text{sh}}$	$\omega^{-\frac{1}{2}}$	$\omega^{-2}$
$R_{\text{sh}}/L$	$\omega^{\frac{1}{2}}$	$\omega^{-1}$
$\Gamma$	No dependence	No dependence
R/Q	No dependence	No dependence

$R_{\text{sh}}$  per length linearly increases to  $\sqrt{\omega}$ , so normal conducting choose higher frequency, for example 11.4GHz @ warm LC.

## 3.2 Criteria General for Cavity Shape

---

- ◆ Suppressed Multipacting
  - ◆ Lower Surface Electric field

---

  - ◆ Lower Surface Magnetic field
  - ◆ High Efficient
  - ◆ High Gradient
- } incorporate

2001-2004

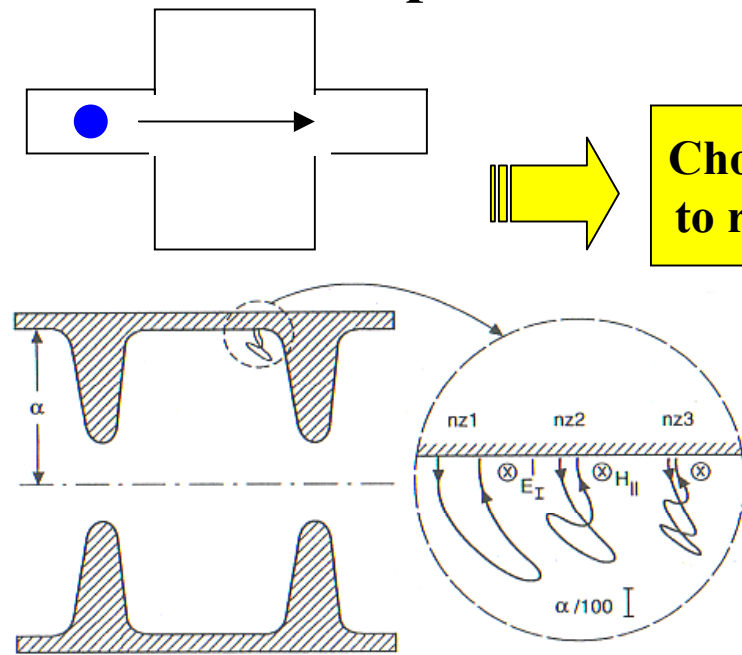
# Real Cavity Design

- 1) Need a hole on the cavity for electron to pass the cavity
  - 2) Need RF input port
  - 3) HOM coupler port
  - 4) High efficient cavity
  - 5) Better performance
- Smaller  $E_p/E_{acc}$  : Field emission  
Smaller  $H_p/E_{acc}$  : Multipaction

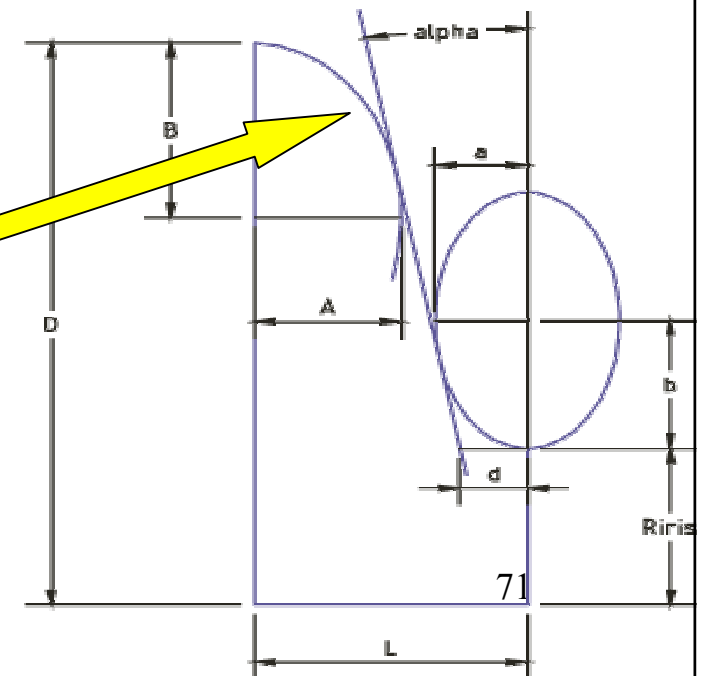
Diameter of BP	→	bigger
$E_p/E_{acc}$	→	larger
$H_p/E_{acc}$	→	larger
R/Q	→	larger
Cell to cell coupling	→	smaller
HOM issue	→	more serious

Need Beam pipes on both Ends

Optimization of cell shape  
Multi-cell cavity

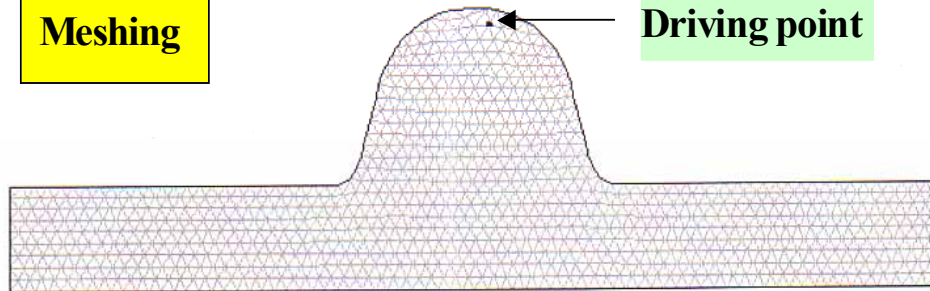


Choose spherical shape to reduce multipacting



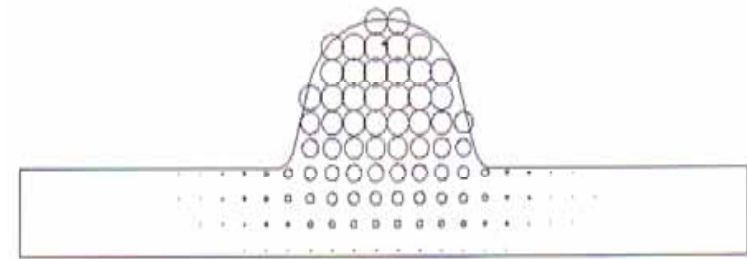
# Cavity Design (single cell cavity)

Meshing



Driving point

Superfish



All calculated values below refer to the mesh geometry only.

Field normalization (NORM = 0): EZERO = 1.00000 MV/m

Length used for E0 normalization = 10.76000 cm

Frequency (starting value = 1300.000) = 1293.77430 MHz

Particle rest mass energy = 0.510999 MeV

Beta = 1.0000000

Normalization factor for E0 = 1.000 MV/m = 7048.913

Transit-time factor Abs(T+iS) = 0.5454664

Stored energy = 0.0038869 Joules

Using standard room-temperature copper.

Surface resistance = 9.38405 milliOhm

Normal-conductor resistivity = 1.72410 microOhm-cm

Operating temperature = 20.0000 C

Power dissipation = 1118.1551 W

Q = 28257.6 Shunt impedance = 96.230 MOhm/m

Rs\*Q = 265.171 Ohm Z\*T\*T = 28.632 MOhm/m

r/Q = 109.024 Ohm Wake loss parameter = 0.22157 V/pC

Average magnetic field on the outer wall = 1729.9 A/m, 1.40411 W/cm<sup>2</sup>

Maximum H (at Z,R = 3.32643,8.55466) = 1753.44 A/m, 1.44258 W/cm<sup>2</sup>

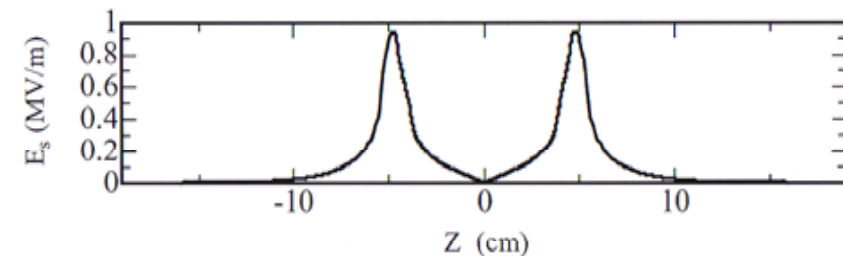
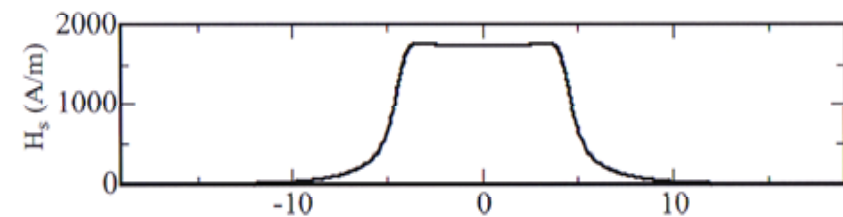
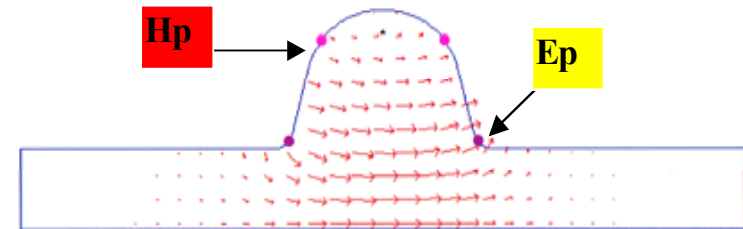
Maximum E (at Z,R = 4.75232,4.24425) = 0.946176 MV/m, 0.02953 Kilp.

Ratio of peak fields Bmax/Emax = 2.3288 mT/(MV/m)

Peak-to-average ratio Emax/E0 = 0.9462

Hp

Ep



# Exercise VI.

## Superfish outputs

$$f_0 = 1293.77430 \text{ MHz}$$

$$P_{\text{loss}} = 118.1551 \text{ W}$$

$$R_s Q = 265.171 \ \Omega$$

$$Q_0 = 28257.6$$

$$(R_{\text{sh}}/Q) = 109.24 \ \Omega$$

$$H_p = 1753.44 \text{ A/m}$$

$$E_p = 0.946176 \text{ MV/m}$$

Calculate the following cavity RF parameters from the Superfish outputs.

$$R_{\text{sh}} [\Omega] =$$

$$\text{Accelerating Voltage } V [\text{MV}] =$$

$$\text{RF wave length } \lambda [\text{m}] =$$

$$\text{Gradient } E_{\text{acc}} = V/L_{\text{eff}} [\text{MV/m}] = \quad , \text{ defined as } L_{\text{eff}} = \lambda/2$$

$$H_p/E_{\text{acc}} [\text{Oe}/(\text{MV/m})] = \quad , \text{ use } 1 \text{ A/m} = 4\pi \cdot 10^{-3} \text{ Oe}$$

$$E_p/E_{\text{acc}} =$$

$$E_{\text{acc}} [\text{MV/m}] = Z \cdot \sqrt{P_{\text{loss}} \cdot Q_0} \quad , \quad Z =$$

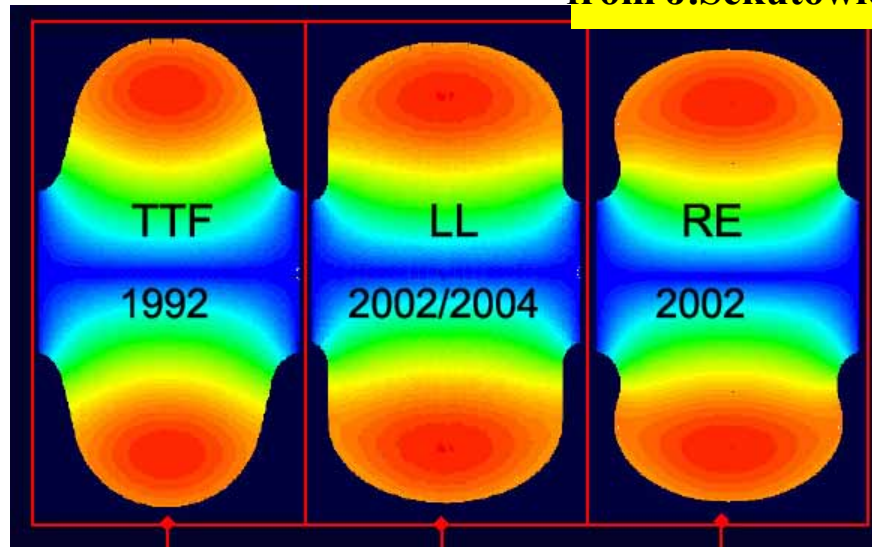
$$\text{Geometrical factor } \Gamma [\Omega] =$$

# High Gradient Shapes

## Cavity shape designs with low $H_p/E_{acc}$

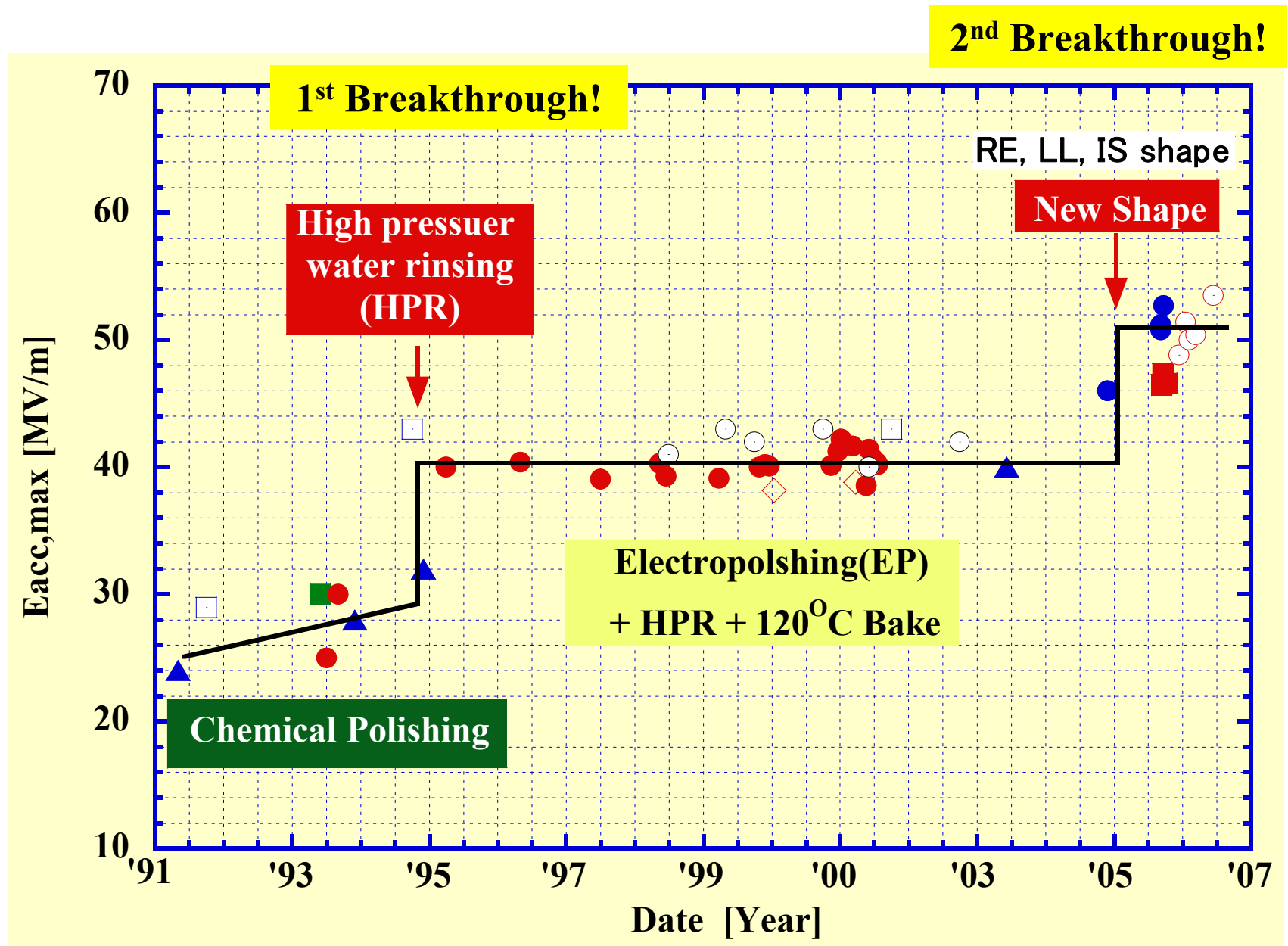
from J.Sekutowicz lecture Note

TTF: TESLA shape  
 Reentrant (RE): Cornell Univ.  
 Low Loss(LL): JLAB/DESY  
 Ichiro-Single (IS): KEK



	TESLA	LL	RE	IS
<b>Diameter [mm]</b>	<b>70</b>	<b>60</b>	<b>66</b>	<b>61</b>
<b><math>E_p/E_{acc}</math></b>	<b>2.0</b>	<b>2.36</b>	<b>2.21</b>	<b>2.02</b>
<b><math>H_p/E_{acc}</math> [Oe/MV/m]</b>	<b>42.6</b>	<b>36.1</b>	<b>37.6</b>	<b>35.6</b>
<b>R/Q [W]</b>	<b>113.8</b>	<b>133.7</b>	<b>126.8</b>	<b>138</b>
<b>G[W]</b>	<b>271</b>	<b>284</b>	<b>277</b>	<b>285</b>
<b><math>E_{acc}</math> max</b>	<b>41.1</b>	<b>48.5</b>	<b>46.5</b>	<b>49.2</b>

# Eacc vs. Year



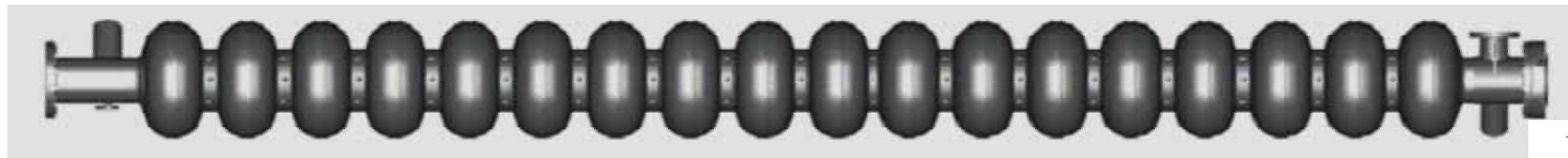
## 3.3 Criteria for Multi-cell Structures

### Pros and cons for a multi-cell structure

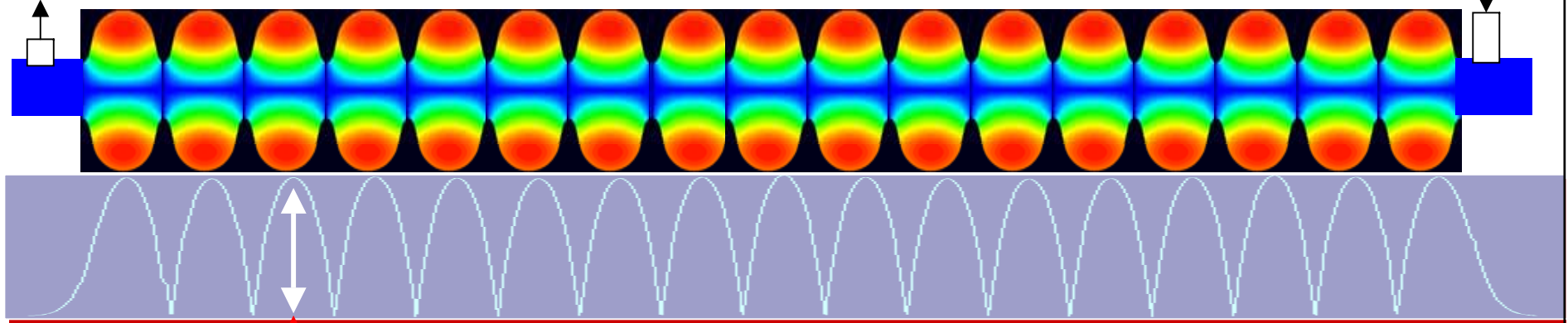
- *Cost of accelerators is lower (less auxiliaries: LHe vessels, tuners, fundamental power couplers, control electronics)*
  - *Higher real-estate gradient (better fill factor)*
- 
- *Field flatness vs.  $N$*
  - *HOM trapping vs.  $N$*
  - *Power capability of fundamental power couplers vs.  $N$*
  - *Chemical treatment and final preparation become more complicated*
  - *The worst performing cell limits whole multi-cell structure*



# How to decide the number of cells



HOM



RF

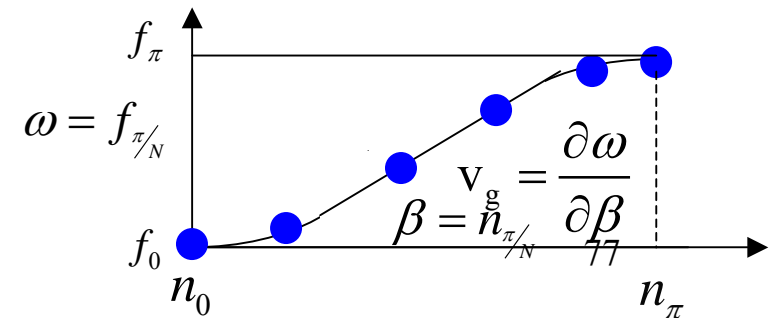
$$\frac{\Delta A_i}{A_i} = a_{ff} \frac{\Delta f_i}{f_i} = \frac{N^2}{k_{cc}} \cdot \frac{\Delta f_i}{f_i}$$

**N: number of cells**

**Field flatness factor :** 
$$a_{ff} = \frac{N^2}{k_{cc}}$$

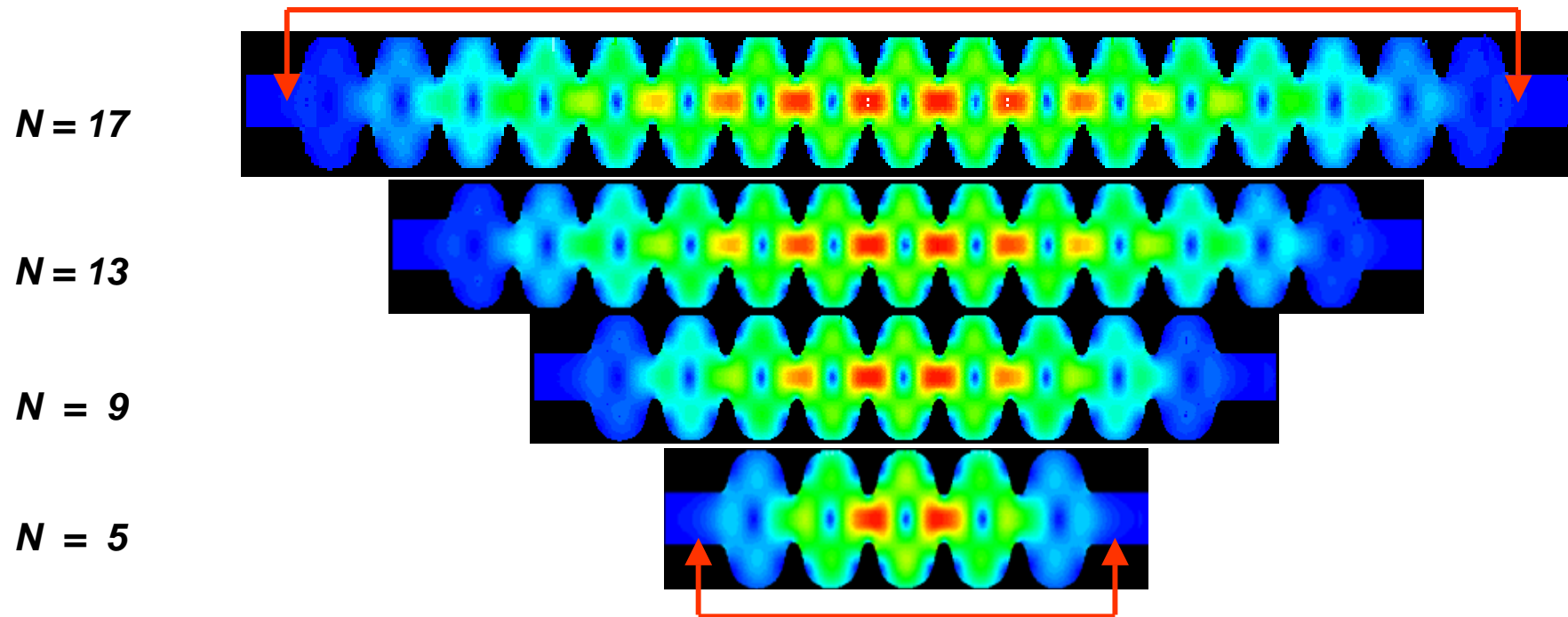
**Cell to cell coupling :** 
$$k_{cc} = 2 \cdot \frac{f_\pi - f_0}{f_\pi + f_0}$$

**Beam pipe has no acceleration beam.  
BP reduce the efficiency.  
Multi-cell is more efficient.**



# HOM trapping vs. N

No fields at HOM couplers positions, which are always placed at end beam tubes

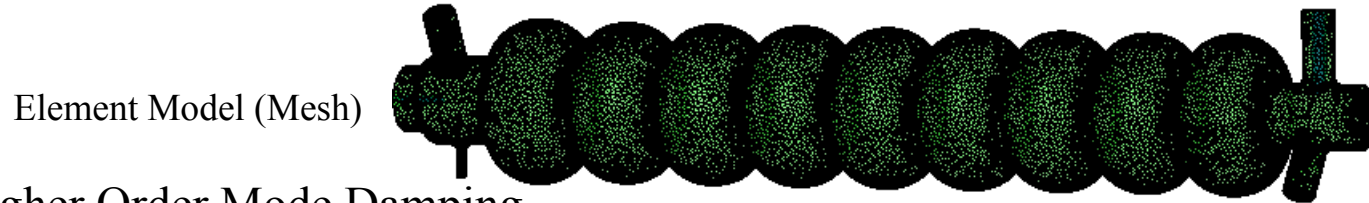


e-m fields at HOM couplers positions

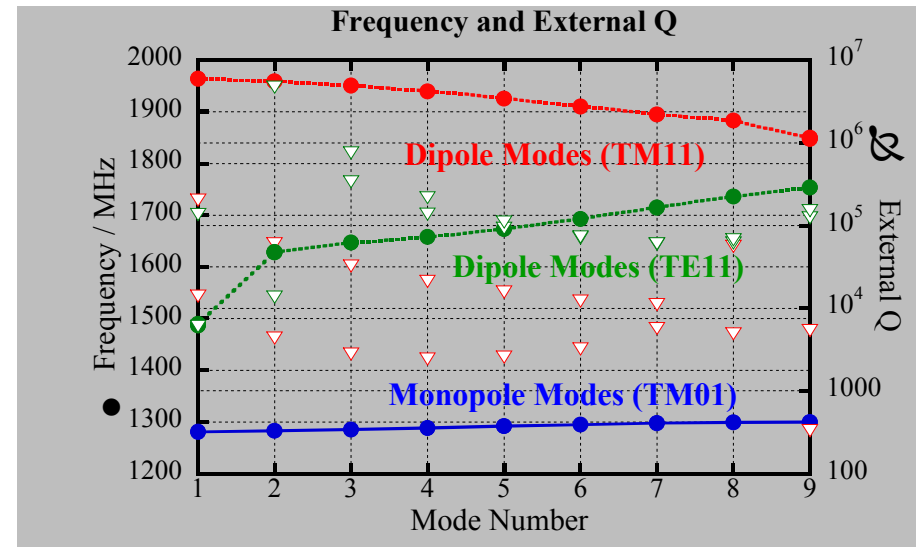
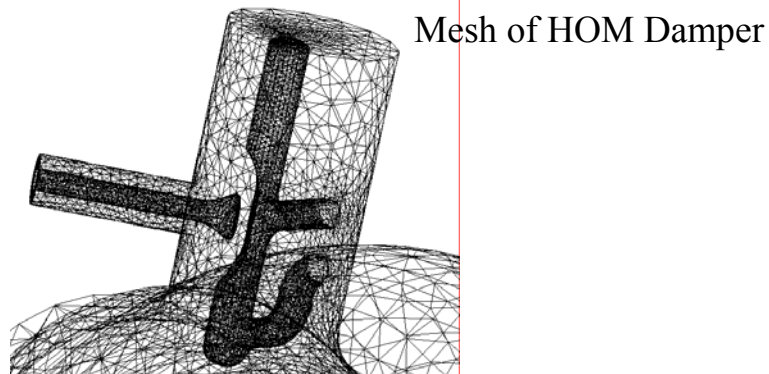
Smaller number of cells is easy to take out HOMs.

# RF Structure Simulation

Currently full 3D analysis is possible using codes Omega or ANALIS, example SLAC, KEK

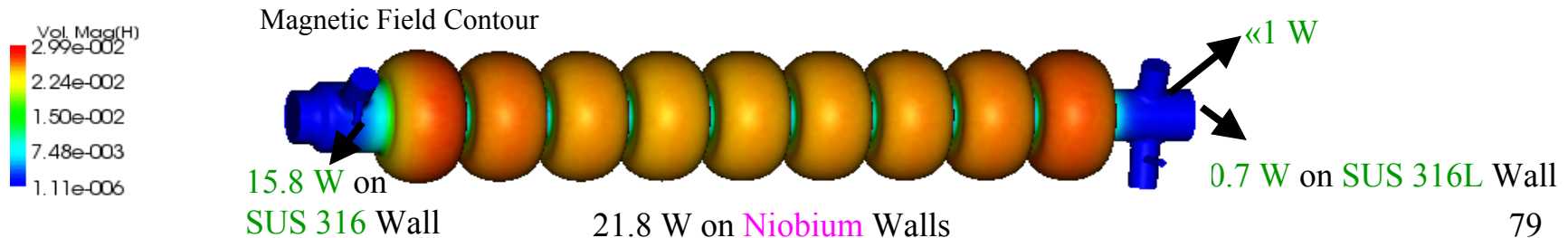


## Simulation of Higher Order Mode Damping

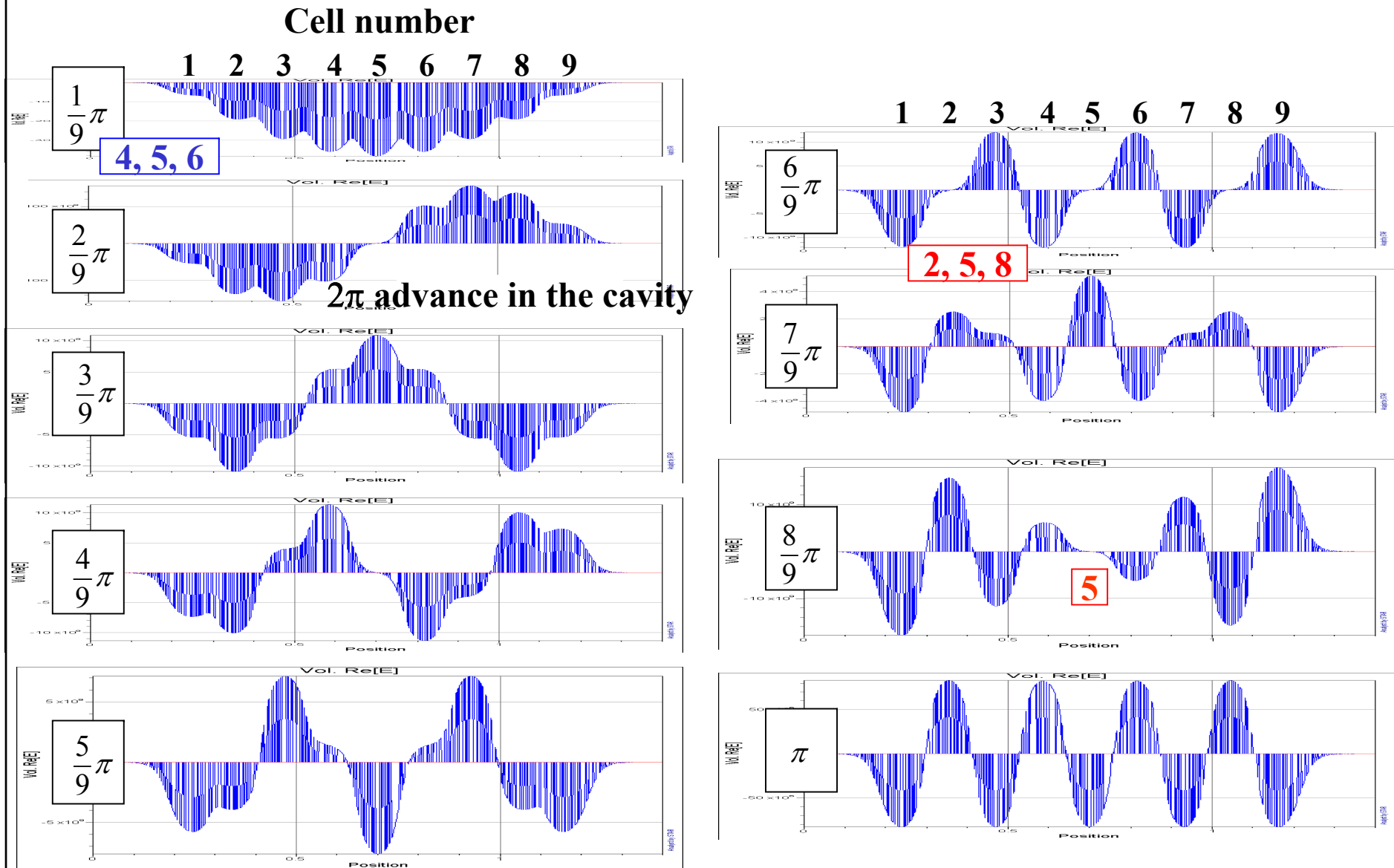


## Analysis of Wall Loss in Vertical Testing

Measured Low Q of  $1.1 \times 10^{10}$  at 21 MV/m for 38 W ----- Reproduced by Simulation



# Pass-band modes of TM<sub>010</sub> in a 9-cell cavity



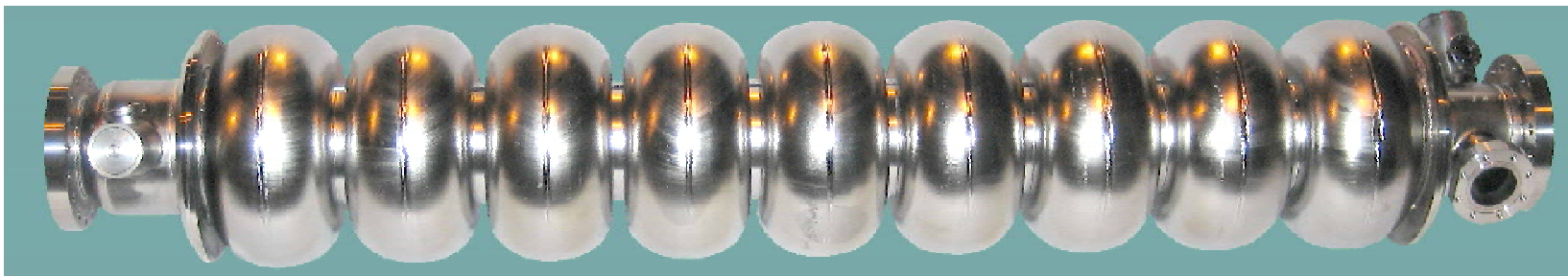
# ILC Cavity

**BCD Cavity shape : TESLA**

**17000 cavities**



**ACD cavity shape : LL**



# 4. HOM Issues

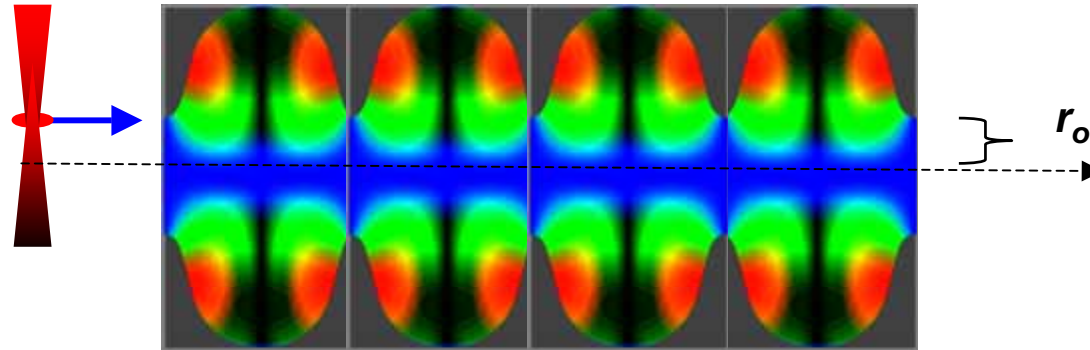
**4.1 HOM**

**4.2 HOM Coupler**

# 4.1 HOM (Higher Order Mode)

The beam will excite HOM modes, if it passes off beam axis of the cavity.

J.Sekutwitz's Slide



The amount of induced energy by charge  $q$  is:

$$\Delta U_q = k_{\parallel} \cdot q^2 \quad \text{for monopole modes (max. on axis)}$$

$$\Delta U_q = k_{\perp} \cdot q^2 \quad \text{for non monopole modes (off axis)}$$

where  $k_{\parallel}$  and  $k_{\perp}(r)$  are loss factors for the monopole and transverse modes respectively.

The induced E-H field is a superposition of cavity eigenmodes having the component of the electric field along the trajectory.

# HOM Problem

J.Sekutwitz's Slide

*Two kind of phenomena can limit performance of a machine due to the beam induced HOM power:*

- ➔ *Beam Instabilities and/or dilution of emittance*
- ➔ *Additional cryogenic power and/or overheating of HOM couplers output lines*

## *Beam instabilities and/or dilution of emittance*

*Transverse modes (dipoles) causing emittance growth+ monopoles causing energy spread*

*This is mainly problem*

*in linacs: TESLA or ILC, CEBAF, European XFEL, linacs driving FELs.*

## *Additional cryogenic power and/or overheating of HOM couplers output lines*

*Monopoles having high impedance on axis are excited by the beam and store energy which must be coupled out of cavities, since it causes additional cryogenic load, and induces energy spread.*

*This is mainly problem*

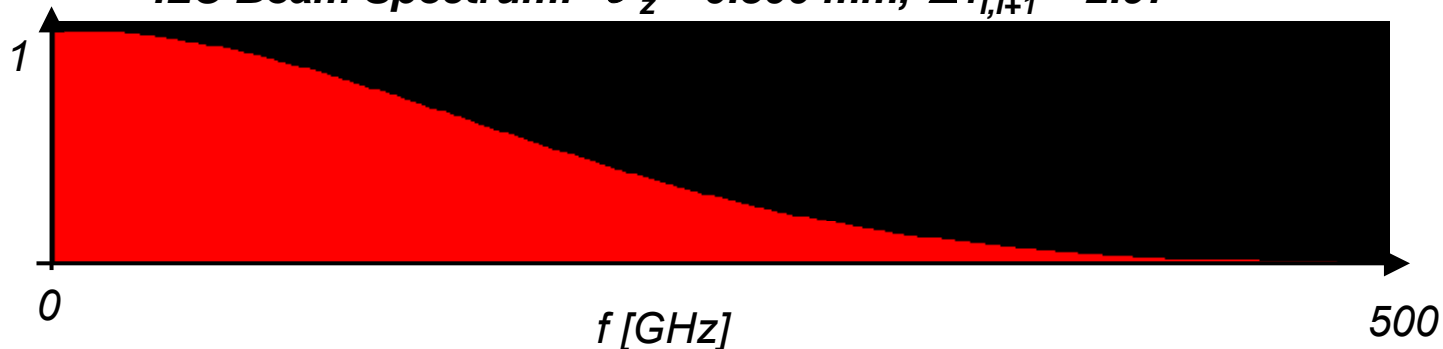
*in high beam current machines: B-Factories, Synchrotrons, Electron cooling.*

**HOM modes has to be taken out from the cavity through HOM coupler.**



Spectra of accelerated beams, which bunches are shorter than 1 mm, extend to hundreds of GHz.

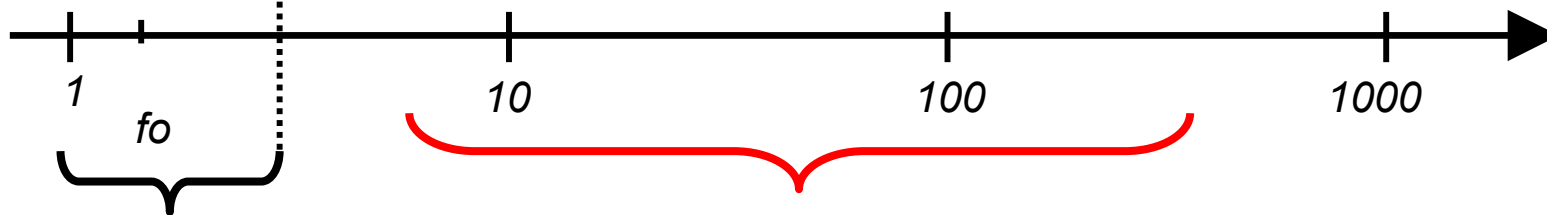
**ILC Beam Spectrum:  $\sigma_z = 0.300$  mm,  $\Delta f_{i,i+1} = 2.97$**



**Trapped in cavity**      **Propagate beam pipe**

*Modes under cut-off,  
(R/Q) up to 160Ω/cavity*

*Propagating modes,  
(R/Q) up to ~5 Ω/cavity*

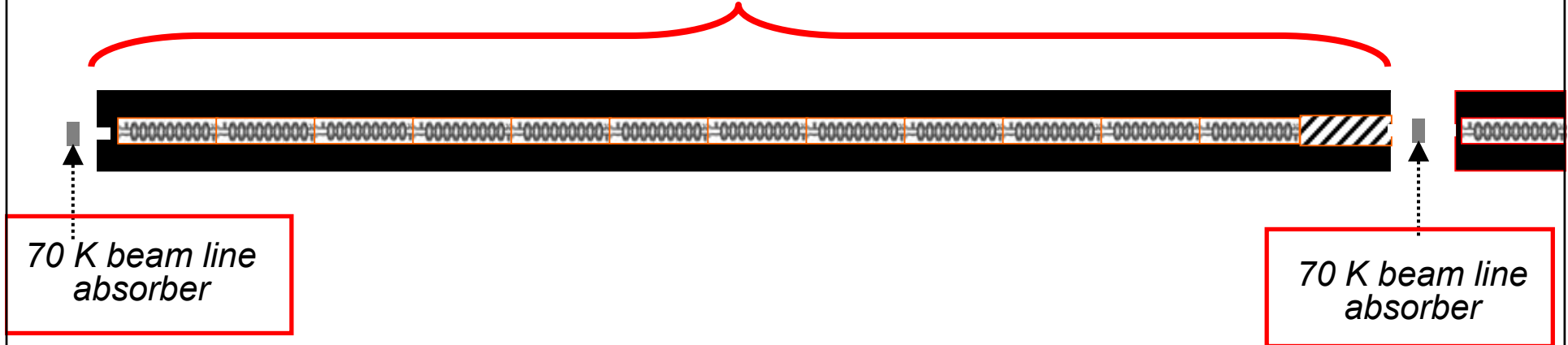


*Damped by  
HOM couplers*

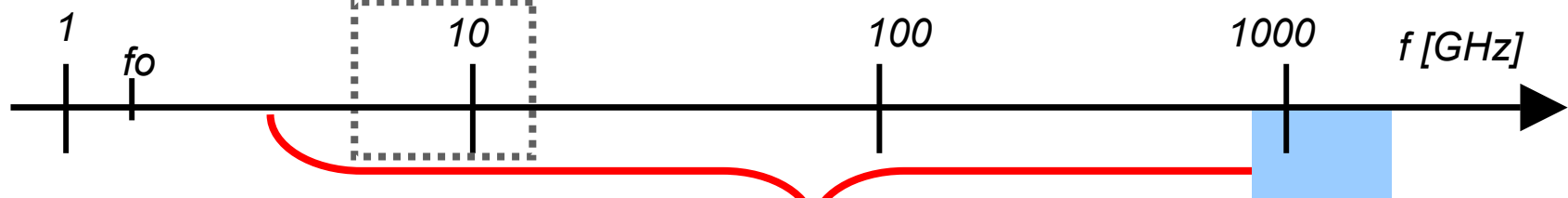
*Damped by beam line absorbers  
outside the N-cavity cryomodule*

# Damping the HOM with higher frequency over than Cut-off frequency

17 m long TDR cryomodule: 12 cavities.



Gray-zone ?  
 $5 \text{ GHz} < f < 15 \text{ GHz}$

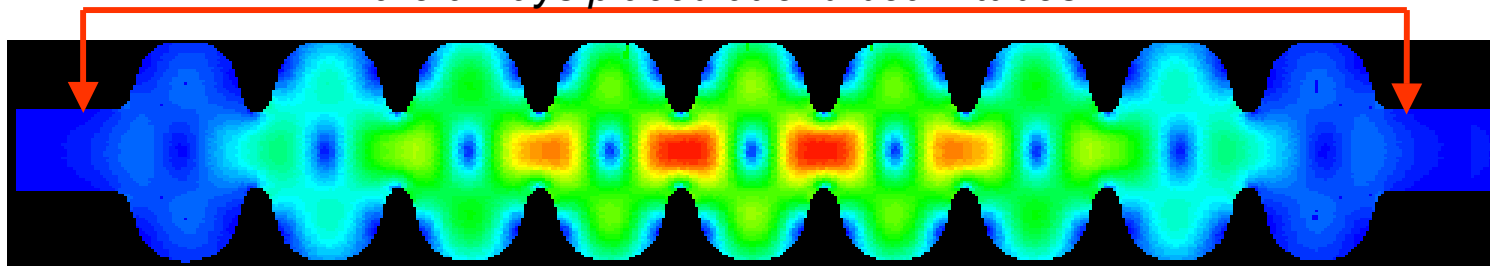


Propagating modes above cut-off.

# Attention to Trapped modes damping through HOM coupler

*HOM couplers limit RF-performance of sc cavities when they are placed on cells*

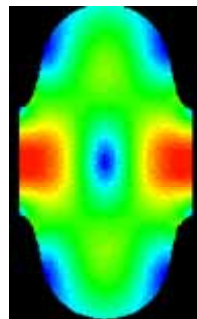
*no E-H fields at HOM couplers positions, which are always placed at end beam tubes*



*The HOM trapping mechanism is similar to the FM field profile unflatness mechanism:*

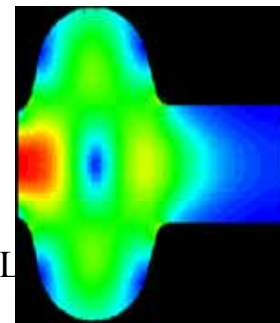
- *weak coupling HOM cell-to-cell,  $k_{cc,HOM}$*
- *difference in HOM frequency of end-cell and inner-cell*

$f = 2385 \text{ MHz}$



K.Saito

*That is why they hardly resonate together*



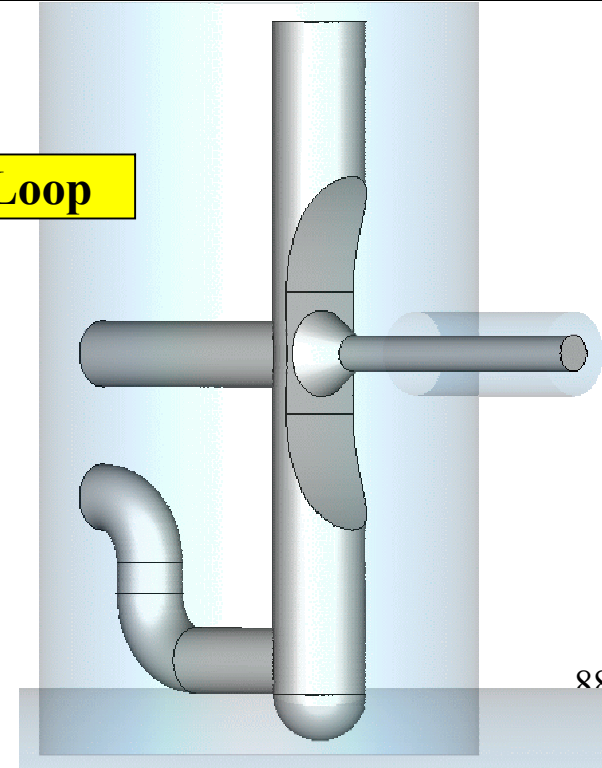
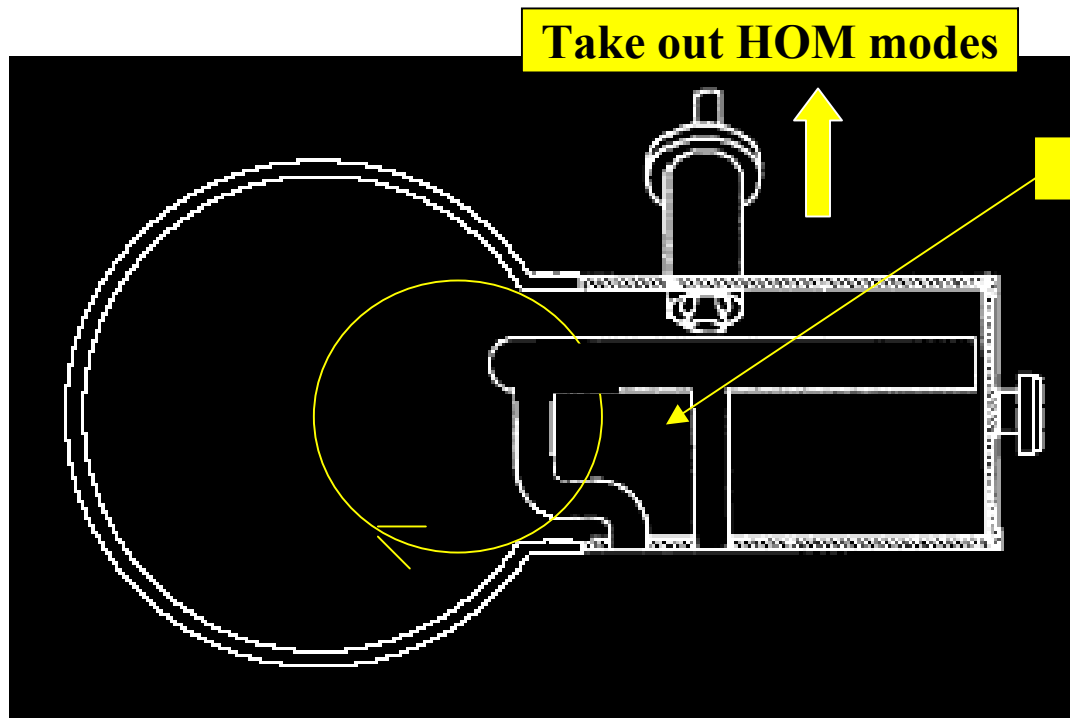
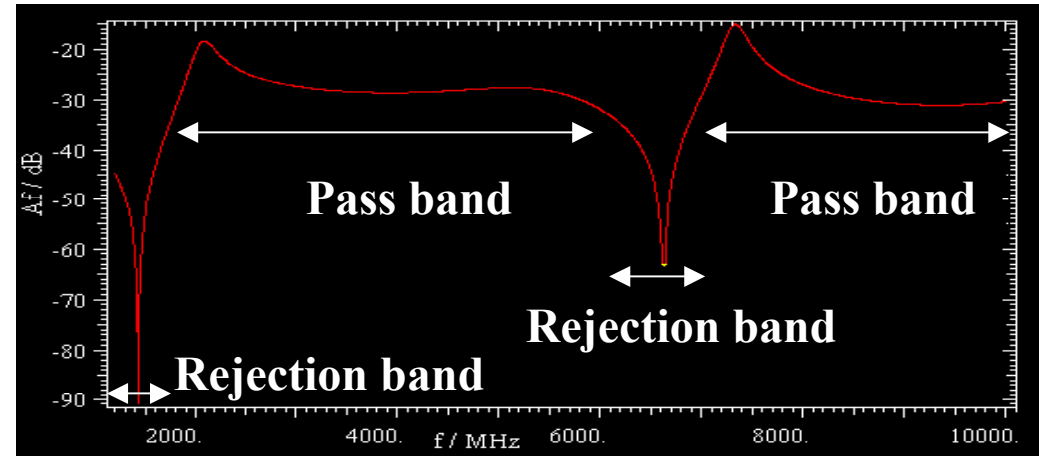
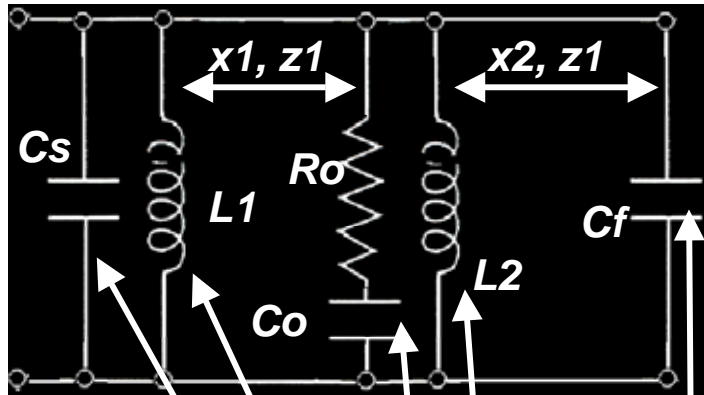
$f = 2415 \text{ MHz}$

ILC 2nd Summer School I  
Note

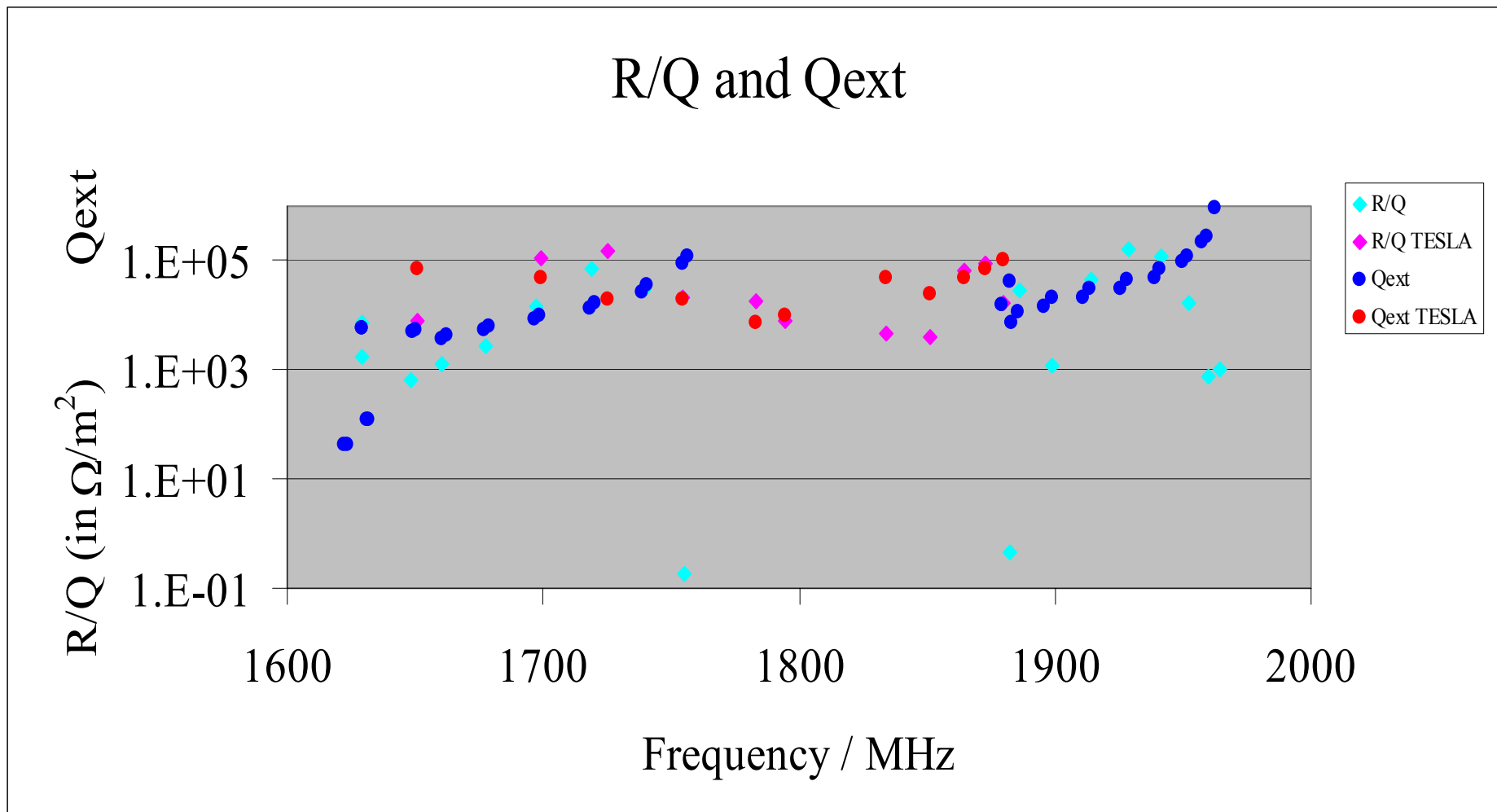
87

## 4.2 HOM Coupler

The TESLA-like HOM couplers are nowadays designed in frequency range: 0.8-3.9 GHz

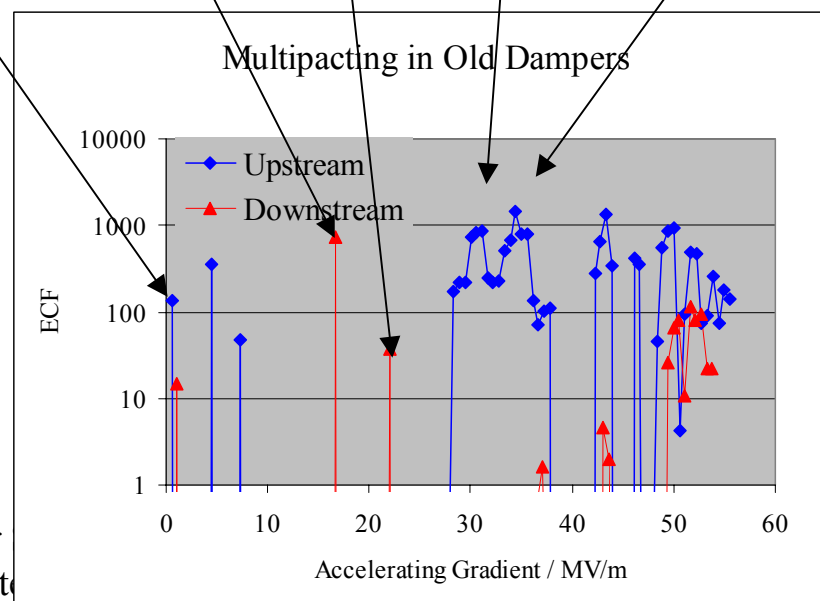
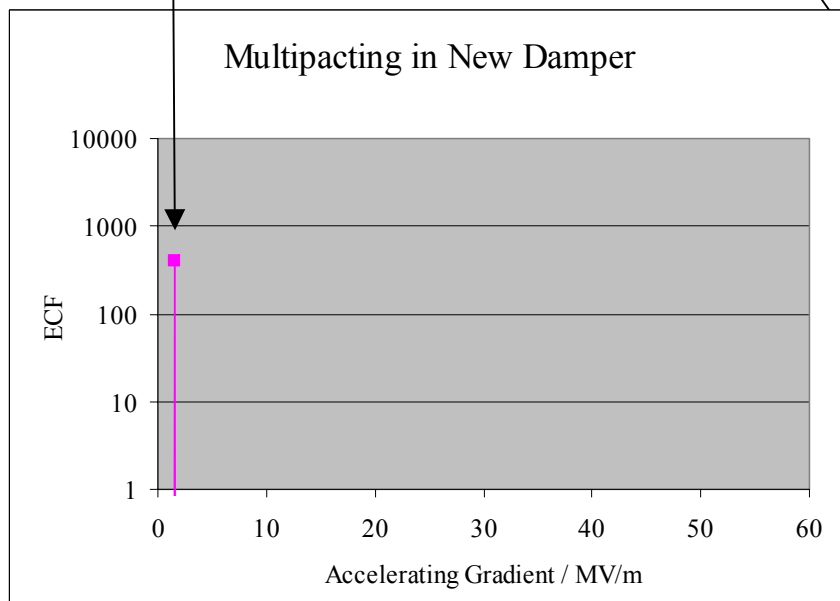
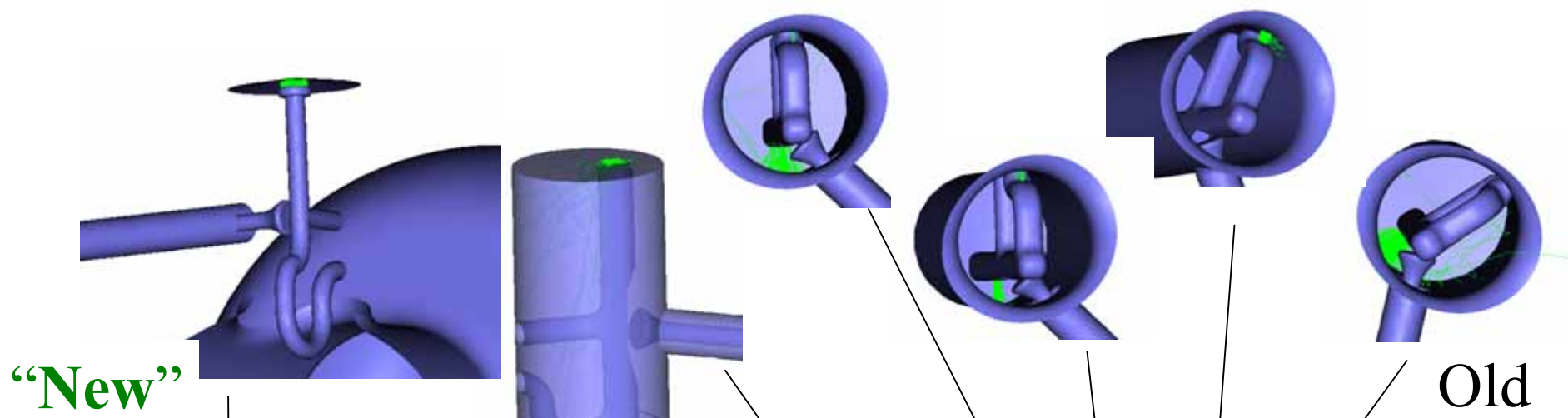


# Comparison in Damping Performance of HOMs



# Suppression of Multipacting in HOM Cylinder by better HOM coupler design

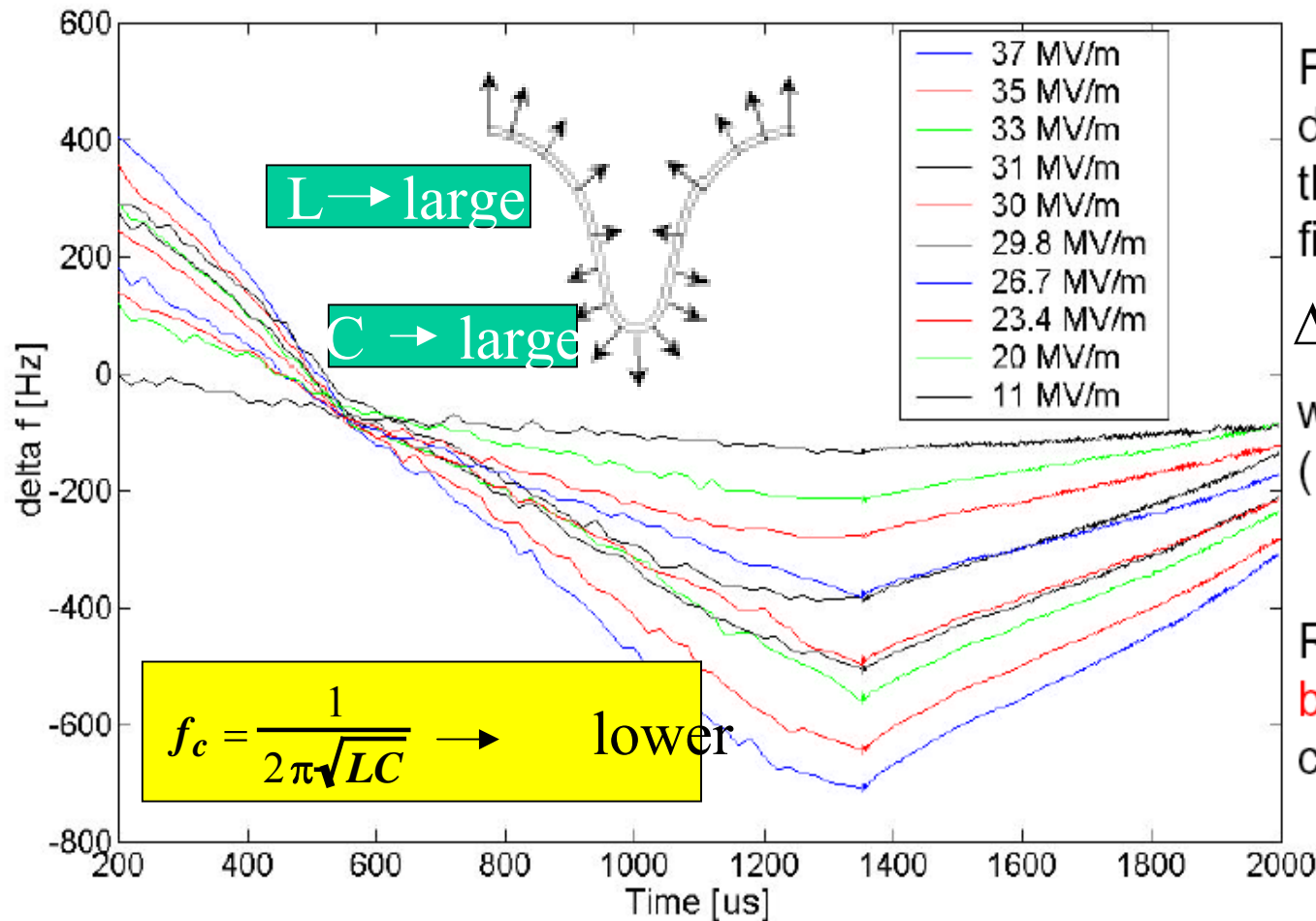
By Y.Morozumi @ KEK



# 5. Lorentz Detuning

# Frequency detuned by Lorentz force

## Frequency Detuning during RF Pulse



Frequency detuning due Lorentz forces of the electromagnetic field in the cavities:

$$\Delta f = -K \cdot E_{\text{acc}}^2$$

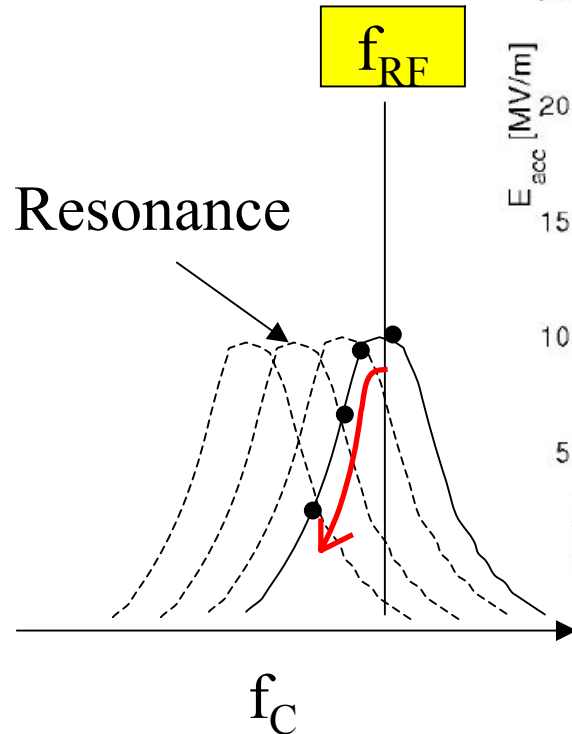
where  $K \approx 1 \text{ Hz} / (\text{MV/m})^2$

Remember: **Cavity bandwidth** with main coupler is **300 Hz**

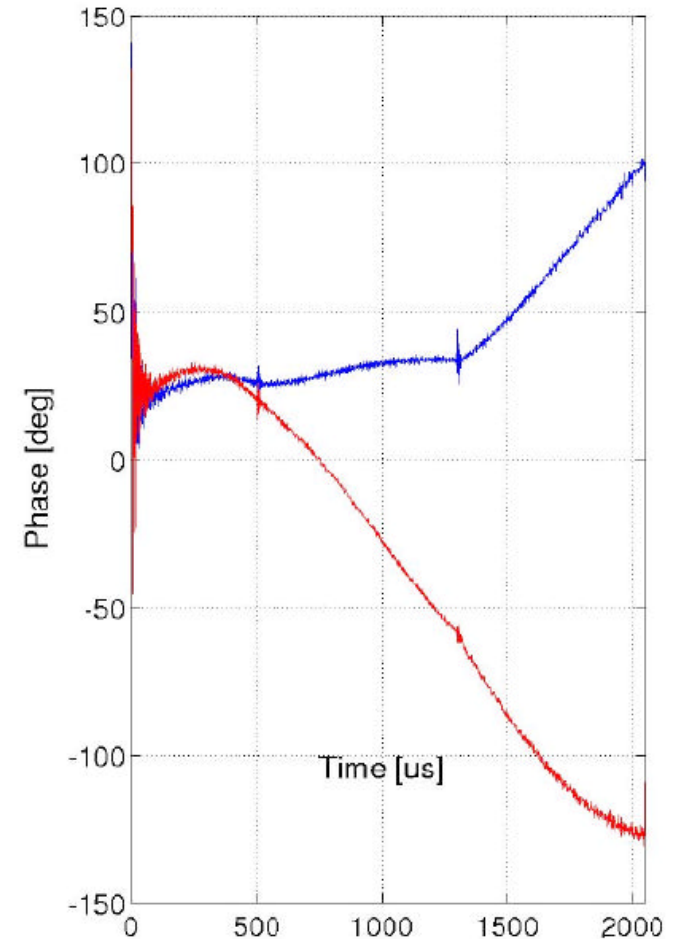
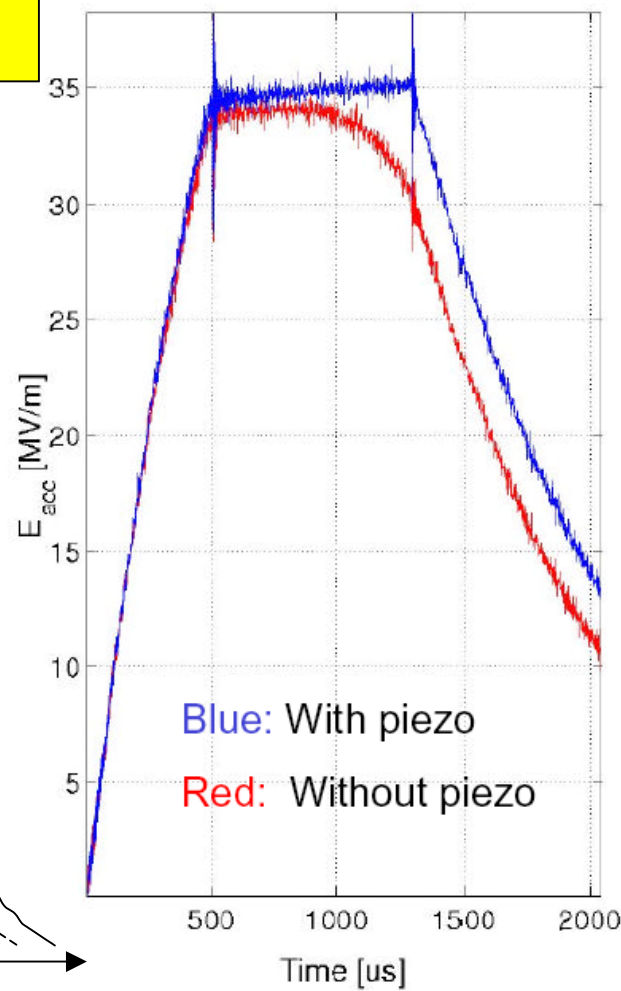


# Frequency and Phase Control by Piezo tuner

Lorentz detuning can be compensated with Piezo tuner control

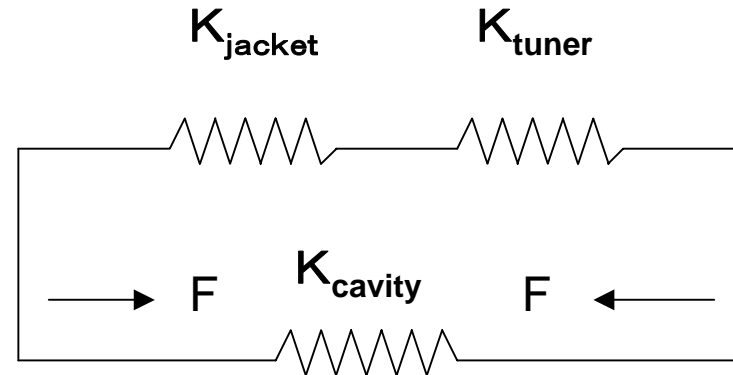
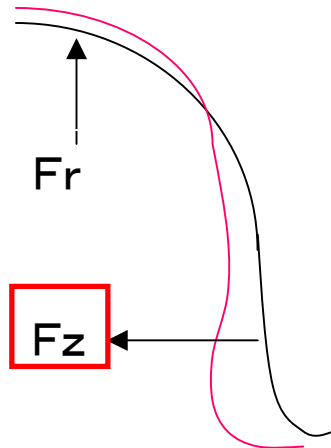


## RF Signals at 35 MV/m



# Tow components of Lorentz Deformation

Noguchi's slide in the 1<sup>st</sup> ILC school



$$\Delta f = \sum_{\text{mode}} a_k \delta f_k \approx \sum_{\Delta l=0} a_k \delta f_k + \frac{df}{dl} \frac{dl}{dF} F$$

$$= A E_{acc}^2 + \frac{df}{dl} \frac{B E_{acc}^2}{K_S}$$

**Rigid Stiffness at Jacket and Tuner are also Very important against the Lorentz Detuning.**

		TESLA Blade	STF Slide Jack	STF Ball Screw
A	Hz/(MeV/m) <sup>2</sup>	0.5	0.5	(1.2)
B	N/(MeV/m) <sup>2</sup>	0.047	0.047	0.051
df/dl	Hz/μm	320	320	370
dF/dl	N/μm	3	3	1.8
KS	N/μm	13	80	60
K <sub>jacket</sub>	N/μm	26	96	58
K <sub>tuner</sub>	N/μm	26	500	1700
Δf (30MV/m)	Hz	1490	620	(1360)
Fine Tuning Stroke	μm	3.7	1	2.9

# Lorentz Detuning Compensation Tuner System @ KEK

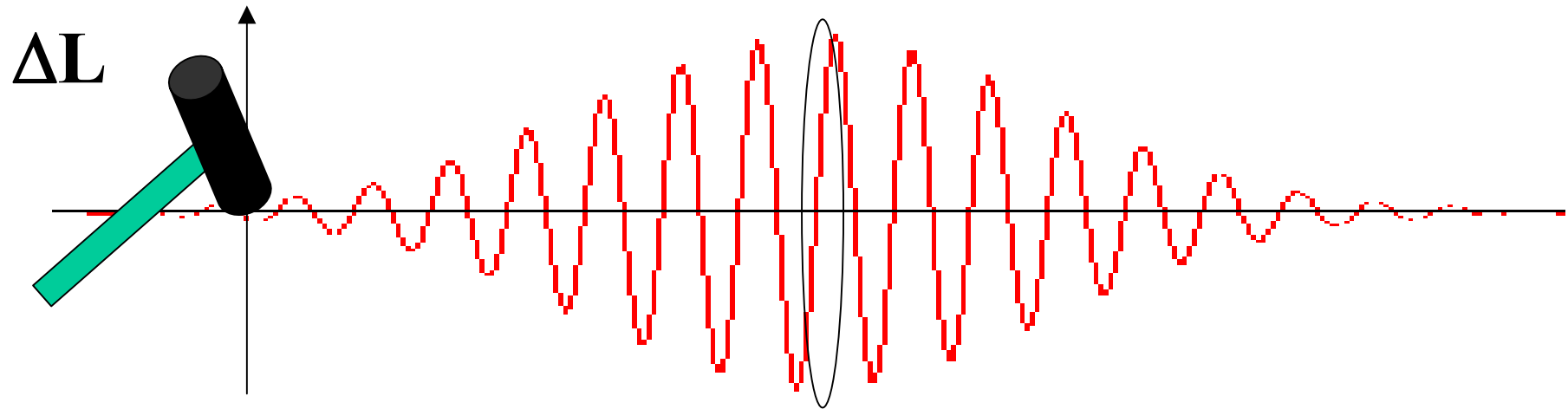
- 1) Use well established technology
  - 2) Rigidity during handling
  - 3) Wide Range Tuning Design
  - 4) Easy Replacement
  - 5) Less heat loss
  - 6) Less X-ray Damage
  - 7) Keep the possibility to move out of vacuum chamber
- Screw Ball Tuner
- Mechanical resonance
- Locate both tuners around 100K shield

Coaxial screw ball tuner

**NO BCD yet for ILC!**



# Principle of the Lorentz Detuning used mechanical resonance



**Frequency change**

3.4 $\mu\text{m}$   
@31.5MV/m

$$\Delta L \sim \Delta f$$

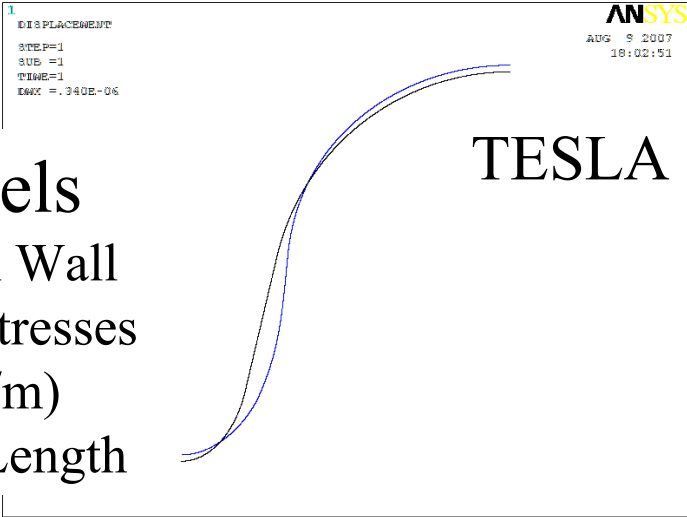
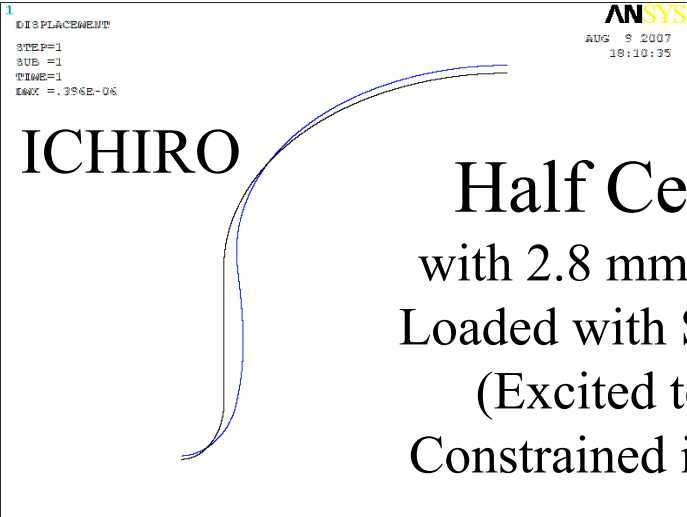
368Hz/ $\mu\text{m}$

1.3ms

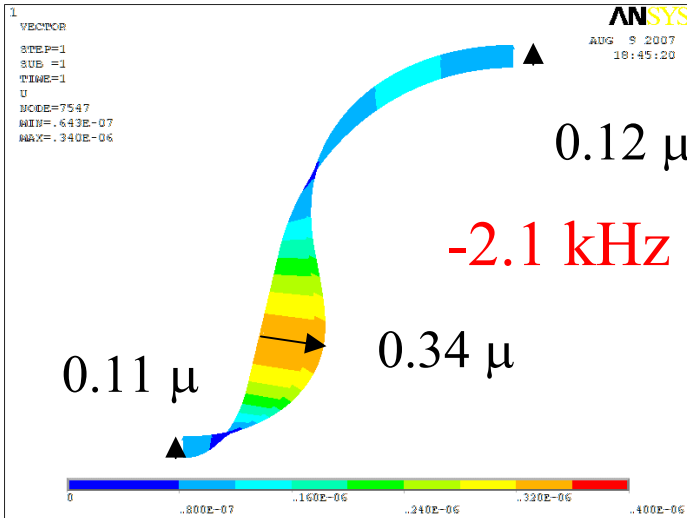
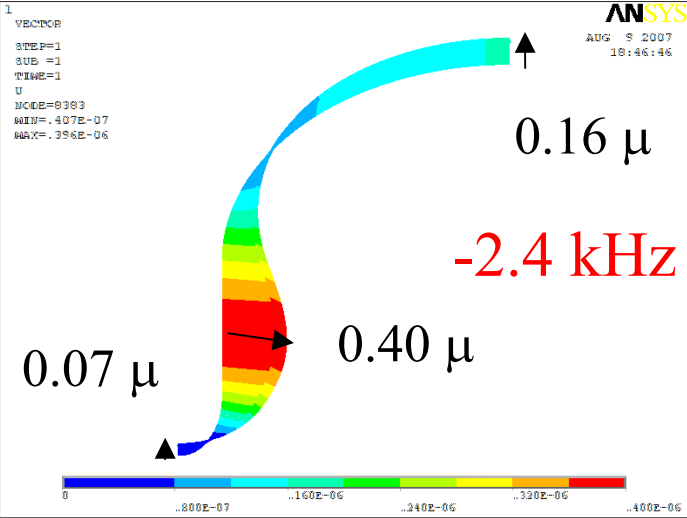
Compensation by  $\Delta L$

Cavity Lorentz detuning

# Comparison of Lorentz Force Deformation between different cell shapes



Half Cell Models  
with 2.8 mm Niobium Wall  
Loaded with Surface Stresses  
(Excited to 40 MV/m)  
Constrained in Axial Length

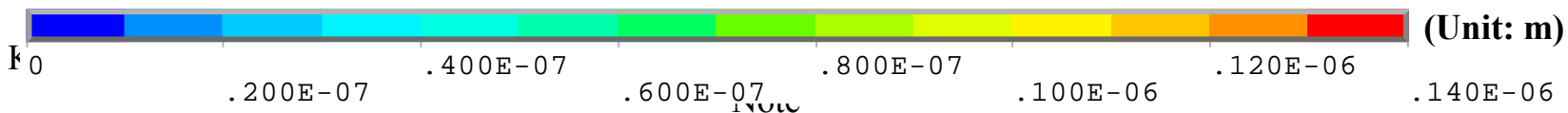
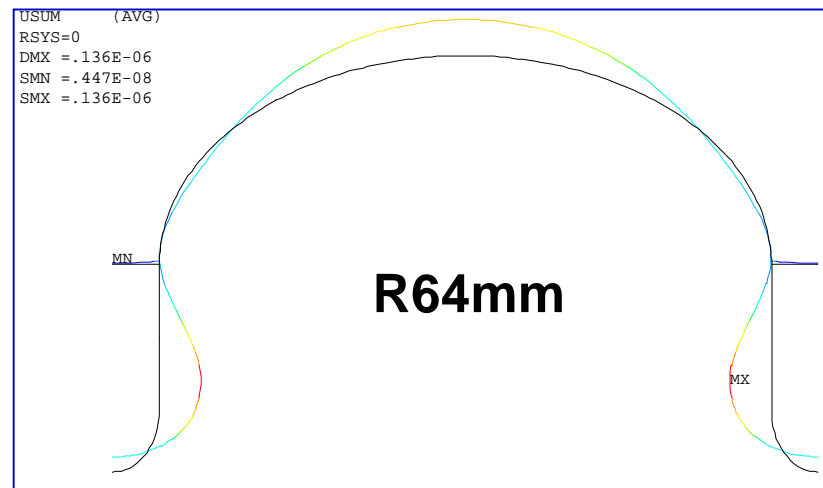
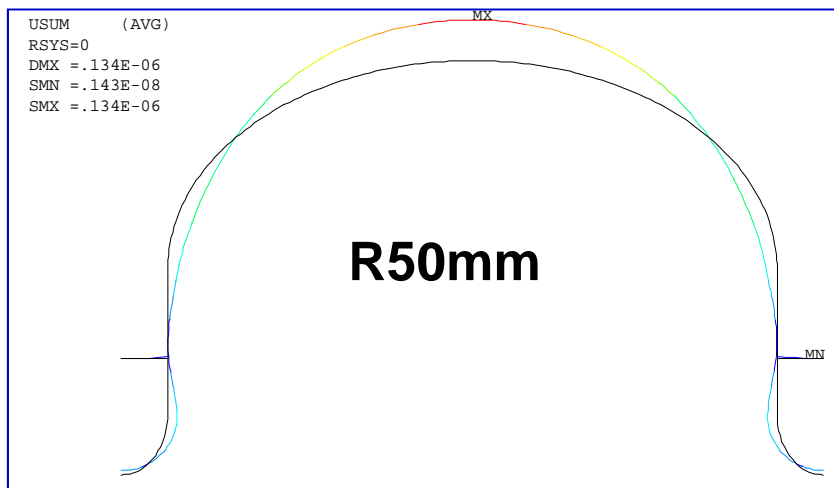
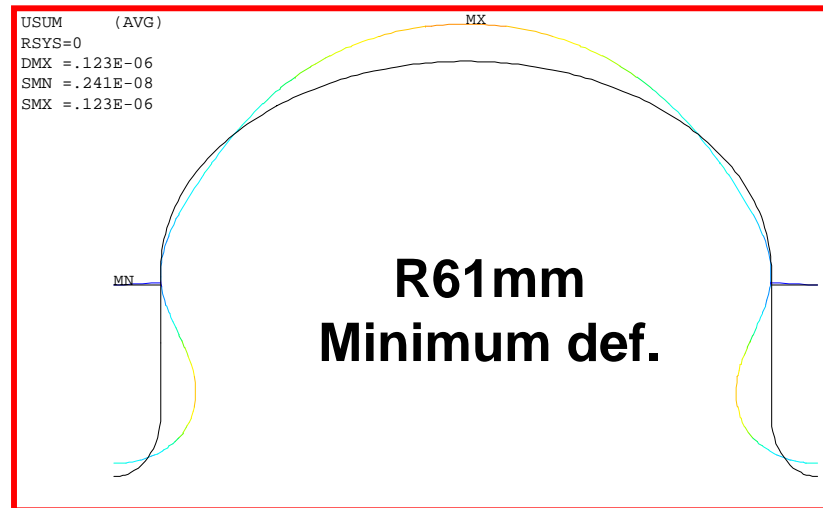
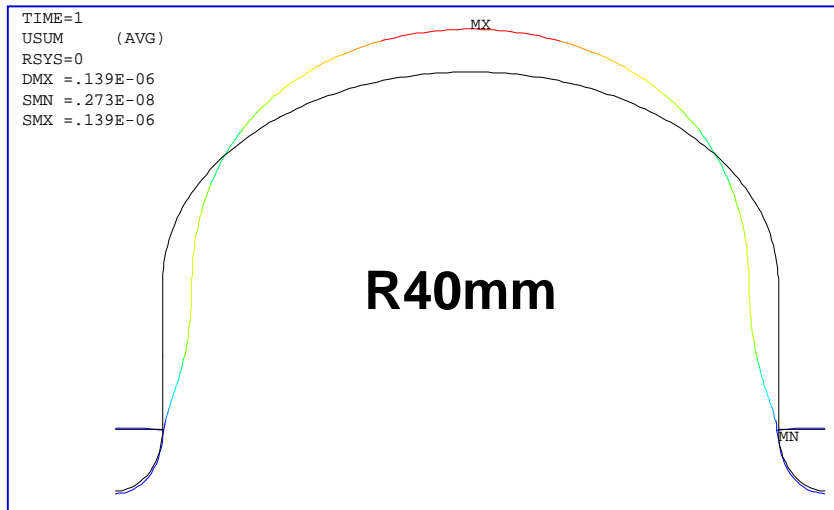


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Note

# Optimization of Stiffener Location against Lorentz Detuning

$E_{acc}=38\text{MV/m}$

by H.Yamaoka



# Calculation of longitudinal mechanical resonance

w/wo He vessel by H.Yamaoka

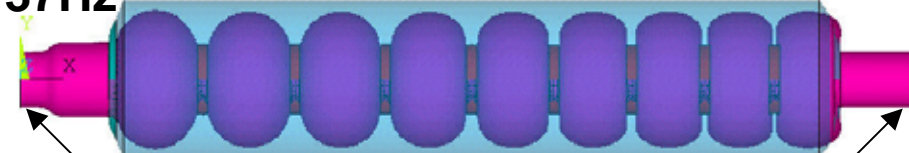
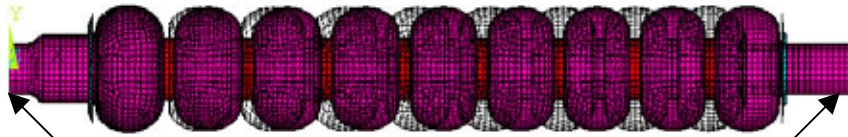
## Naked Cavity

## With He Vessel

SUS t3mm

69Hz

137Hz



Fixed point

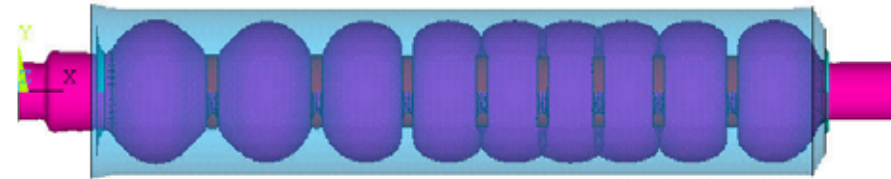
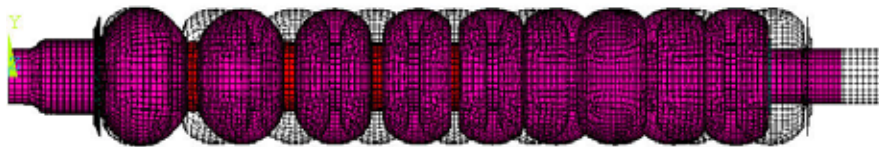
Free for longitudinal

Fixed point

Free for longitudinal

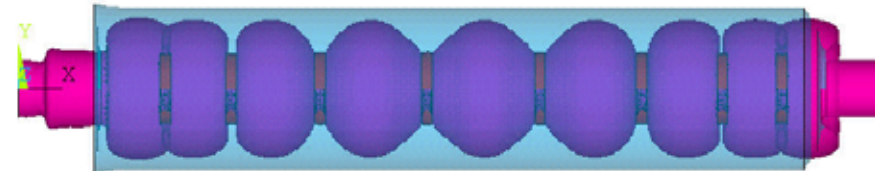
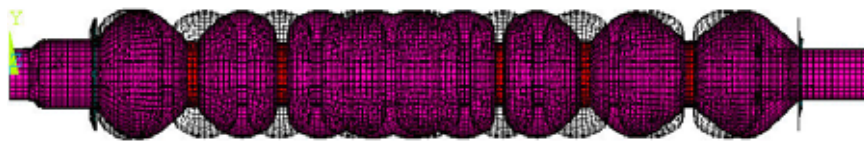
207Hz

260Hz



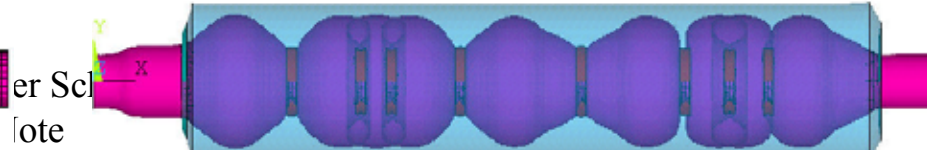
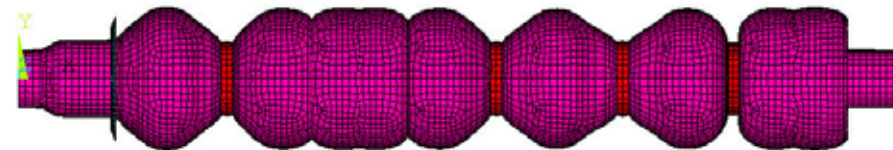
343Hz

313Hz



476Hz

569Hz

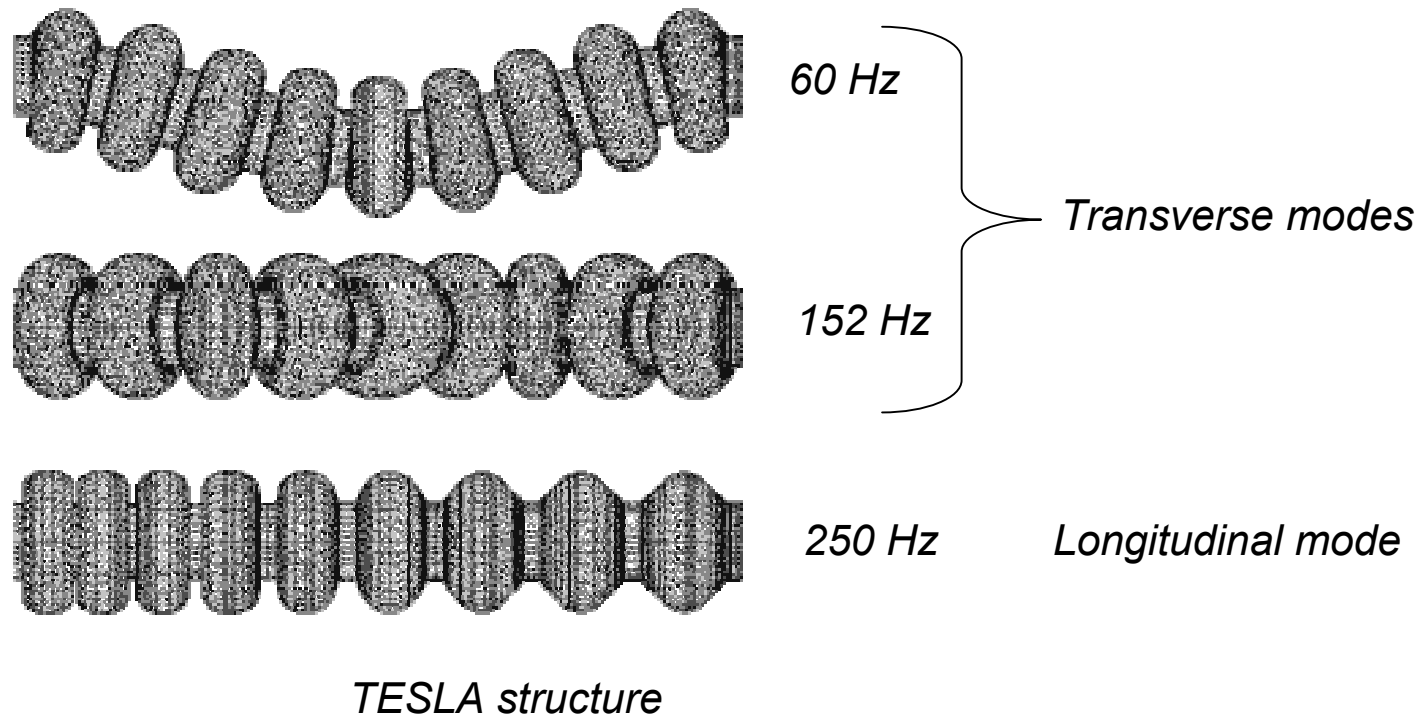


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# *Mechanical Resonance of a multi-cell cavity*

---



*The mechanical resonances modulate frequency of the accelerating mode.  
Sources of their excitation: vacuum pumps, ground vibrations...*



# Comparison of Tuners (Now NO BCD for ILC)

Screw Ball tuner



Saclay-II



Blade Tuner



Jack tuner

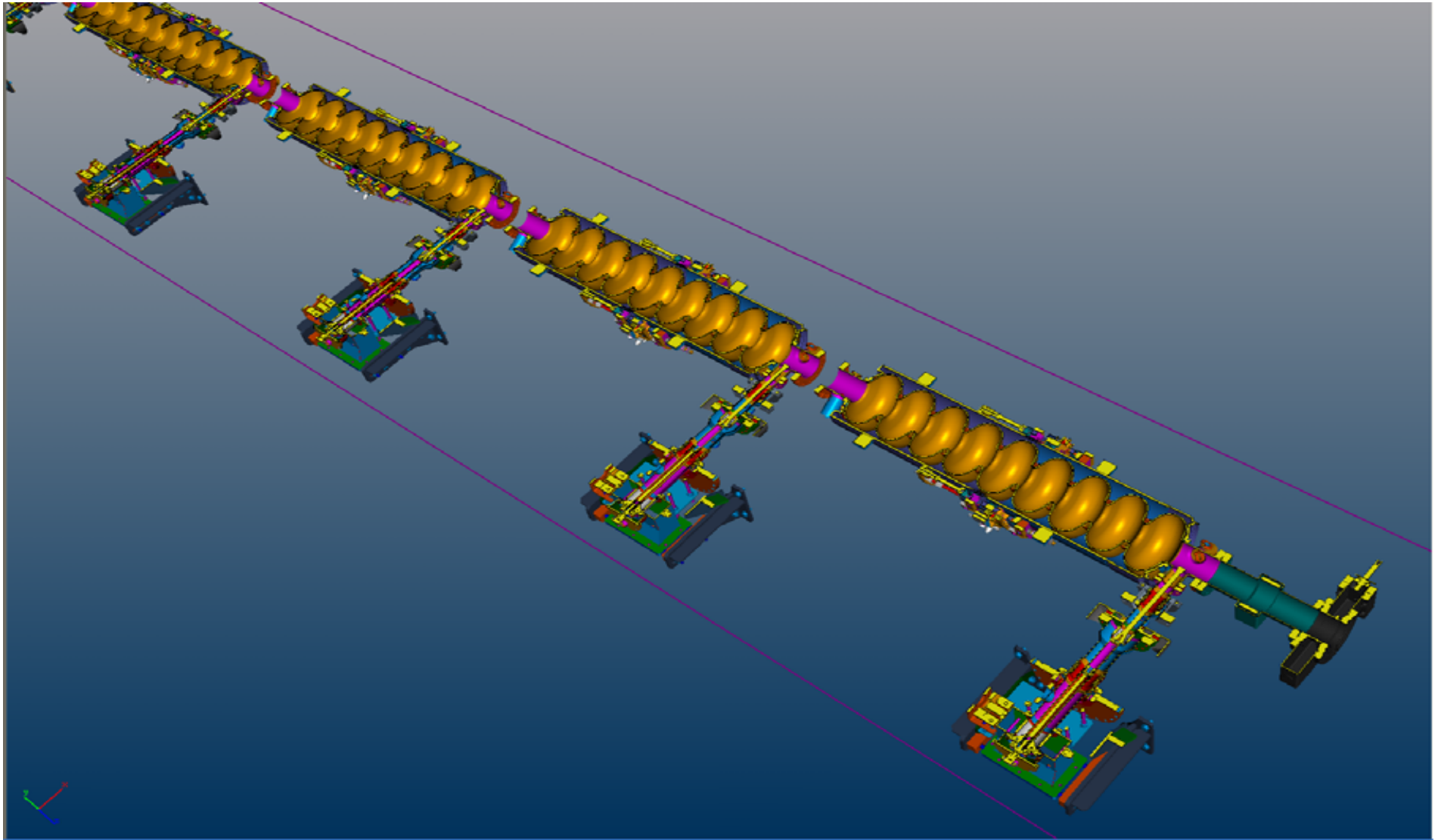


nd Summer  
Note

# Comparison of Tuner Designs

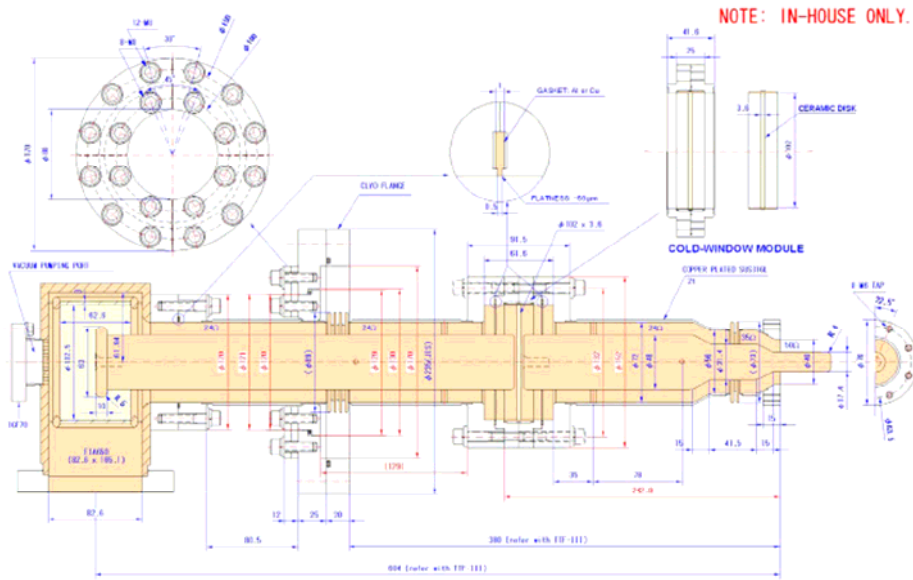
		Screw Ball	Jack	Blade	Saclay-II
Location	Motor	80K or out of vac. vessel	Out of Vac. vessel	He vessel	Beam tube
	Piezo	80K or out of Vac. vessel	End plate	He vessel	END plate
Tuner mechanism		Coaxial ball screw	Slide Jacky	Twist	Lever type
Motor driving power		0.06gf/ $\mu\text{m}$ , 0.1W			
Piezo tuning range		~3000			
Resolution [Hz]	Motor	0.1			
	Piezo	0.1			
$\frac{df}{dl}$ [Hz/ $\mu\text{m}$ ]		368	320		320
$\frac{dF}{dl}$ [N/ $\mu\text{m}$ ]		36.4	80	13	

# 6. RF Input Coupler

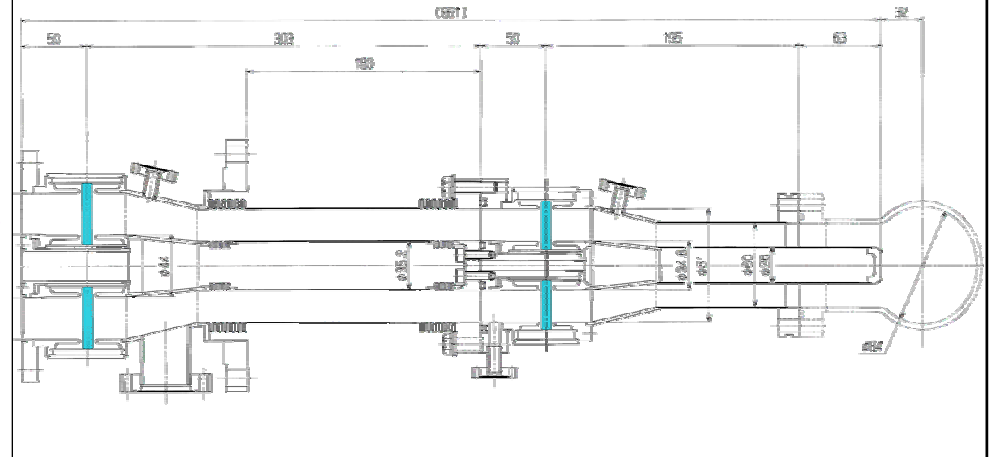


# Three types developed for ILC

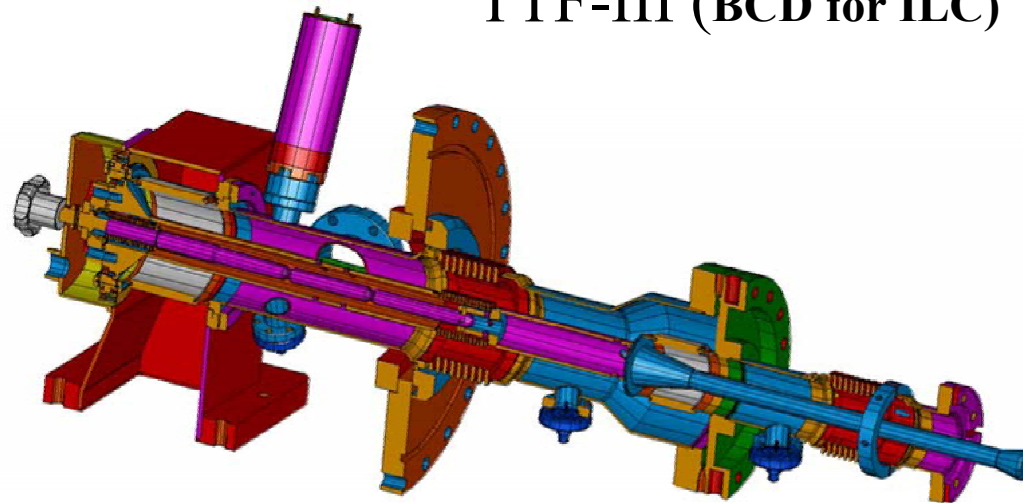
## CC-coupler



## Double disk windows



## TTF-III (BCD for ILC)



# Input Coupler Designs

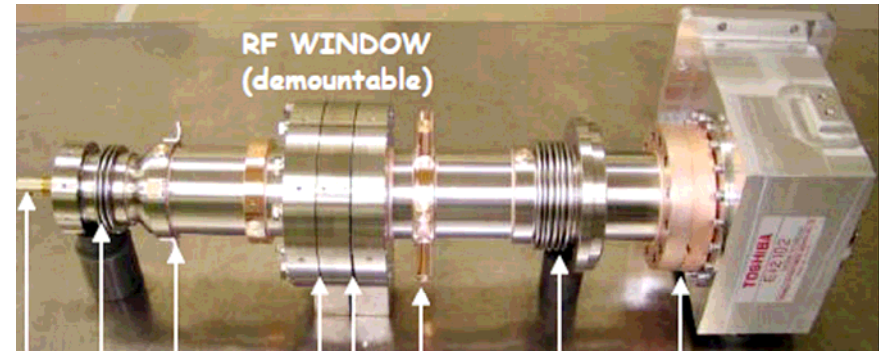
			CC-coupler	STF-BL	TTF-III
Designed RF Power [kW]			500 (2000)	350(1300)	250(1000)
Pulse width [ms]			1.3 (1.5)	1.3(1.5)	1.3
Repetition [Hz]			5	5	10
Average rf power [kW]			3.25	2.3	3.2
RF processing time [hr]			16	50	20
Thermal Loss [W]	80K	Static	1.24	5	6
		Dynamic	1.5	3	3
	5K	Static	0.54	1.1	0.5
		Dynamic	2.0	0.2	0.1
	2K	Static	1.8e-4	0.05	0.06
		Dynamic	0.18	0.03	negligible

Can be reduced the dynamic loss at 5K and 2K in CC-coupler by using higher RRR cooper material, for example RRR=40.



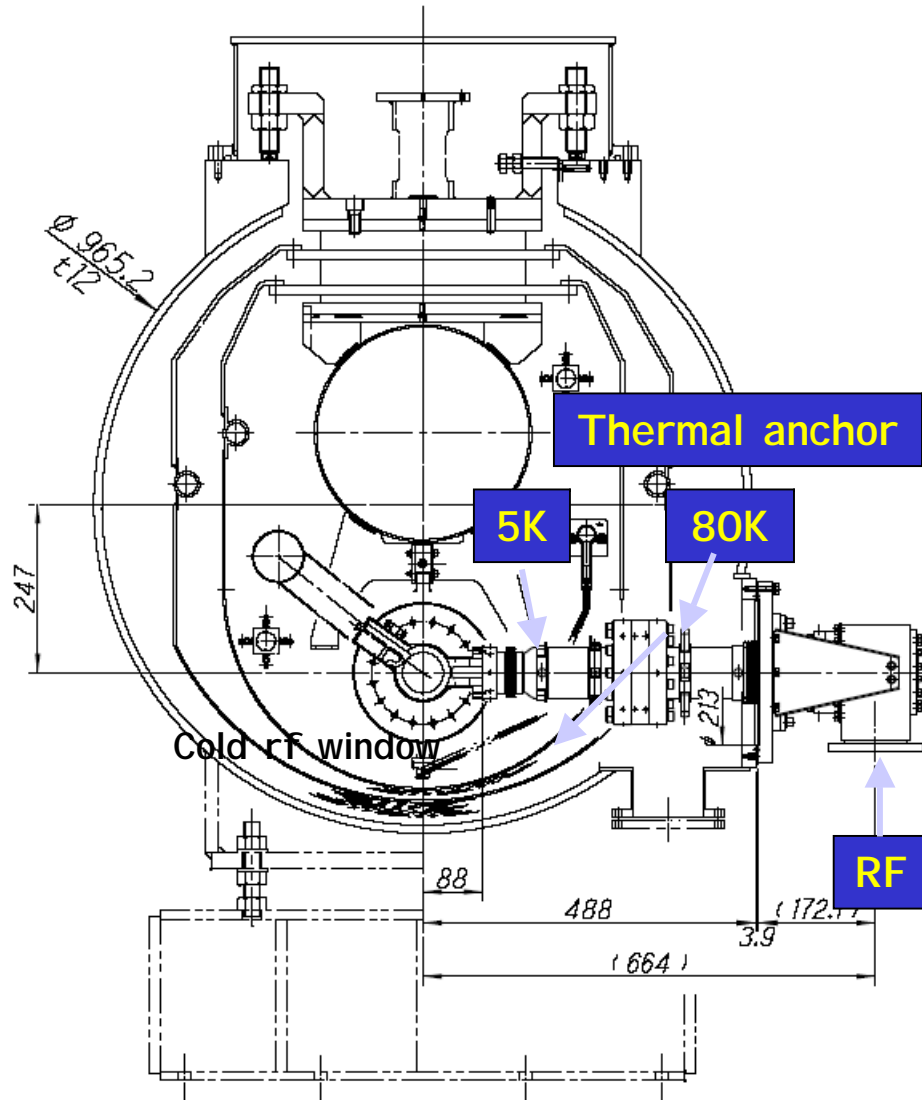
# Input Coupler Design @ KEK

By Matsumoto and Kazakov @ KEK



## Major Parameters

Input rf power: 500 kW  
 Pulse width: 1.3 msec  
 Repetition rate: 5 Hz  
 Average rf power: 3.25 kW



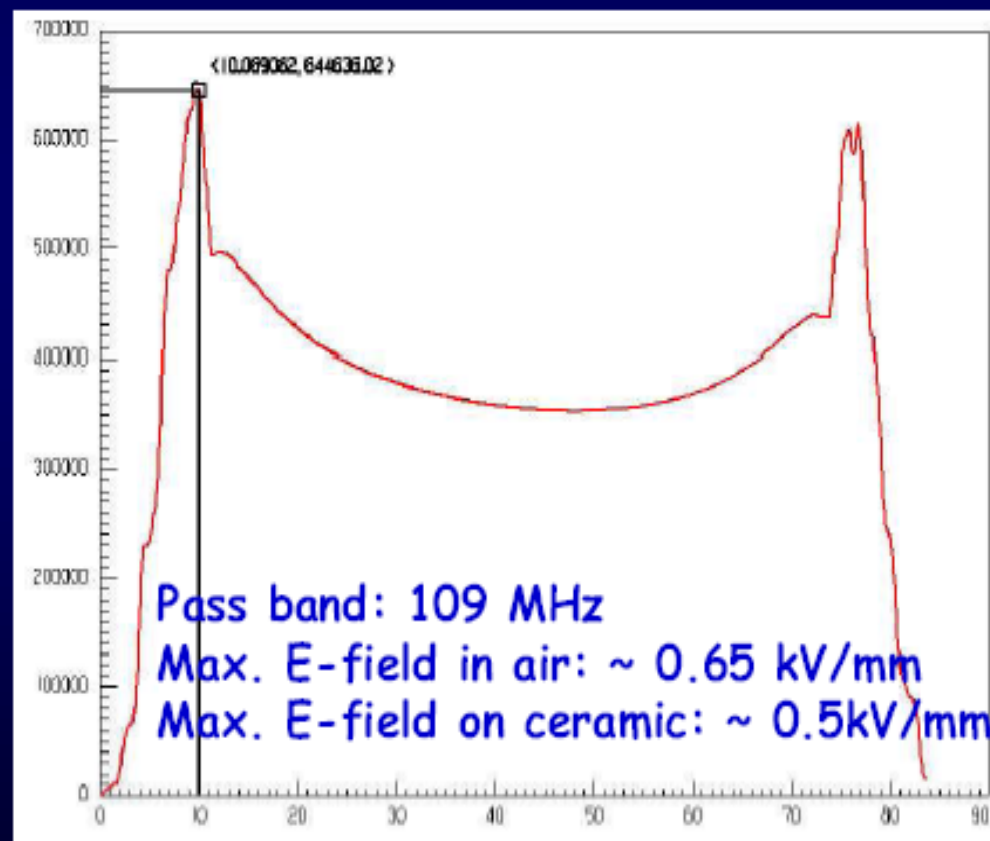
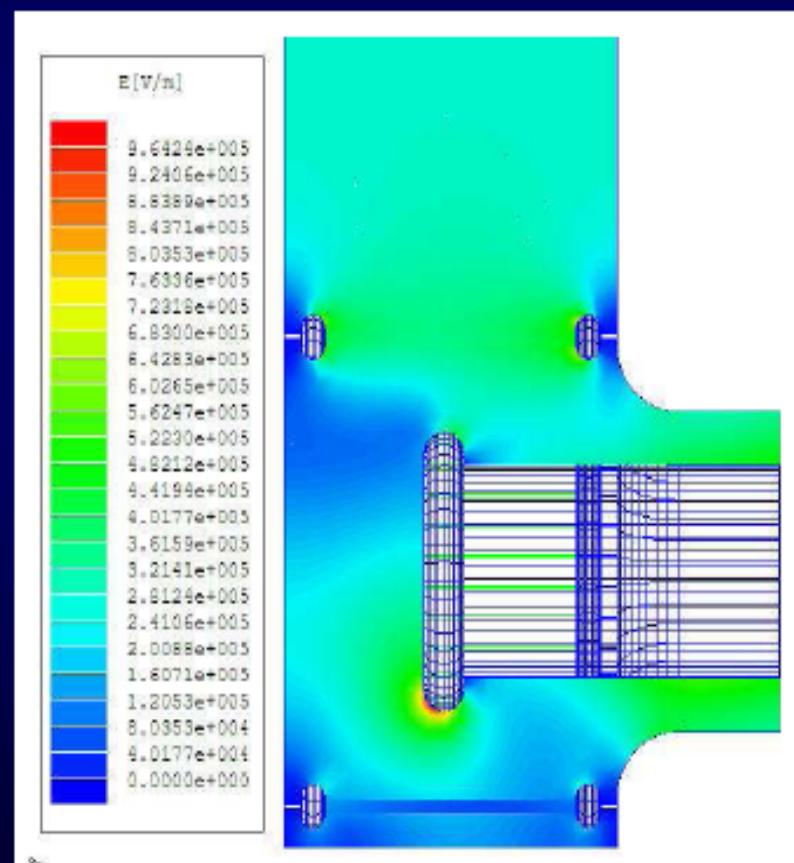
## Thermal loss [W]

	80K	5K	2K
Static:	1.24	0.54	$2.6 \times 10^{-4}$
Dynamic:	2.14	2.88	0.25
Total:	3.38	3.42	~0.25

RRR: 3.5 (measured data)

# ELECTRIC FIELD GRADIENT AT INPUT POWER OF 500-KW

Maximum electric field gradient  
in the air side for warm window.



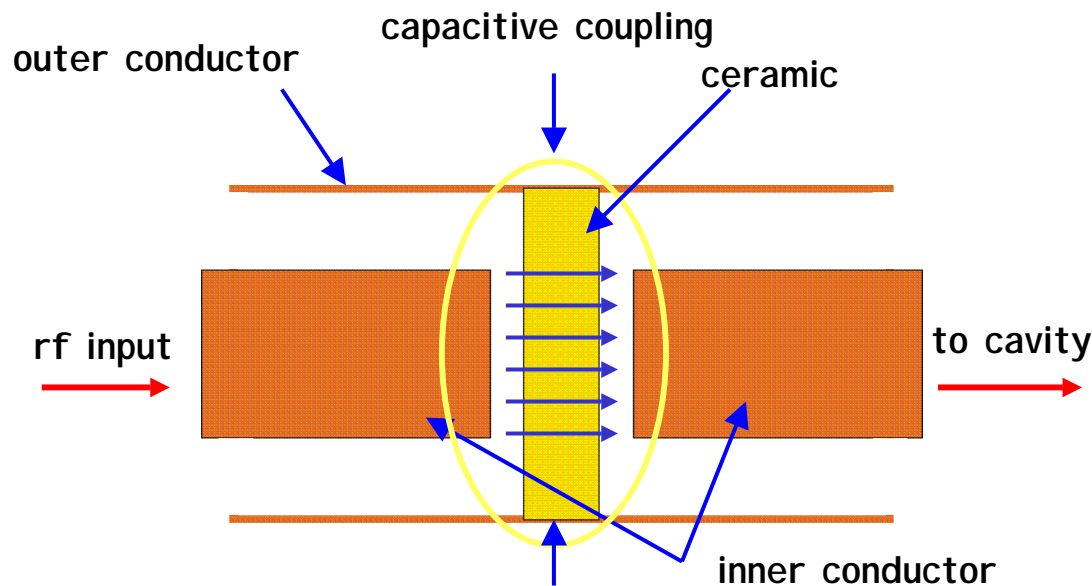


# Capacitive Coupling Coaxial Line for Input Coupler

Capacitive coupling coaxial line should have advantages; By H.Matsumoto and S.Kazakov

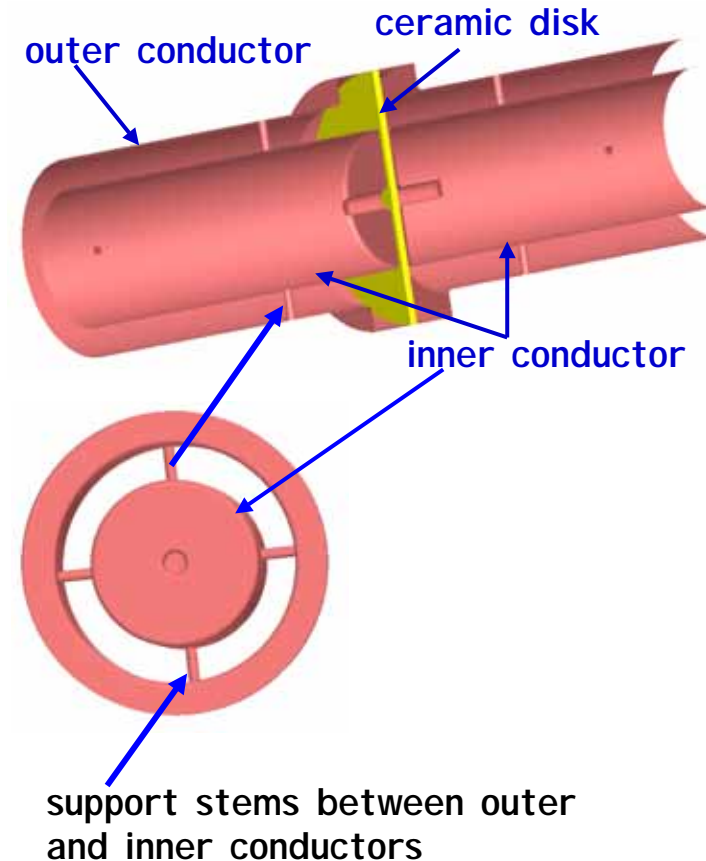
- 1) Good thermal insulation ability between the warm and the cold sides.
- 2) Reduce the brazing difficulty for the ceramic window.

## Concept of capacitive coupling coaxial line



Easy to braze between cooper and ceramic disk.

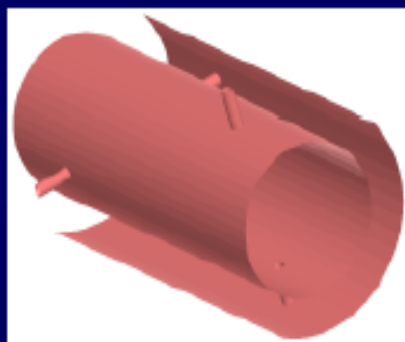
**Well established in warm technology**



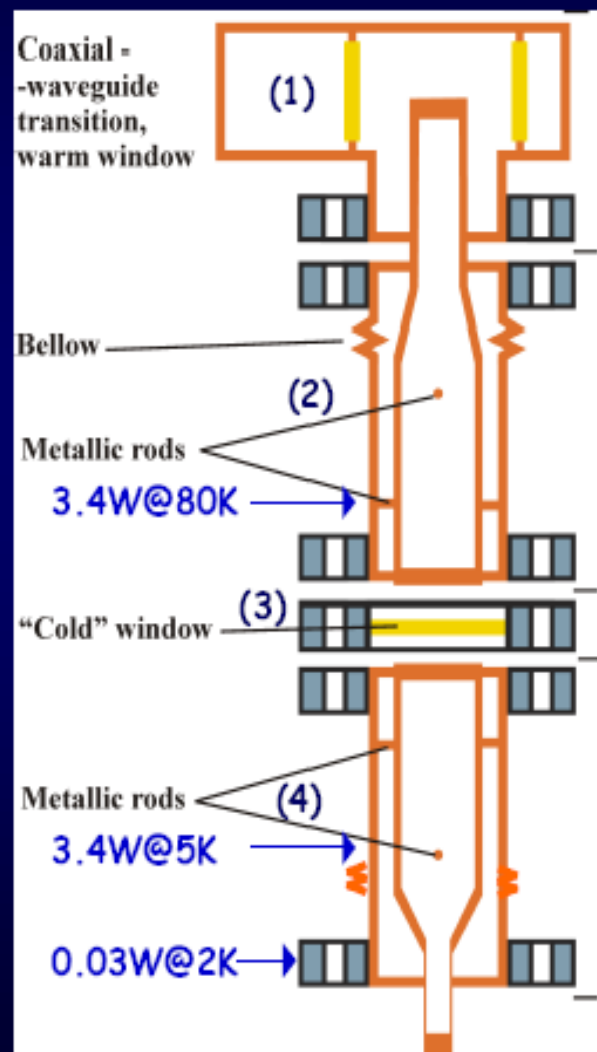
# INDUSTRIALIZATION with MODULAR STRUCTURE

Input coupler comprises of four modules:

- 1) coaxial transformer
- 2) coaxial line
- 3) rf window
- 4) antenna at cold side



Each pair of rods is mounted in the gap between the inner- and outer-conductors, and are rotated 90 degrees from each other.



- [1] The complete input coupler can be divided into four relatively simple parts to **ease fabrication and assembly**. If we assume that the inner conductors are not attached rigidly to the waveguide, we **need only two**
- [2] **bellows to absorb the movement of the coaxial line** due to thermal contraction and expansion between cool down and warm up.
- [3]

- [4] The fabrication of each module **technical requirements dose not overlap** for each parts.

Average power: 3.25-kW (500-kW, 1.5-msec, 5-pps) RRR: 3.5 (measured data for copper plated layer)

# 500kW Input coupler high power test stand @ STF

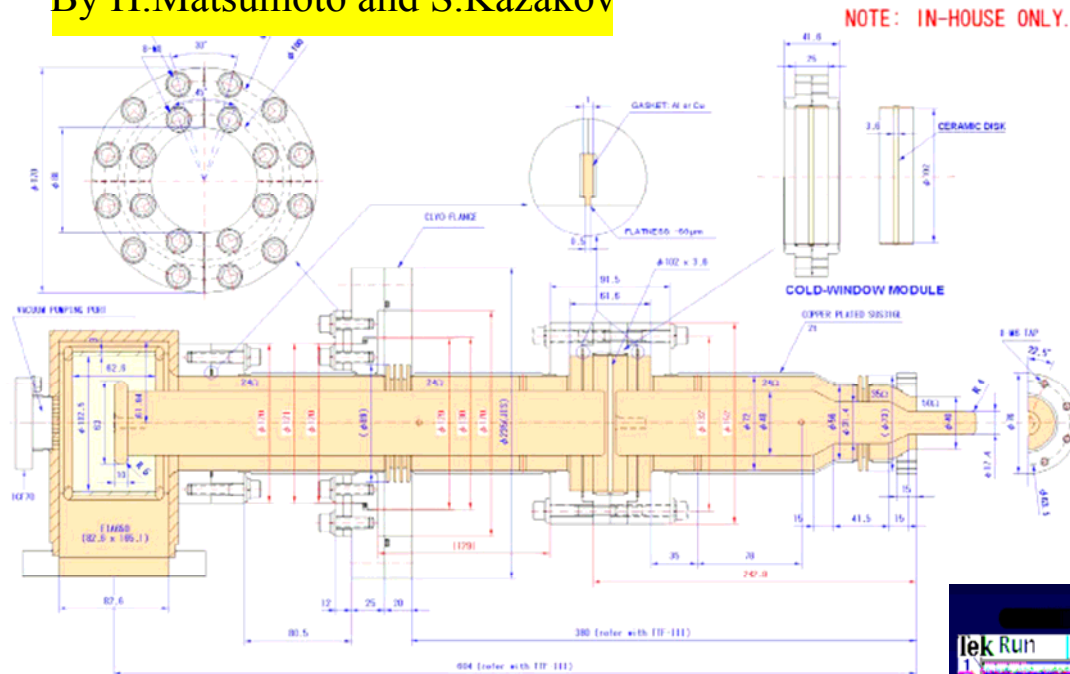


K.Saito



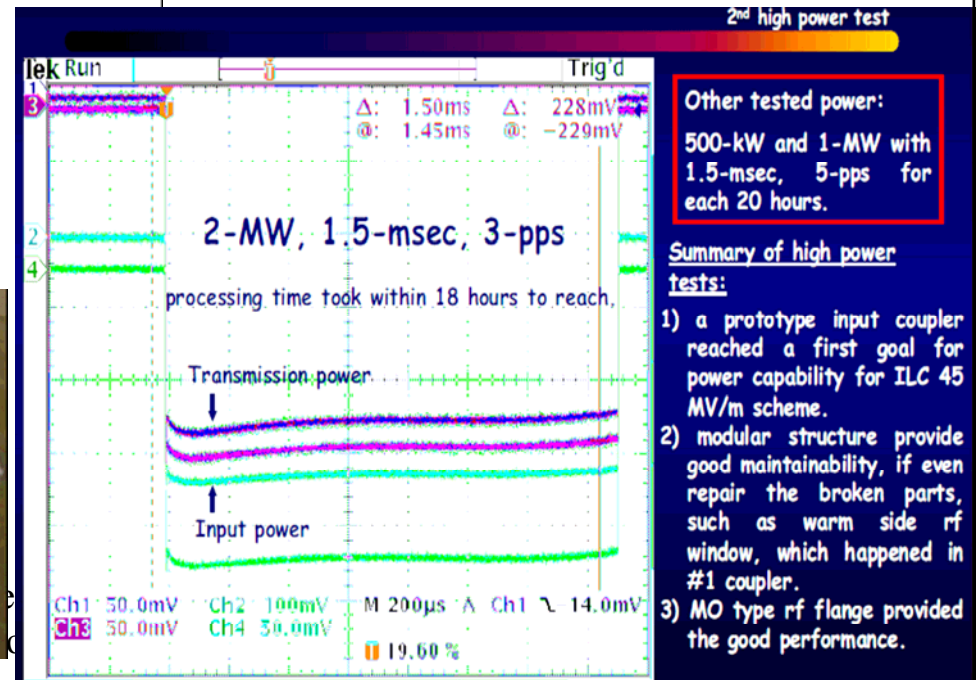
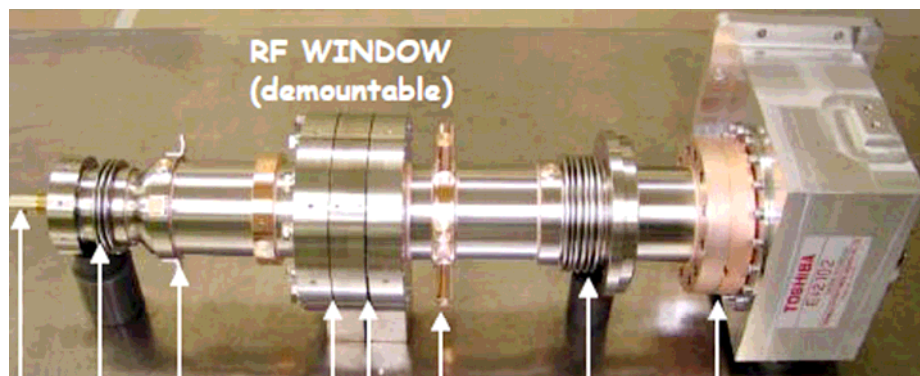
# Coaxial capacitive input coupler

By H. Matsumoto and S. Kazakov



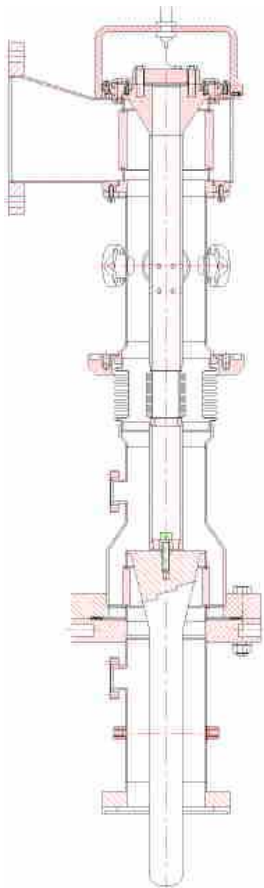
Successfully demonstrated the high power performance up to 2MW!

The specification: 500kW, 1.5msec, 5Hz @ 45MV/m operation

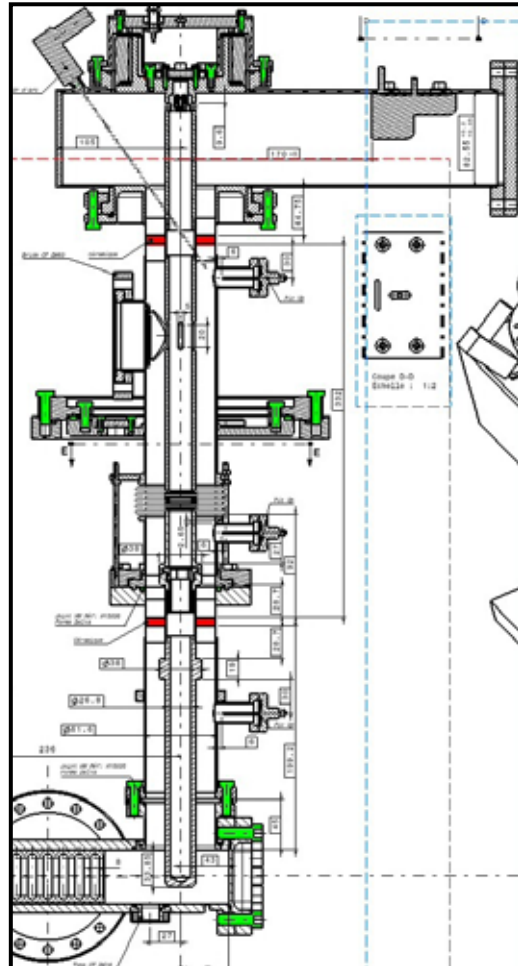


# Progress with Input Coupler @ LAL

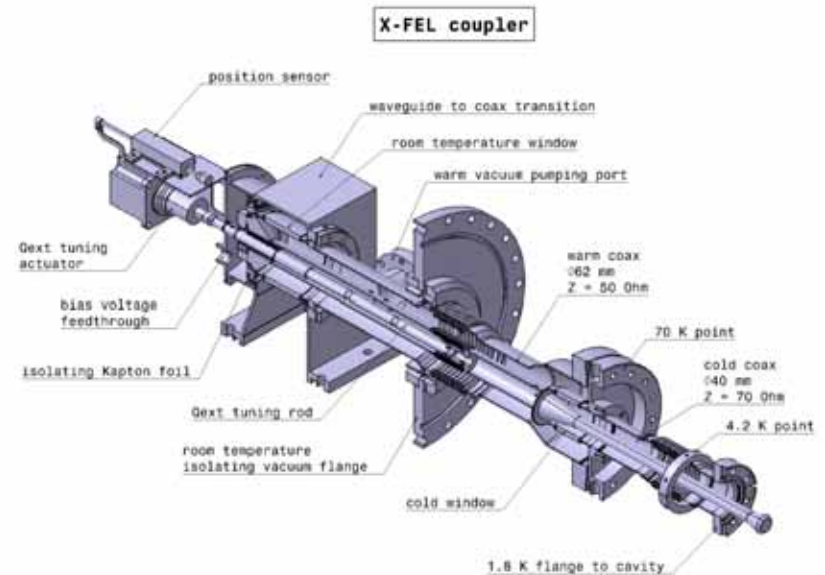
- Selected recent progress on CARE SCRF:  
3 new prototype designs of power couplers from LAL-Orsay:



K.Saito



Note

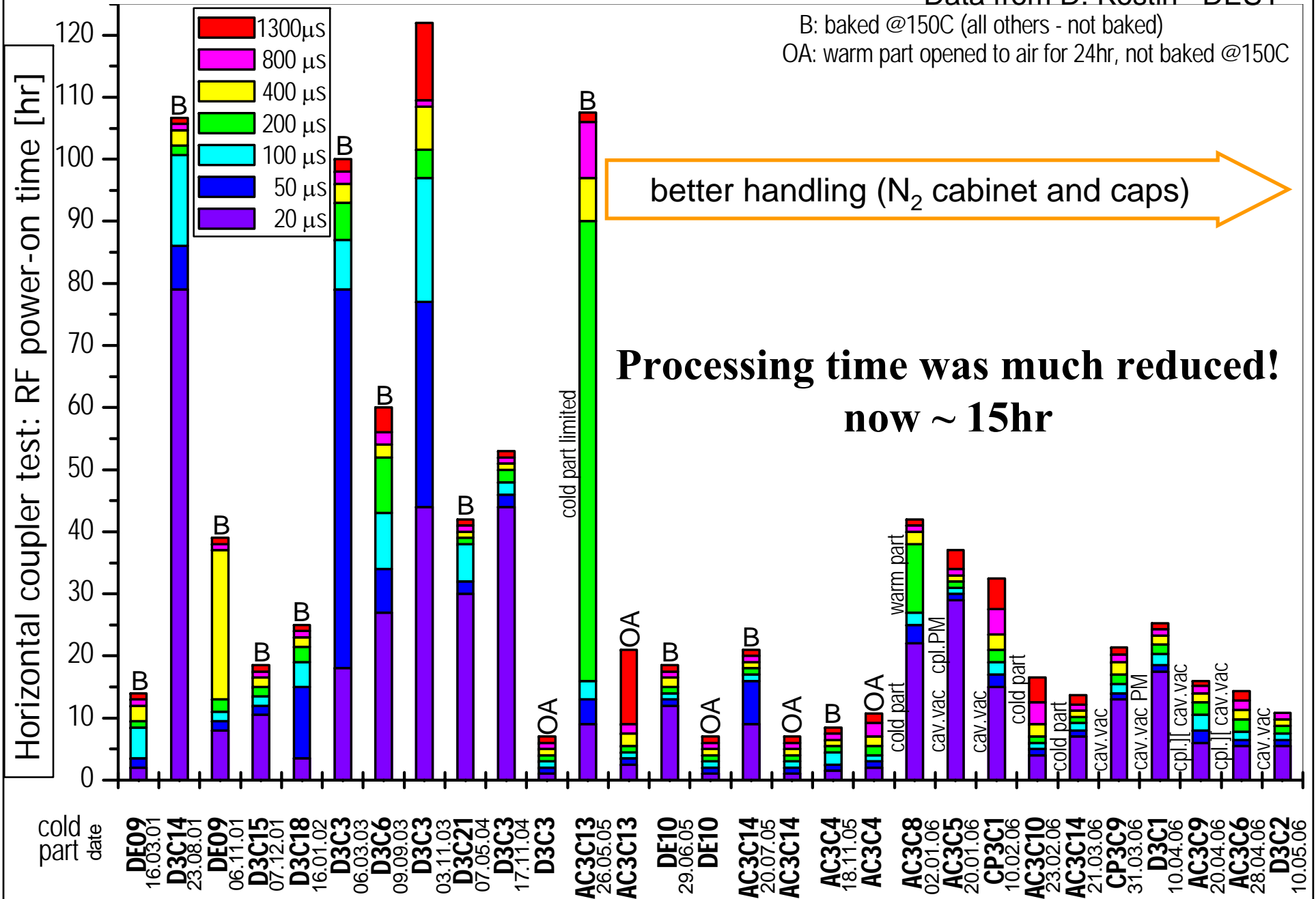


To be built in industry  
and tested in 2006.

# TTF III Coupler Processing Times in CHECHIA

Data from D. Kostin –DESY-

B: baked @150C (all others - not baked)  
 OA: warm part opened to air for 24hr, not baked @150C



# **7. Cavity Dressing**

## **7.1 Helium Vessel Assembly at KEK**

## **7.2 Cavity Dressing**



# Flow of the Cavity Assembly to Cryomodule

TTF

## Electropolishing



ITRP Visit to DESY, 5<sup>th</sup> April 2004

TESLA

The LC cold option

## Module Assembly



The module assembly is a well defined and standard procedure.

- experience of 10 modules exists
- the latest generation (type III) will be used for series production (XFEL requires 120 modules)
- several cryogenic cycles as well as long time operation were studied
- the assembly problems occurred are well understood and cured

## String Assembly



The assembly of an 8 cavity string

- is a standard procedure
- is done by technicians from the TESLA Collaboration
- is well documented using the cavity database as well as an Engineering Data Management System
- was the basis for two industrial studies.

We are ready to transfer this well known and complete procedure to industry.

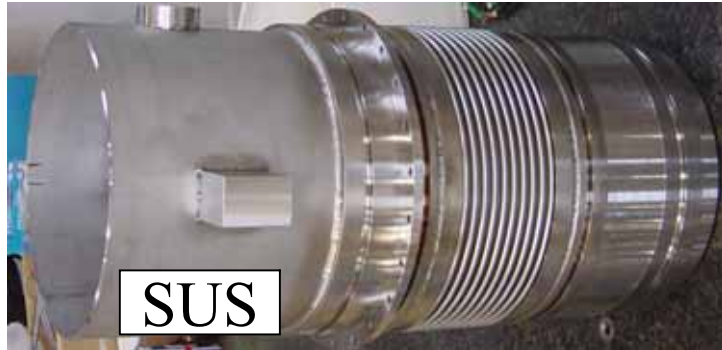
The inter-cavity connection is done in class 10 cleanrooms

## Cryomodule test





# Helium Vessel Parts @ KEK



# TIG Welding of the Vessel @ KEK

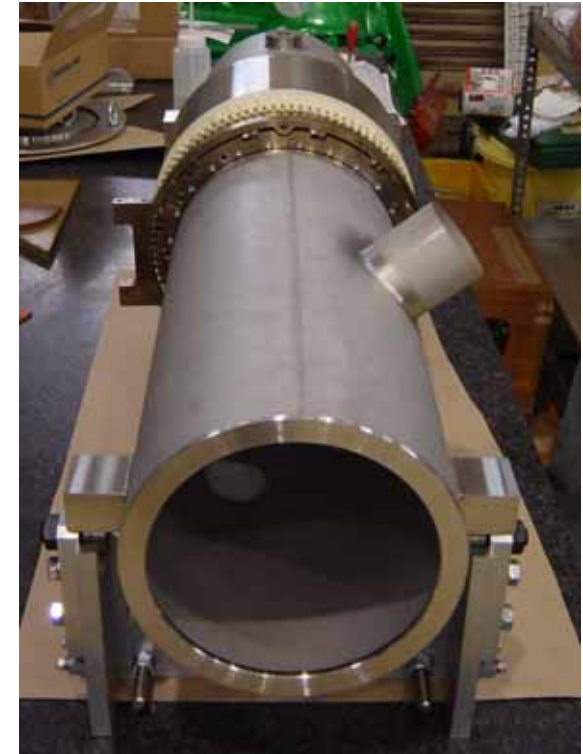
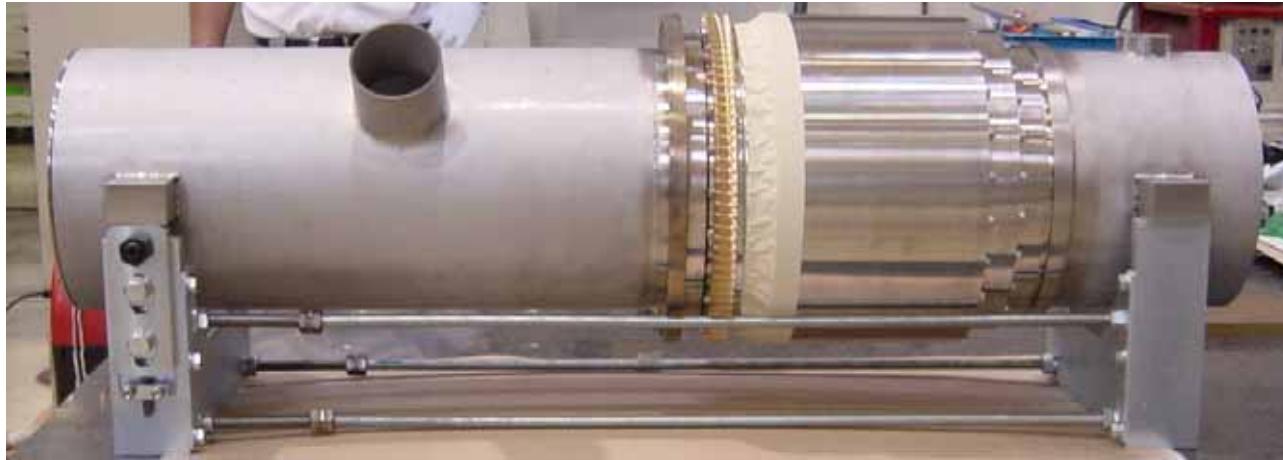


K.Saito

ILC 2nd Summer School Lecture  
Note

118

# Completed Helium Vessel





# Tuner Dressed Cavity @ KEK

Ichiro Cavity



# Cavity String Assembly at DESY



The inter-cavity connection is done in class 10 cleanrooms





# Coupler Mounting @ KEK



# A Fully Dressed Cavity Holed under He Gas Return Pipe

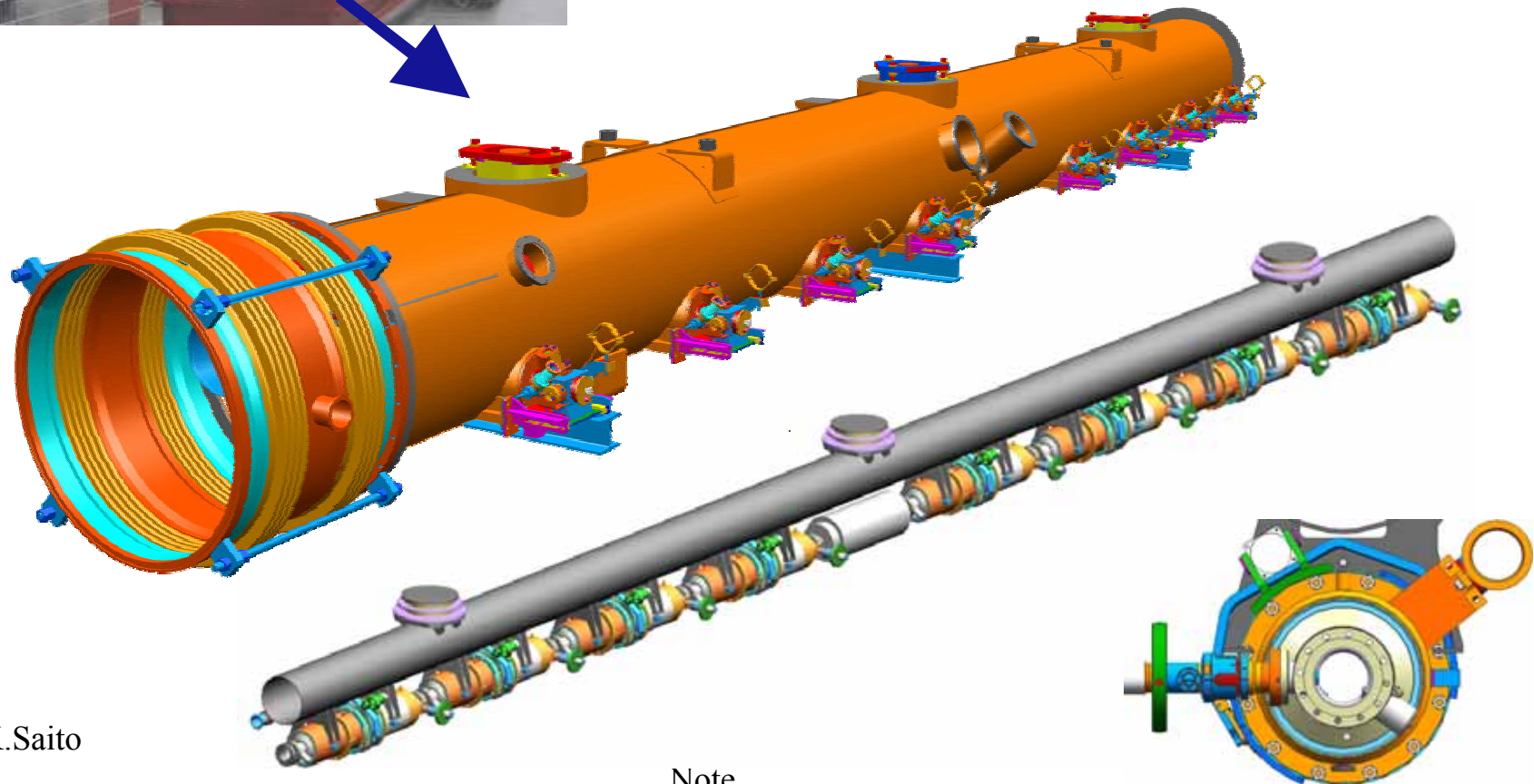
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**KEK LL cavity**



K

# 8. ILC Cryomodule



K.Saito

Note



# Characteristics of the Liquid Helium

## Very small efficiency !

Generation efficiency of LHe:  $\eta_{\text{eff}}$

$$\eta_{\text{eff}}(T) = \frac{T}{300-T} \cdot \eta_{\text{tech}}, \quad 6.71\text{E-}3 \text{ @ } 2\text{T},$$

$$\eta_{\text{eff}}(2\text{K}) \approx 0.1\% \text{ @ } \eta_{\text{tech}} = 0.2,$$

This means that one needs 1kW energy to remove 1 W of the heating.

## Very small Latent heat !

	LHe	LN2
Boiling temp.[K]	4.22	77.35
Density [kg/L]	0.125	0.809
Latent heat[kJ/L]	2.55	161
Volume ratio of Gas/Liquid	769	710

### Cryomodule Design

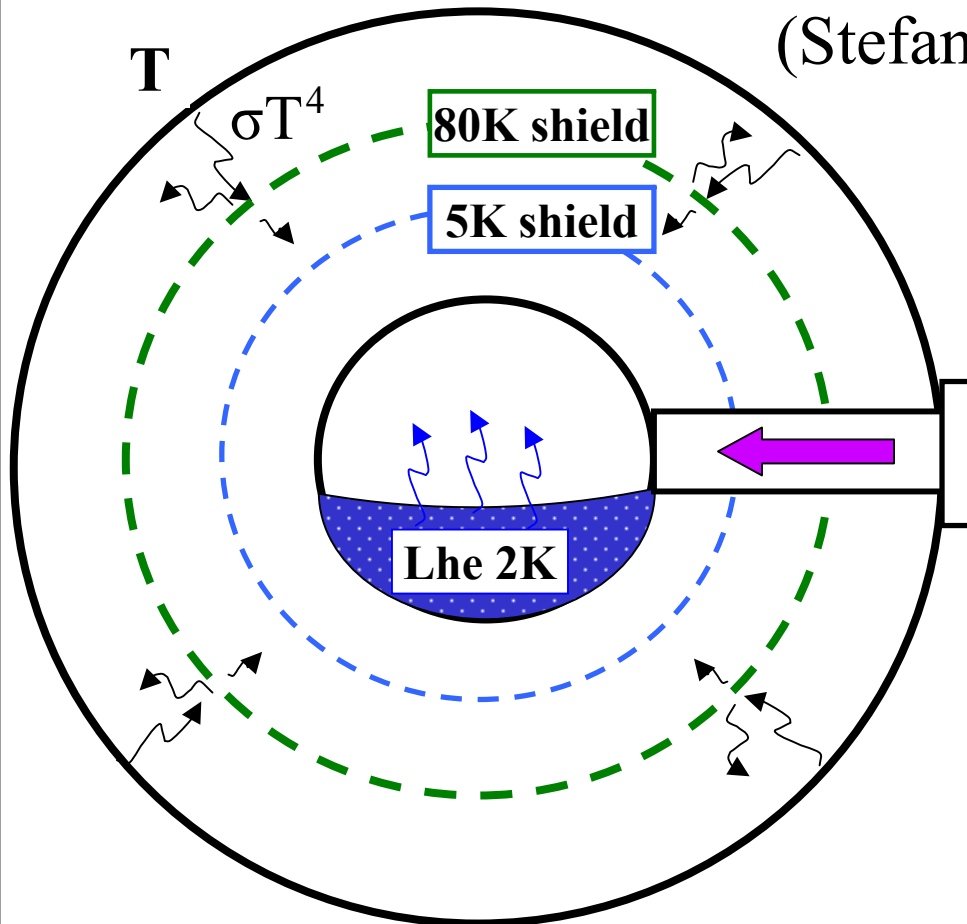
- Minimize the radiation energy from outside
- Reduce heat leak from outside
- Use the material with low thermal shrinkage

# Basics for Cryomodule Design

Radiation energy

Radiation Power:  $E_r(T) = \sigma T^4$

(Stefan-Boltzmann law)



**Stop the direct radiation !  
Use the reflection shield!**

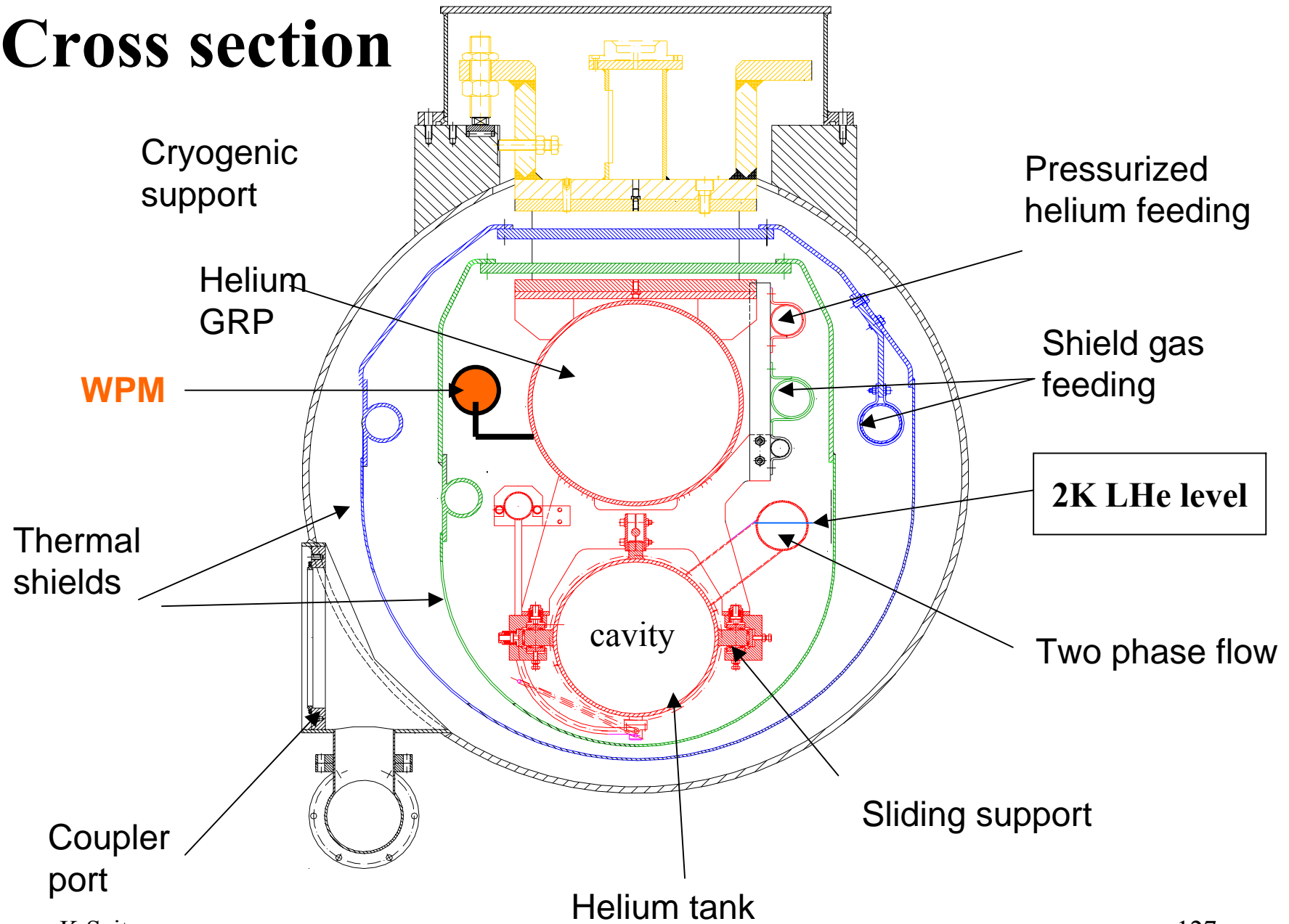
$$\frac{E_r(300K)}{E_r(5K)} = \frac{300^4}{5^4} \approx 13E6$$

$$\frac{E_r(300K)}{E_r(80K)} = \frac{300^4}{80^4} \approx 200$$

$$\frac{E_r(80K)}{E_r(5K)} = \frac{80^4}{5^4} \approx 65500$$

**Use the material with small thermal conductivity  
Example: SUS, G10....**

# Cross section

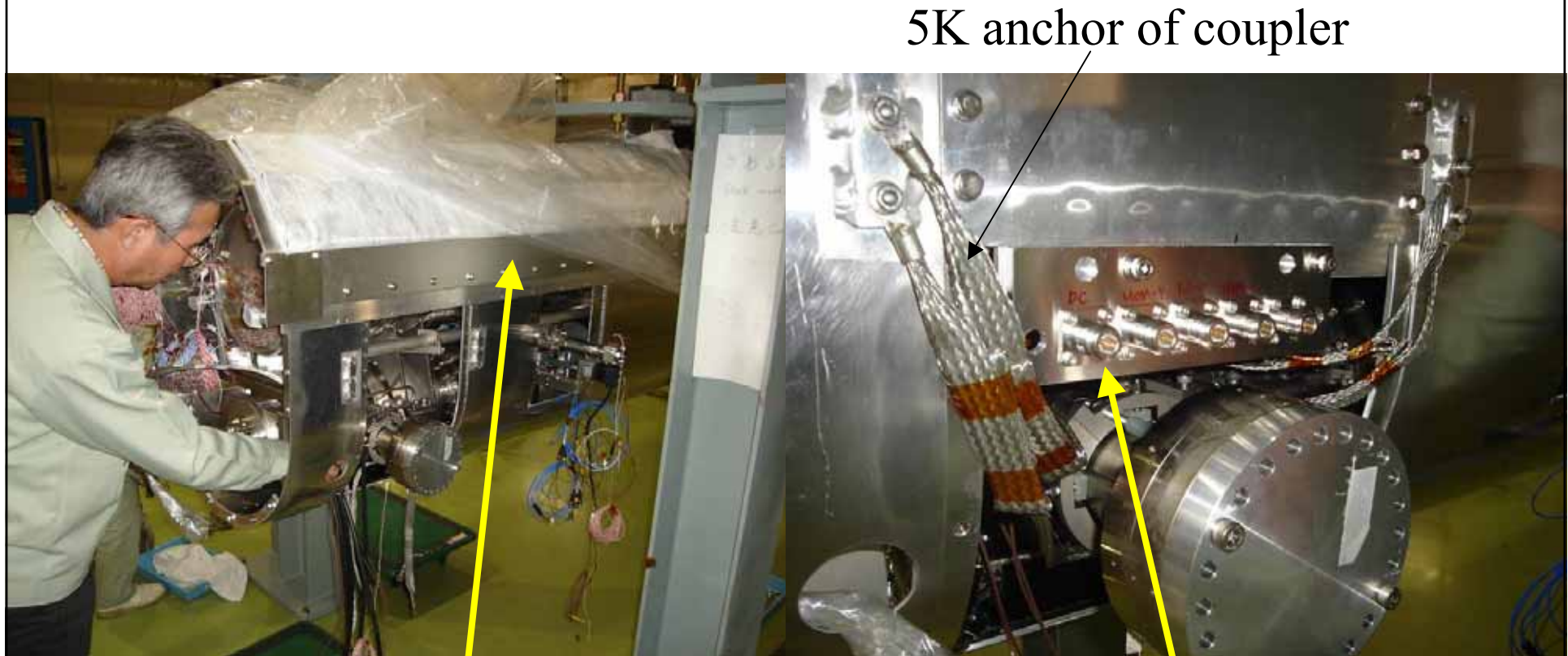


K.Saito

Helium tank

Note

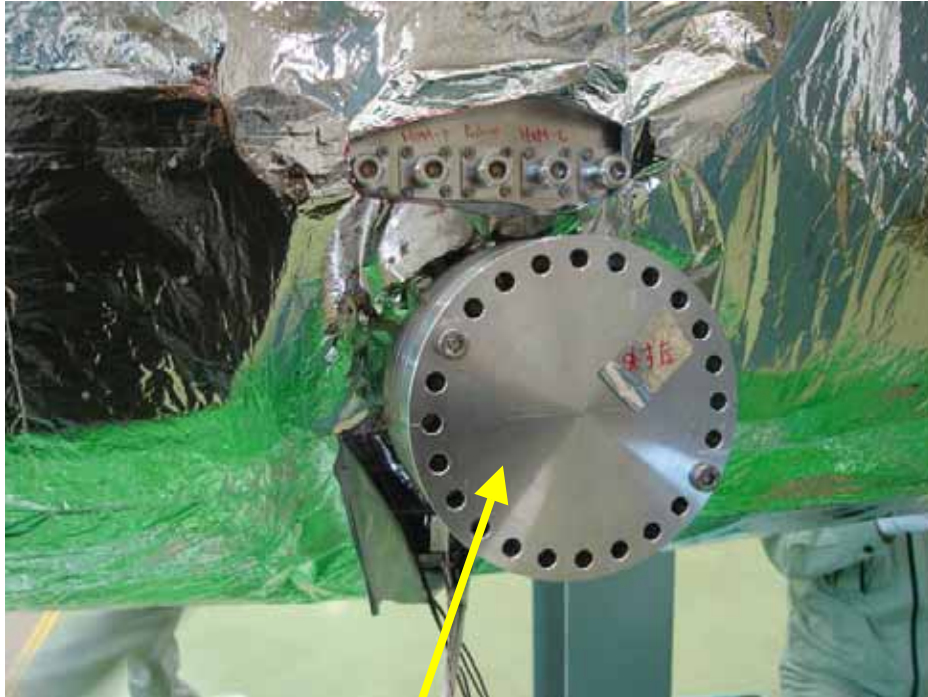
# 5K Shielding @ KEK



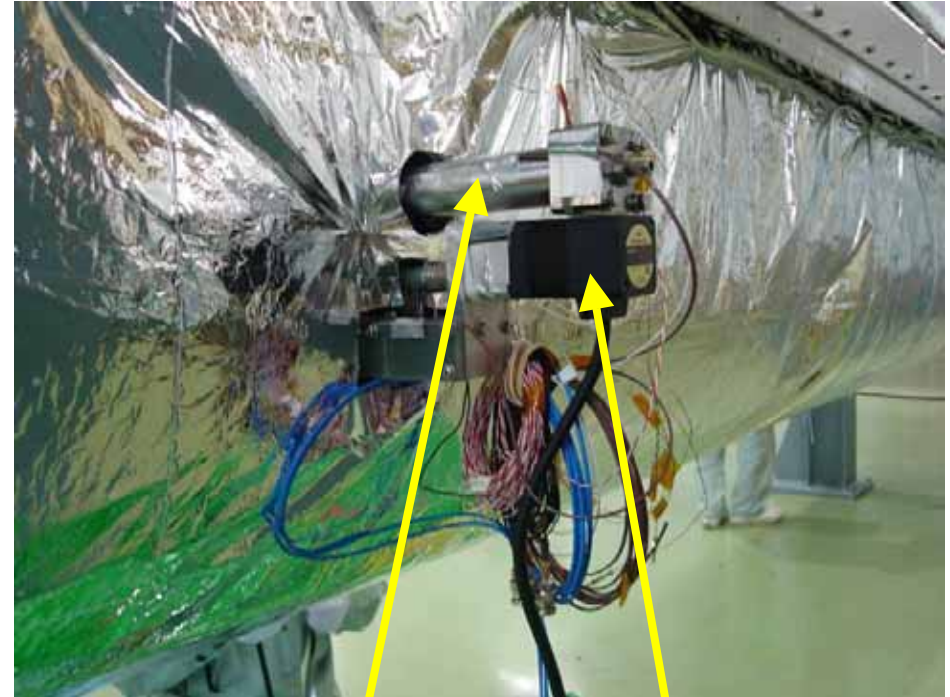
5K shielding

RF-cable connectors (5K)

# Coupler and Tuner in the cryomodule @ KEK



Cold window cover

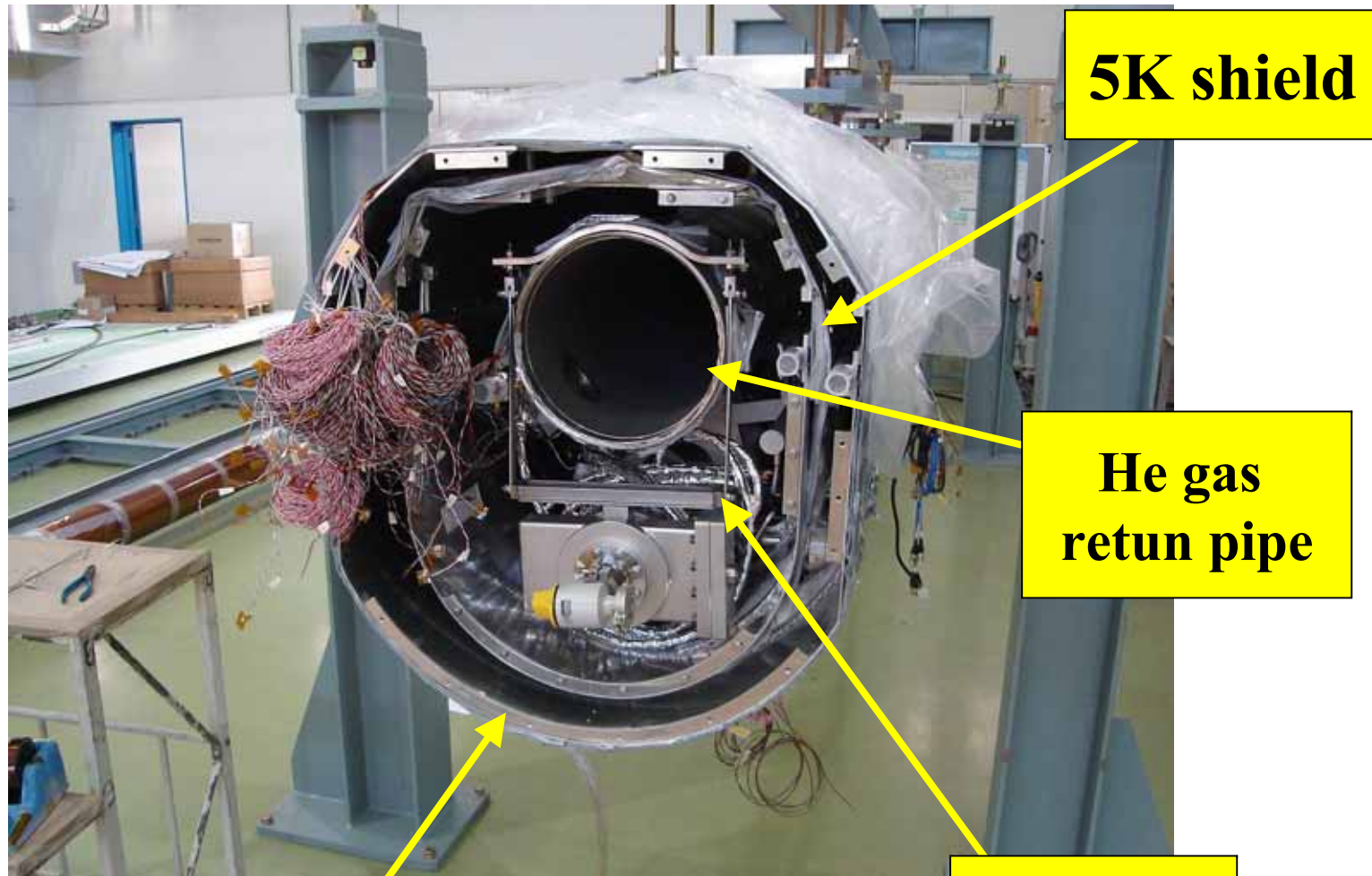


Piezo Tuner

Motor



# 80K Shielding



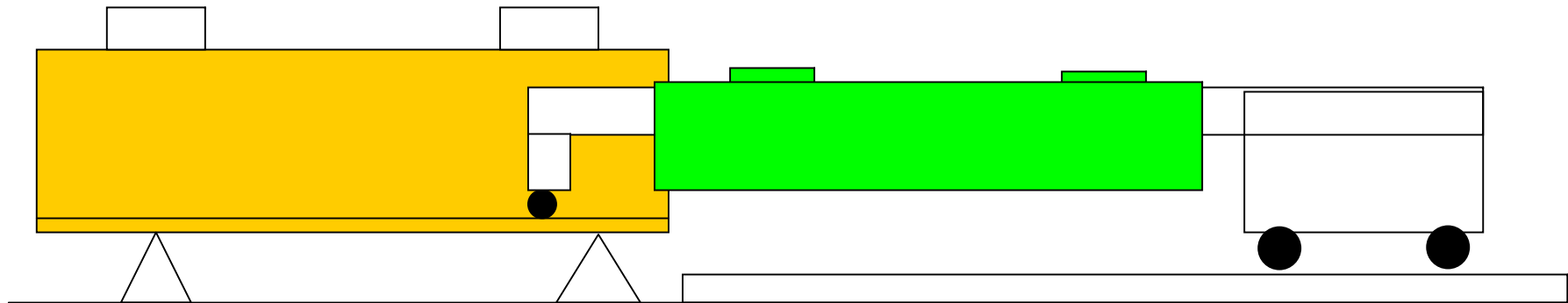
**5K shield**

**He gas  
return pipe**

**Cavity**

**80K shield**

# Installation into vacuum vessel



# Move into the tunnel

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# Cryomodule in the STF Tunnel



K.Saito

ILC 2nd Summ  
N-2.1

# For ILC Cryomodule Design

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Minor changes to address major concerns.

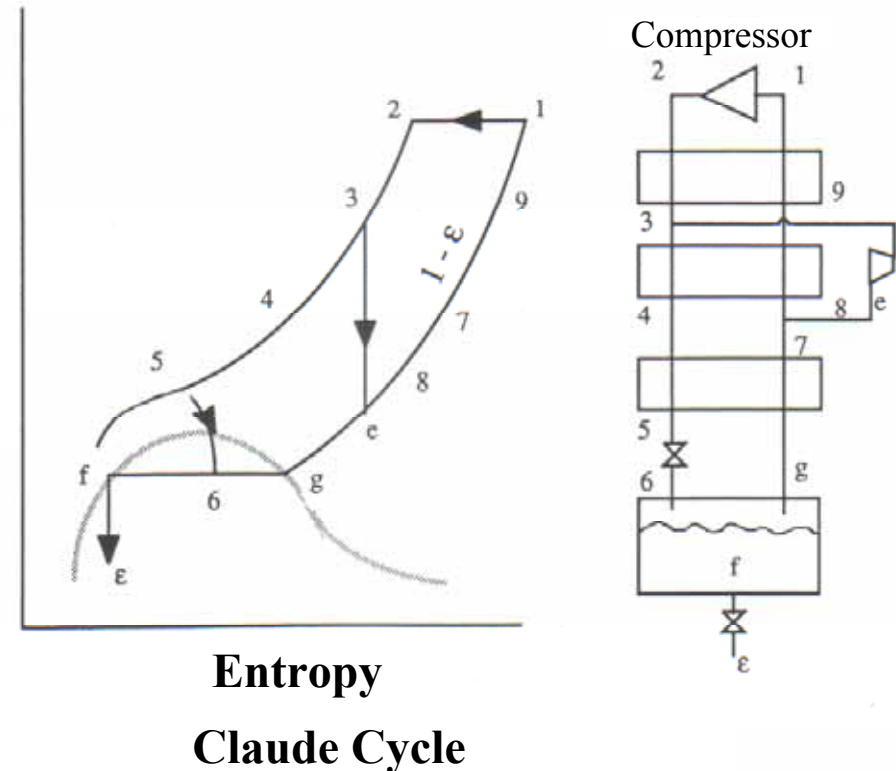
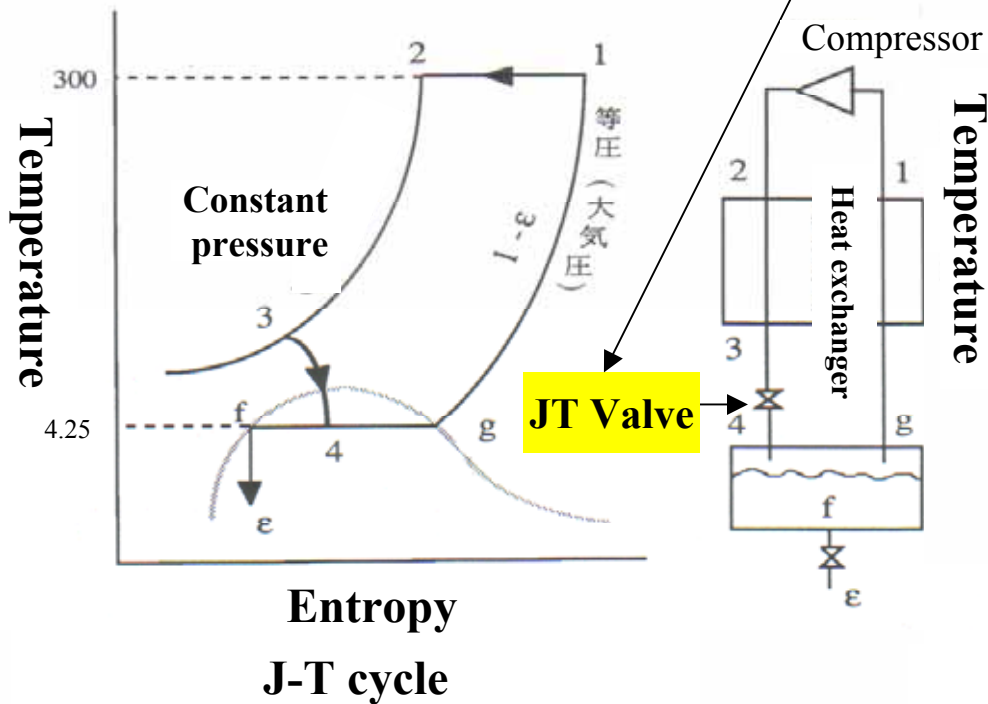
- Magnet alignment and vibration issues.
- Cryomodule with and without magnet package
  - Define BPM, Steering, and Quad parameters
  - Possible option for separate magnet cryo vessel
- Reduced cavity length (which tuner design?)
- Reduced cavity spacing (new interconnect)
- Need for functional Fast-Tuner



# Cryogenics

Completely thermodynamics

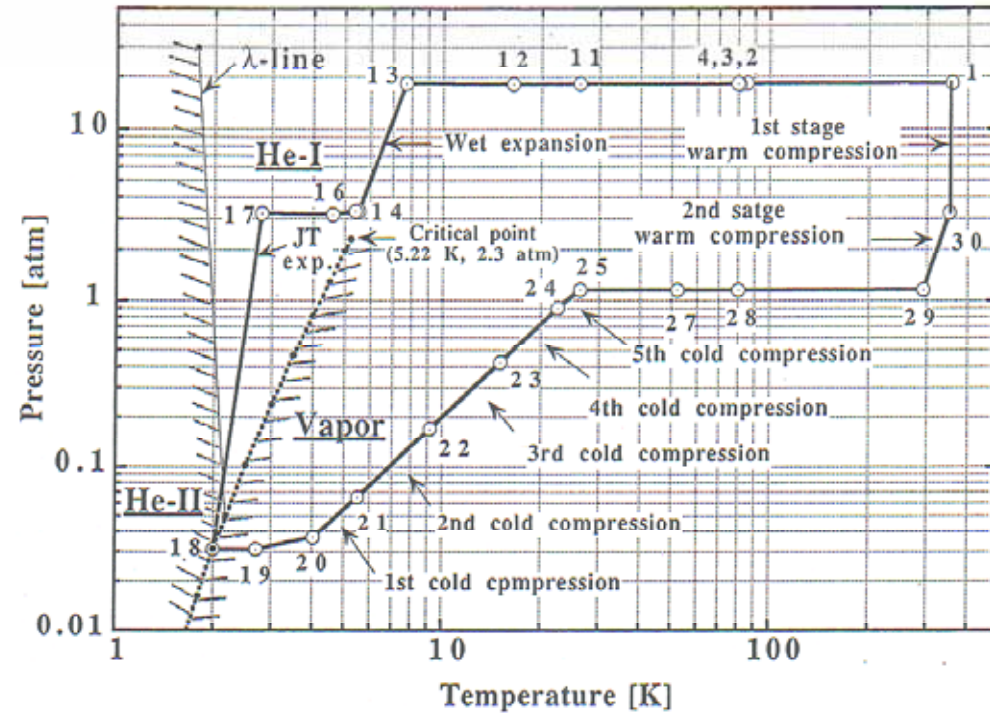
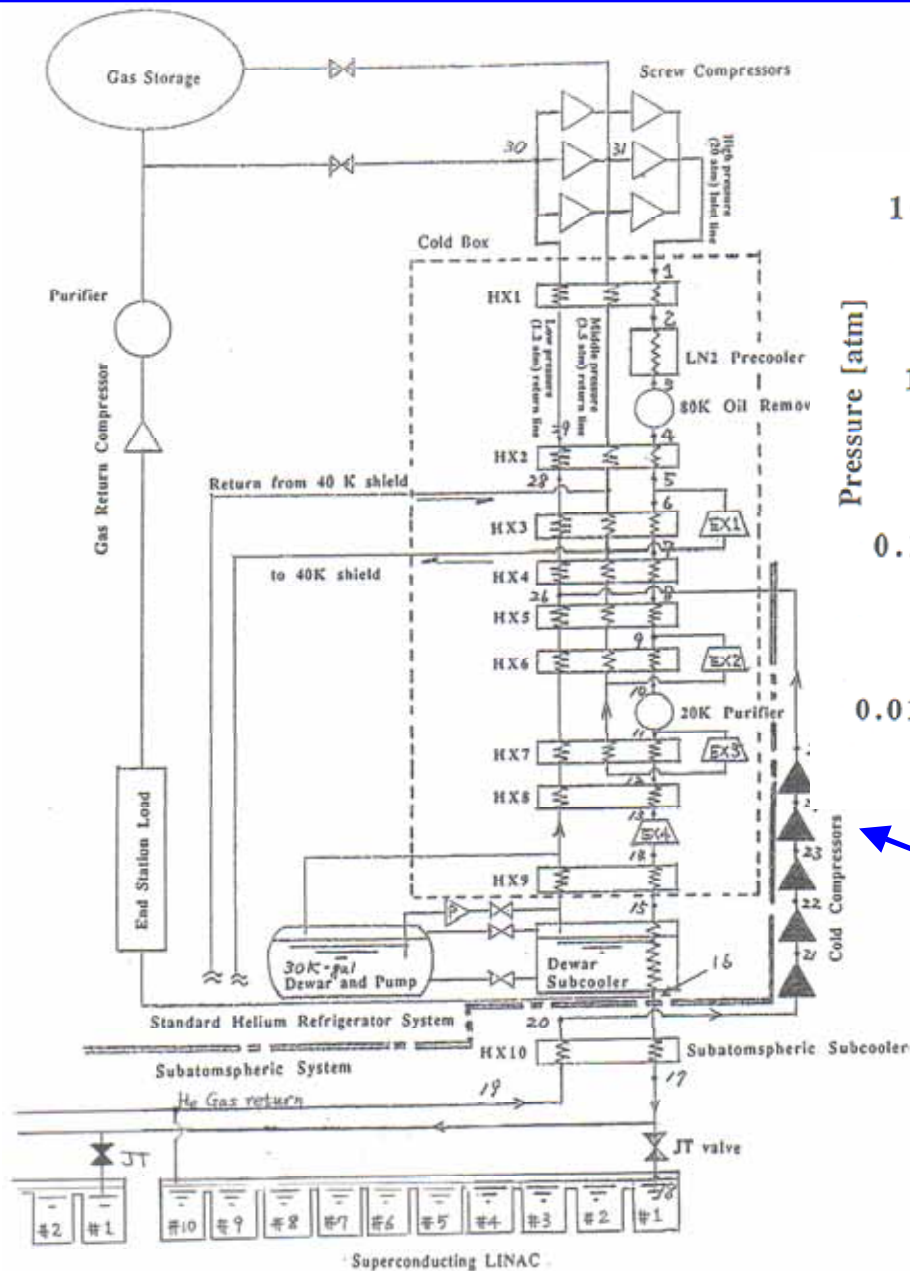
Open the JT valve bellow 45K for LHe



Expand the gas by J-T valve, and decrease the temperature

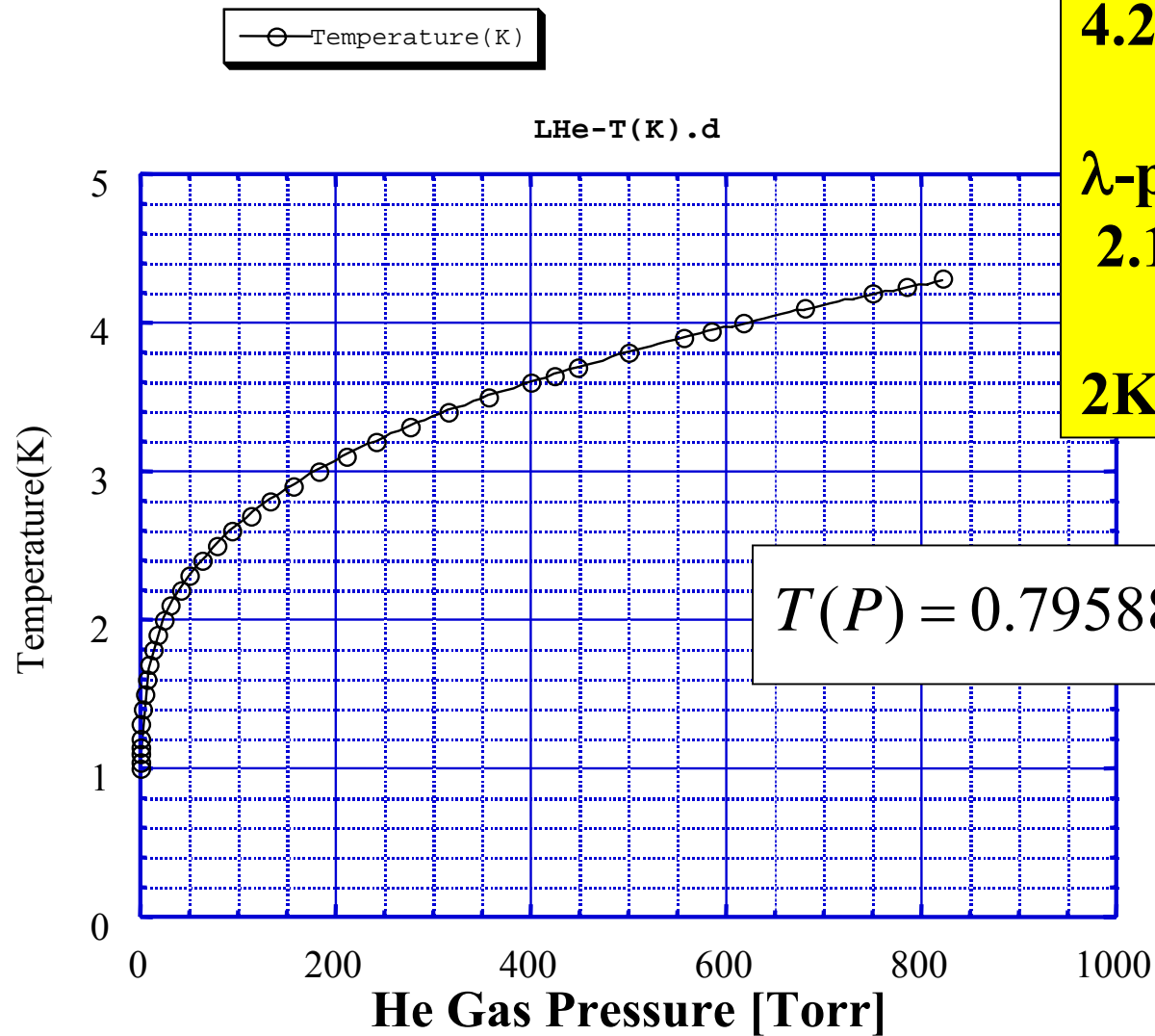
Make work a part of the gas by operating turbine,  
Decrease the temperature,  
Reduce the temperature of the heat exchanger

# 2K Liquid Refrigerator (CEBAF)



**Cold compressor:**  
 Compress the cold gas and  
 Increase the temperature and pressure  
 up to nearly RT.

# LHe Temperature P vs. T



**4.25 K = 760 Torr**

**$\lambda$ -point :**

**2.1773K = 38.41 Torr**

**2K = 23.77 Torr**

$$T(P) = 0.79588 + 0.46085 \cdot P^{0.30163}$$

# Characteristics of the He-II

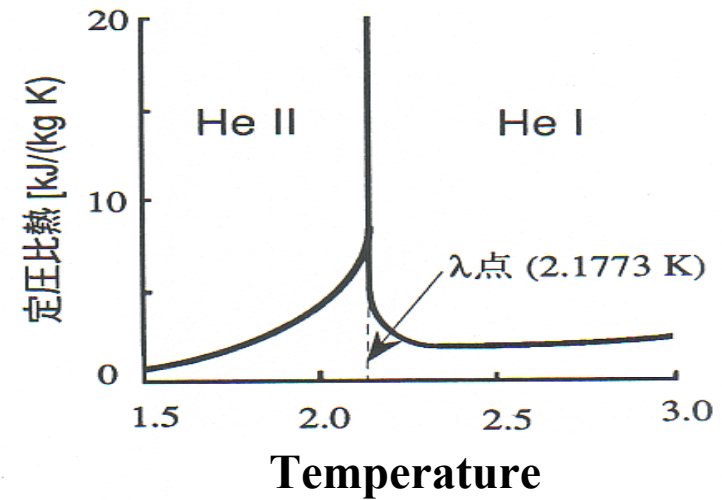
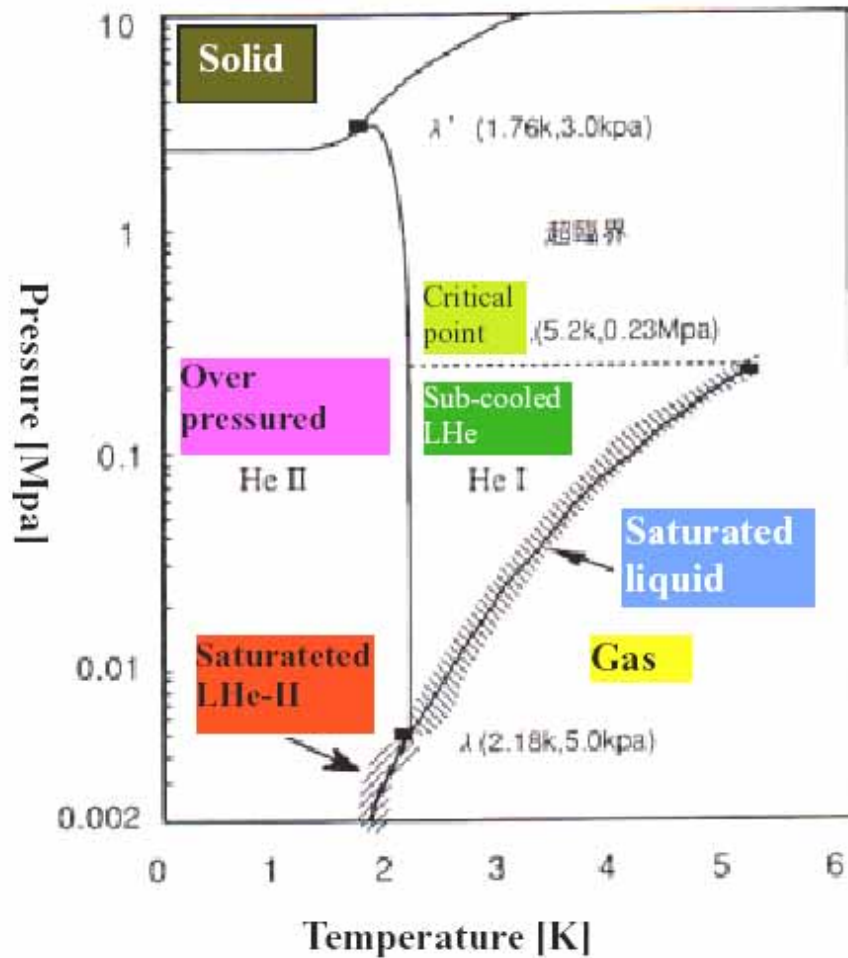


図 5 - 2 ヘリウム 4 の定圧比熱

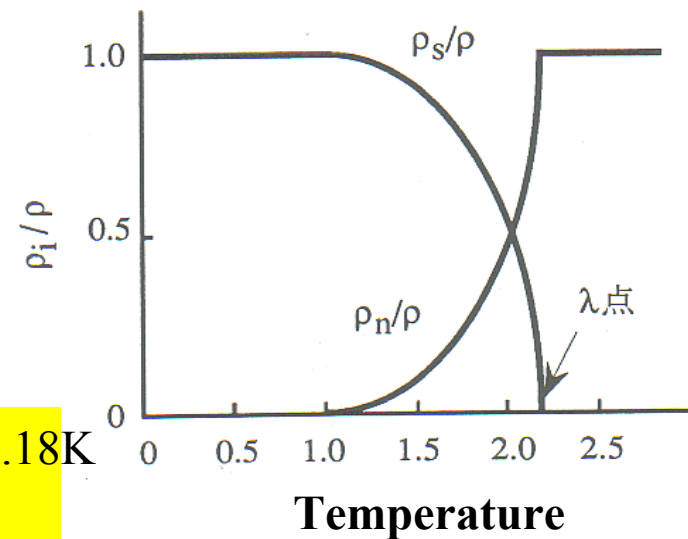


図 5 - 3 He II 中での超流動成分 ( $\rho_s/\rho$ ) と常流動成分 ( $\rho_n/\rho$ ) の比率の温度変化

- LHe transits from He-I to LHe-II at Lamda point :  $T=2.18\text{K}$
- He-II has no viscosity and makes easily super-leak.
- He-II has very a large thermal conductivity which is 100 higher than that of copper at low temperature



# Characteristics of thermal conductivity of He-II

$$q^m = f(T)^{-1} \frac{dT}{dx}$$

