

# 3<sup>rd</sup> International Accelerator School for Linear Colliders

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## Lecture 7a

# Superconducting RF & ILC



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# Contents

## Part 1

- **Superconductivity basics**
- **Cavity design and constrains**
- **HOM & HOM couplers and dampers**
- **Cavity fabrication and tuning**
- **Surface preparation**
- **Gradient limit and spread**
- **Lorenz force detuning in cavity**
- **Microphonics & Vibration Issues**
- **Slow and Fast Tuners**

## Part 2

- Power Couplers
- ILC cryomodules
- Alignment Issues
- Cryogenics
- ILC design and challenges



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## Recommended Literature:

- H.Padamsee J. Knobloch, T. Hays, RF superconductivity for accelerators, John Wiley&Sons, Inc; ISBN 0-471-15432-6
- V.Shmidt, “Introduction in physics of superconductivity” , lectures, Moscow 2000
- Holger J. Podlech, Introduction to Superconducting RF,2007,Mol, Belgium
- S.Noguchi, SRF Basics, ILC school, Hayama Japan,2006
- P.Kneisel, SRF Cavity Technology, ILC school, Hayama Japan,2006
- C.Pagani, ILC Cryomodule and Cryogenics, ILC school, Hayama Japan,2006
- A. Gurevich “General aspects of superconductivity”, tutorial SRF 2007, Beijing

### Proceedings of the Workshops on RF Superconductivity 1981 – 2007 and

- TTC Workshop at Frascati, Dec.2005 - <http://www.lnf.infn.it/conference/ilc05/>
- TTC Workshop at KEK, Sept.2006 - <http://lcdev.kek.jp/TTC/>
- SRF 2003, Travemuende, Germany - <http://srf2003.desy.de/>
- SRF 2005, Ithaca,NY- <http://www.lns.cornell.edu/public/SRF2005/>
- SRF 2007, Beijing - <http://www.pku.edu.cn/academic/srf2007/home.html>



# Superconductivity basics

## Basic phenomena:

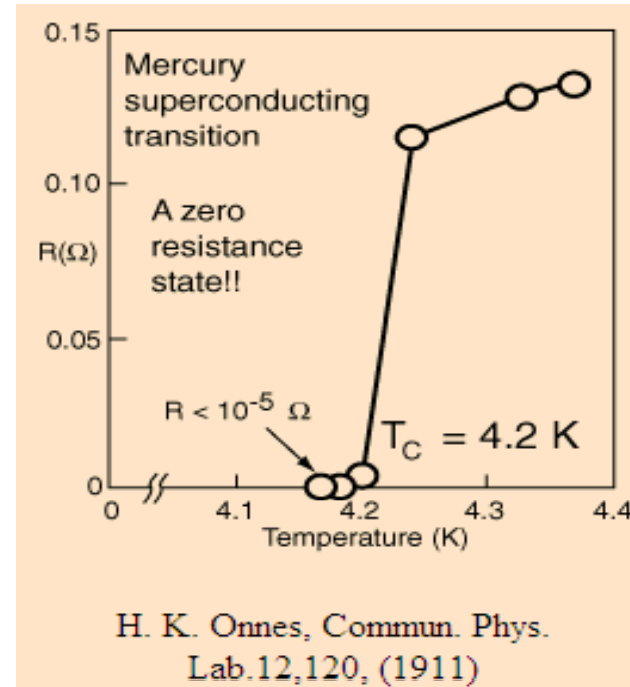
- History of discovery
- Phase transition, Specific heat and Meissner effect
- London equations, magnetic penetration depth
- Type II superconductors, vortices
- GL and BCS theories, energy gap
- RF losses

# History of Discovery

Superconductivity was discovered in 1911 by Heinke Kamerling Onnes and Giles Holst after Onnes was able to liquify helium in 1908. Nobel Prize in 1913

"for his investigations on the properties of matter at low temp which led, inter alia, to the production of liquid helium" .

H. K. Onnes, Commun. Phys. Lab.12,120, (1911); Nobel lecture, 1913..



- The mercury was purified by distillation (very important  $\rightarrow$  **resistance** at low  $T$  is dominated by impurity effects). They found that the resistivity suddenly dropped to zero @ 4.2K.
- This phenomenon was called **superconductivity** and the temperature at which it occurred is called its **critical temperature**.
- 1986: **High-Temperature Superconductivity**:  $T_c$  increased from  $30^\circ\text{K} \rightarrow 130^\circ\text{K}$



# Superconducting materials

conventional (type I, soft) superconductors  
(except Niobium, Technetium and Vanadium)

**KNOWN SUPERCONDUCTIVE ELEMENTS**

■ BLUE = AT AMBIENT PRESSURE  
■ GREEN = ONLY UNDER HIGH PRESSURE

1A	1	2	KNOWN SUPERCONDUCTIVE ELEMENTS										3	4	5	6	7	8	9	0																
	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36
	H	He	Li	Be	B	C	N	O	F	Ne	Na	Mg	Al	Si	P	S	Cl	Ar	K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	*La	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
	87	88	89	104	105	106	107	108	109	110	111	112																								
	Fr	Ra	+Ac	Rf	Ha	106	107	108	109	110	111	112	<i>SUPERCONDUCTORS.ORG</i>																							

\* Lanthanide Series

58	59	60	61	62	63	64	65	66	67	68	69	70	71
Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu

+ Actinide Series

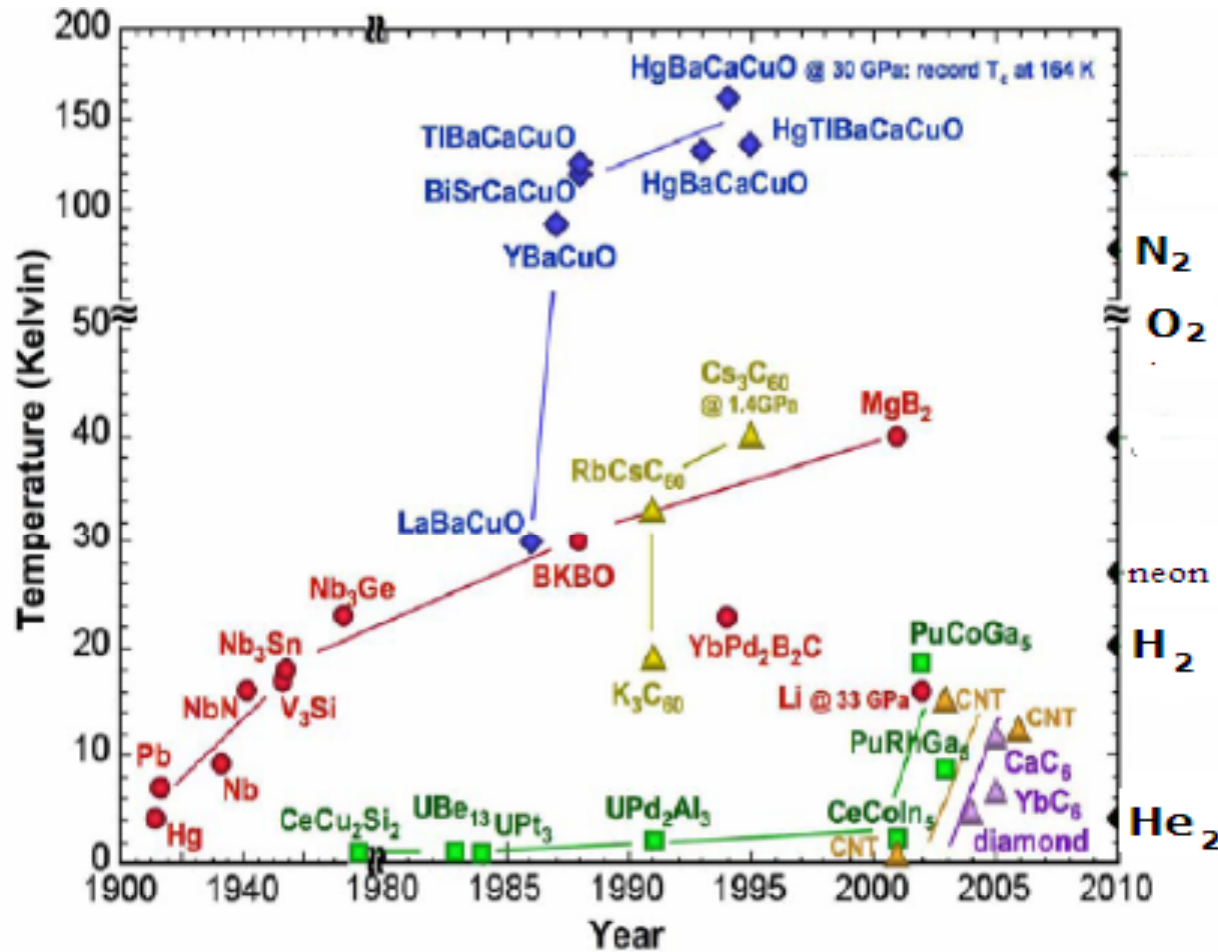
90	91	92	93	94	95	96	97	98	99	100	101	102	103
Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr

Remarkably, the best conductors at room temperature (gold, silver, and copper) do not become superconducting at all. They have the smallest lattice vibrations, so their behavior correlates well with the BCS theory



# Critical Temperature of SC materials

Mat.	T <sub>c</sub>	Mat.	T <sub>c</sub>
Be	0	Al	1.2
Rh	0	Pa	1.4
W	0.015	Th	1.4
Ir	0.1	Re	1.4
Lu	0.1	Tl	2.39
Hf	0.1	In	3.408
Ru	0.5	Sn	3.722
Os	0.7	Hg	4.153
Mo	0.92	Ta	4.47
Zr	0.546	V	5.38
Cd	0.56	La	6.00
U	0.2	Pb	7.193
Ti	0.39	Tc	7.77
Zn	0.85	Nb	9.46
Ga	1.083		



Material	T-Critical
Gallium	1.1 K
Aluminum	1.2 K
Indium	3.4 K
Tin	3.7 K
Mercury	4.2 K
Lead	7.2 K
Niobium	9.3 K
Niobium-Tin	17.9 K
La-Ba-Cu-oxide	30 K
Y-Ba-Cu-oxide	92 K
Tl-Ba-Cu-oxide	125 K

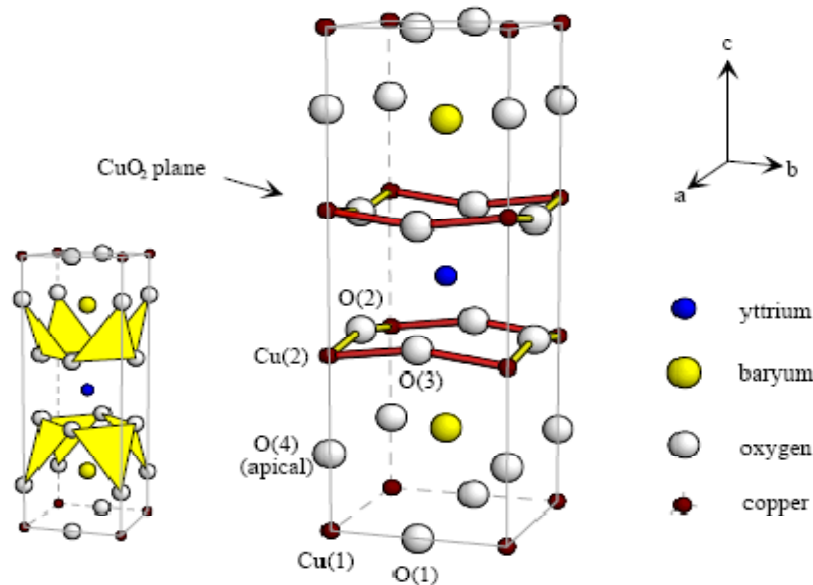
Type-II

1986 – High-temperature superconductivity  
 2001 – Magnesium diboride (Mg<sub>2</sub>B) - Type II, T<sub>c</sub> ~ 40K

# HT superconducting materials

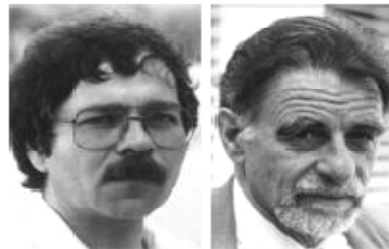
## Unconventional Type-II superconductors:

- metal alloys or complex oxide ceramics
- high temperature superconductors (complex **copper** oxide ceramics)
- pure metals: Nb, Tc, V



**1986: Bednorz, Müller**  
(Nobel 1987)

Z. Phys. B, 64, 189 (1986)



## Alloys

Material	Transition Temp (K)	Critical Field (T)
NbTi	10	15
PbMoS	14.4	6.0
Y <sub>3</sub> Ge	14.8	2.1
NbN	15.7	1.5
Y <sub>3</sub> Si	16.9	2.35
Nb <sub>3</sub> Sn	18.0	24.5
Nb <sub>3</sub> Al	18.7	32.4
Nb <sub>3</sub> (AlGe)	20.7	44
Nb <sub>3</sub> Ge	23.2	38

From Blett, Modern Physics

## metal-oxide compounds (perovskites)

<u>Metal-oxide</u>	<u>T<sub>c</sub> [K]</u>
(La <sub>1.85</sub> Ba <sub>0.15</sub> )CuO <sub>4</sub>	30K
YBa <sub>2</sub> Cu <sub>3</sub> O <sub>7</sub>	92K
HgBa <sub>2</sub> Ca <sub>2</sub> Cu <sub>3</sub> O <sub>8</sub>	133-135K

Courtesy of M. Calame, University of Basel

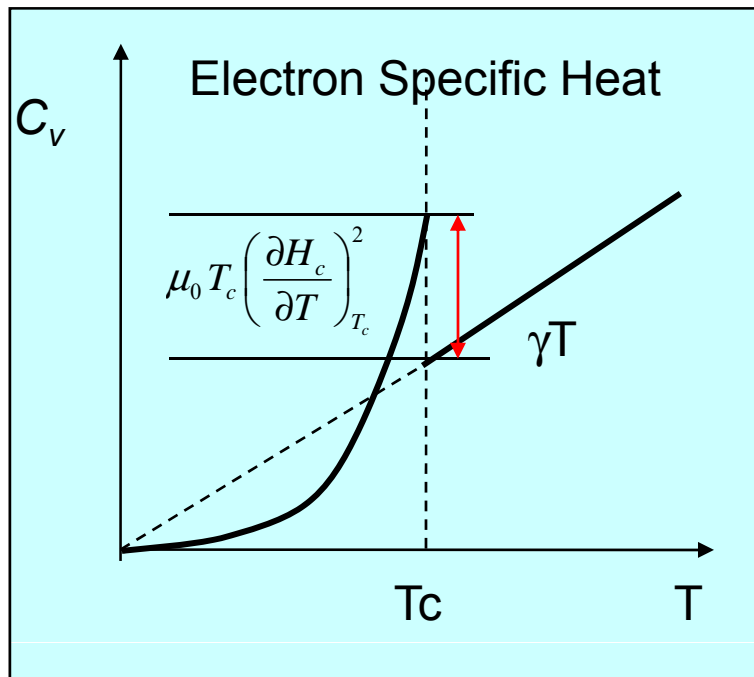


# Phase Transition (1)

In normal conductor electron gas theory predicts relation between thermal conductivity  $k$  and electron conductivity  $\sigma$  of metal

$$\frac{k}{\sigma} = \frac{3}{2} \left( \frac{k_B}{e} \right)_{T_c}^2 T \quad \text{Wiedemann-Franz} \quad k = \frac{1}{2} v^2 \tau \cdot C_v$$

$$C_v = \gamma T + AT^3$$



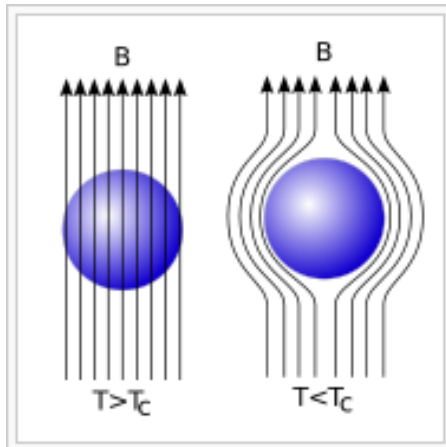
$C_v$  -specific heat with electron and photon contribution added

In superconductor experimentally observed different T dependence

$$C_v \propto \exp\left(-\frac{\Delta}{k_B T}\right)$$

Evidence for energy gap

# Meissner effects and critical field



Walther **Meissner** and Robert **Ochsenfeld**, 1933 - exclusion magnetic flux inside superconductor – perfect diamagnetism (different from ideal conductor)



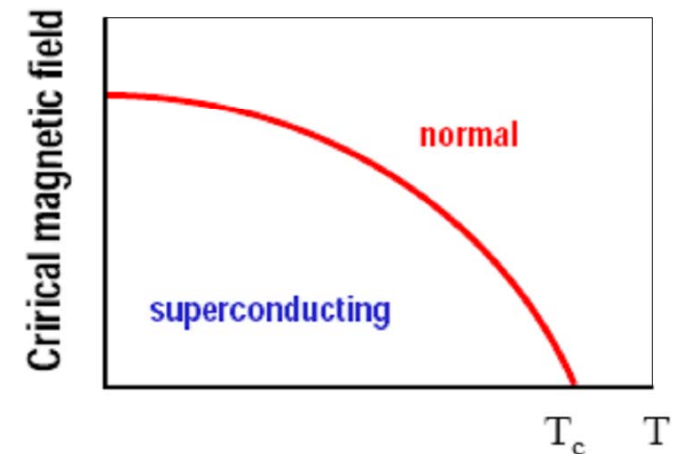
A magnet levitating above a superconductor

## Key experimental facts

- Magnetic field is expelled from superconductor (at DC)
- Superconductivity destroys by magnetic field  $H > H_c(T)$
- Thermodynamic critical magnetic field  $H_c(T)$

$$H_c(T) = H_c(0) [1 - (T/T_c)^2]$$

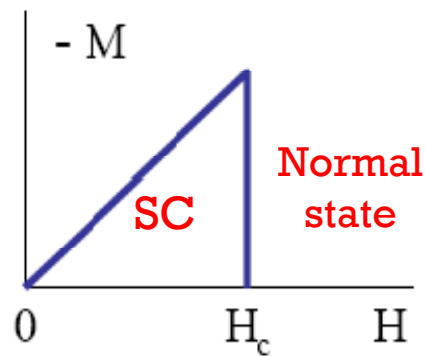
- Behavior of good normal material and superconductors is similar in AC magnetic field



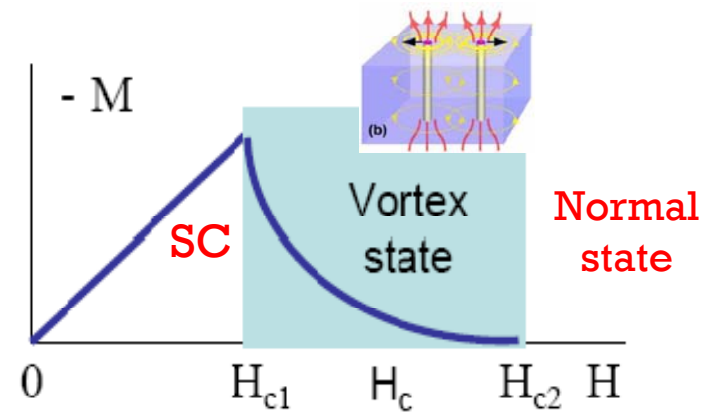
# Type-I and Type II superconductors



Measurements of magnetization  $M(H)$  have shown partial Meissner effect in many superconducting materials (mostly alloys) - *Shubnikov 1935*



Complete Meissner effect in type-I superconductors

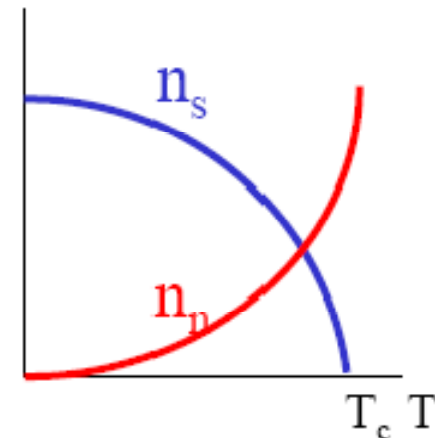


High-field partial Meissner effect in type-II superconductors

Pure Nb is weakly type II ( $H_{c1} \approx 16$  mT,  $H_c \approx 19$  mT,  $H_{c2} \approx 30$  mT);  
 Impurities decrease  $H_{c1}$  and increase  $H_{c2}$ , but do not affect  $H_c$

# London equations (1935)

- Two-fluid model: coexisting SC and N "liquids" with the densities  $n_s(T) + n_n(T) = n$ .
- Electric field  $E$  accelerates only the SC component, the N component is short circuited.
- Second Newton law for the SC component:  $m dv_s/dt = eE$  yields the **first London equation**:



$$dJ_s/dt = (e^2 n_s / m) E$$



$$J = \sigma E$$

(ballistic electron flow in SC)

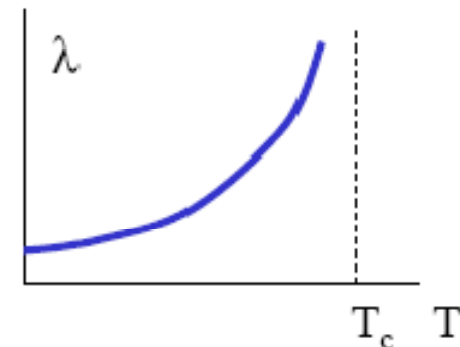
(viscous electron flow in metals)

- Using the Maxwell equations,  $\nabla \times E = -\mu_0 \partial_t H$  and  $\nabla \times H = J_s$  we obtain the **second London equation**:

$$\lambda^2 \nabla^2 H - H = 0$$

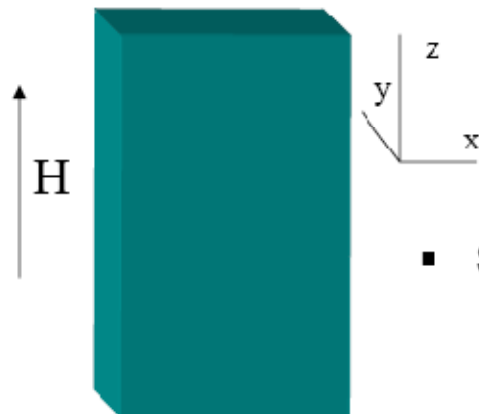
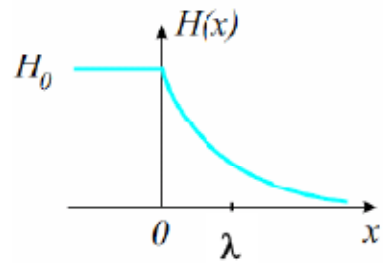
- London penetration depth:

$$\lambda = \left( \frac{m}{e^2 n_s(T) \mu_0} \right)^{1/2}$$



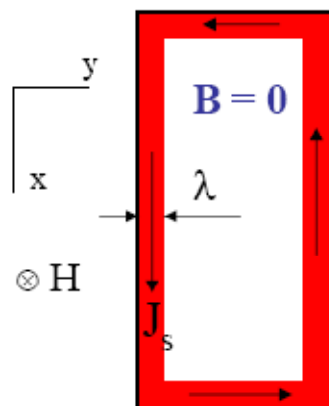
## Dc screening of the magnetic field

- London equation

$$\lambda^2 \frac{\partial^2 H_z}{\partial y^2} - H_z = 0$$



- Screening surface current density  $J_s(y)$ :

$$H(y) = H_0 e^{-y/\lambda}, \quad J_s(y) = \frac{H_0}{\lambda} e^{-y/\lambda}$$



- Meissner effect: no magnetic induction  $B$  in the bulk.
- $J(0)$  at the surface cannot exceed the **depairing current density  $J_d$** :

$$J_d = \frac{H_c(T)}{\lambda(T)} \equiv J_0 \left( 1 - \frac{T^2}{T_c^2} \right)^{3/2}$$

$J_d(0) \approx 2.8 \text{ MA/mm}^2$   
 for pure Nb



# Modification of London equations

- If size of sc pair  $\xi_0 > \lambda_L \rightarrow$  non-local electrodynamics. London equation will be modified with new a scale  $\lambda_p$  (Pippard)

$$\lambda_p = \left( \frac{\sqrt{3}}{2\pi} \xi_0 \lambda_L^2 \right)^{1/3}, \quad \lambda \ll \xi \text{ (Pippard Limit)}$$

Quantum modification  $\rightarrow$  magnetic flux quantization

Wave function:  $\psi(r) = (n_s/2)^{1/2} e^{i\theta(r)}$

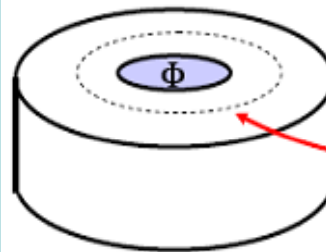
Momentum:  $\hbar \nabla \theta = 2m \vec{v}_s + \frac{2e}{c} \vec{A}$

Current:  $\vec{j}_s = n_s e \vec{v}_s = \frac{n_s e}{m} \vec{p}_s$

or

$$\vec{j}_s = \frac{1}{c\Lambda} \left( \frac{\Phi_0}{2\pi} \nabla \theta - \vec{A} \right)$$

What magnetic flux  $\Phi = \int \mathbf{B} \cdot d\mathbf{S}$  can be trapped in a hollow cylinder?



Integrate  $J_s$  along the contour  $l$  in the bulk, where  $J_s = 0$ :

$$\frac{1}{\lambda^2 \mu_0} \oint \left( \frac{\phi_0}{2\pi} \nabla \theta - \vec{A} \right) d\vec{l} = 0$$

Use the Gauss theorem:  $\Phi = \int \nabla \times \mathbf{A} \cdot d\mathbf{S} = \oint \mathbf{A} \cdot d\vec{l}$ , and the fact that the wave function  $\Psi = (n_s/2)^{1/2} \exp(i\theta)$  must be single valued,  $\oint \nabla \theta \cdot d\vec{l} = \pm 2\pi n$ ,  $n = 0, \pm 1, \pm 2 \dots$ . Hence

$$\Phi = \pm n \phi_0, \quad \phi_0 = \pi \hbar / |e| = 2.07 \times 10^{-15} \text{ V s}$$

London equations

$$j_s = j_{s0} e^{i\omega t}; \quad j_s = \frac{-i}{\omega \mu_0 \lambda_L^2} E = -i \sigma_s E$$

$$\frac{\partial \vec{J}_s}{\partial t} = -\frac{\vec{E}}{\lambda^2 \mu_0}, \quad \lambda^2 \nabla^2 \vec{H} - \vec{H} = 0$$

$$\sigma_s = \frac{n_s e^2}{m \omega}; \quad \sigma_n = \frac{n_n e^2 \tau}{m}; \quad \sigma = \sigma_n - i \sigma_s$$

$$\nabla^2 E = \tau_{tot}^2 E; \quad \tau_{tot}^2 = \sqrt{i \omega \mu_0 (\sigma_n - i \sigma_s)}$$

$$Z_s = \sqrt{\frac{i \omega \mu_0}{(\sigma_n - i \sigma_s)}} = R_s + i X_s \quad R_s = \frac{1}{2} \sigma_n \omega^2 \mu_0^2 \lambda_L^3; \quad X_s = \omega \mu_0 \lambda$$

$$R_s \propto \omega^2 \quad \text{and} \quad R_s \propto \sigma_n \propto n_n \propto \exp\left(-\frac{\Delta}{k_B T}\right)$$

also  $R_s \propto \lambda_L^2 = \frac{m}{n_s e^2 \mu_0}$

BCS theory gives more accurate result



# Success of Two Fluid Model

- Specific Heat
- Meissner Effect
- T Dependence of  $H_c$
- T Dependence of  $\lambda$
- Impurity Effect
- RF surface resistance

## Difficulties:

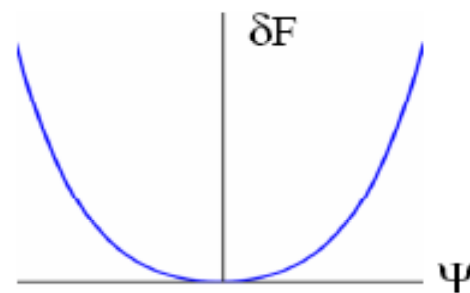
- Predicted negative boundary energy between **sc** and **nc** phases → in magnetic field is favorable for SC to brake in multilayer **sc-ns-sc-ns** structure.  
(not true for Type-I superconductor)

## GL free energy

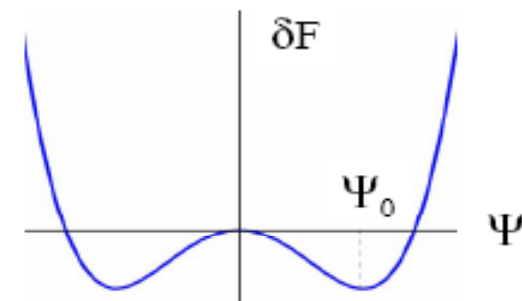
- Complex superconducting order parameter  $\Psi = (n_s/2)^{1/2} \exp(i\theta)$
- For  $T \approx T_c$ ,  $\Psi$  is small so the free energy can be expanded in the Taylor series in  $\Psi$ :

$$F = F_n + \int dV \left[ \underbrace{\alpha(T) |\Psi|^2}_{\text{nonlinear}} + \underbrace{\frac{\beta}{2} |\Psi|^4}_{\text{nonlinear}} + \underbrace{\frac{\hbar^2}{2m^*} \left| \left( \nabla + \frac{2\pi i \vec{A}}{\phi_0} \right) \Psi \right|^2}_{\text{inhomogeneity}} + \underbrace{\frac{\mu_0 H^2}{2}}_{\text{magnetic}} \right]$$

- The coefficient  $\alpha(T) = \alpha_0(T - T_c)/T_c$  changes sign at  $T_c$



**Normal state**  
 $T > T_c$ ,  $\Psi = 0$



**Superconducting state**  
 $T < T_c$ ,  $\Psi_0 = (|\alpha|/\beta)^{1/2}$

Energy gain define  
critical magnetic field :

$$\frac{F_n - F_s}{V} = \frac{\alpha^2(T)}{2\beta} = \frac{\mu_0 H_c^2(T)}{2}$$



## Ginzburg-Landau equations

Energy minimization conditions  $\delta F/\delta\Psi^* = 0$  and  $\delta F/\delta\vec{A} = 0$  yield the GL equations for the dimensionless order parameter  $\psi = \Psi/\Psi_0$

$$\xi^2 \left( \nabla + \frac{2\pi i}{\phi_0} \vec{A} \right)^2 \psi + \psi - \psi |\psi|^2 = 0,$$
$$\nabla \times \nabla \times \vec{A} = \vec{J}_s = -\frac{|\psi|^2}{\lambda^2} \left( \frac{\phi_0}{2\pi} \nabla \theta + \vec{A} \right)$$

- Two coupled complex **nonlinear PDE** for the pair wave function  $\psi(\mathbf{r})$  and the magnetic vector-potential  $\mathbf{A}(\mathbf{r})$ , ( $\mathbf{B}=\nabla\times\mathbf{A}$ ).
- Two fundamental lengths  $\xi$  and  $\lambda$
- Boundary condition between a superconductor and vacuum  $\mathbf{J}_s = 0$ :

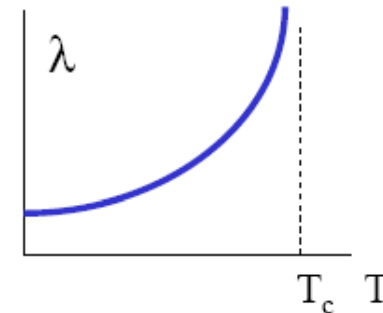
$$\left( \nabla + \frac{2\pi i}{\phi_0} \vec{A} \right) \psi \vec{n} = 0$$



## Fundamental lengths $\lambda$ and $\xi$ and the GL parameter $\kappa = \lambda/\xi$

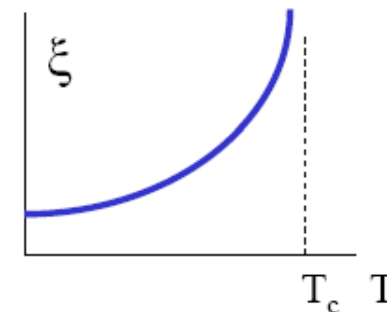
- Magnetic London penetration depth:

$$\lambda(T) = \left( \frac{m\beta}{2e^2\mu_0\alpha_0} \right)^{1/2} \sqrt{\frac{T_c}{T_c - T}}$$



- Coherence length – a new scale of spatial variation of the superfluid density  $n_s(r)$  or superconducting gap  $\Delta(r)$ :

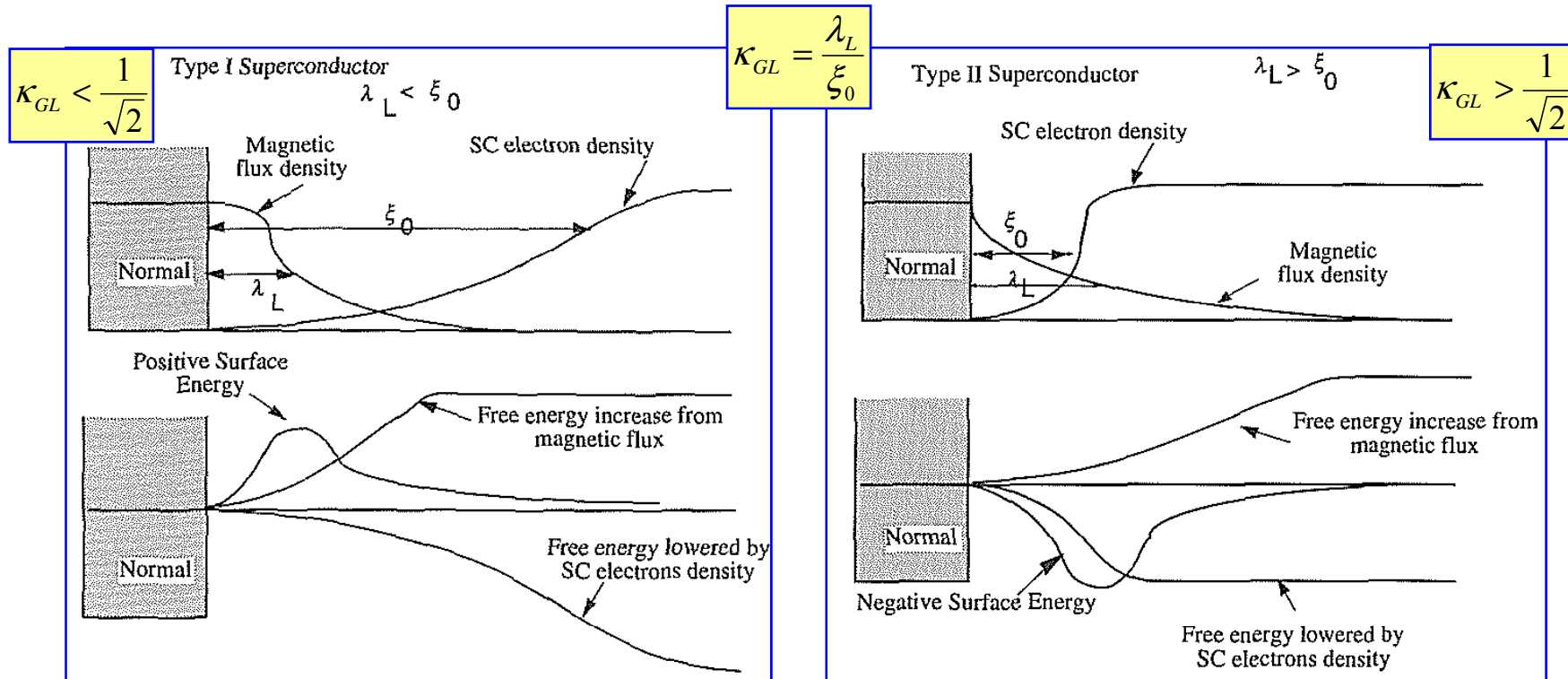
$$\xi(T) = \left( \frac{\hbar^2}{4m\alpha_0} \right)^{1/2} \sqrt{\frac{T_c}{T_c - T}}$$



- The GL parameter  $\kappa = \lambda/\xi$  is independent of T.
- Critical field  $H_c(T)$  in terms of  $\lambda$  and  $\xi$ :

$$B_c(T) = \frac{\phi_0}{2\sqrt{2}\pi\xi(T)\lambda(T)}$$

# Parameter of order $\kappa_{GL}$



+Density of sc electrons suppressed over the distance  $\xi_0$

- Admitting the applied field  $H_e$  over  $\lambda_L$

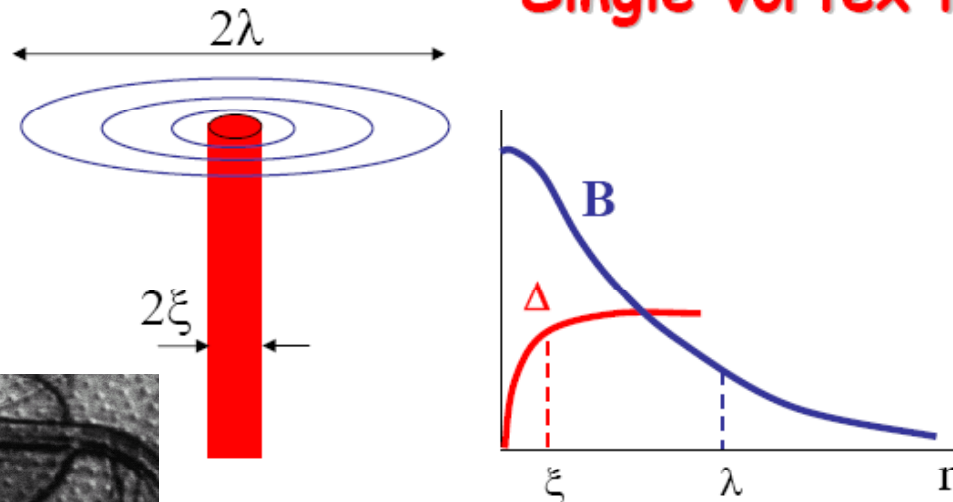
Net boundary energy per unit area:

$$\Delta E = \frac{\mu_0}{2} (\xi_0 H_c^2 - \lambda_L H_e^2)$$

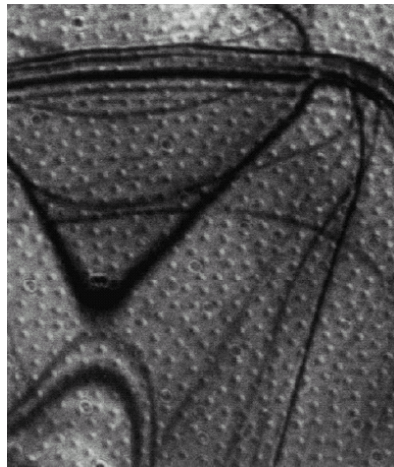
$H_e$  – external field

# Vortex in superconductor

## Single vortex line



- Small core region  $r < \xi$  where  $\Delta(r)$  is suppressed
- Region of circulating supercurrents,  $r < \lambda$ .
- Each vortex carries the flux quantum  $\phi_0$



Picture of vortices

## Important lengths and fields

- Coherence length  $\xi$  and magnetic (London) penetration depth  $\lambda$

$$B_{c1} = \frac{\phi_0}{2\pi\lambda^2} \left( \ln \frac{\lambda}{\xi} + 0.5 \right), \quad B_c = \frac{\phi_0}{2\sqrt{2}\pi\lambda\xi}, \quad B_{c2} = \frac{\phi_0}{2\pi\xi^2}$$

A. Gurevich

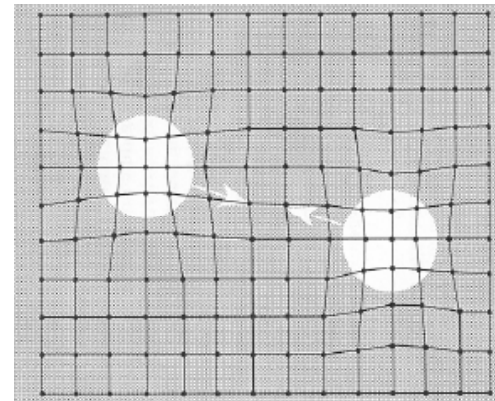
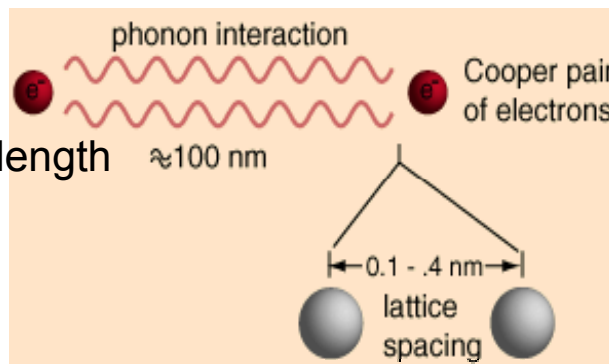
Type-II superconductors:  $\lambda/\xi > 1/\sqrt{2}$ : For clean Nb,  $\lambda \approx 40$  nm,  $\xi \approx 38$  nm

# BCS Theory of Superconductivity



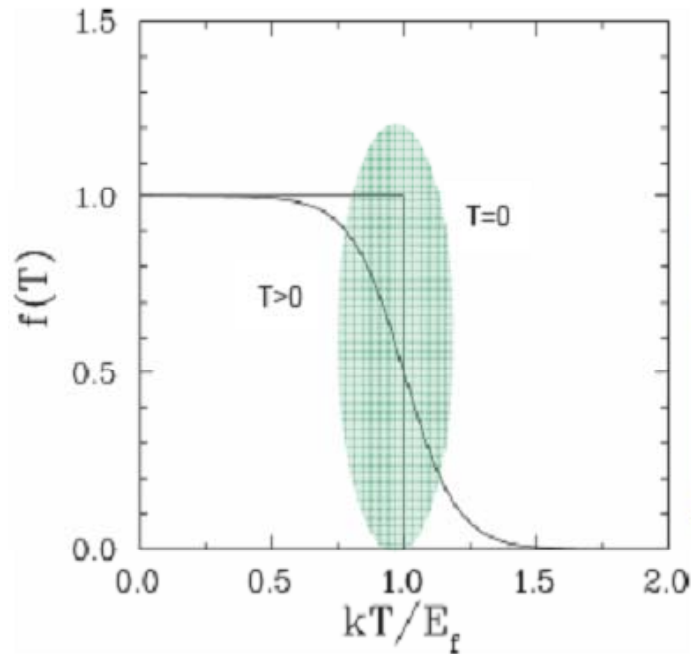
- SC was explained in 1957 by John Bardeen, Leon Cooper, and Robert Schrieffer (BCS theory). Nobel Prize, 1972.
- It was simultaneously explained by [Nikolay Bogoliubov](#) ([Bogoliubov transformations](#)).

Key mechanism - electrons near **Fermi level** are pairing into **Cooper pairs** through interaction with the crystal lattice; the coupling to the lattice is called a **phonon** interaction.



Polarization of atomic lattice by electrons  $\rightarrow$  Second electron “feels” attraction  $\rightarrow$  Pairing decrease energy  $\rightarrow$  bosons  $\{ \vec{p} \downarrow, -\vec{p} \uparrow \}$

# Fermi distribution



Electrons are fermions → Pauli

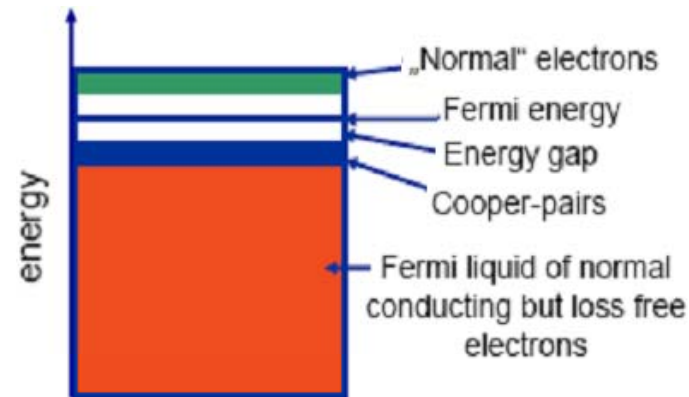
Fermi-liquid (electron gas)

- All states are filled up to the Fermi-energy ( $T=0$ )
- For  $T>0$ : broadening around  $E_F$
- Only  $e^-$  close to  $E_F$  contribute to scattering
- Only these electrons can form Cooper pairs

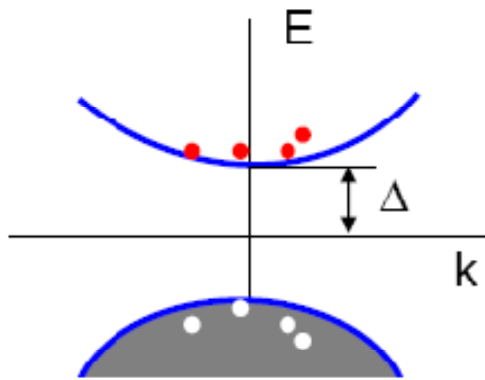
Energy gap is a function of energy:

$$\Delta(T) = \Delta(T) \left[ \cos\left(\pi \frac{T^2}{T_c^2}\right) \right]^{1/2}$$

Pairs are bosons which can condense into the same energy level. The electron pairs have a slightly lower energy and leave an energy gap above them on the order of .001 eV



# RF dissipation



- Thermal activation of normal electrons

$$n_a = n_0(\pi T/2\Delta)^{1/2}\exp(-\Delta/T)$$

- Accelerating electric field

$$E(z,t) = \mu_0\omega\lambda H_\omega e^{-\lambda|z|}\sin\omega t$$

- Scattering mechanisms and normal state conductivity:  $\sigma_n = e^2 n_0 l / p_F$ ,  $p_F = \hbar(3\pi^2 n_0)^{1/3}$

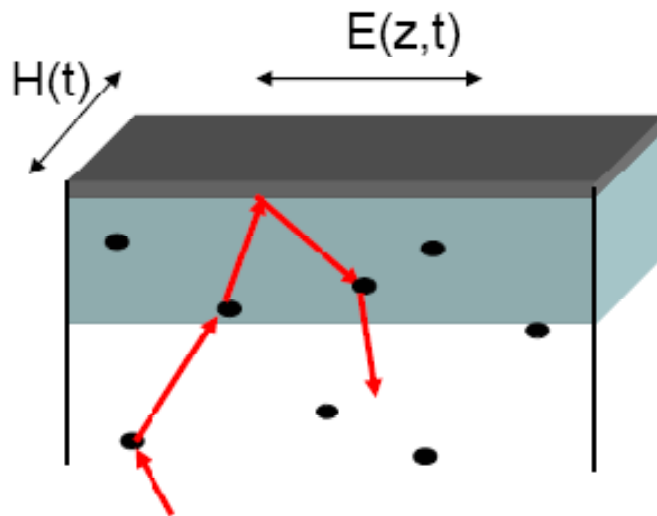
- Surface: from specular to diffusive

- Normal skin effect ( $l \ll \lambda$ ): multiple impurity scattering in the  $\lambda$ -belt:

$$R_s \sim (\mu_0^2 \omega^2 \lambda^3 \sigma_n \Delta / T) \exp(-\Delta/T)$$

- Anomalous skin effect ( $l \gg \lambda$ ): scattering by the gradient of the ac field  $E(z)$ :

$$\text{Effective } \sigma_{\text{eff}} \sim e^2 n_0 \lambda / p_F; \quad l \rightarrow \lambda$$







# Surface Resistance

$$R_S = R_{BCS} + R_{residual}$$

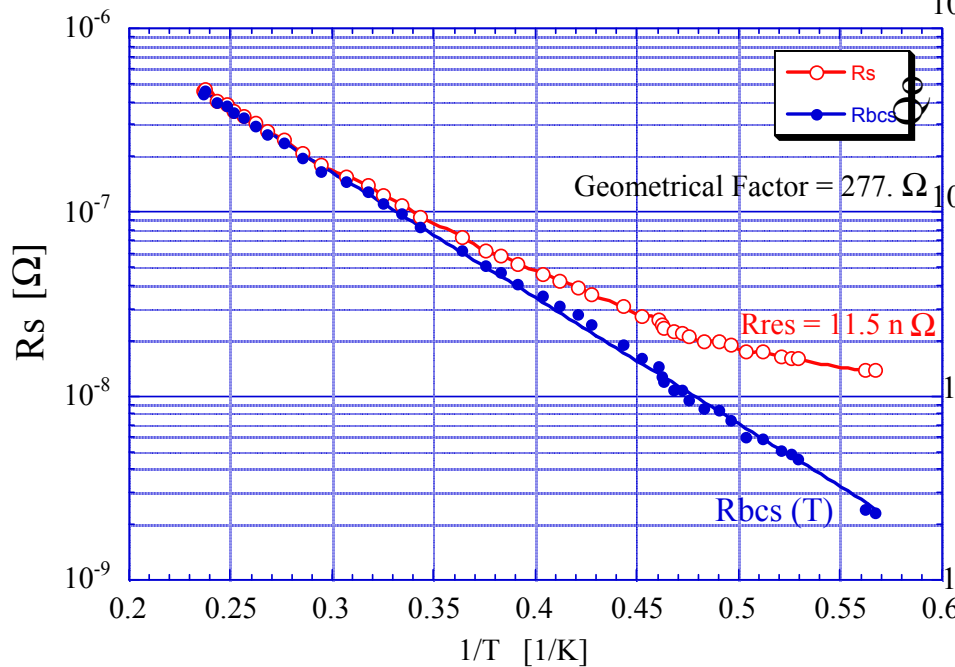
Residual from trapped flux:

$H_{c2} = 2400 \text{ Oe}$ ,  $RRR = 300$ ;

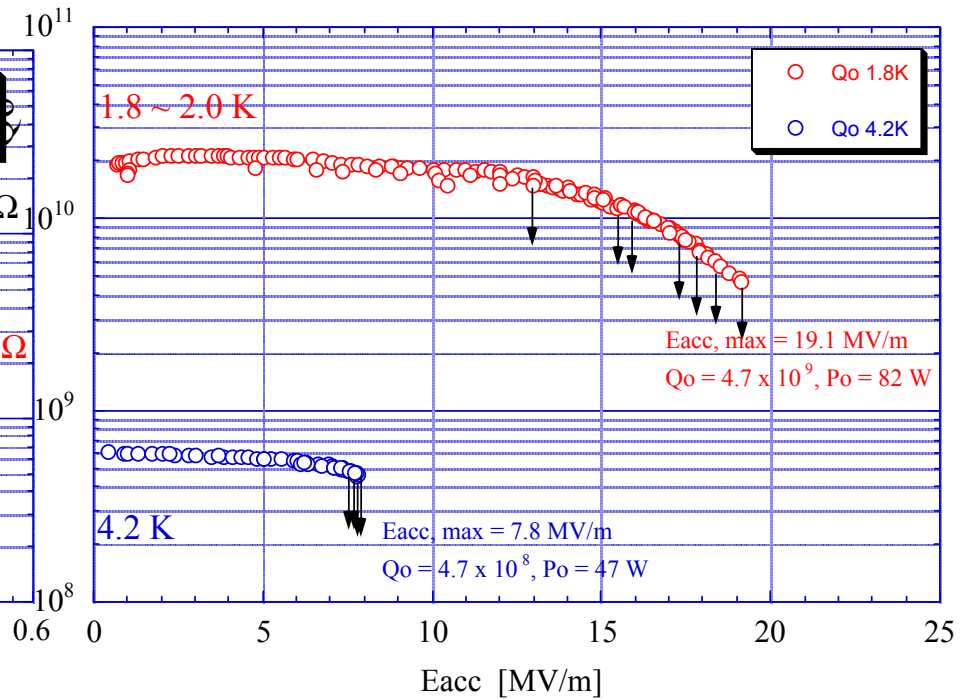
$R_n = 1.2 \text{ m}\Omega$  at 1 GHz

$$R_{mag} = \frac{H_{ext}}{2H_{c2}} R_n = 0.3(n\Omega) \cdot H_{ext} (mOe) \sqrt{f (GHz)}$$

#1 Baseline Cavity ; 1'st Vertical Test



#1 Baseline Cavity ; 1'st Vertical Test







# SC materials

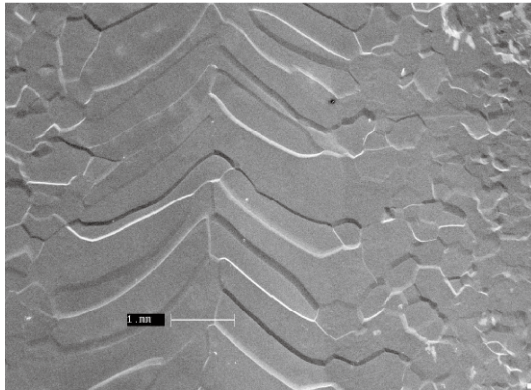
Material	$T_c$ (K)	$H_c(0)$ [T]	$H_{c1}(0)$ [T]	$H_{c2}(0)$ [T]	$\lambda(0)$ [nm]
Pb	7.2	0.08	na	na	48
<b>Nb</b>	<b>9.2</b>	<b>0.2</b>	<b>0.17</b>	<b>0.4</b>	<b>40</b>
Nb <sub>3</sub> Sn	18	0.54	0.05	30	85
NbN	16.2	0.23	0.02	15	200
MgB <sub>2</sub>	40	0.43	0.03	3.5	140
YBCO	93	1.4	0.01	100	150

<b>Fluxoid</b>	$\Phi_0$	$2.068 \times 10^{-15} \text{ Wb}$
<b>Boltzman Constant</b>	$k_B$	$1.38 \times 10^{-23} \text{ JK}^{-1}$

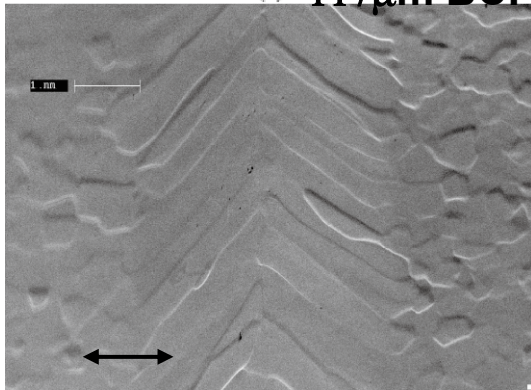
Niobium has the highest  $H_{c1}$  – good for SRF applications



# Nb Surface – Theory and Reality

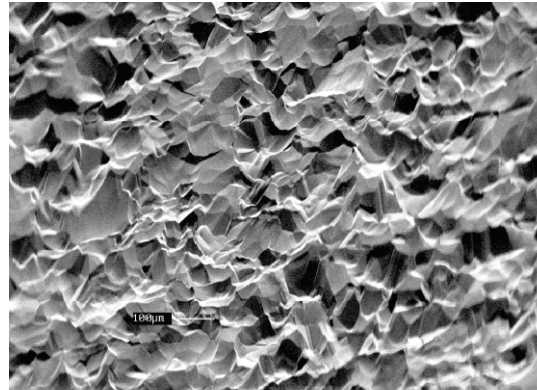


(a) 117 $\mu$ m BCP

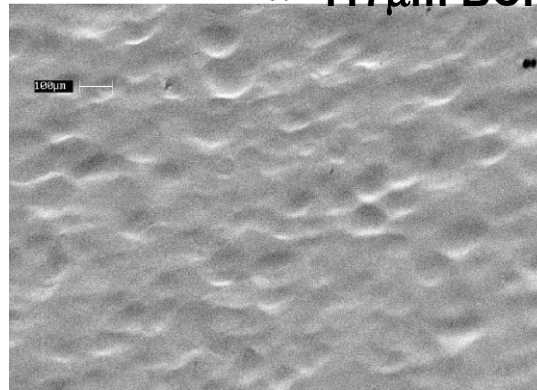


1 mm (b) +90 $\mu$ m EP

EBW Seam



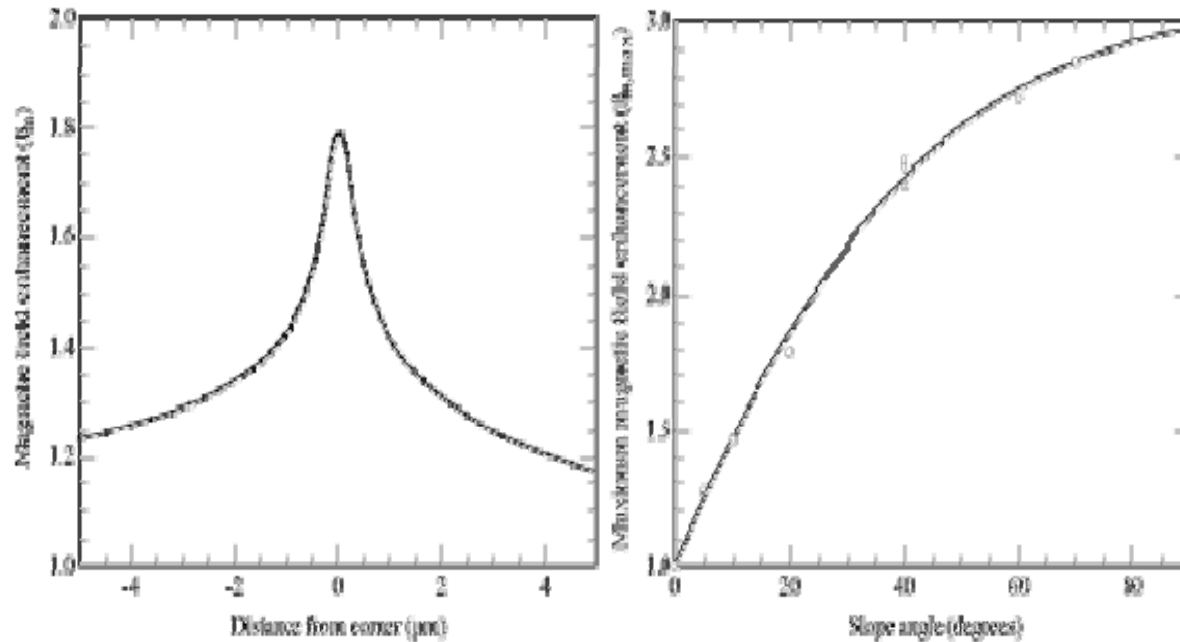
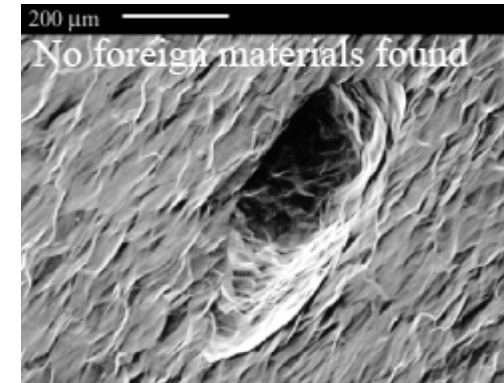
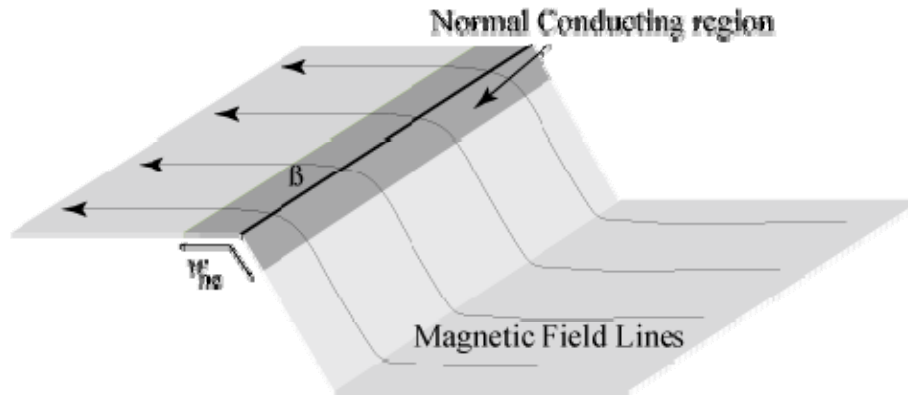
(a) 117 $\mu$ m BCP



100  $\mu$ m (b) +90 $\mu$ m EP

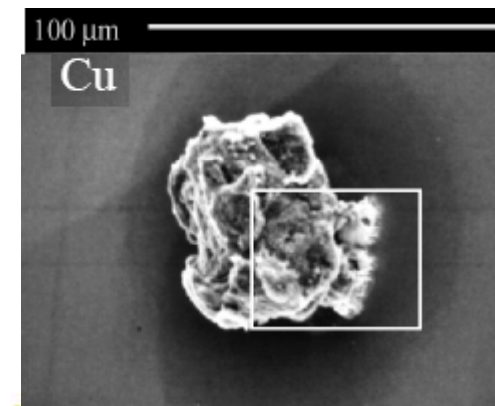
- Material is not uniform.
- **Surface Condition is essential**, but is usually irregular and contaminated.
- Oxide layers, grain boundaries.
- No Theory except BCS Surface Resistance.
- Many Steps in Production & Clean Works to improve resistance

# H-field enhancement



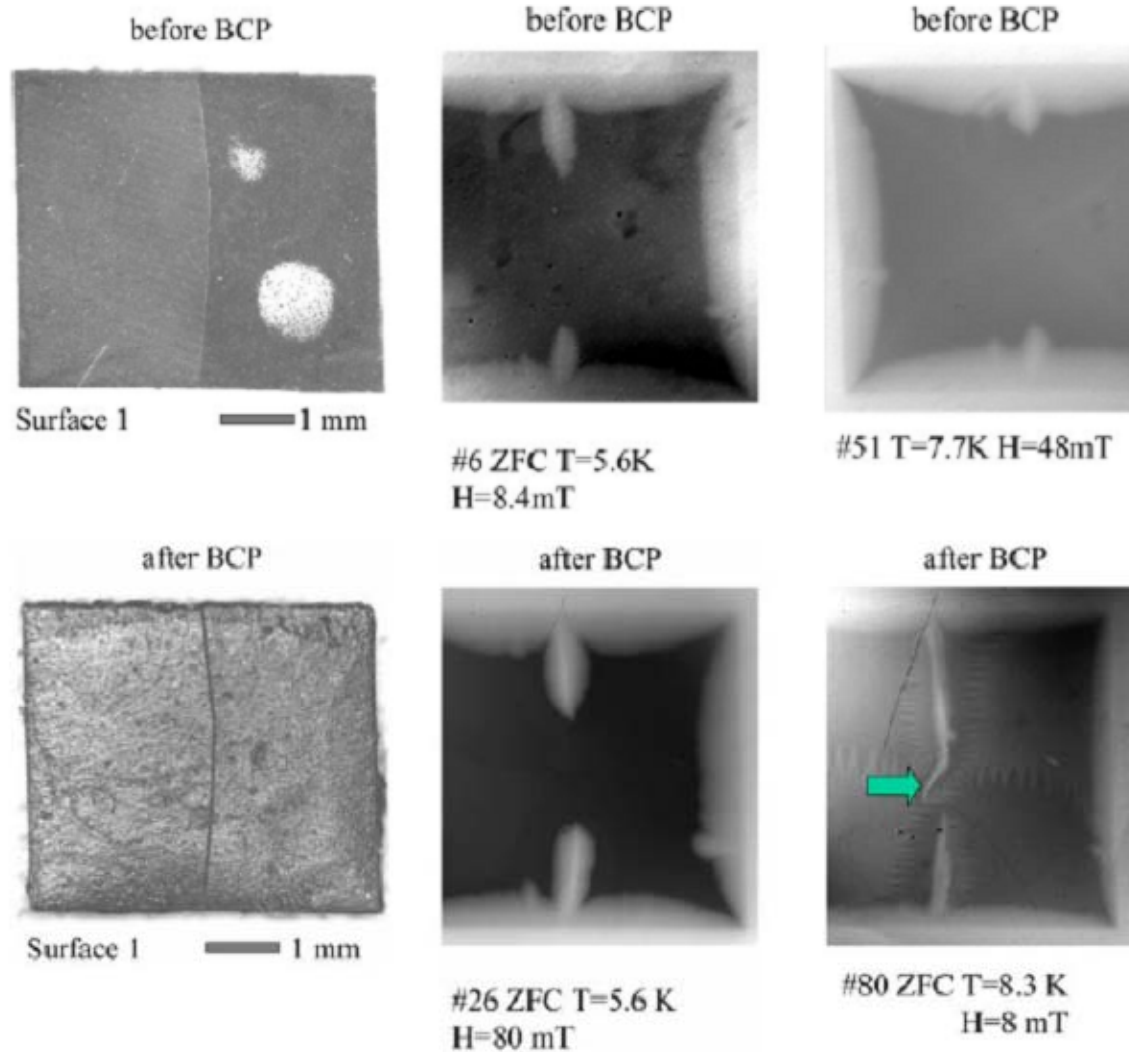
H-field enhancement is calculated at the edge of the  $\mu\text{m}$  size holes/pits .

*V.Shemelin, Cornell Uni*



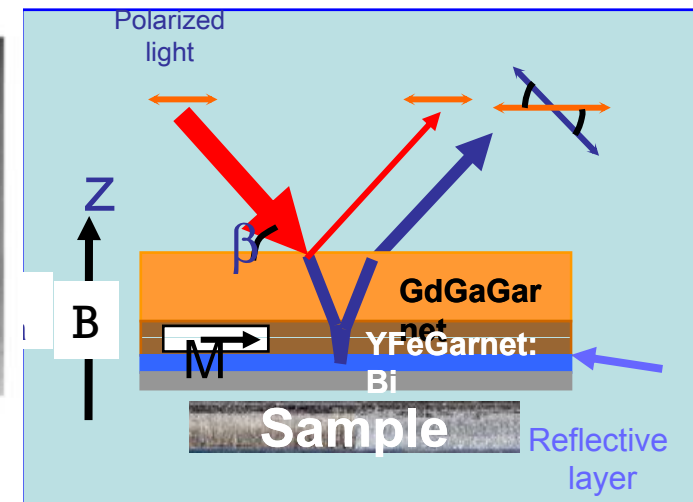


# Vortex penetration: Magneto-Optical Imaging



vortex penetration study in bi-crystal samples using magneto-optical measurements:

→ Weaker superconductivity in grain boundary ?



A. Polyanskii, P. Lee



# SRF Cavity design and Constrains



## 9-cell 1.3GHz Niobium Cavity

Max Achieved gradient = 42 MV/m

ILC acceptance = 35 MV/m

Baseline gradient = 31.5 MV/m (-10%)



# Geometry of SC accelerating cavities

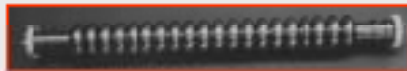
Most of projects are using multi-cell standing wave cavities

What is the cavity design constrains?

FERMI 3.9 GHz



S-DALINAC 3 GHz



CESR/CEBAF 1.5 GHz



HEPL 1.3 GHz



TESLA/ILC 1.3 GHz



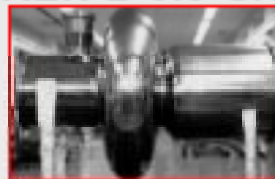
SNS  $\beta=0.61, 0.81, 0.805$  GHz



HERA 0.5 GHz



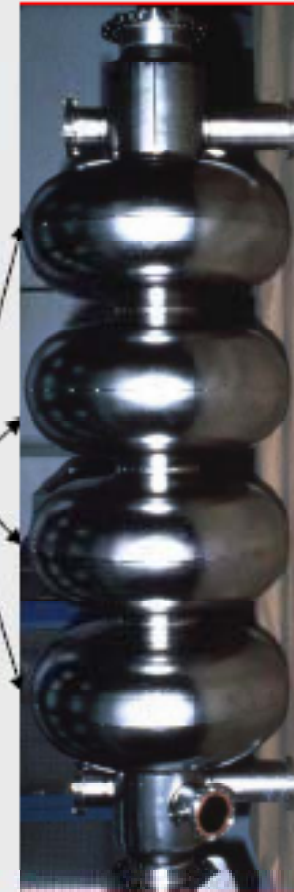
KEK-B 0.5 GHz



CESR 0.5 GHz

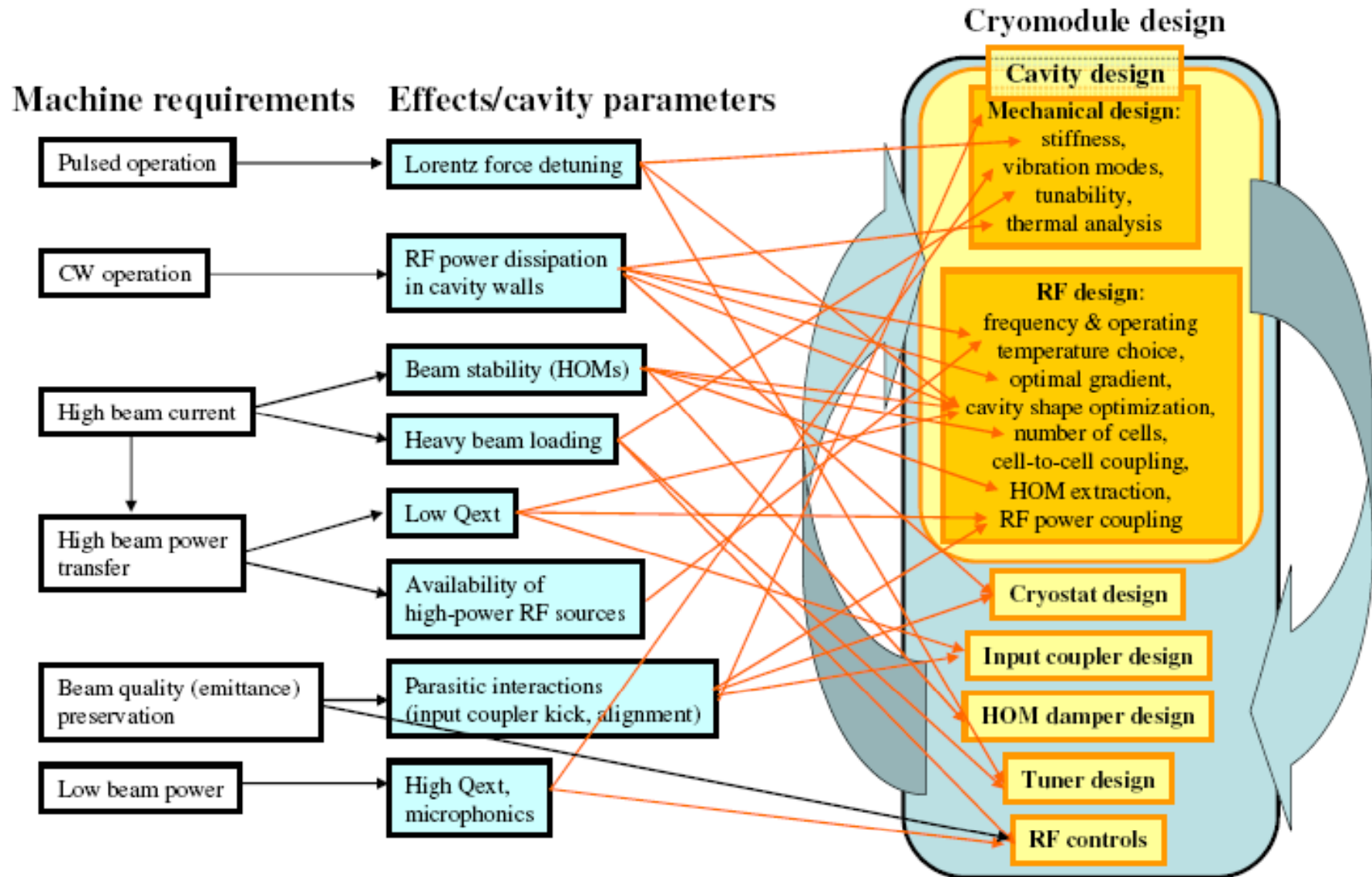


LEP 0.352 GHz



cells

# Cavity Design constrains





# Cavity basic parameters

## Main characteristics of SC acceleration structure:

- ❑ Resonance frequency of the operating mode  $f_0$ ;
- ❑ Acceleration gradient  $E$ ;
- ❑ Shunt impedance  $r$  per unit length; Shunt impedance is relationship between the acceleration gradient and dissipated power  $P$  per unit length of the structure.  $P$  is the sum of Ohmic losses in the structure  $P_{Ohm}$  and the power radiated through the coupling ports  $P_{rad}$ .

$$r = E^2 / P$$

- ❑ Unloaded quality factor  $Q_0$  and geometry factor  $G$ :

$$Q_0 = \frac{\omega W}{P_{Ohm}} = \frac{\omega \mu_0 \int_V |H|^2 dV}{R_s \int_S |H|^2 dS} \equiv \frac{G}{R_s}, \quad G = \frac{\omega \mu_0 \int_V |H|^2 dV}{\int_S |H|^2 dS}$$

( $R_s$  - surface resistance,  $W$  - energy stored in the structure per unit length ).

# Cavity basic parameters (2)

- $(r/Q)$  determines the relation ship between the acceleration gradient and energy stored in the acceleration structure  $W$  per unit length:

$$\left(\frac{r}{Q}\right) = \frac{E^2}{\omega W}$$

- Coupling to the feeding line:

$$\beta = \frac{P_{rad}}{P_{Ohm}}$$

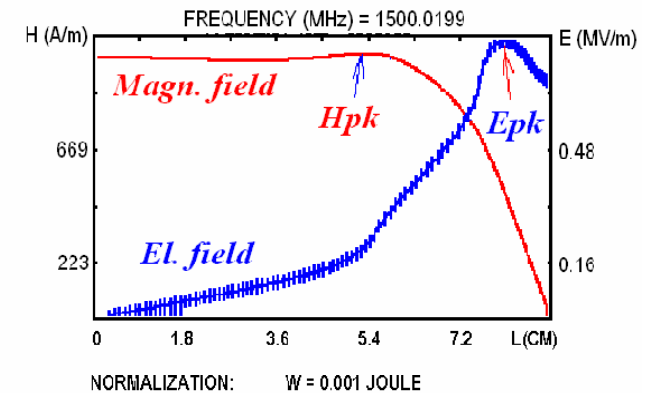
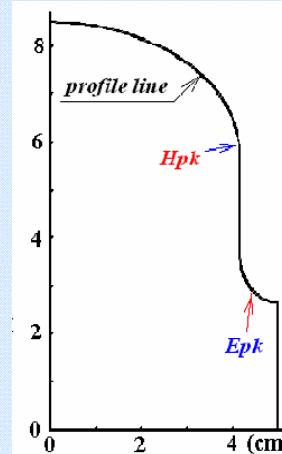
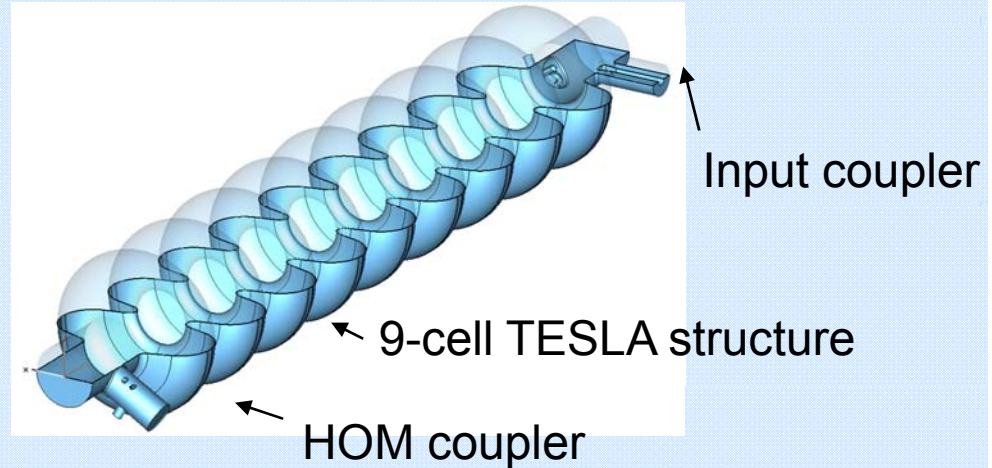
- Loaded Q:

$$Q = \frac{\omega W}{P} = \frac{Q_0}{1 + \beta}$$

- Field enhancement factors:

a) Electric:  $k_e = \frac{E_{surf\ pk}}{E};$

b) Magnetic:  $k_m = \frac{B_{surf\ pk}}{E};$



# Cavity basic parameters (3)

- ❑ Coupling coefficient: 
$$k_c = 2 \frac{f_\pi - f_0}{f_\pi + f_0};$$
- ❑ High Order Modes (HOM):
  - a) Monopole HOM spectrum – losses, bunch-to-bunch energy spread;
  - b) Dipole HOM spectrum – transverse kick, beam emittance dilution.

HOM frequencies, (r/Q)s and loaded Q-factors are critical, and are the subject of the structure optimization.

## ▪ The structure cell geometry:

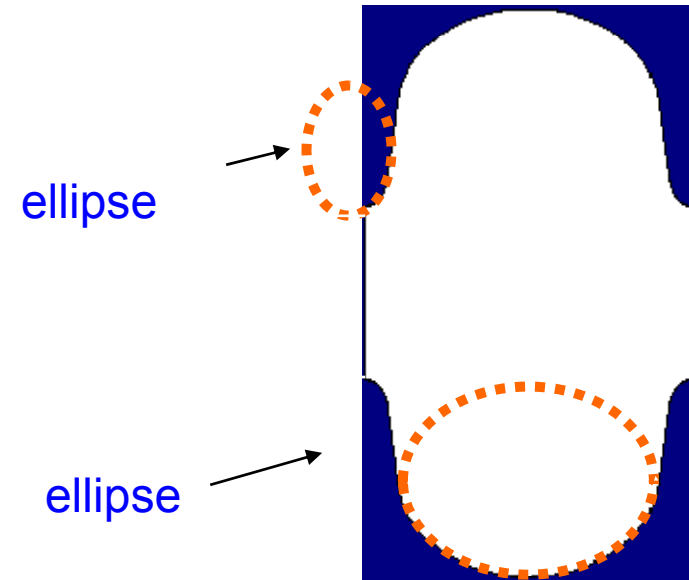
Constrains:

- low field enhancement factors;
- no multipactoring.

Elliptical shape for the cell and the iris.

Examples:

- TESLA structure;
- Low Loss structure;
- Re-Entrant structure.





# Aperture limitations

**Large aperture is preferable!**

□ **Transverse wake:**  $W_{\perp} \sim a^{-3}$

Transverse wake causes transverse beam instability and emittance dilution.

□ **Coupling:**  $k_c \sim a^{3+4}$

Small coupling causes severe tuning tolerances in order to achieve the filed longitudinal distribution flatness:  $(\delta f / f) \sim k_c / N^{3/2}$

**Small aperture is preferable!**

□ **(r/Q):**  $(r/Q) \sim (r/Q)_0 - (r/Q)' \times a^{1+2}$

Small (r/Q) requires higher stored energy, longer filling time.

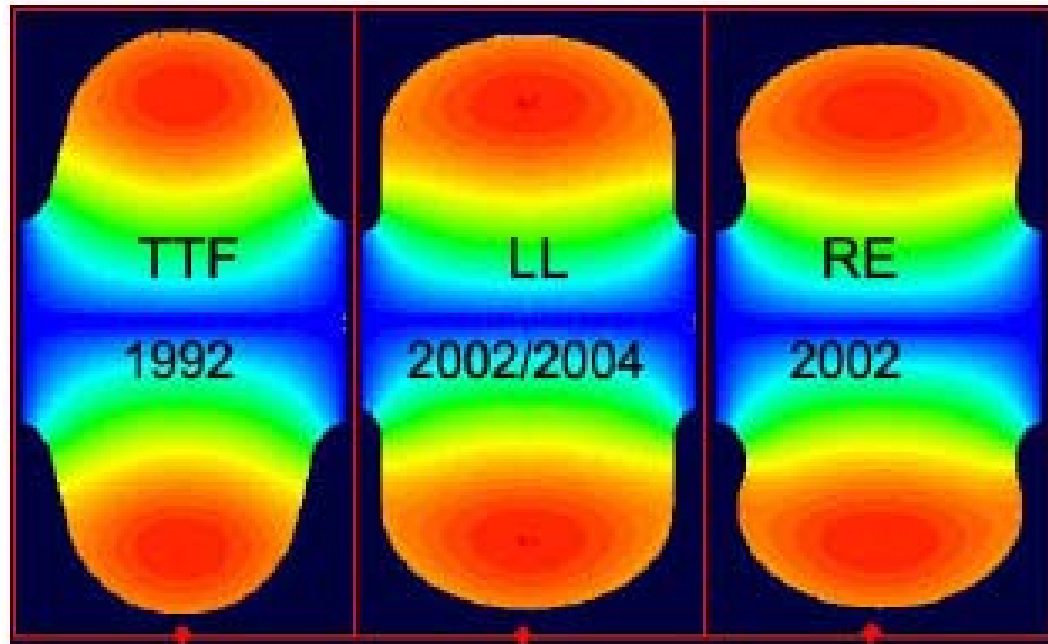
□ **Electric field enhancement:**  $k_e \sim k_0 + k' \times a^{1+2}$

**Optimization: trade-off between these limitations.**





# Cavity Shape Optimization



	TESLA	LL	RE
Aperture, mm	70	60	70
$k_e$ , %	1.9	1.52	2.38
$K_e = E/E_{acc}$	1.98	2.36	2.39
$k_m$ , mT/(MeV/m)	4.15	3.61	3.78
$(r/Q)$ , $\Omega$	113.8	133.7	120.6
$G$ , Ohm	271	284	280

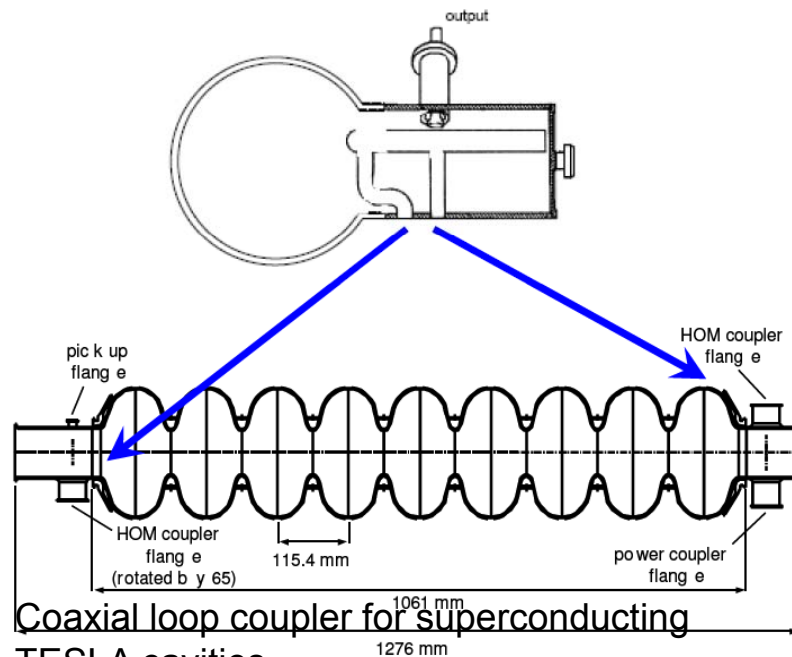
## HOM extraction/damping.

Criteria:

- Transverse modes: beam emittance dilution;
- Longitudinal modes: power losses, field enhancement, bunch-to-bunch energy spread.

## Trapped modes.

The end cells are to be optimized in order to prevent the field distribution for HOMs having small field in the end cavities, so-called trapped modes. For the trapped modes it is a problem to reduce the loaded Q-factor to acceptable level.





## RF kick caused by the input and HOM couplers.

Simple estimations of the transverse fields caused by the main coupler:

RF voltage:  $U = (2PZ)^{1/2}$ ,  $Z$ —coax impedance;  
for  $P = 300$  kW and  $Z \approx 70$  Ohms  $\rightarrow U \approx 6$  kV

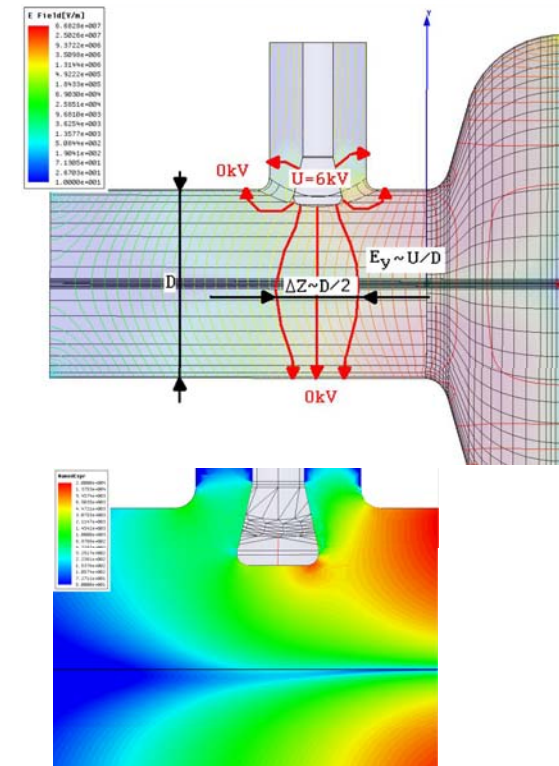
**Transverse kick:**

$$\nu = \frac{\Delta p_y c}{\Delta U_{acc}} \approx \frac{U}{2U_{acc}} = \frac{6 \text{ kV}}{2 \times 30 \text{ MV}} = 10^{-4}$$

Transverse kick caused by the couplers acts on a bunch the same direction for all the RF cavities of the linac.

**Real** part may be compensated by the linac feedback system;  
**Imaginary** part gives the beam emittance dilution (here  $\beta$  is beta-function,  $\sigma$  is the bunch length, and  $U_0$  is the initial beam energy):

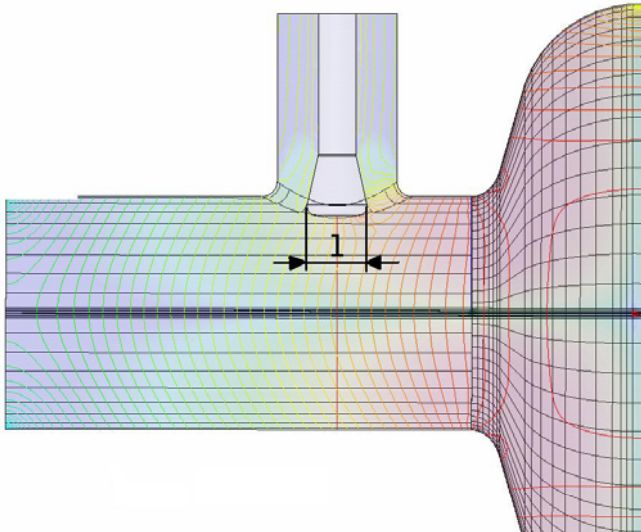
$$\gamma \mathcal{E} \approx \gamma(z_{\max}) y_{\max} y'_{\max} = \frac{\pi^2 \nu^2 E^2 \sigma^2 \beta^3 \gamma_0}{\lambda_{RF}^2 U_0^2}$$







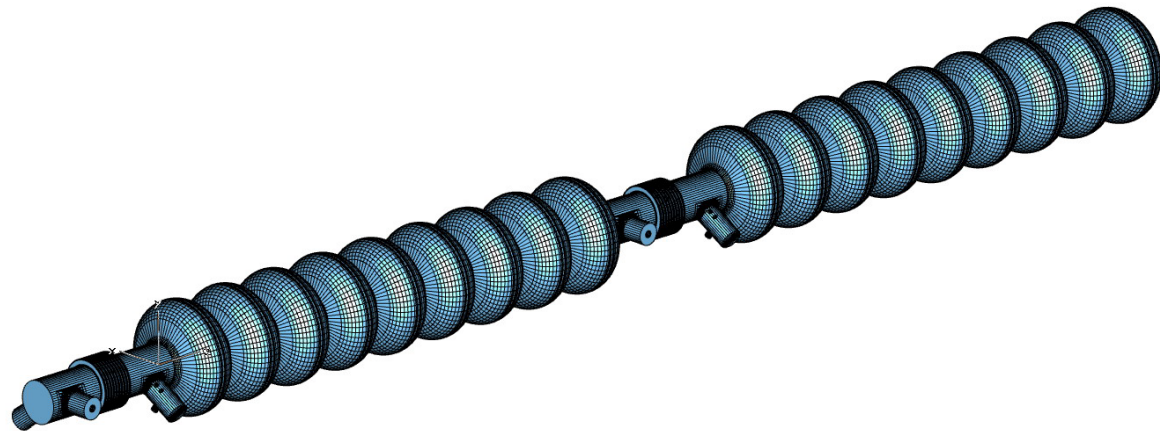
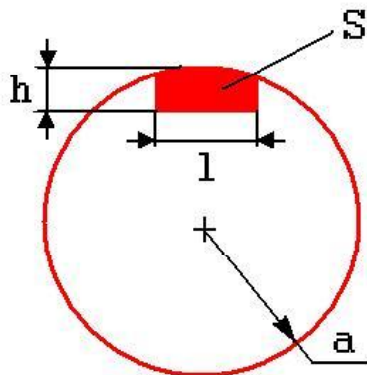
## Wakefield caused by the input and HOM couplers



The couplers disturb the axial symmetry of the acceleration structure and cause the transverse kick, that depends on the particle longitudinal position inside a bunch, that, in turn, leads to the beam emittance dilution.

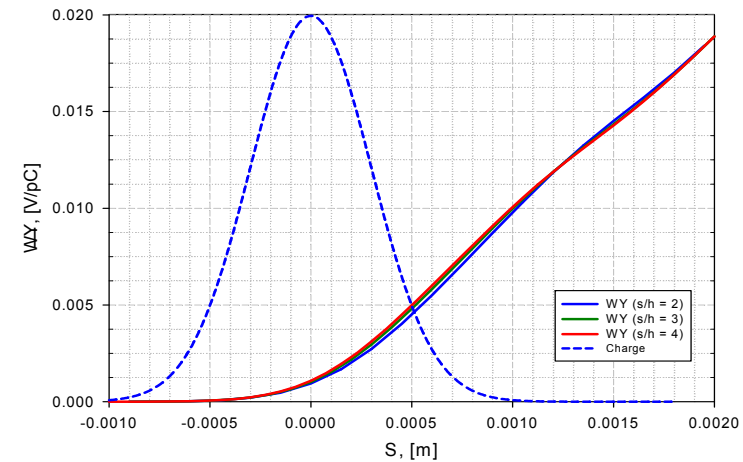
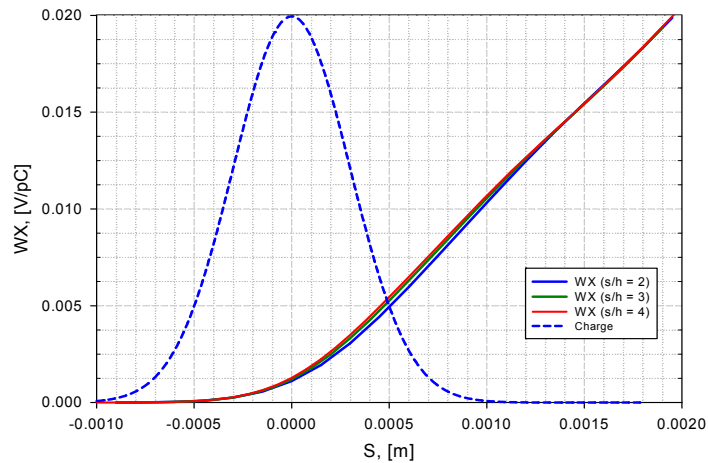
**The catch-up length:**

$L \sim a^2/2\sigma$ ; For ILC  $L \sim 2.5$  m, or about two periods ( $a=39$  mm,  $\sigma=0.3$  mm)

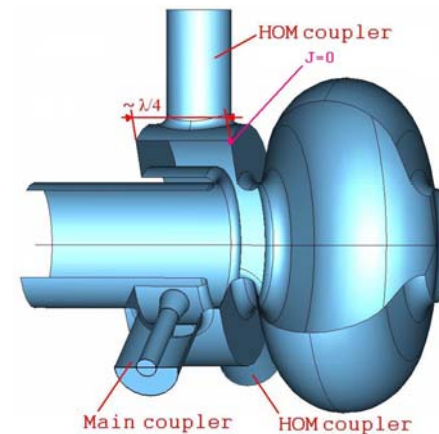
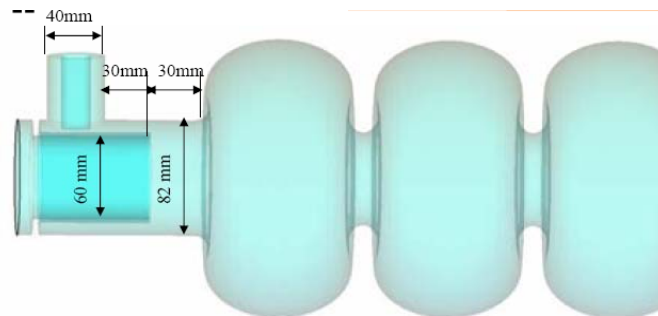




# GdfidL simulations of Wakefields



The wake field wake dependence on the longitudinal coordinate  $s$  for different mesh size for  $\sigma = 0.3$  mm for different numerical mesh parameters. In ILC bunch compressor the wake leads to the unacceptable vertical emittance dilution. Cure is the new coupler design that preserve the structure axial symmetry.



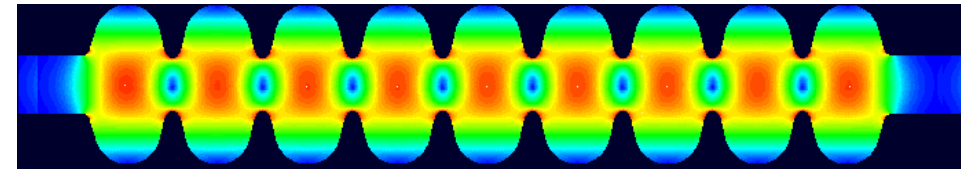


# Tools for SC structure simulations:

## I. Field calculations:

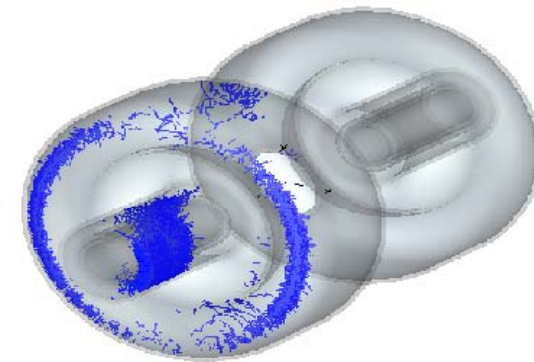
- Spectrum,  $(r/Q)$ ,  $G$ ,  $\beta$
- Field enhancement factors

- HFSS (3D);
- Microwave Studio (3D);
- Omega-3P (3D); Analyst (3D);
- SLANS (2D, high precision of the field calculation).



## II. Multipactoring (2D, 3D)

- Analyst; Omega-3P
- Microwave Studio (3D); etc.

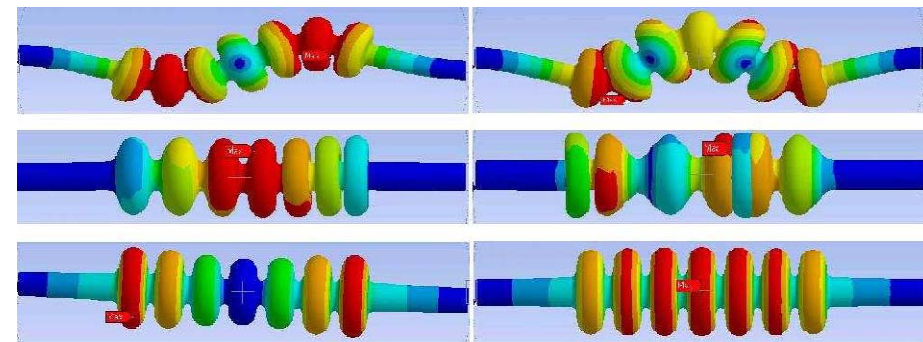


## III. Wakefield simulations (2D, 3D):

- GdfidL; PBCI; ECHO
- MWS

## IV. Mechanical simulations:

- Lorenz force and Lorenz factor,
- Vibrations,
- Thermal deformations.

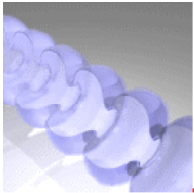


Frequencies ~ 50... 400 Hz

### a. ANSYS



# **HOM and HOM couplers and Dampers**



# Effects of HOM

---

beam loses energy into HOMs, mostly on monopole

- extra cryogenic power
- energy spread - much smaller than  $\sigma_E$  due to RF stabilisation

off-axis particles receive kicks from dipole HOMs

- Beam Break-Up - depends on frequency distribution among cavities
- emittance growth

Tilt of cavities

- monopole modes transverse component when projected on beam trajectory
- beam receives an extra kick

interaction with beam depends on  $(r/Q)$

- HOMs close to light cone are likely to interact strongly with beam

interaction with beam depends on stored energy

- high Q HOM are more dangerous

**Damping of high  $(r/Q)$  HOM is mandatory**

- Dipole delta-wake

- Using the Panofski-Wenzel theorem

$$W_{\perp}^{\delta}(\zeta, a) = \sum_n 2k_{\parallel n}(a) \frac{c}{\omega_n} \sin\left(\omega_n \frac{\zeta}{c}\right)$$

- For dipole modes:

$$E_z \propto a \Rightarrow k_{\parallel n} \propto a^2$$

- (a = offset of integr. path)

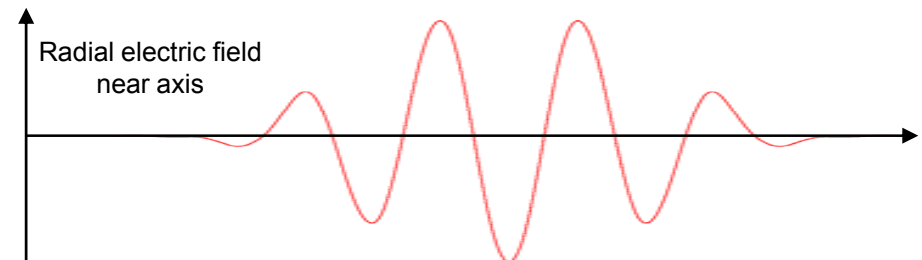
- Normalized dipole delta-wake

$$W_{\perp}^{\delta'}(\zeta) = \sum_n 2k_{\perp n} \sin\left(\omega_n \frac{\zeta}{c}\right)$$

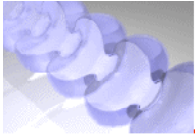
- Kick factor

$$k_{\perp n} = \frac{|V_n(a)|^2}{4 U_n a^2} \frac{c}{\omega_n}$$

➤ Often also normalized to the length of the cavity



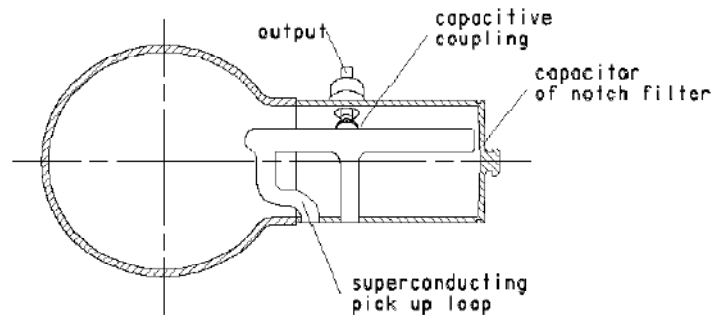
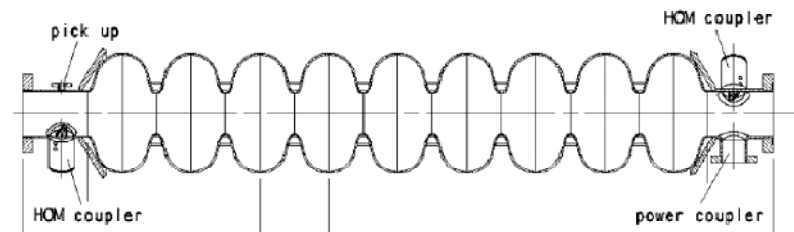




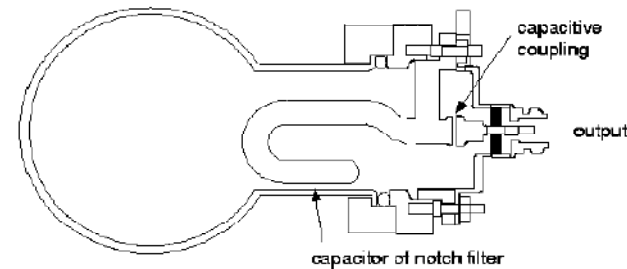
# HOM damping

## HOM Couplers

- extract HOM power out of cryomodule = reduce stored energy
- design HOM couplers in order to get  $Q_{\text{ext}}$  as low as possible  
for SC cavities,  $Q_0 \gg Q_{\text{ext}}$  so  $Q_L = Q_{\text{ext}}$
- SC couplers to reduce RF losses
- 2 couplers per cavity



DESY



SACLAY

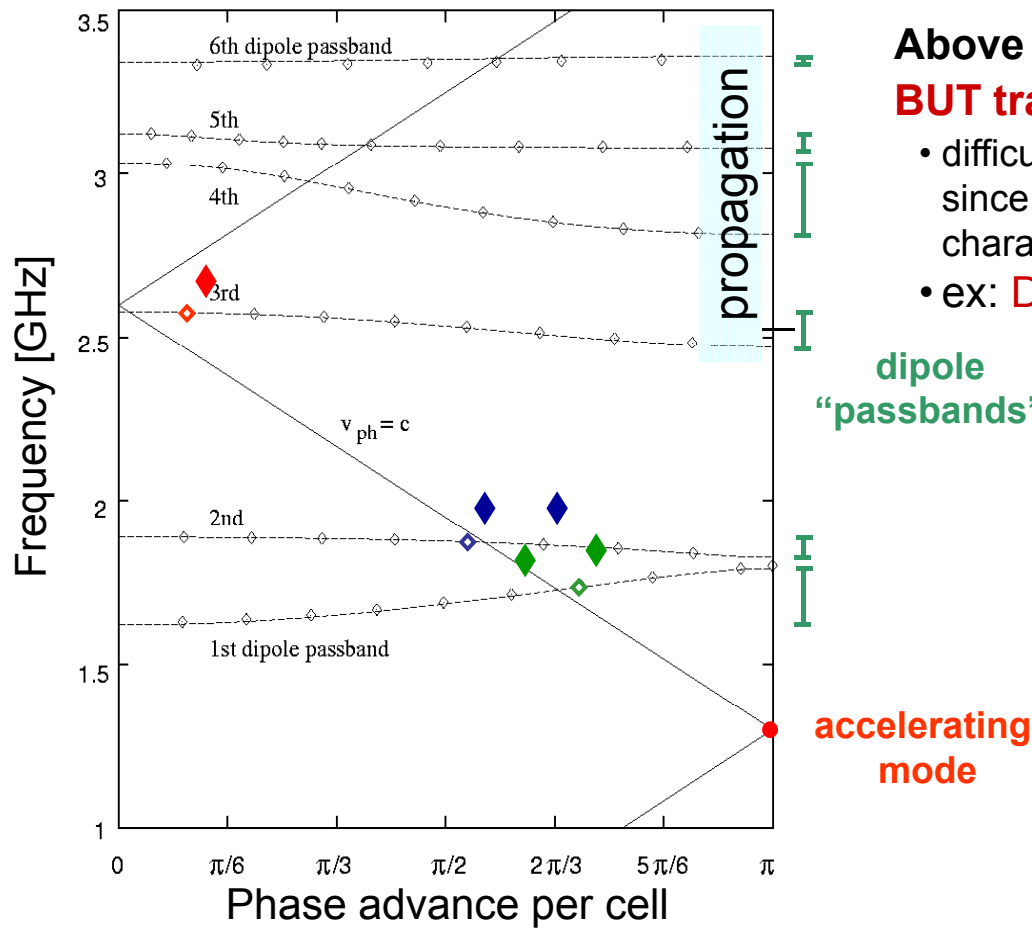
HOM couplers installed at each side of the TESLA cavity. Two types are used at the TTF cavities: a welded type (left) and a demountable type (right)





# Dispersion Diagram for the TESLA Cavity

## Dipole passbands



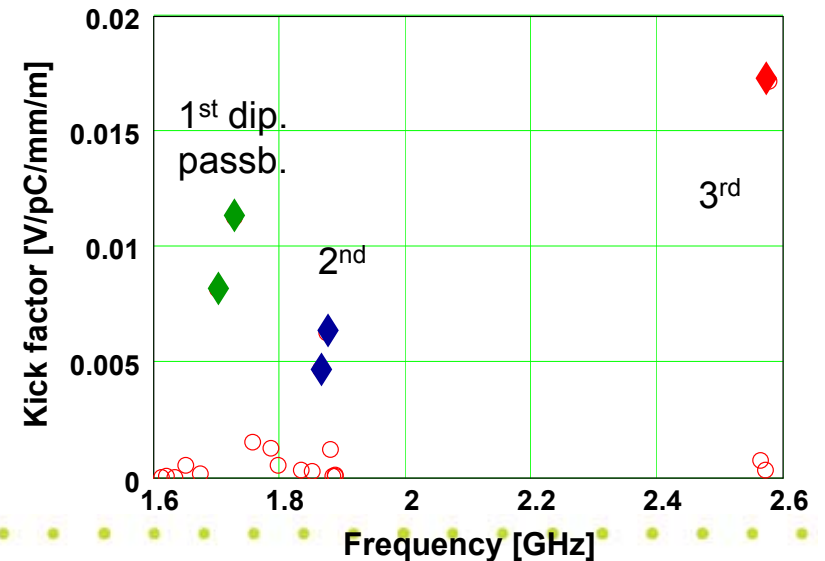
**Beyond cutoff = no propagation**

- mode properties easy to compute for one cavity.
- ex: M1,M2 bands; D1,D2 bands

**Above cutoff = propagation**

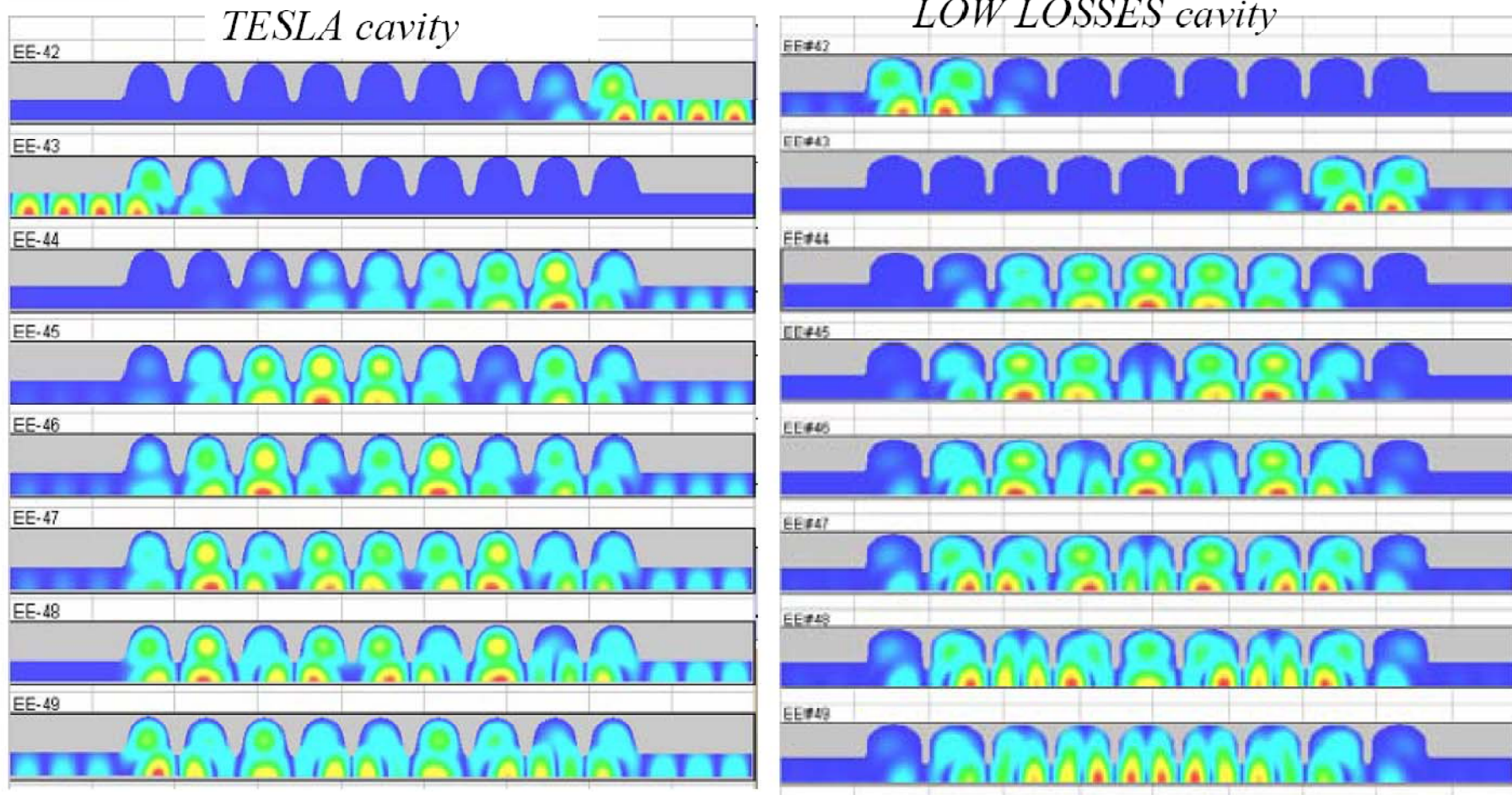
**BUT trapped mode may exist (high Q)**

- difficult to predict field distribution for whole module since mode properties depend both on individual cavity characteristics on beam tube, couplers
- ex: D3, D5, D6 bands

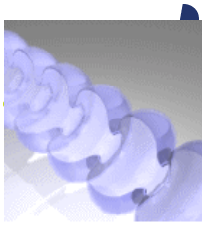




# “Trapped” modes in 5<sup>th</sup> passband



Asymmetric ends can help to improve dump HOM's in LL cavity (as TESLA)

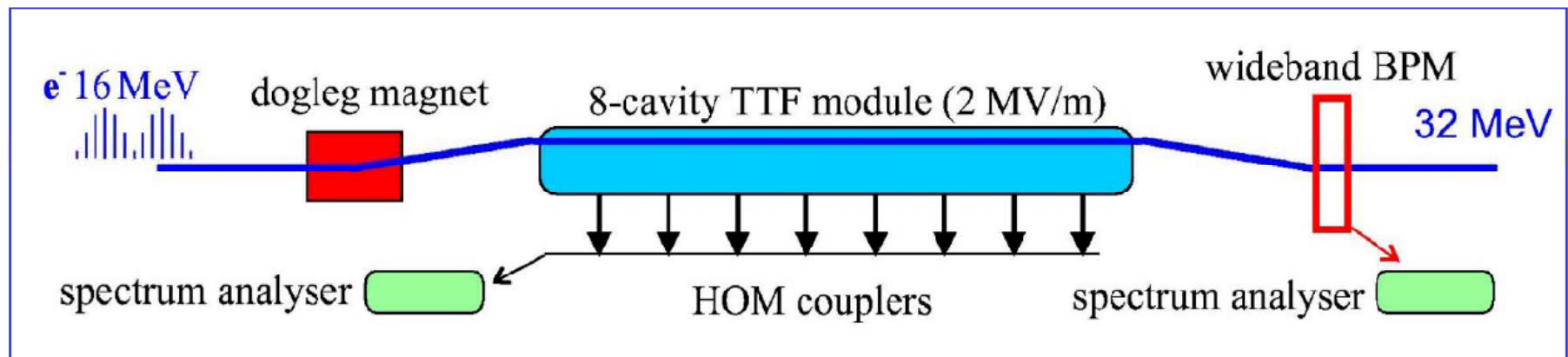


# Dipole HOM excitation

Wake Potentials :

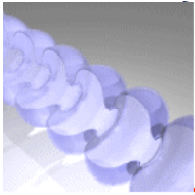
$$W_{\parallel} \propto (r/Q) \times r_0^m r_1^m \times \cos m(\theta_0 - \theta_{\text{HOM}}) \quad \rightarrow \quad \text{HOM couplers}$$

$$W_{\perp} \propto (r/Q) \times r_0^m r_1^{m-1} \times m \cos m(\theta_0 - \theta_{\text{HOM}}) \quad \rightarrow \quad \text{BPM}$$



TTF dogleg magnet operates only in x-plane :  $\delta x = \pm 2\text{cm}$

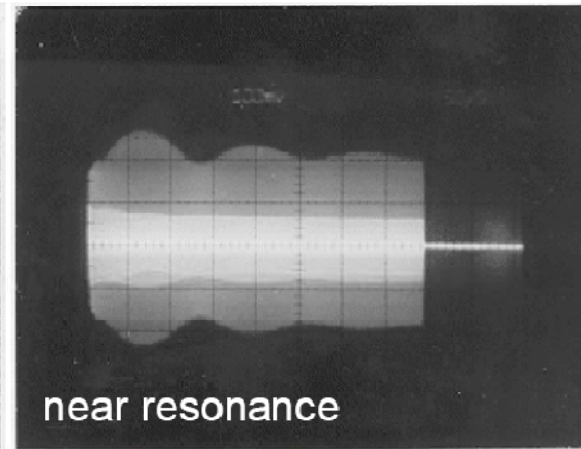
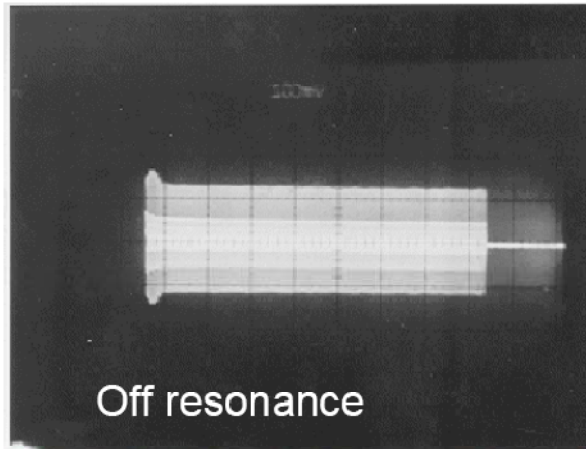
monopole	$m = 0 : P_{\text{HOM}} \propto \delta x^0, \delta x_{\text{BPM}} = 0$
dipole	$m = 1 : P_{\text{HOM}} \propto \delta x^2, \delta x_{\text{BPM}} \propto \delta x$
quadrupole	$m = 2 : P_{\text{HOM}} \propto \delta x^4, \delta x_{\text{BPM}} \propto \delta x^3$



# 3<sup>rd</sup> dipole passband high Q HOMs

**HOM** :  $f = 2.585$  GHz ,  $Q = 10^6$   
 measured with 216 MHz Injector #1 in Module 1, in 1998.

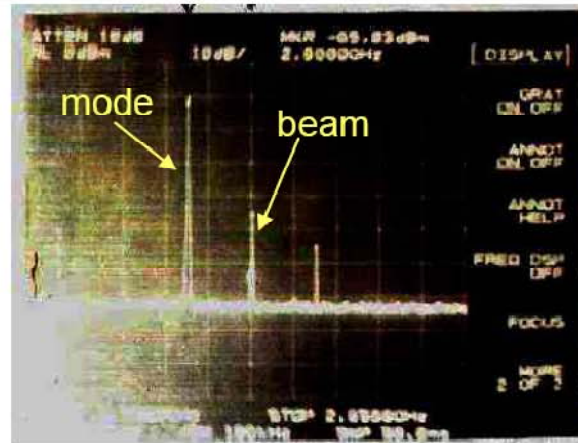
**BPM signal**



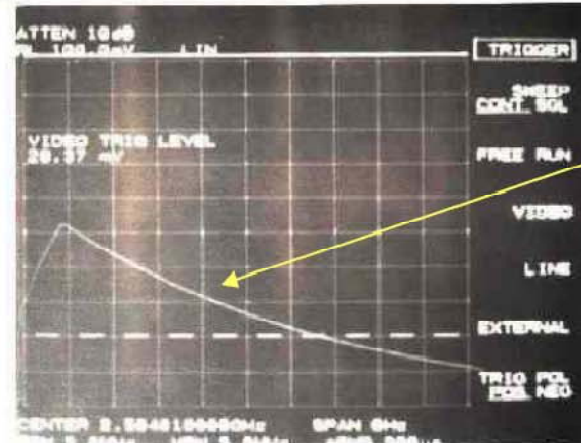
$f_{\text{mod}} = 15$  MHz

125  $\mu$ s beating  
 due to 8 kHz  
 off resonance

**HOM coupler  
 signal**



frequency domain



time domain

decay time  
 $\Rightarrow Q = 10^6$

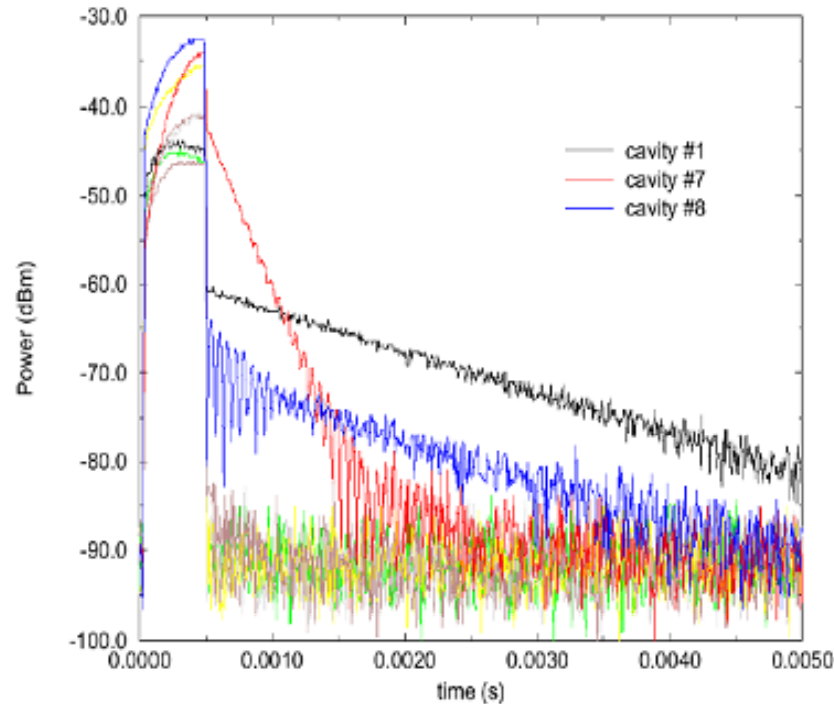
**HOM**

$f = 2.575794$   
 $Q = 2 \cdot 10^6$



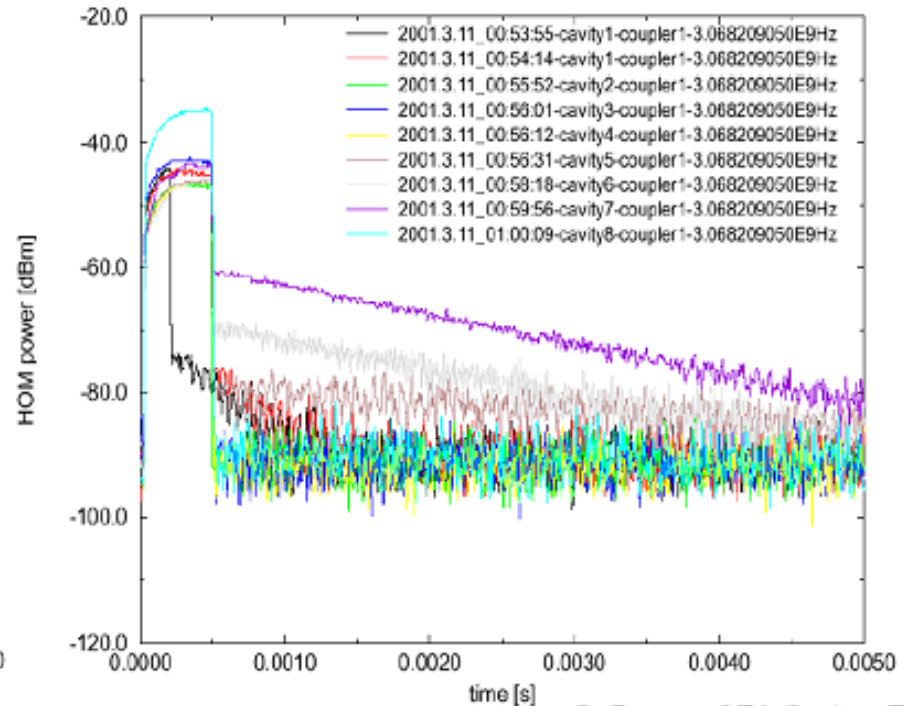
# D5 Passband

## High Q modes



$$f = 3.063724 \text{ GHz}$$

$$Q = 1.7 \cdot 10^7$$



$$f = 3.068209 \text{ GHz}$$

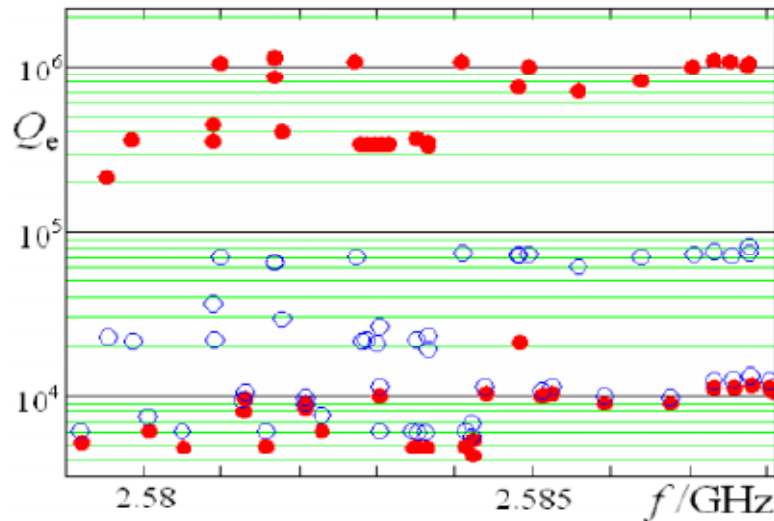
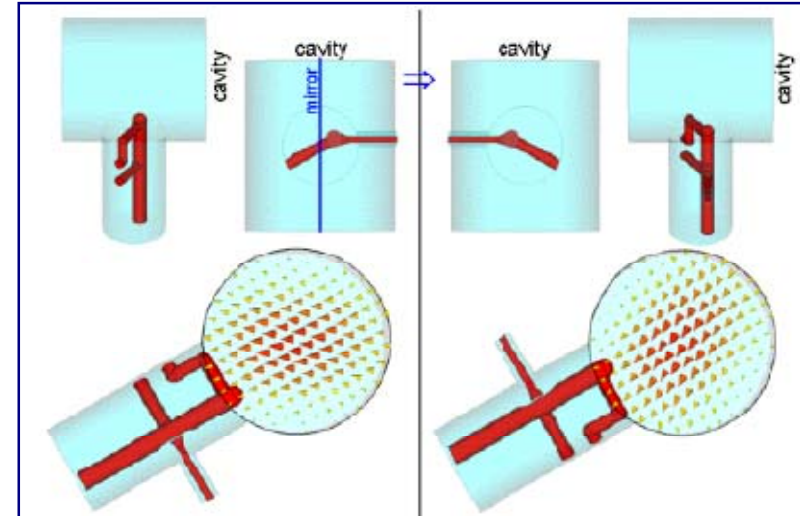
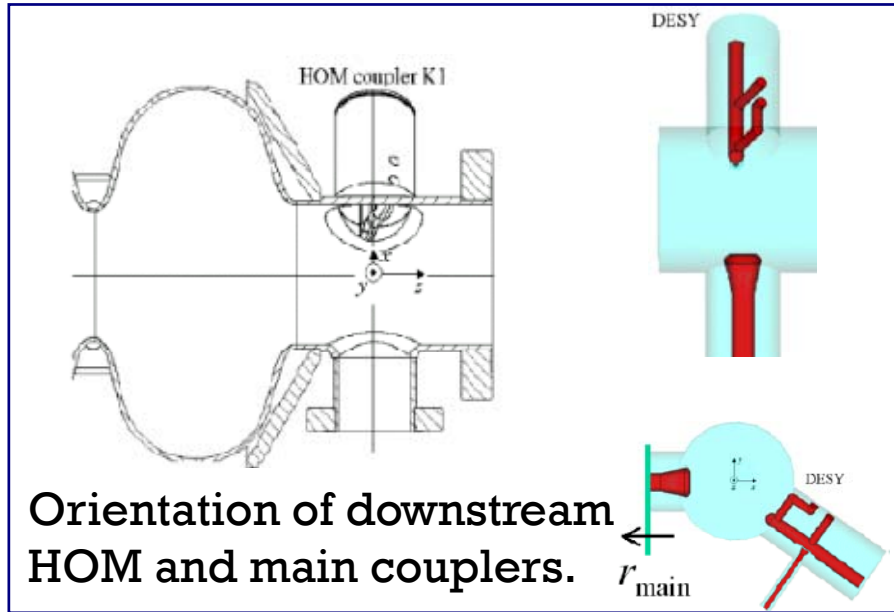
$$Q = 3.4 \cdot 10^7$$

An example of time domain signal from HOM coupler measured with a spectrum analyzer.

G. Devanz CEA-Saclay EPAC02



# Re-designing of HOM coupler to improve damping

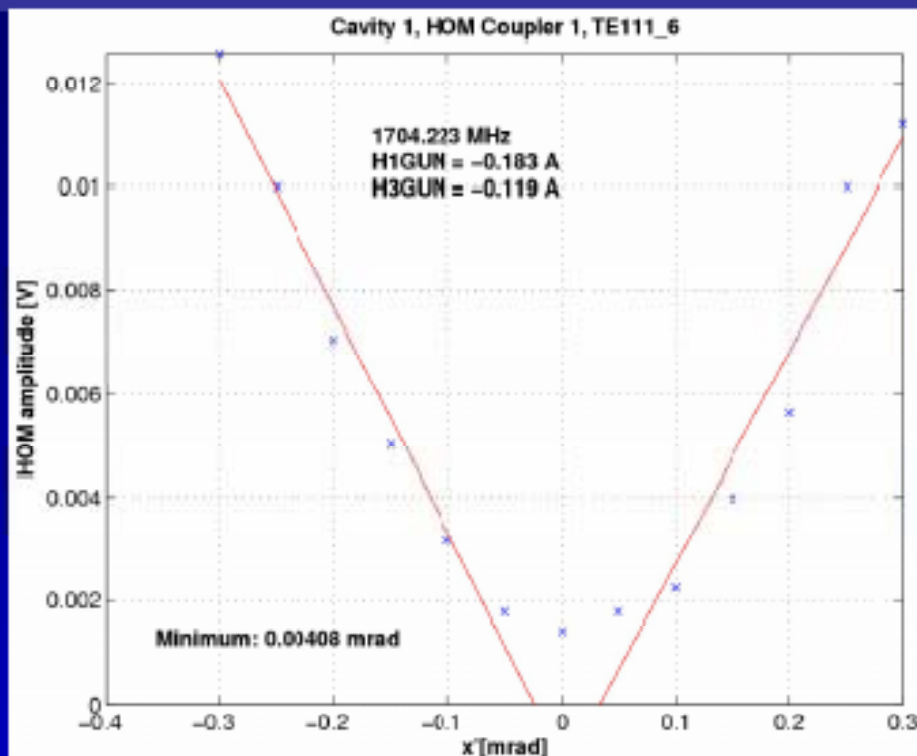


Q-values of different modules with randomly detuned cavities at the upper end of the third dipole band. Original couplers (red circles) and the modified upstream couplers (blue one)





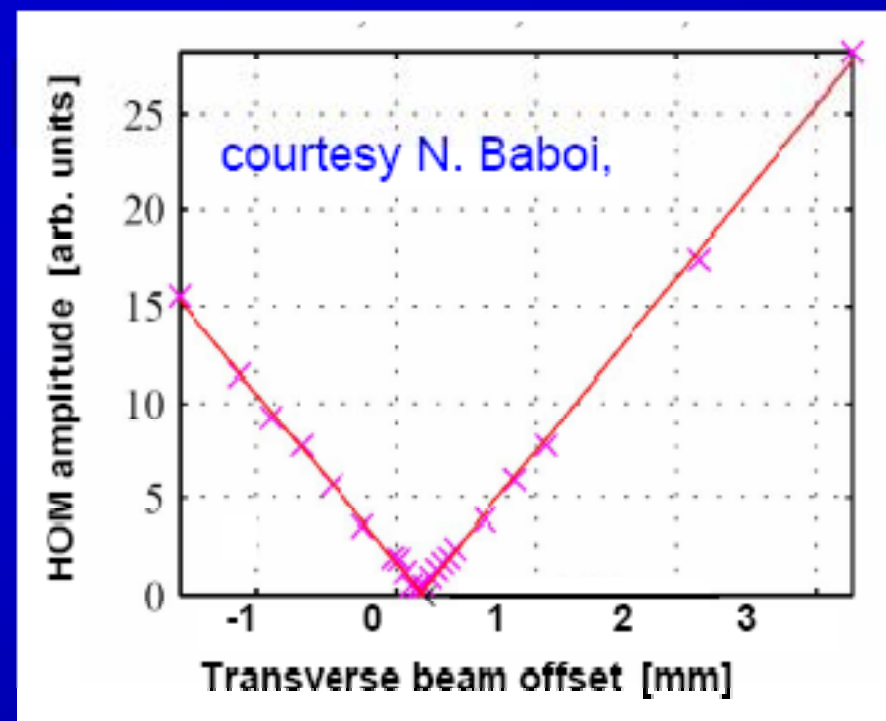
# Cavity HOMs can be used as a BPM



Angular scan resolution  
and accuracy  $< 50 \mu\text{rad}$

Relative position resolution  
 $\sim 4 \mu\text{m}$

(cf. M. Ross and J. Frisch).

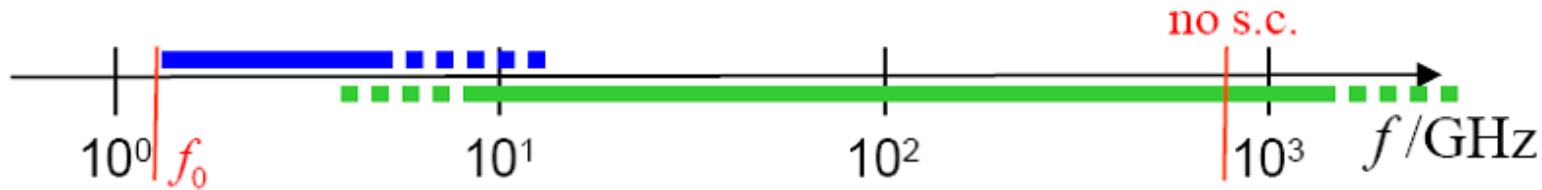




# What is HOM absorber ?

trapped & quasi trapped modes: resonant effects

propagating modes

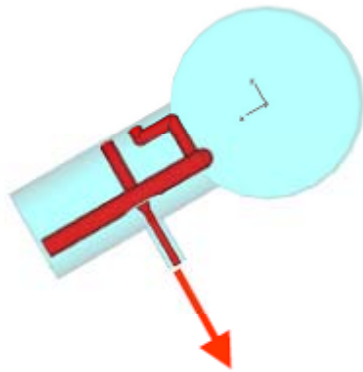


## monopole losses TESLA-TDR

Collider (500GeV) losses per module

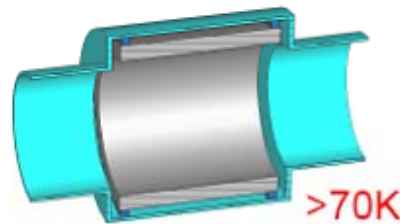
$f_{rep} = 5 \text{ Hz}$	$P = 23.3 \text{ W}$
$T_{HF} = 0.95 \text{ ms}$	$P(f > 5 \text{ GHz}) = 17.4 \text{ W}$
$\sigma_{bunch} = 400 \text{ }\mu\text{m}$	$P'(f > 10 \text{ GHz}) = 12.7 \text{ W}$
$N_{bunch} = 2820$	$P'(f > 20 \text{ GHz}) = 8.1 \text{ W}$
$q_{bunch} = 3.2 \text{ nC (9.5 mA)}$	$P'(f > 50 \text{ GHz}) = 3.0 \text{ W}$
	$P'(f > 100 \text{ GHz}) = 0.7 \text{ W}$

HOM couplers



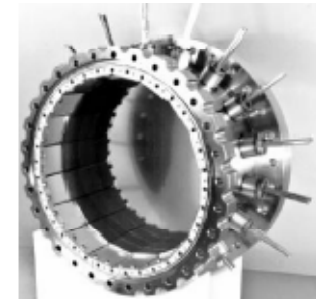
coax to warm load

HOM absorbers



no transmission lines or waveguides  
⇒ absorber at temperature level with good cryo efficiency

absorbers in interconnections between modules  
T > 70K



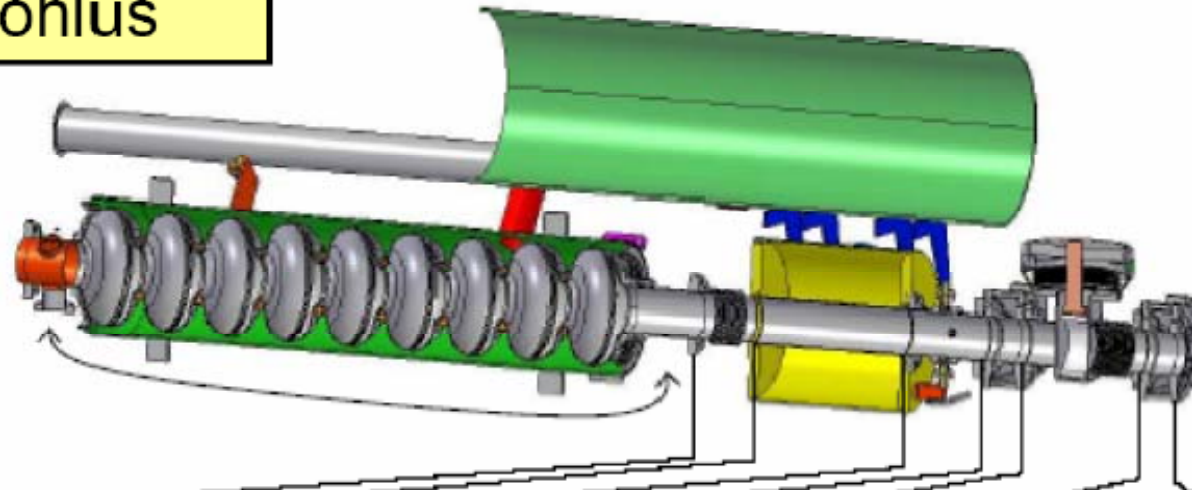
Cornell design



# Absorbing High Frequency HOM Power

Calculations  
M. Dohlus

HOM absorption: all = 1000



72.2	cu 2.4	3.0	1.5	10.4	0.7  1.5 894.4 1.5   2.0	10.4	$\eta = 79.4\%$
rest	bellows 24	quadrupole 30	bpm 15	shutter 8	absorber 7 15 .. 15 20	shutter 8 (effective length / cm)	
68.1	st 26.4	32.8	16.2	8.6	7.6  16 777.9 16.3   21.4	8.7	$\eta = 67.8\%$

absorption efficiency (including 10% safety margin)



# Cavity Fabrication and Tuning



# Material

- Niobium (Nb) ( $A=91$ ,  $T_c=9.2$  K; superheating field of approx. 240 mT) is the favorite material for the fabrication of SC RF cavities.
  - chemically inert (pentoxide layer)
  - easily machined and deep drawn (like OFHC copper)
  - available as bulk and sheet material in different grades of purity ( $RRR > 250$ )
  - Can be further purified by UHV heat treatment or solid state gettering
  - majority of SC RF cavities worldwide are formed from Nb sheet material

High affinity to interstitial impurities like H, C,N,O ( in air  $T < 150$  C )

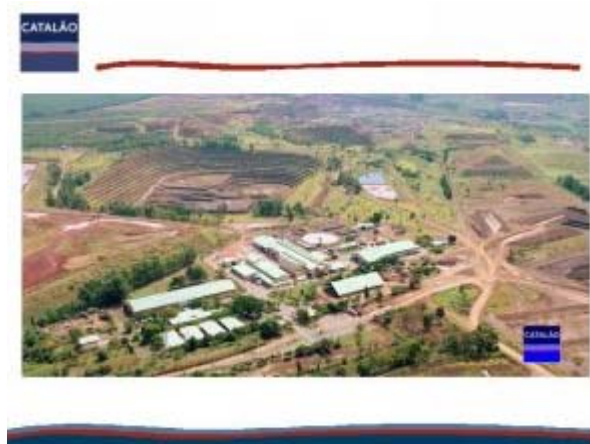
Joining by electron beam welding

Metallurgy not so easy

Hydrogen can readily be absorbed and can lead to Q-degradation in cavities



# Niobium production



- The primary mineral is known as pyrochlore.
- Columbite, a mineral with a ratio of  $\text{Nb}_2\text{O}_5:\text{Ta}_2\text{O}_5$  ranging from 10:1 to 13:1, occurs in Brazil, Nigeria, and Australia, also other countries in central Africa. Niobium is recovered when the ores are processed for tantalum.

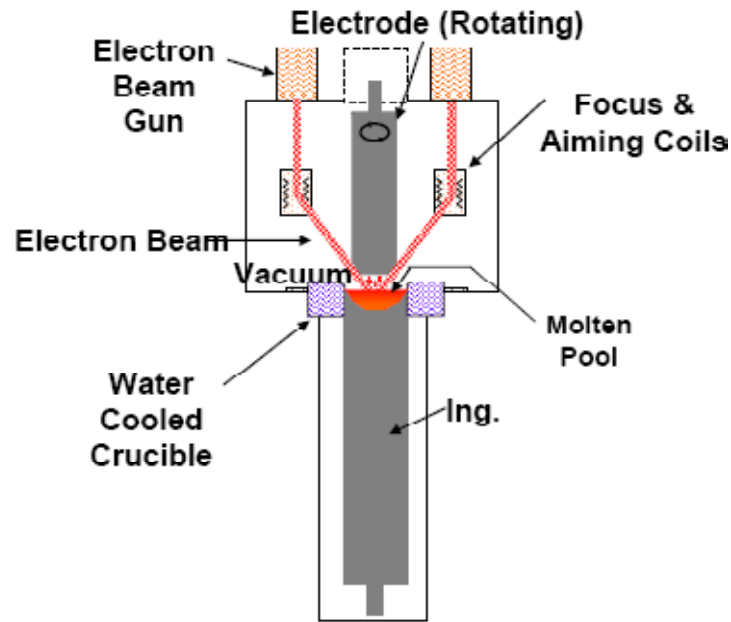
## Largest mines are:

- #1: Araxá, **Brazil** and is owned by Companhia Brasileira de Metalurgia e Mineração (**CBMM**). The reserves are ~ 460 million tons.
- #2: **Brazil** is owned and operated by Anglo American Brasil Mineração Catalão and contains 18 M tones ( $\text{Nb}_2\text{O}_3=1.34\%$ )
- #3 **Niobec Mine** in Quebec, Canada, owned by Cambior, with reserves of 18 k tons.

In all three facilities, the pyrochlore mineral is processed by primarily processing technology to give a concentrate ranging from - 60% niobium oxide.



## Mass production of high purity Nb for RF cavities



Electron Beam melting of Nb

High Purity Niobium(RRR>250) is made by multiple electron beam melting steps under good vacuum, resulting in elimination of volatile impurities

There are several companies, which can produce RRR niobium in larger quantities:

Wah Chang (USA),  
Cabot (USA),  
W.C.Heraeus (Germany),  
Tokyo Denkai(Japan),  
Ningxia (China),  
CBMM (Brasil)

The melting temperature is a compromise between the maximization of purification and minimization of the material losses by evaporation.



# Fabrication of Nb sheets at Tokyo Denkai





# Specifications

## Technical Specification to Niobium Sheets for XFEL Cavities.

Concentration of impurities in ppm (weight)				Mechanical properties	
Ta	$\leq 500$	H	$\leq 2$	RRR	$\geq 300$
W	$\leq 70$	N	$\leq 10$	Grain size	$\approx 50 \mu\text{m}$
Ti	$\leq 50$	O	$\leq 10$	Yield strength, $\sigma_{0,2}$	$50 < \sigma_{0,2} < 100$ N/mm <sup>2</sup> (Mpa)
Fe	$\leq 30$	C	$\leq 10$	Tensile strength	$> 100$ N/mm <sup>2</sup> (Mpa)
Mo	$\leq 50$			Elongation at break	30 %
Ni	$\leq 30$			Vickers hardness HV 10	$\leq 60$

**No texture: The difference in mechanical properties (Rm, Rp0,2, AL30) orthogonal and parallel to main rolling direction < 20% (cross rolling).**

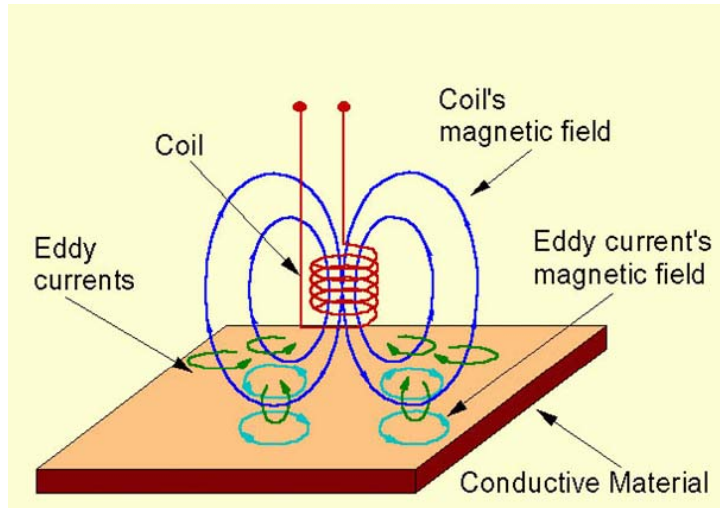


## Niobium structure after BCP etching





# Material input control

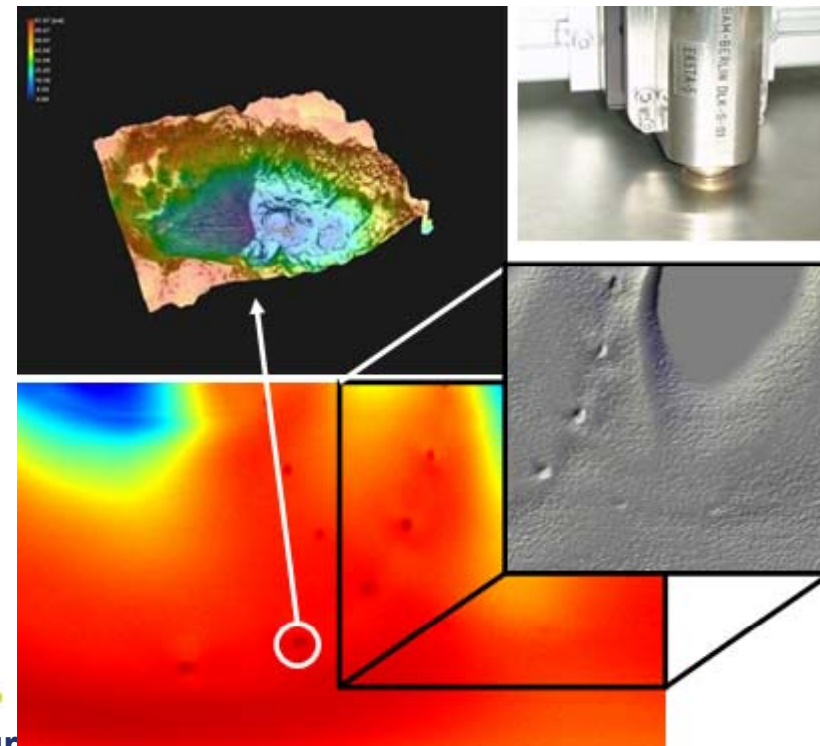


Eddy current scanning

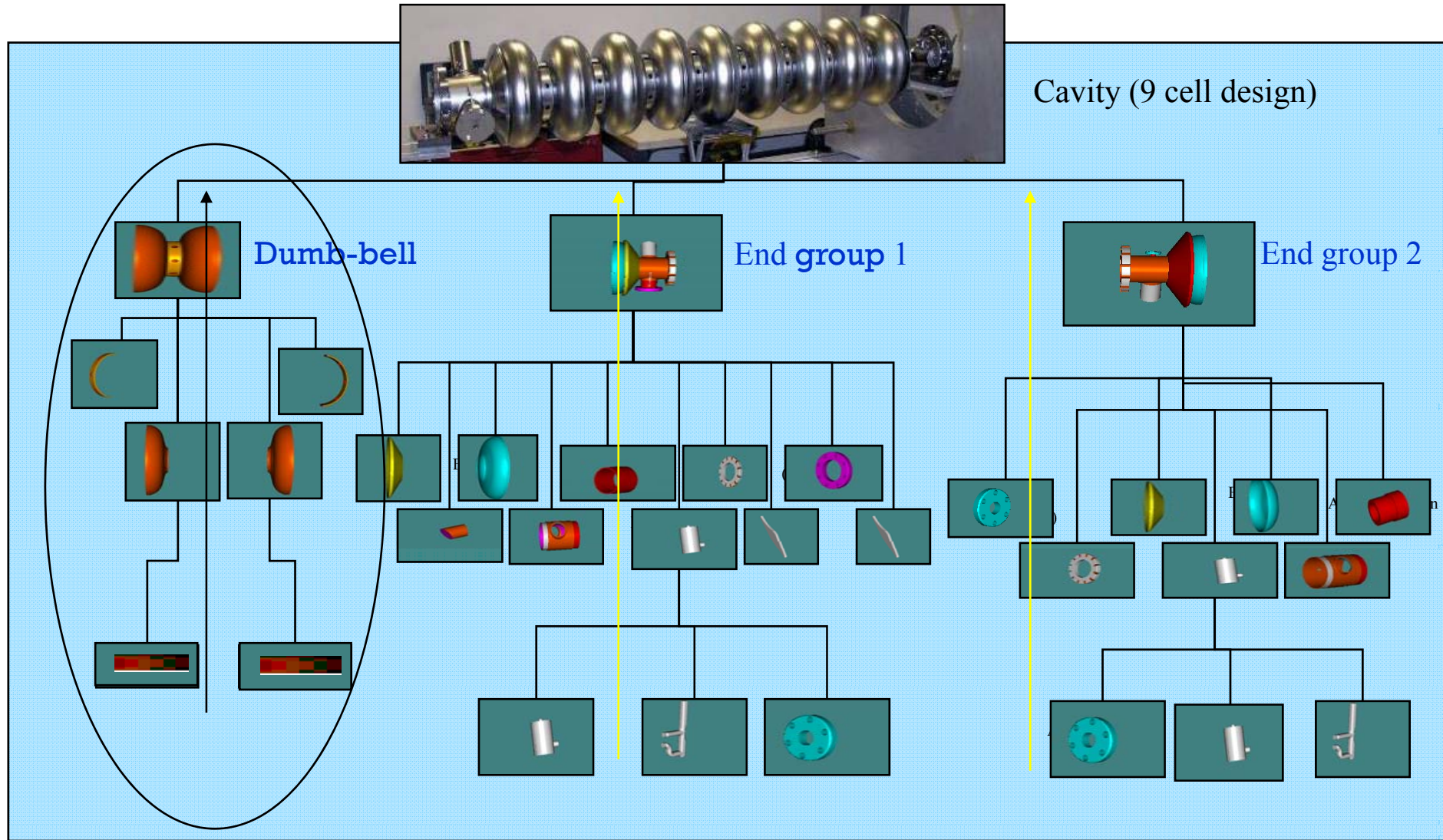


Disks are cut from high purity niobium sheet and eddy current scanned for pits, scratches or inclusions of foreign materials

Discs with inclusions of foreign materials or damage are rejected



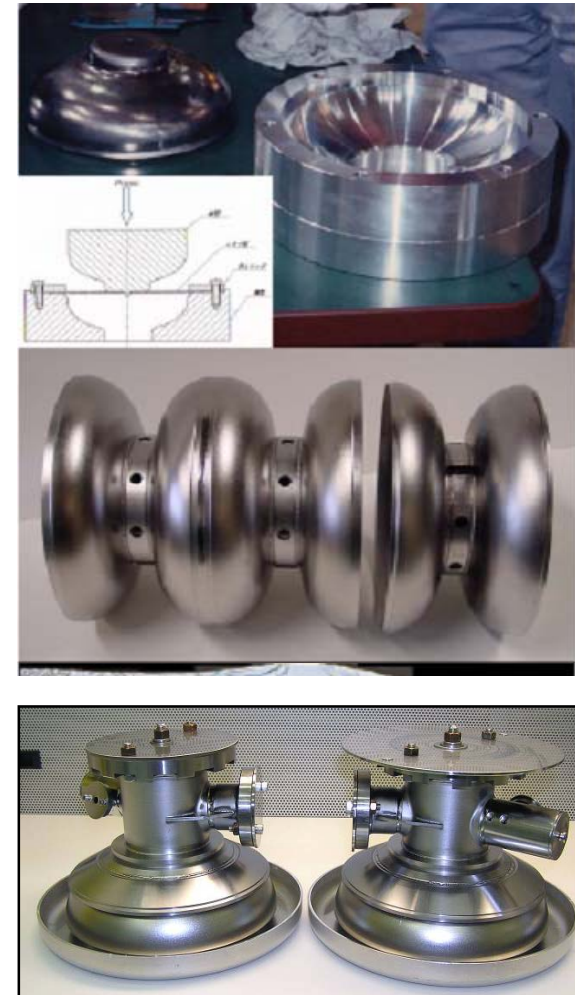
# Overview over cavity fabrication





## Cavity production steps: half cells

- ❑ Eddy current scanning of Niobium sheets.
- ❑ Cut disk blanks with hole in the center
- ❑ Flow forming of half cell and trimming iris and equator area with extra length for tuning and welding shrinkage compensation. No extra length for a tuning in mid- cells. If pass visual inspection :
- ❑ Frequency and length measurements. Sensitivity of the frequency to extra length is 14 MHz/mm at iris and -55 MHz/mm at equator.
- ❑ EB welding of two half cell at iris to form dumbbell. Partial penetration welding from both sides.

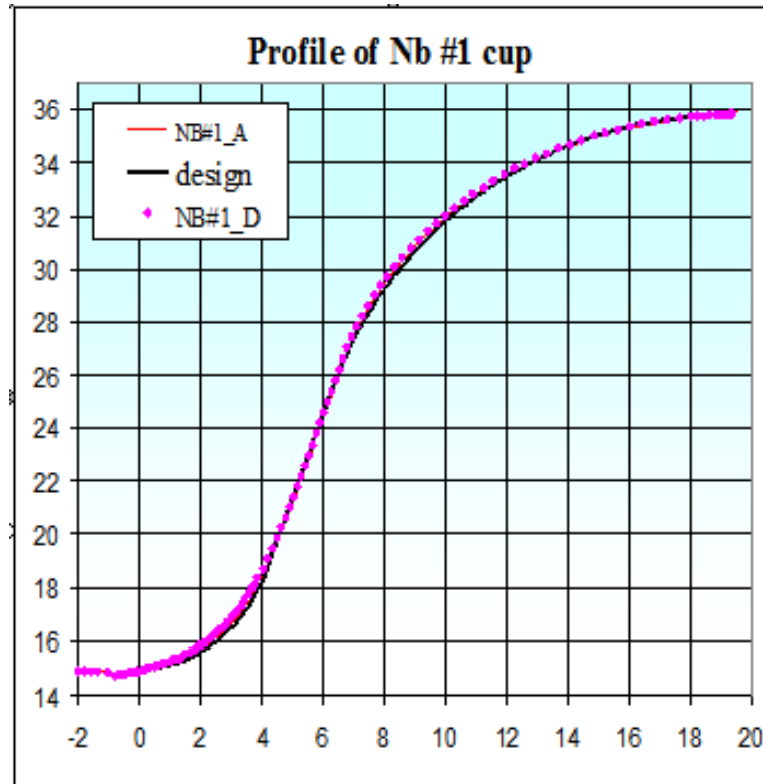




# Deep drawing



*Disks are then deep drawn in a hydraulic press*



*CMM cell profile measurement compared to design shape*



E. ZANON

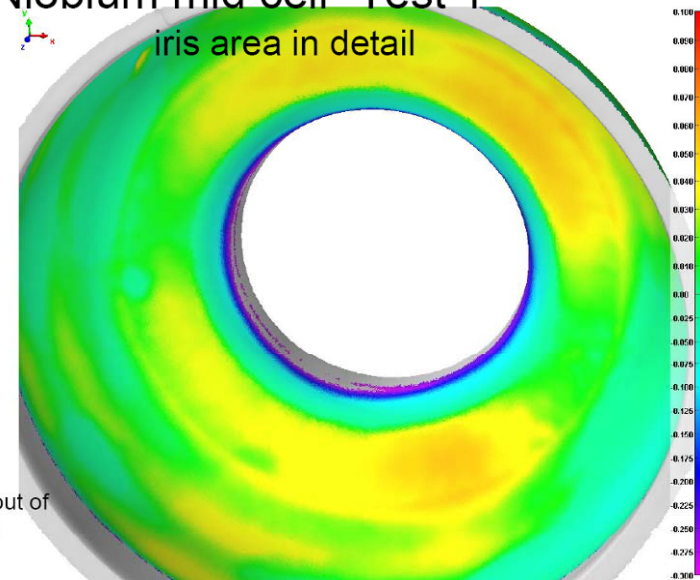
April 20th, 2008  
J. Iversen

Niobium mid cell "Test 1"

iris area in detail

Error range  
+0,1 / -0,3 mm

Grey areas are out of error range

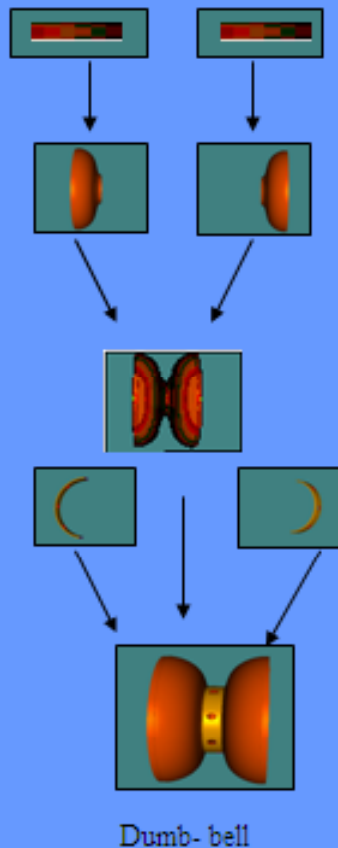


*Laser 3D profile measurement*



# Dumbbell production steps

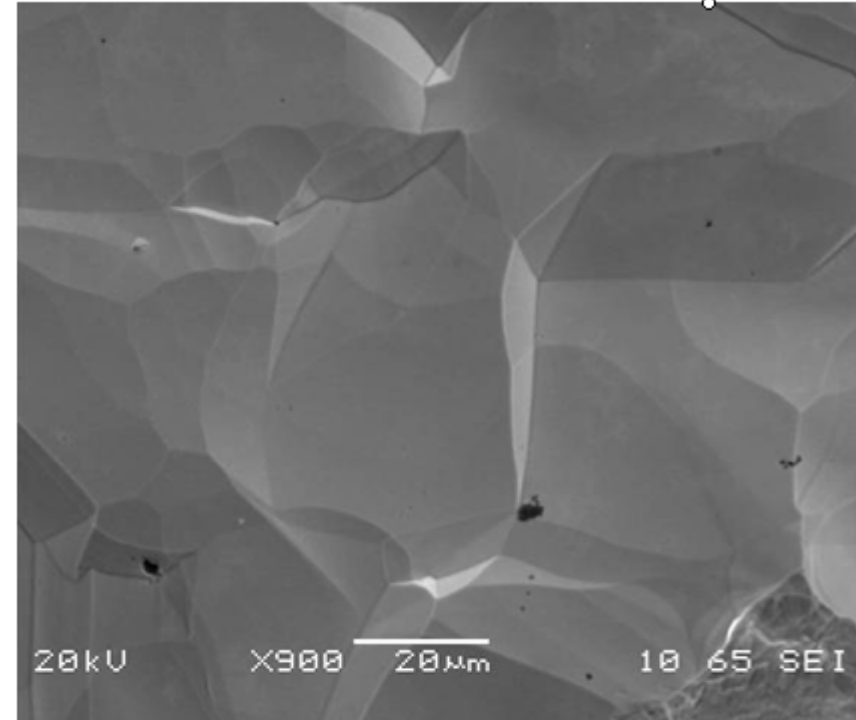
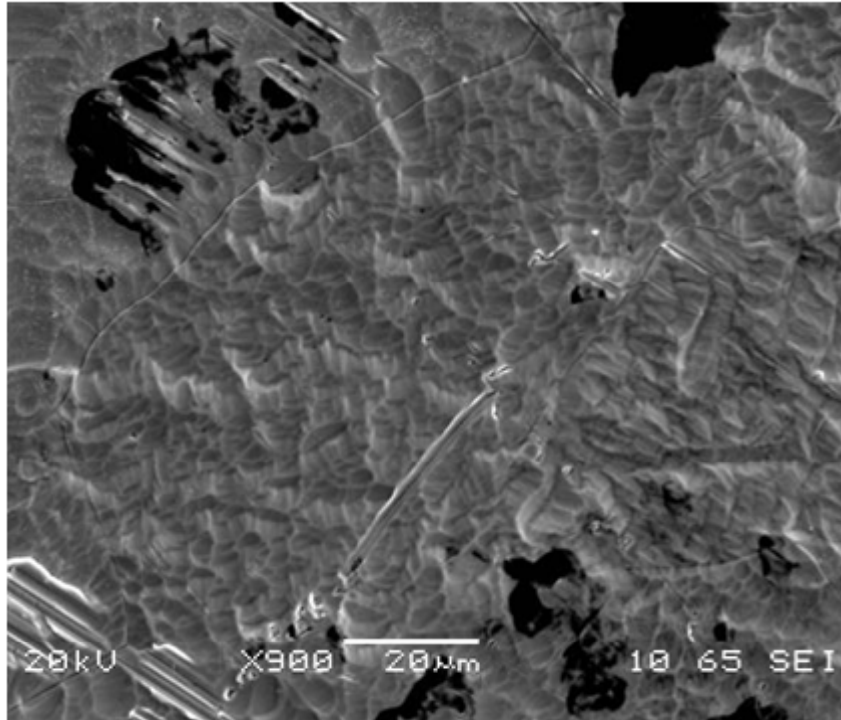
## Cavity fabrication : Example dumb bell / Cavity



1. Mechanical measurement
2. Cleaning (by ultra sonic [us] cleaning +rinsing)
3. Trimming of iris region and reshaping of cups if needed
4. Cleaning
5. Rf measurement of cups
6. Buffered chemical polishing + Rinsing (for welding of Iris)
7. Welding of Iris
8. Welding of stiffening rings
9. Mechanical measurement of dumb-bells
10. Reshaping of dumb bell if needed
11. Cleaning
12. Rf measurement of dumb-bell
13. Trimming of dumb-bells ( Equator regions )
14. Cleaning
15. Intermediate chemical etching ( BCP /20- 40  $\mu\text{m}$  )+ Rinsing
16. Visual Inspection of the inner surface of the dumb-bell  
local grinding if needed + (second chemical treatment + inspection )

Dumb-bell ready for cavity

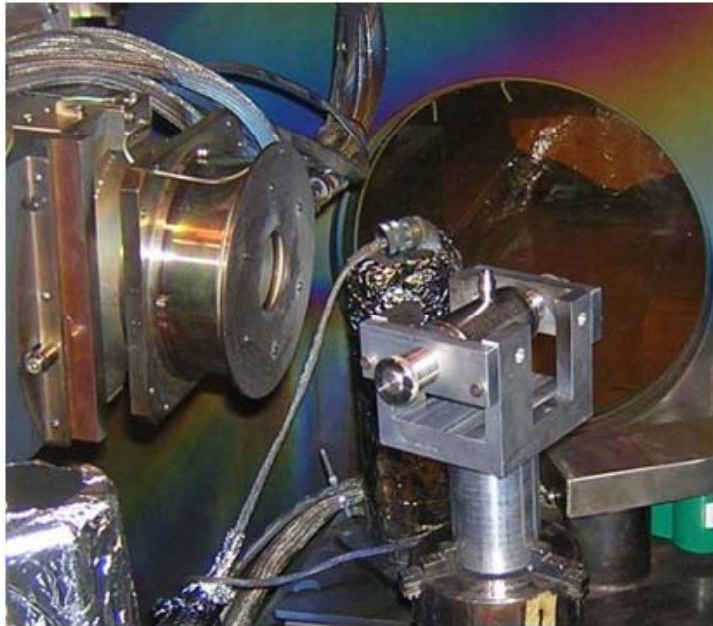
# Rough BCP before welding



*Chemical removal of contaminated and damaged surface layer of cavity components (~15 - 20 mm) via Buffered Chemical Polishing (BCP) prior to EB welding*



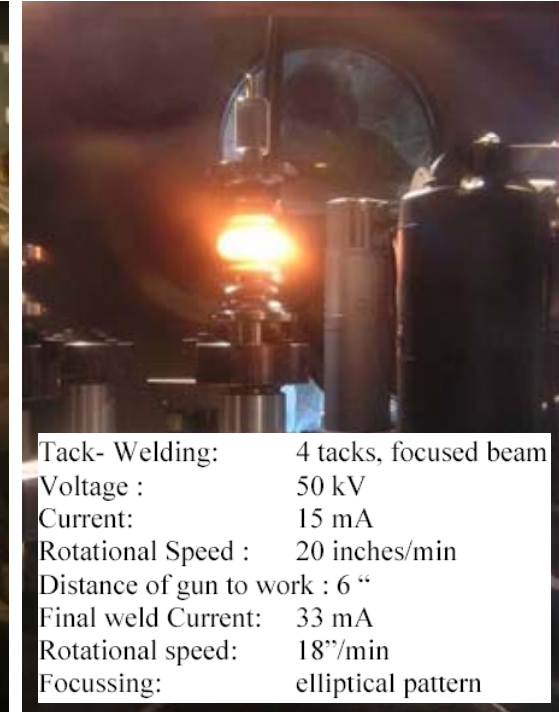
# Electron-beam Welding



*Clever fixture design is essential for quality welds*



*Vacuum pump. Cavity equator EB weld. must be cooled under vacuum to  $<200^{\circ}\text{F}$  before exposing to atmosphere (45-60 min.). Cycle ~3-4 hrs.*



EBW is expensive and time consuming process → TESLA/ILC mass production studies to reduce time and cost

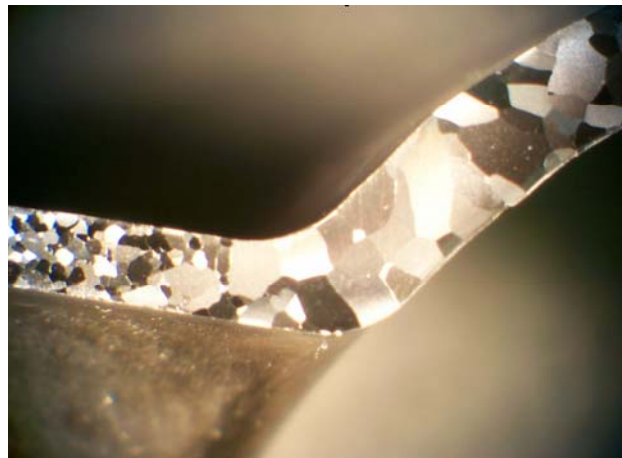
# Dumbbells



*Dumbbell frequency measured and equators trimmed with allowance for weld shrinkage when combined into nine-cell*



Nominal (RF) length increases  
~0.3 mm EBW shrinkage  
0.25 mm lip joint



*Grain growth in weld affected zone*



# Dumbbell RF control



RF contact at equator



## Frequency of individual half-cell ?

From frequency measured for dumbbell:  
 $F_1 = F_0$  and  $F_2 = F_\pi$  we can define frequency of each cell:

$$F_{c1,c2} \approx \sqrt{\frac{2F_1^2 + 2\Delta FF_1 \pm \sqrt{(2F_1^2 + 2\Delta FF_1)^2 - 4(1-k^2)F_1^2(F_1 + \Delta F)^2}}{2(1-k^2)}}$$

Taking  $F_2 = F_1 + \Delta F$  we have:

$$F_{c1,c2} = \sqrt{\frac{F_1^2 + F_2^2 \pm \sqrt{(F_1^2 + F_2^2)^2 - 4(1-k^2)F_1^2F_2^2}}{2(1-k^2)}}$$

or

$$F_{c1,c2} \approx \sqrt{F_1(F_1 + \Delta F)} \sqrt{\frac{1 \pm \sqrt{1 - (1-k^2)}}{(1-k^2)}} = \sqrt{\frac{F_1(F_1 + \Delta F)}{1 \mp k}}$$



# Dimensional measurements

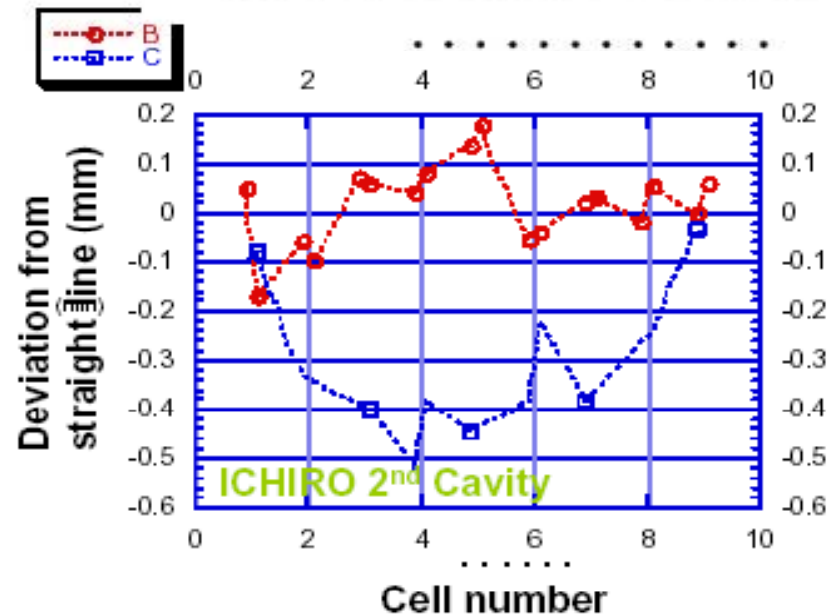
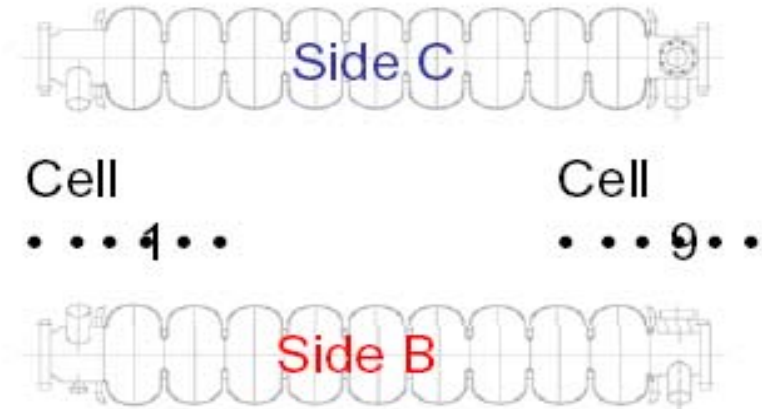
Length and straightness of the cavities were measured by 3D-measurement machine.



	EBW shrinkage
iris	0.148+-0.044 mm
equator	0.424+-0.125 mm

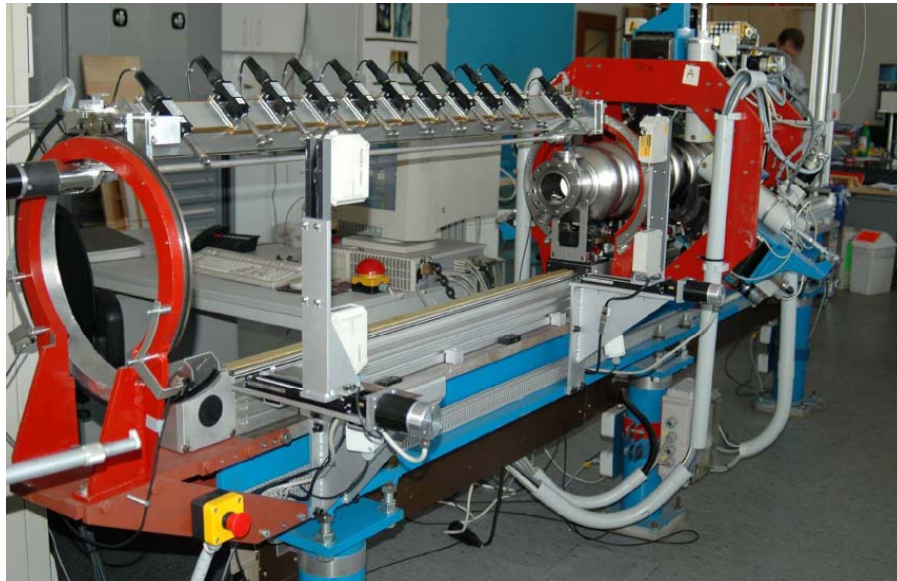
Dimensional deviation of length  
(only 9-cell part: 1038.5 mm)

- 10 mm (1<sup>st</sup> 9-cell ICHIRO cavity)
- 0.7 mm (2<sup>nd</sup> 9-cell ICHIRO cavity)
- 0.1 mm (3<sup>rd</sup> 9-cell ICHIRO cavity)





# Frequency and field flatness tuning



## Computerized tuning machine at DESY

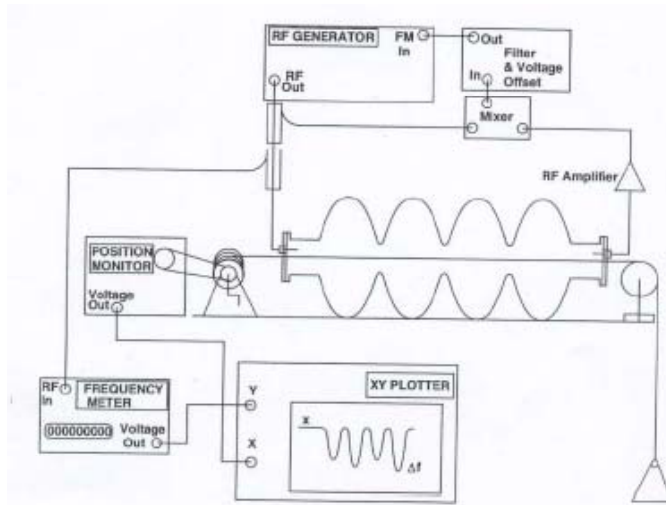
- Frequency measurements
- Bead-pull measurements
- Mechanical cell alignment

### Straightening of cavity

- measurement and tuning
- Field flatness tuning

Based on field measurements at each mode and frequency spectrum

Equalizing stored energy in each cell by squeezing or pulling



## Set-up for field profile measurements:

a metallic needle is perturbing the rf fields while it is pulled through the cavity along its axis; (Slatter theorem)

the stored energy in each cell is recorded.



# Field flatness tuning (FNAL)

- Based on bead-pull measurements of field distribution on operating mode. Amplitudes of E-field in the center of each cell used for frequency tune of individual cells. Perturbation of frequency of each cell  $df_i$  will change field distribution:  $dA_i = K_{in} * df_n$

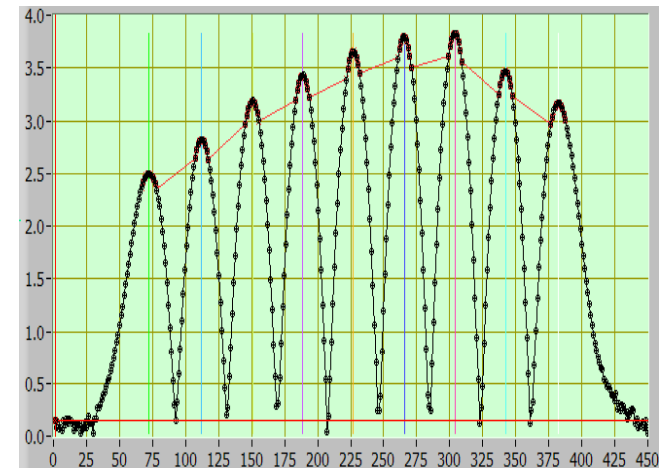
- Solution:  $dA = K \cdot df \Rightarrow df = K^{-1} \cdot dA$

Where  $K$  - matrix of sensitivity coefficients is calculated from HFSS simulations.

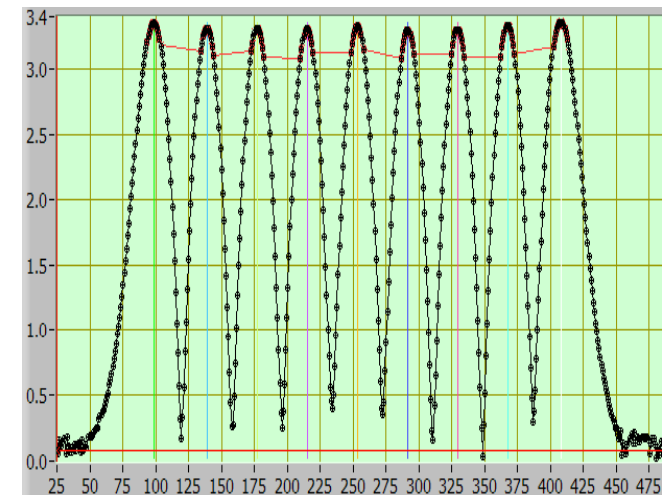
- Goal - tuning operating mode frequency  $F$ . Tuning of cell # $n$  by  $df_n$  shifts cavity frequency by  $dF \sim df_n/9$ . If design frequency is  $F_0$  tuning of the cell should be done by shifting operating mode frequency by:

$$dF = (F_0 - F - df_n) / 9$$

- This technique works best when field flatness of the cavity is close to ideal (based on small perturbations). Tuning is better to start with most perturbed cell. If field flatness still not acceptable the additional tuning cycle should be done.



Cavity before tuning. Slope +28 %



After tuning.  $F=3893.21$  MHz. Slope +0.64 %



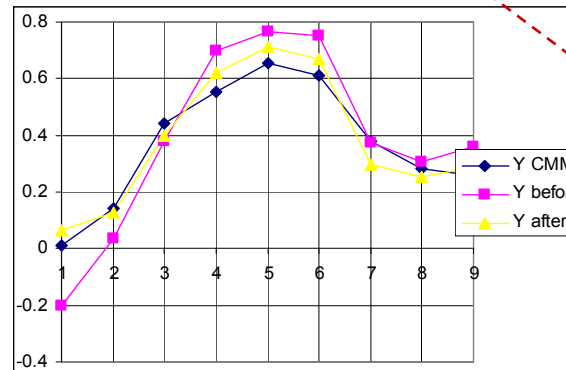
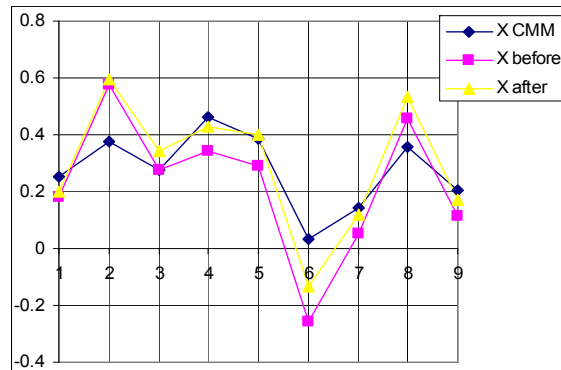
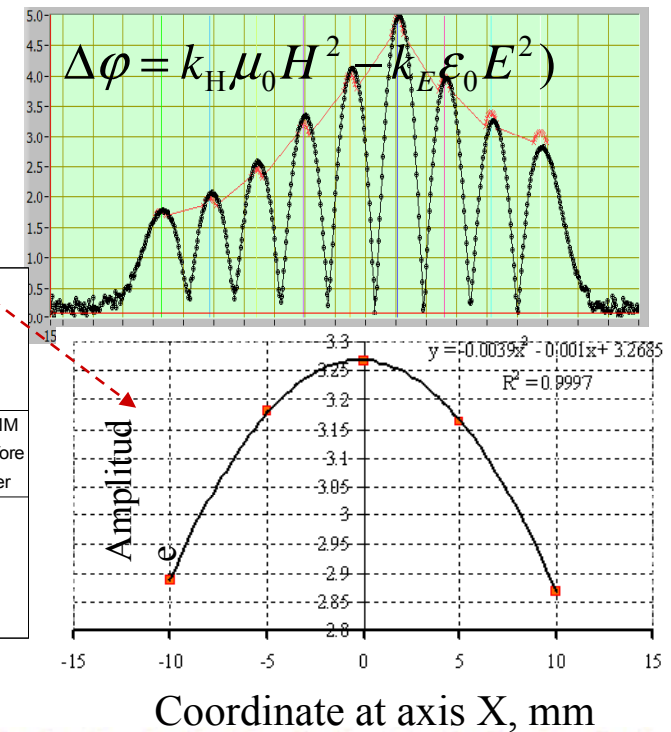
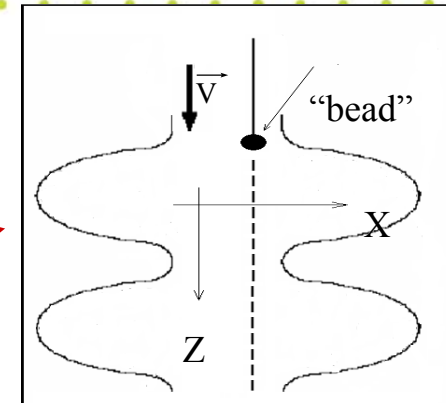
# Bead-pull based alignment technique

## 1) Mechanical measurements (outside)

- Time consuming
- Impossible on dressed cavity

## 2) EM measurements based on bead pull measurements.

- Calibration: E max in each cell
- Five meas: -2d, -d, 0, d, 2d
- Best fit to find Emax (X/Y plane)
- Cavity rotates by 180 degree to exclude error of initial positioning of fishing line



CMM and bead-pull cell center measurements of the cavity



## Experiences on cavity fabrication:

### Deep drawing:

1. Reproducibility depends on tool design and tool material  
→ specification – investigation in tooling
2. Dependency on Nb supplier found
3. Different shape from ingot to ingot found (Hardness / grain size)  
→ Better quality control + specification → reproducibility

### Measurements:

1. Rf measurement of cups / dumb bells → Time consuming
2. Mechanical measurements of sub units → Time consuming  
( F part HOM tube / flanges /dumb-bell 3 D measurement complex  
→ combination of mechanical and rf measurement possible ?  
( 3 D imaging of units)

### Fabrication:

1. Sequences need to be adopted to the company hardware
2. Companies need to be trained an stay trained  
→ learning curve to stable production
3. Control on subcontractors
4. Dependency on major products of company → training of personal

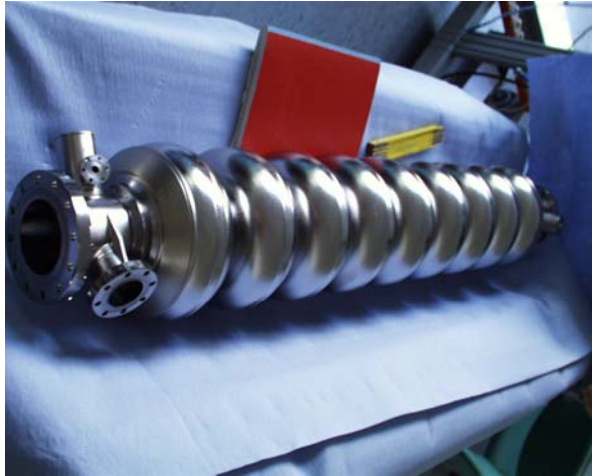




# Surface Preparation



## Four major steps of cavity preparation process



Preparation step A  
Removal of damage layer /  
post purification / tuning



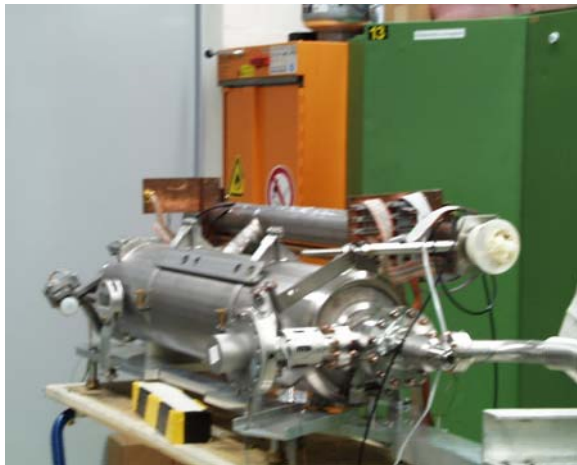
Preparation step B  
Final cleaning and  
assembly for vertical  
test. Testing in VST



Preparation step C  
Welding cavity to He  
vessel / He vessel  
welding



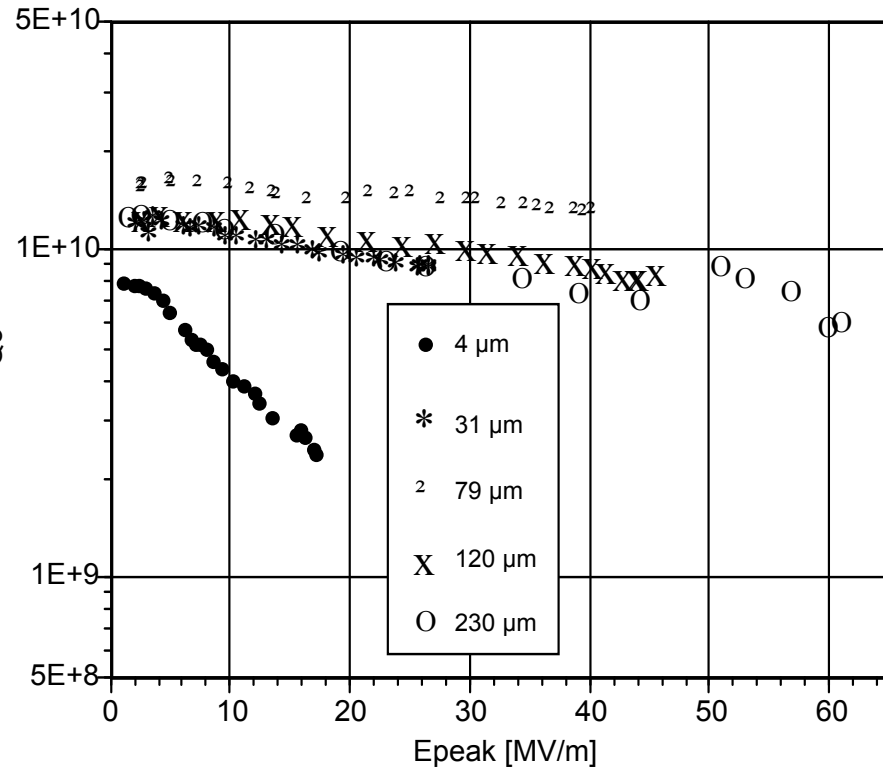
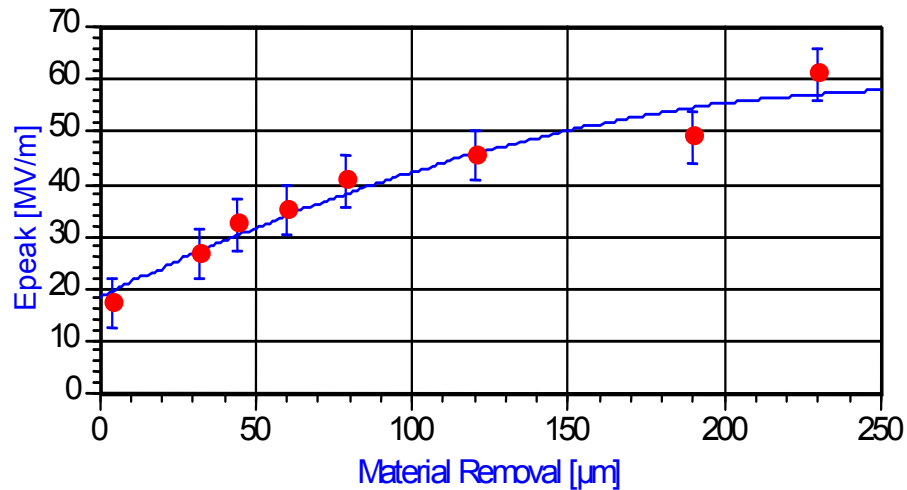
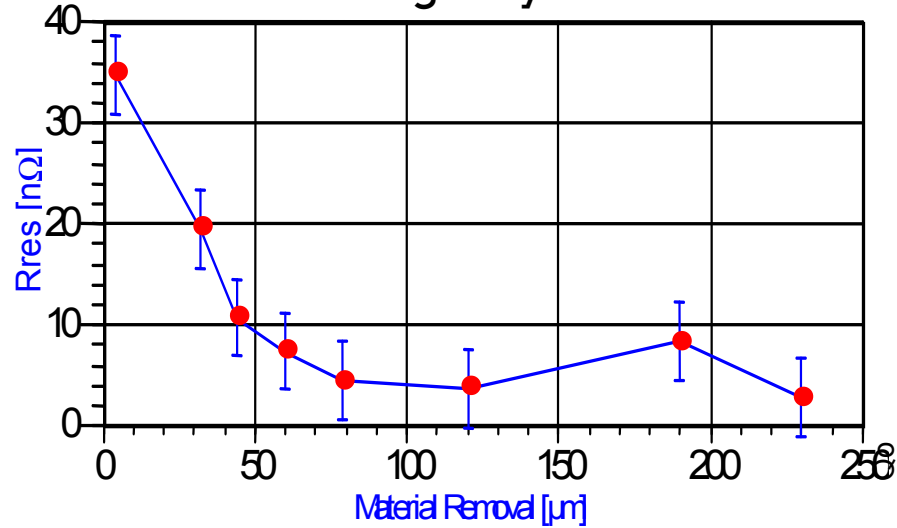
Preparation step D  
Final cleaning and assembly for  
module / horizontal test





# Why surface treatment ?

Damage layers influences cavity performance





# Removal of “damage” layer

## *Removal Method's*

### Mechanically by

#### Grinding

- Simple handling, low cost, mostly in use for removal of local defects non uniform abrasion !
- But: Abrasives, remain of C; Si ; glue; scratch size, produces a new damage layer of about 40  $\mu\text{m}$  thickness!!!

#### Barrel polishing (tumbling)

### Chemically by

- Chemical etching ( buffered chemical polishing – BCP )
- Chemical polishing ( electro polishing – EP )
- + few new solutions under development, etc.)
  - **Chemical-Mechanical Planarization technology**
  - **(Accel/poligrat)**



# CMP of Nb (FNAL- Cabot Microelectronics )



3-Dimensional Interactive Display

Date: 08/29/2007

Time: 10:12:18

Surface Stats:

Ra: 471.30 nm

Rq: 603.60 nm

Rt: 8.22 um

Measurement Info:

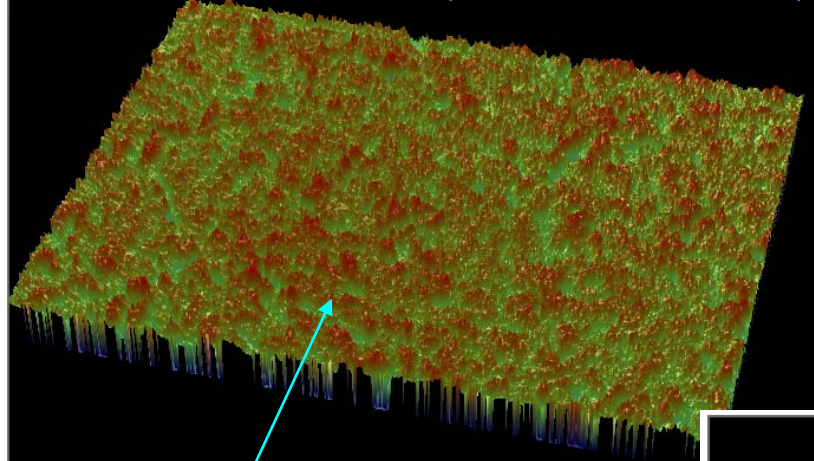
Magnification: 20.21

Measurement Mode: VSI

Sampling: 415.64 nm

Array Size: 736 X 480

20X Field of View (230um x 300um)



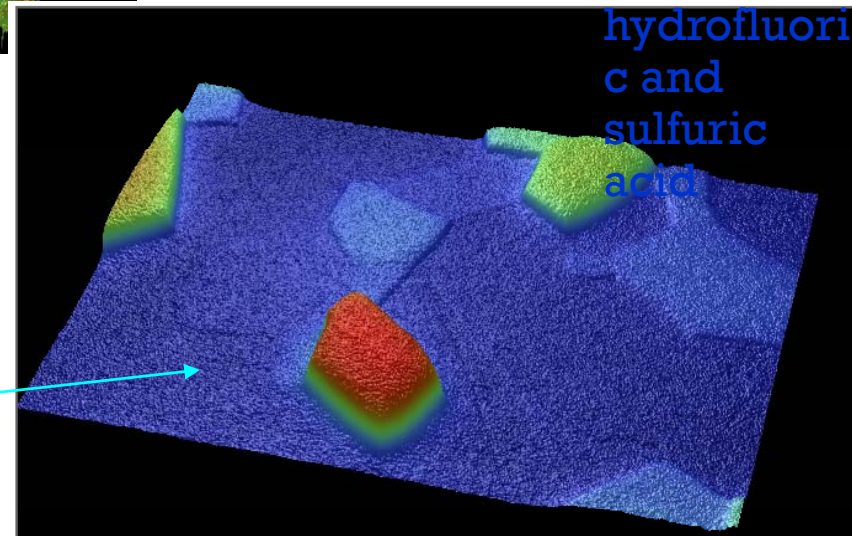
**BEFORE:** Rq = 603nm  
Heavily cratered surface  
from machining

**AFTER:** Rq = 3.5nm  
Small islands appear to be  
grains. Highest point to lowest  
point around 18.6nm.

BCP/EP uses hydrofluoric and sulfuric acid mixture:

- Complicated procedure, dangerous chemical, expensive
- Cause surface contamination (Sulfur)

CMP – no hydrofluoric and sulfuric acid





# Tumbling

- Material :”Stones” made in different shape and material
- Effect: Smoothing and removal of local enhancement (Sparks from EB welding weld in area)
- Removal: Non uniform contact pressure, For optimum removal you need to design machines that make use of centrifugal forces to uniform the forces (Complicated design)





# Chemical removal of “damage” layer

Two types chemical removal are most commonly in use

## BCP - Buffered Chemical Polishing

Mixture of Hydrofluoric; Nitric and Phosphoric acid

- Mixed by volume from 1:1 HF(49%) /HNO<sub>3</sub>(70%) to (1:1:2 HF(49%):HNO<sub>3</sub>(70%) :H<sub>3</sub>PO<sub>4</sub>85%)
- Removal rate:
  - 1:1 at 20C >20 μm/min; 1:1:2 at 20 C ~1μm/min
- Mixture is self exiting ! Spontaneous reaction with Nb!!

## EP – ElectroPolishing

Mixture of Hydrofluoric acid and Sulfuric acid

- Mixed by volume from 1:8 HF(45%) /H<sub>2</sub>SO<sub>4</sub> (96%) to 1:10 (HF( 45%)/H<sub>2</sub>SO<sub>4</sub> (96%) (+ H<sub>2</sub>O due to hygroscopic reaction of H<sub>2</sub>SO<sub>4</sub>)
- Removal rate with 17 V applied:
  - 1:9 at 20C 0,3-0,5 μm/min; 1:10 a t 20 C 0,3 – 0,4 μm/min
- No reaction on Niobium without voltage applied.



# Cavity Preparation

Actually there are 3 general lines

## BCP

Standard treatment used for TTF Modules 1-5 (BCP treatments + 1400 C titanium post purification)

## EP / 1

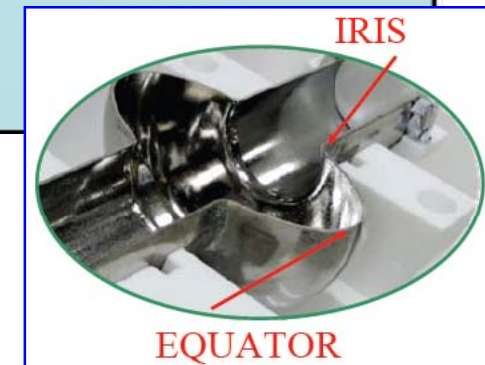
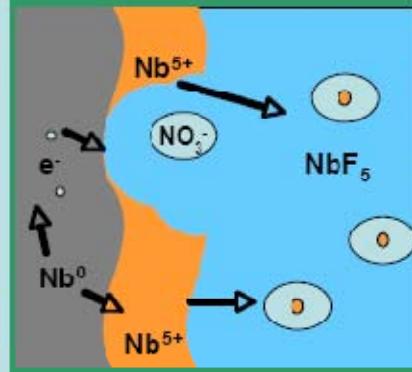
Treatment sequence used for the first batch of cavities electro-polished in collaboration with KEK  
Combination of BCP (80- 160  $\mu\text{m}$ )+ EP (80- 100 $\mu\text{m}$ ) at KEK+ EP (20-50  $\mu\text{m}$ ) at DESY  
Part of production  
1400 C titanium post purified

## EP / 2

Treatment sequence under study at DESY  
No 1400 C Ti post purification  
**Only EP** applied (200  $\mu\text{m}$ )  
Improved handling sequences

# BCP process

## Chemical Polishing: BCP



### Pros:

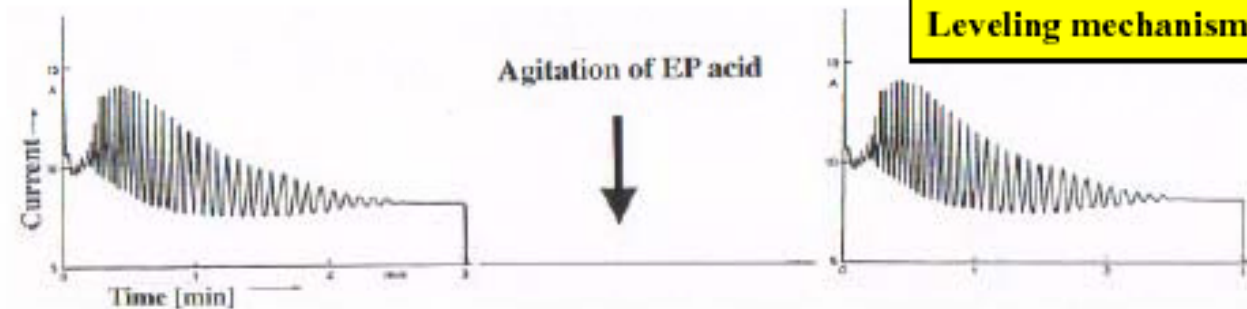
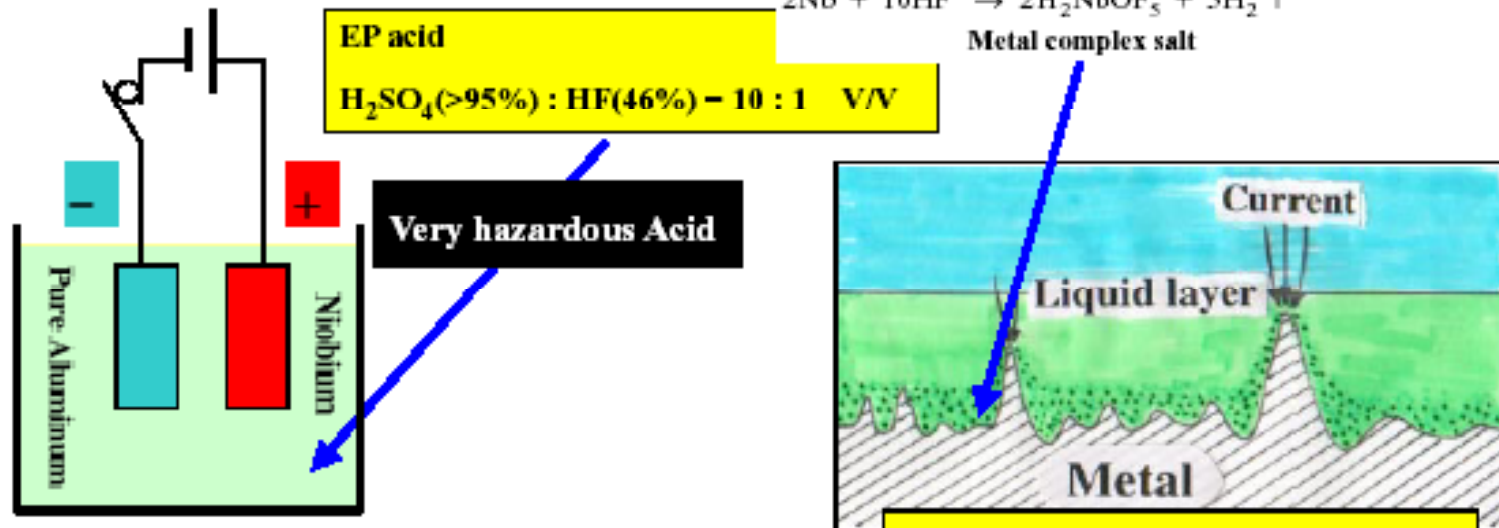
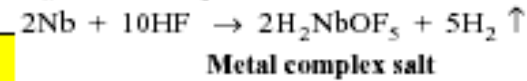
- Set up simple and cheap
- Accelerating gradients below 25-30 MV/m in cavities made out of polycrystalline Nb
- Reliable results with very high reproducibility of cavity performances
- Average etching rate: 1  $\mu\text{m}/\text{min}$
- Accelerating gradients of 40 MV/m in cavities made out of single crystal Nb

### Cons:

- High average roughness in polycrystalline Nb sheets (field enhancement)
- Etching rate is deeply affected by the local velocity and T of the acid <20C
- Differential etching: Lower removal at the equator (cell zone with lower radius) and a double removal at the iris zone.

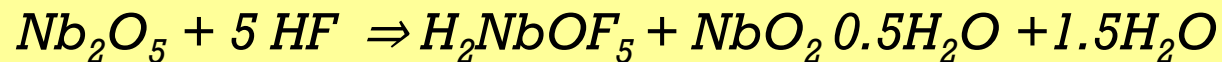
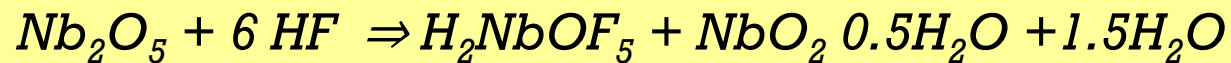
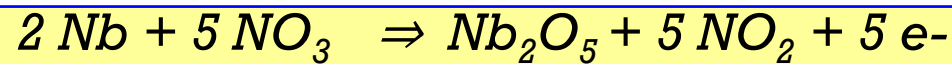
## Electropolishing of Niobium

Current oscillation control: innovated by H.Diepers et al. in 1971

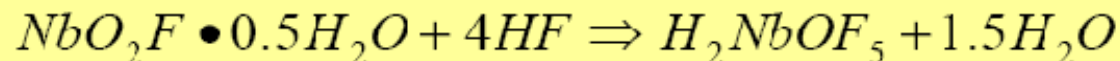
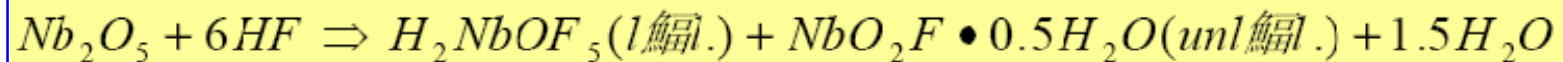
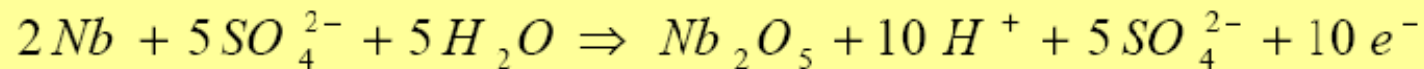


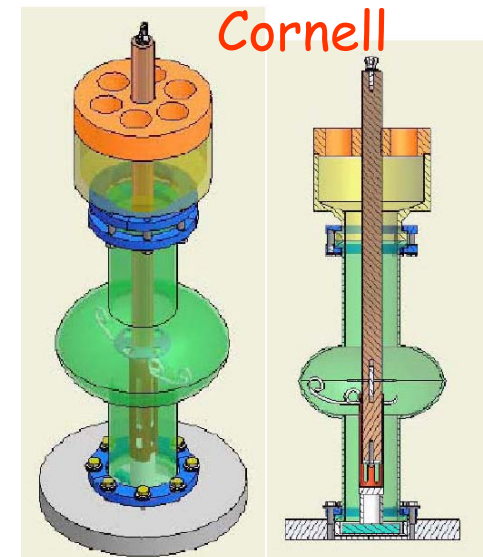
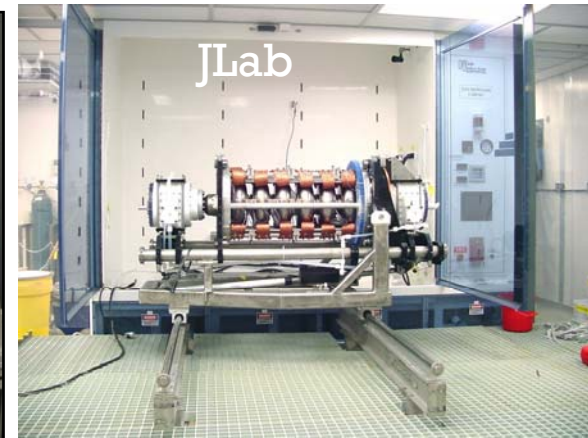
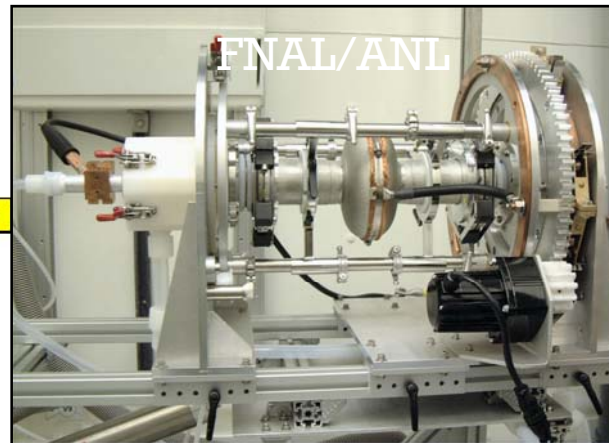
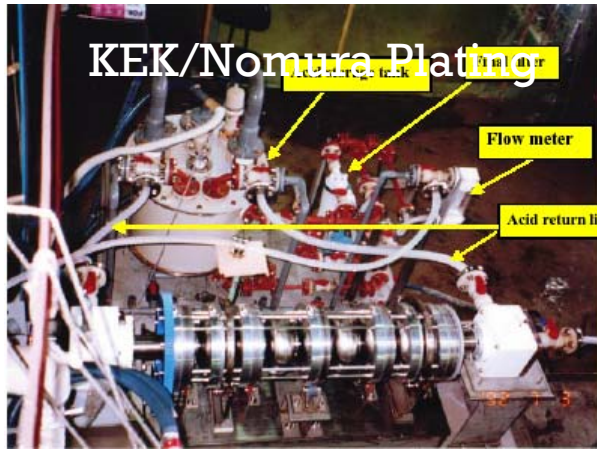


## BCP



EP (electro chemical polishing)  
Mixture by volume 1/9 HF/H<sub>2</sub>SO<sub>4</sub>







## Preparation for vertical test at 2K

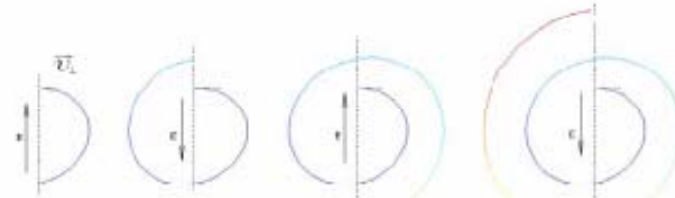
- 1) "Car wash"
  - 2) Degreasing and rinsing
    - 2a) Prepare for EP and EP treatment
    - 2b) or BCP treatment
  - 4) Rinsing and 1st high pressure rinsing
  - 5) Drying in class 10 (ASTM)
  - 6) Assembly of accessories
  - 7) Alcohol rinsing
  - 8) Six times high pressure rinsing
  - 9) Drying in class 10 (ASTM)
  - 10) Assembly of test antenna
  - 11) 120 C baking
- vertical test



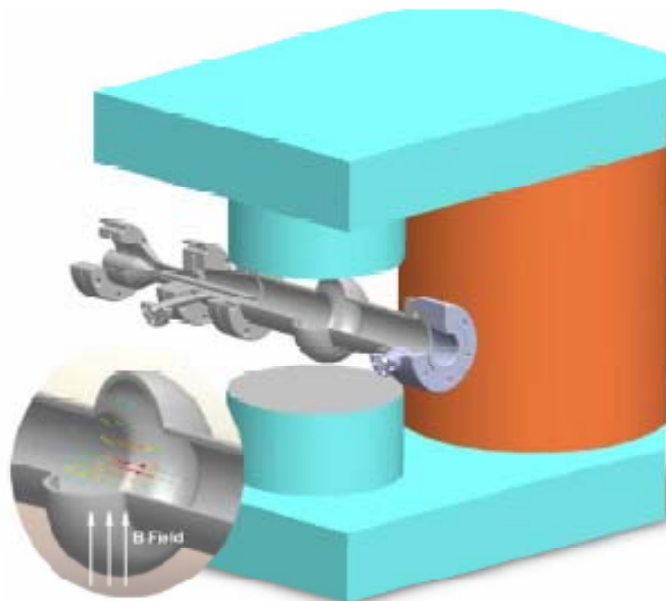
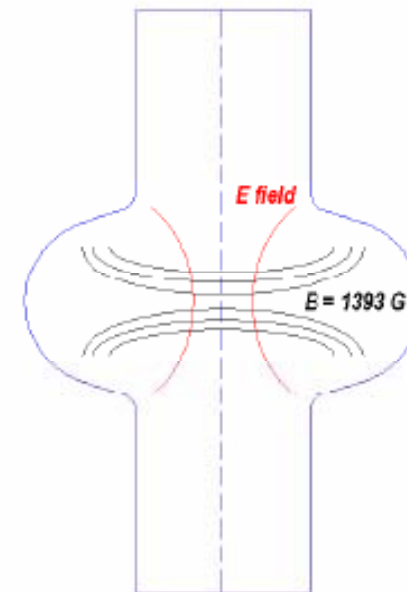
High pressure stand  
in clean room



## ECR plasma and RF cavity



*Electron being accelerated clockwise by periodic electric field. External magnetic is pointing out of the paper (not shown). Color reflects energy*



$$\omega = \frac{eB}{m}$$

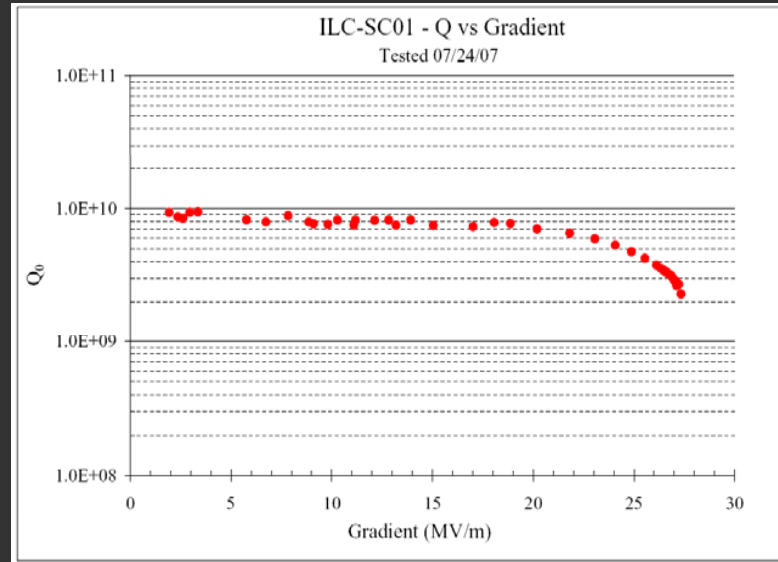
■ ECR = electron cyclotron resonance

*G. Wu, FNAL*





# Cavity Vertical Test Stand (VTS)

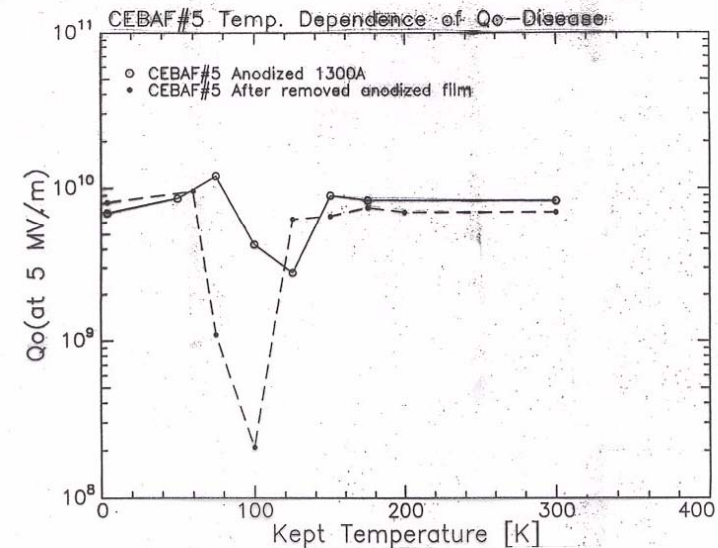
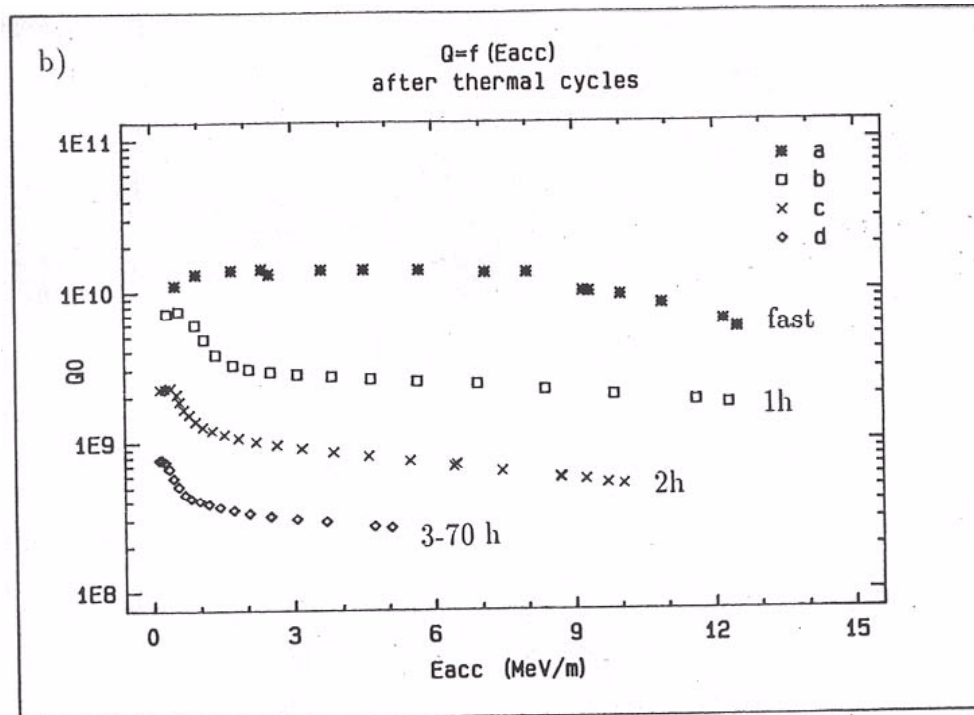


## FNAL VTS

- Vacuum pumping
- Variable coupler
- Two cavity operation
- T-mapping



# Q – Disease (Losses from Hydrides)

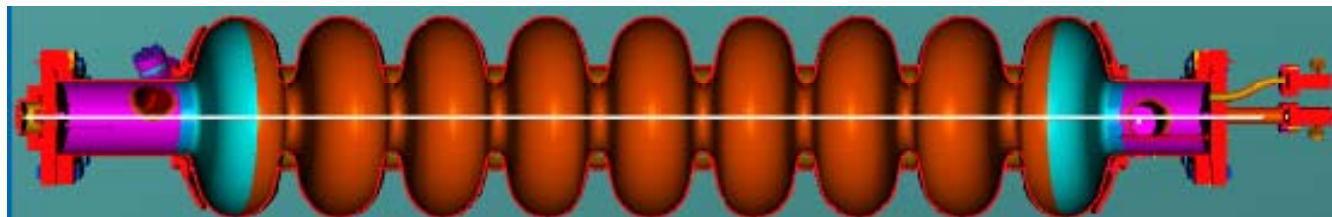


- Fast cool-down is essential ( $< 1\text{hr}$  at  $T=120\text{-}170\text{K}$ )
- Nb with high oxygen ( $> 100$  wt ppm is not affected Q-disease problem)
- Effect depends on surface contamination, RRR, ...

# From vertical test to module

( Shortened version there are more steps than shown here necessary)

1. Back to clean room
2. Install FEM (in situ bead pull measurement)
3. Tank welding
4. Remove FEM
5. Install Antennas
6. High pressure rinse cavity
7. Install power coupler
8. Assemble of module



Bead-pull measurements after tank welding



# Horizontal Test System (HTS)



**HTS Cryostat**



**1.3 GHz Cavity in HTS Cryostat**



**2K Cryogenic**



**RF Power for HTS**

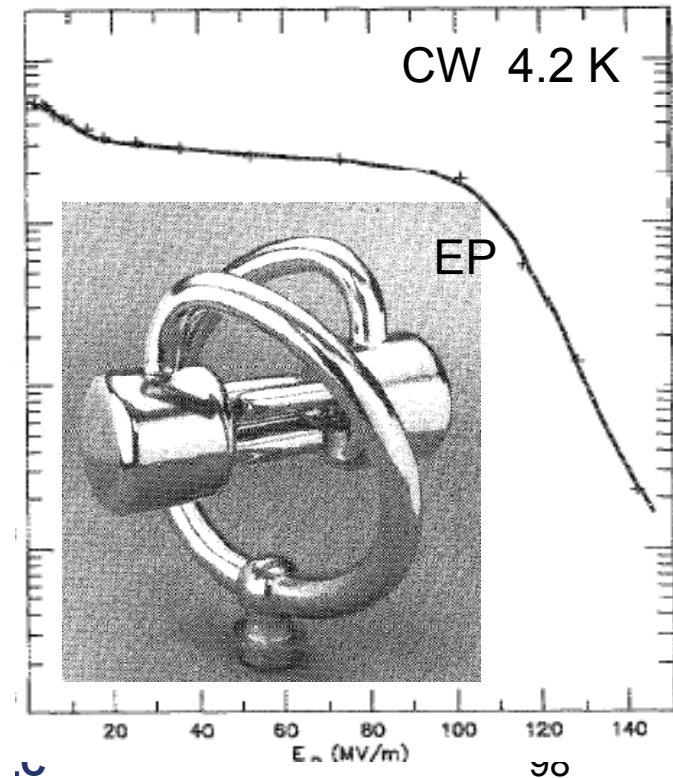
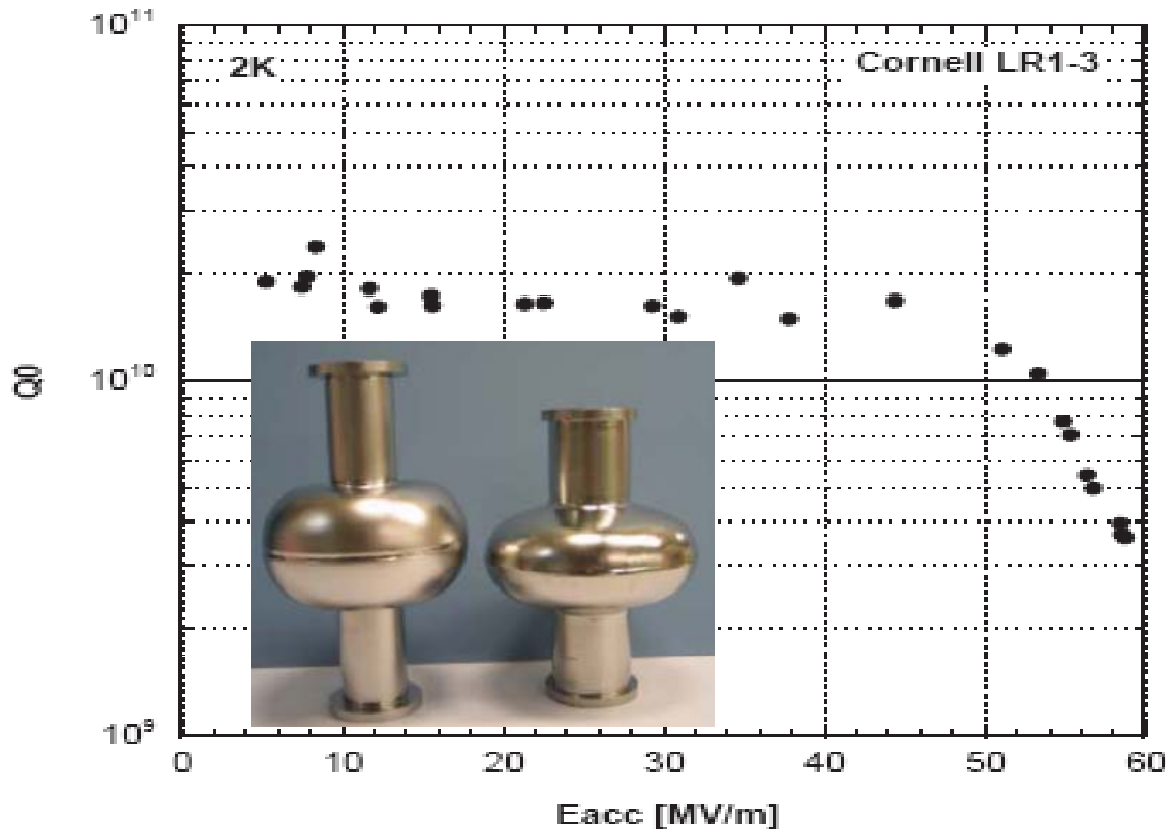
# **Accelerating Gradient: Limit and Spread**





# Limit of SRF Electric field

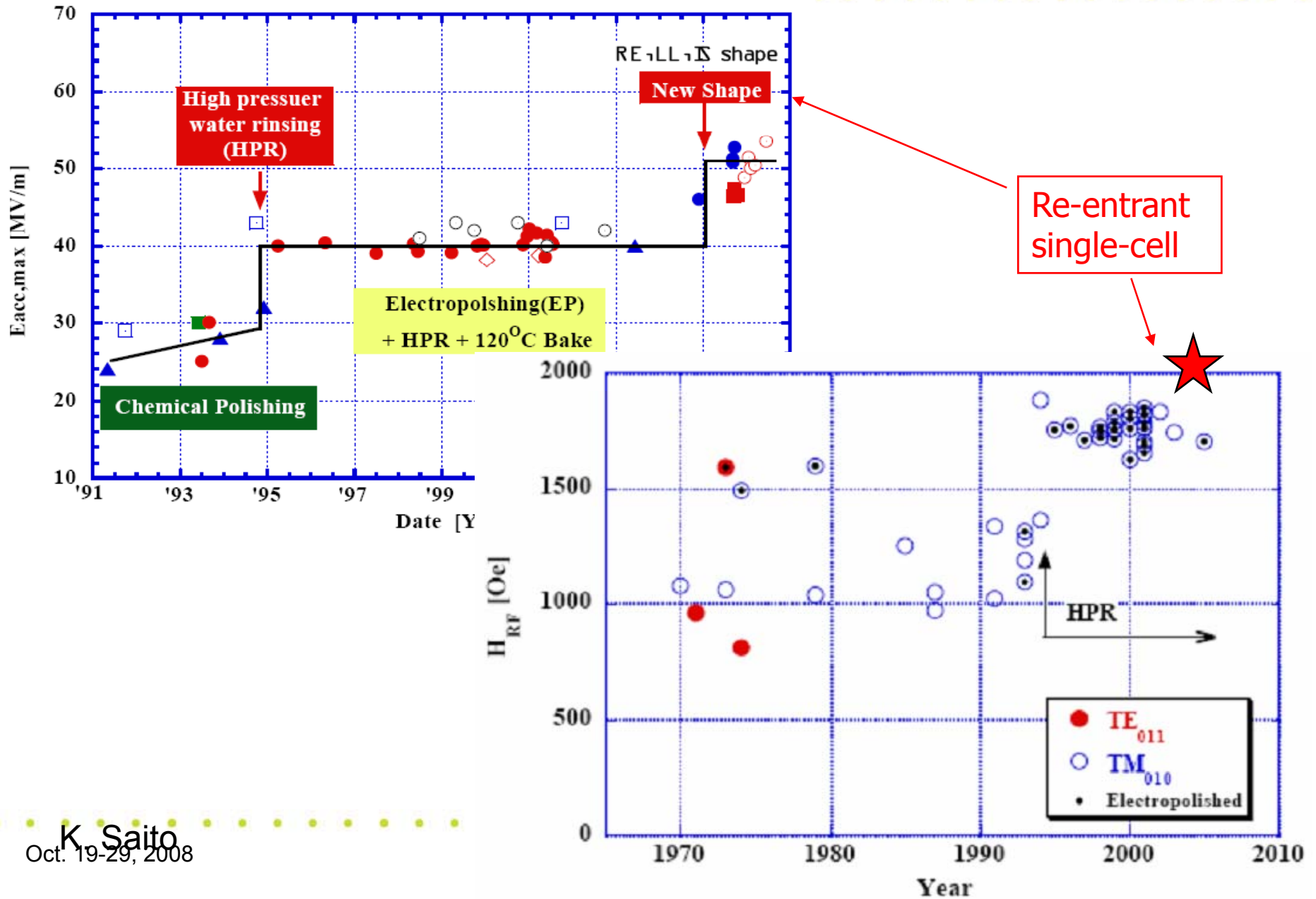
- No known theoretical limit
- 1990: Peak surface field  $\sim 130$  MV/m in CW and 210 MV/m in 1ms pulse.  
*J.Delaen, K.Shepard, "Test a SC rf quadrupole device", Appl.Phys.Lett,57 (1990)*
- 2007: Re-entrant cavity:  $E_{\text{acc}} = 59$  MV/m ( $E_{\text{pk}} = 125$  MV/m,  $H_s = 206.5$  mT).  
*(R.L. Geng et. al., PAC07\_WEPMS006) – World record in accelerating gradient*





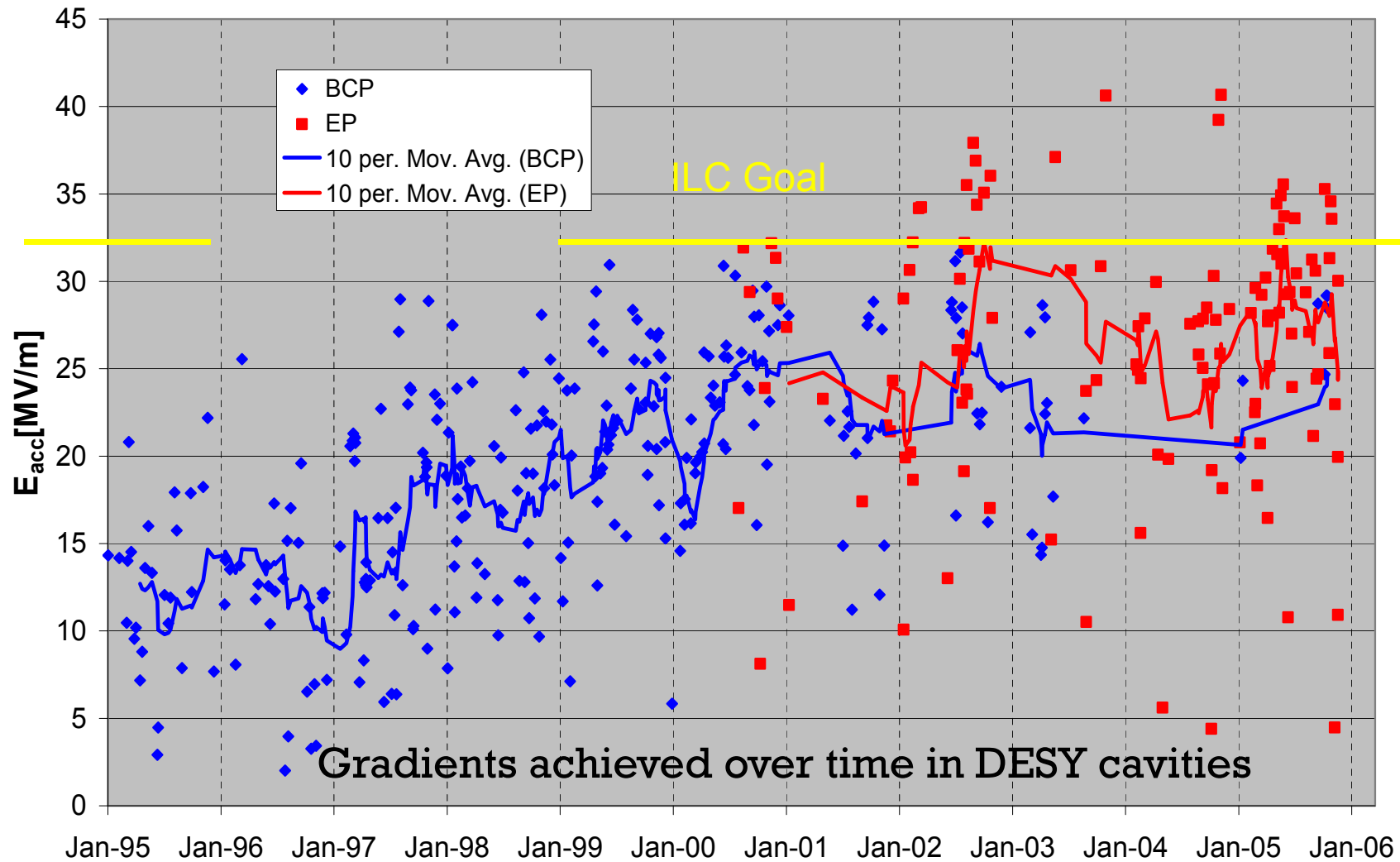


# Gradient & Magnetic field Limits





# Reproducibility – Scattering of gradient



Gradients achieved over time in DESY cavities

K.Saito

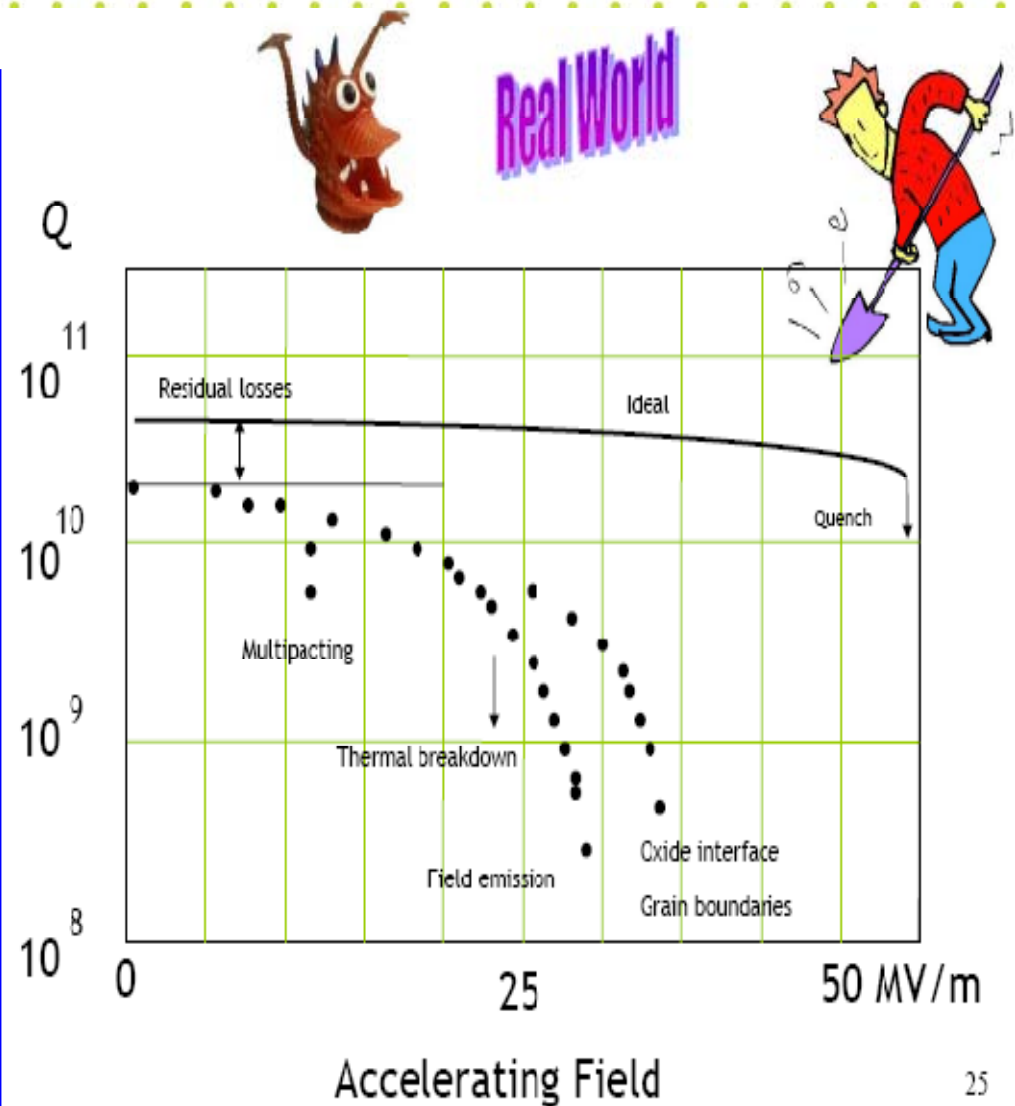


# “Practical” gradient limitations for SC cavities

- Surface magnetic field – 200 mT (absolute limit?)
- Field emission, X-ray, starts at ~ 20 MV/m
- Thermal breakdown (strong limits for  $F > 2\text{GHz}$ )
- Multipactoring (in cavity or couplers)
- Medium and high field Q-slopes (cryogenic losses)
- Lorentz detuning and microphonics (frequency change)

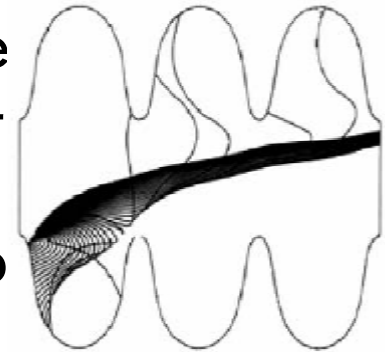
Key issue:

Quality of surface treatment and Assembly

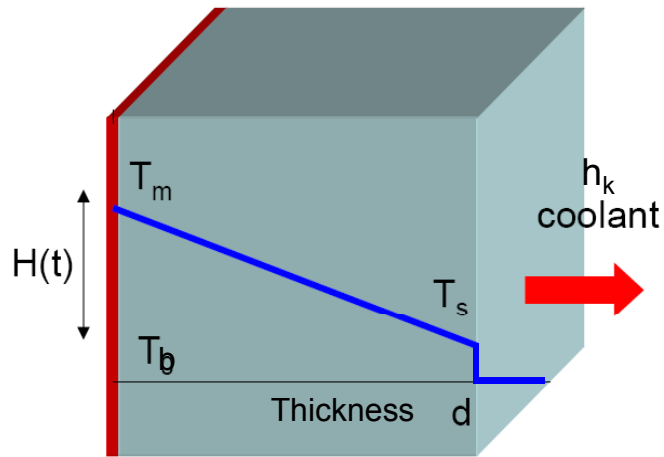


# Field emission

- Caused by macro-particles at the surface
- Some cavity FE limited. Starts typically  $\sim 20$  MV/m
- Radiation  $\rightarrow$  heating of the wall  $\rightarrow$  Q-drop
- Dark current
- Frequently disappear after HPR, but risk re-contamination (such as He tank dressing) remains.
- Collective behavior in linac: affect other cavities (beam loading, heating, phase) - SNS
  - **Clean assembly**
  - **Understanding and improvement needed.**



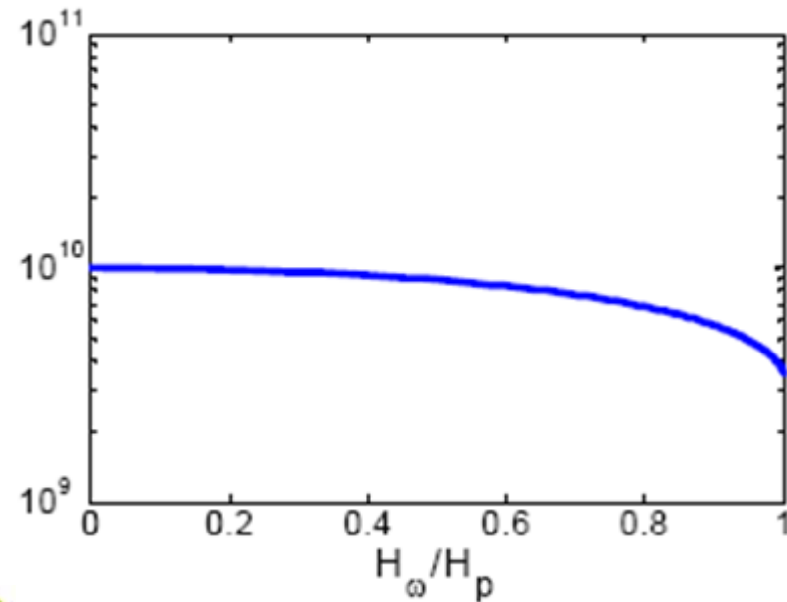
# Thermal Breakdown in pure Niobium



Power dissipation: 
$$P_{diss} = \frac{1}{2} R_s(T_m, H_{RF}) H_{RF}^2$$

$$\left. \begin{array}{l} \text{Bulk:} \\ \text{Nb-He:} \end{array} \right\} \left\{ \begin{array}{l} \frac{\partial}{\partial x} \kappa(T) \frac{\partial T}{\partial x} + P_{diss}(T_m, H_c, \dots) \delta(x) = 0 \\ \frac{1}{2} R_s(T_m, H_c, \dots) H_{RF}^2 = h_K(T_s, T_b)(T_s - T_b) \end{array} \right.$$

## Quality factor (an example)



Run away solution for 2°K and  
 $k(T_0) = 30 \text{ W}/(\text{m}\cdot\text{K}); h(T_0) = 10^4 \text{ W}/(\text{m}^2\cdot\text{K});$

Solution:

$$\delta T = 0.137^\circ \text{K}$$

$$H_b = 140 \text{ mT}$$



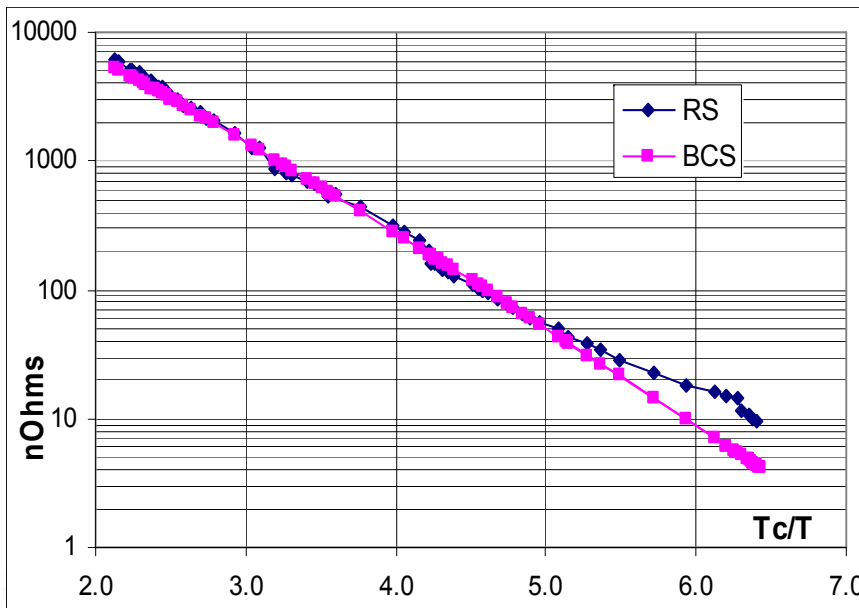


# Example: 3.9 GHz accelerating cavity

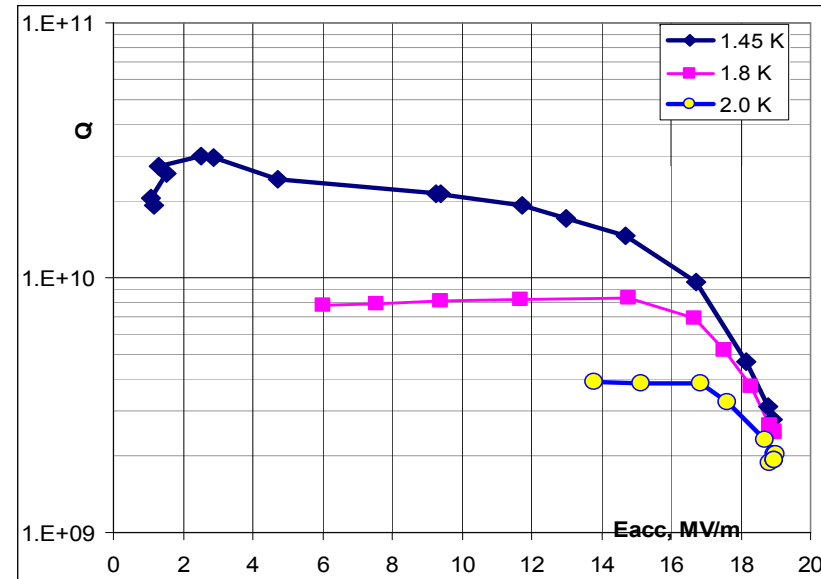
- ❖ Final cavity preparation done at FNAL (BCP,HPWR)
- ❖ Residual resistance  $R_{res} \sim 6 \text{ n}\Omega$
- ❖ Achieved:  $H_{peak} = 103 \text{ mT}$ ,  $E_{acc} = 19 \text{ MV/m}$   
(Goal:  $H_{peak} = 68 \text{ mT}$ ,  $E_{acc} = 14 \text{ MV/m}$ )

Magnetic field is likely limited by thermal breakdown

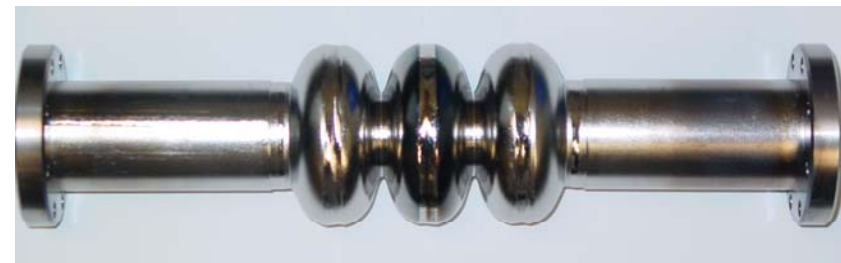
- ❖ No Field Emission
- ❖  $Q \sim 8 \cdot 10^9$  at  $E_{acc} = 15 \text{ MV/m}$
- ❖ Maximum accelerating field not depend on Temp



Oct. 19-29, 2008



No Temperature dependence – why?



3-cell cavity built at FNAL

$H = 103 \text{ mT}$   $\Rightarrow$  9-cell/3.9GHz:  $\rightarrow E_{acc} = 21 \text{ MV/m}$   
 TESLA:  $\rightarrow E_{acc} = 24 \text{ MV/m}$



# 1. Non-linear Surface Resistance

The non-linear BCS resistance (RF pair breaking) in the *clean limit* is given by

$$R_s^{nlin}(T, B) = R_{s,BCS}^{lin}(T) \frac{2}{\pi} \left[ \int_0^{\pi} \sin^2(t) \frac{\sinh[\beta(T)h \cos(t)]}{\beta(T)h \cos(t)} dt \right] + R_{res}$$

$$R_{s,BCS}^{lin}(T) = \frac{A(\Delta) \cdot f^2}{T} e^{-\frac{\Delta}{k_b T}} \quad \text{- Linear BCS:}$$

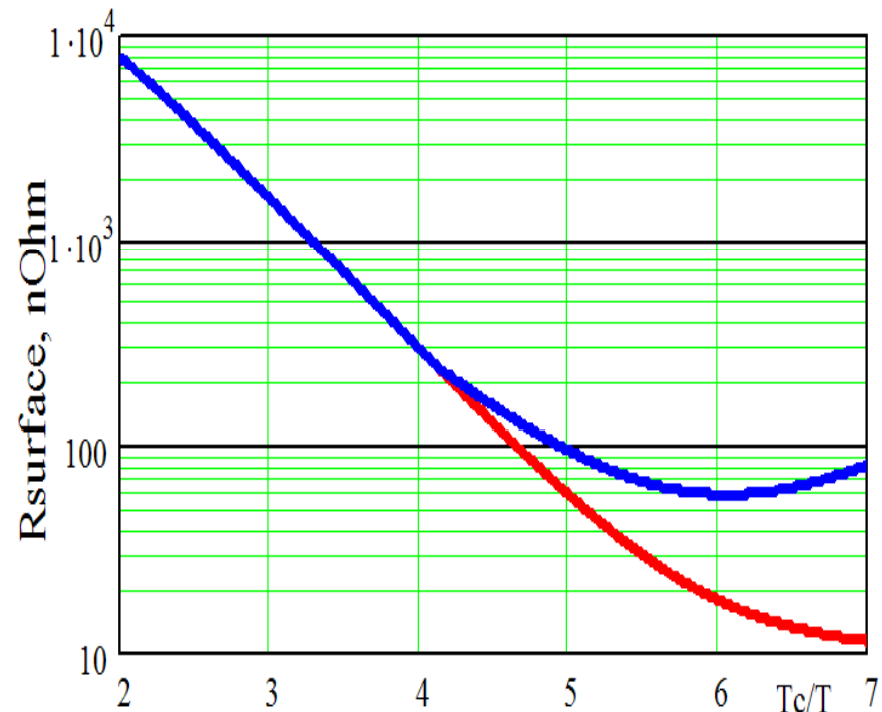
$\Delta \sim 1.5 \text{ meV}$  is the superconducting energy gap

Where:  $\beta(T) = \frac{\pi}{2^{3/2}} \frac{\Delta}{k_b T}, \quad h = \frac{H_{RF}}{H_{c,0}}$

For 2 cases:  $\beta \cdot h \ll 1$  and  $\beta \cdot h \gg 1$  it gives:

$$R_s^{nlin1}(T, B) = R_{s,BCS}^{lin}(T) \left[ 1 + \frac{\beta^2}{48} \left( \frac{H_{RF}}{H_{c,0}} \right)^2 \right] + R_{res}$$

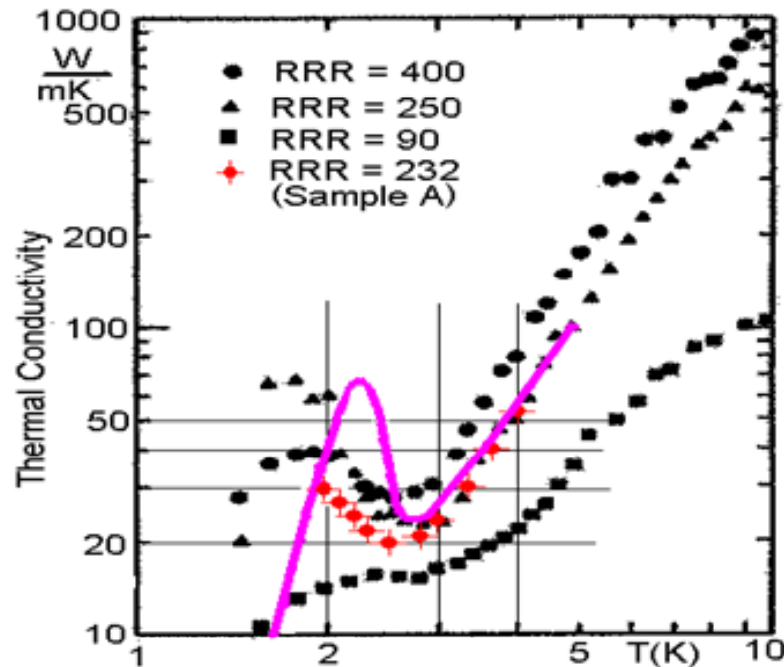
$$R_s^{nlin2}(T, B) = R_{s,BCS}^{lin}(T) \left[ \frac{4e^{\frac{\beta(T)H_{RF}}{H_{c,0}}}}{\beta(T)^{7/2} \sqrt{2\pi}} \left( \frac{H_{c,0}}{H_{RF}} \right)^{7/2} \right] + R_{res}$$



Surface Resistance used in models  
 Red-linear BSC; blue Non-linear case

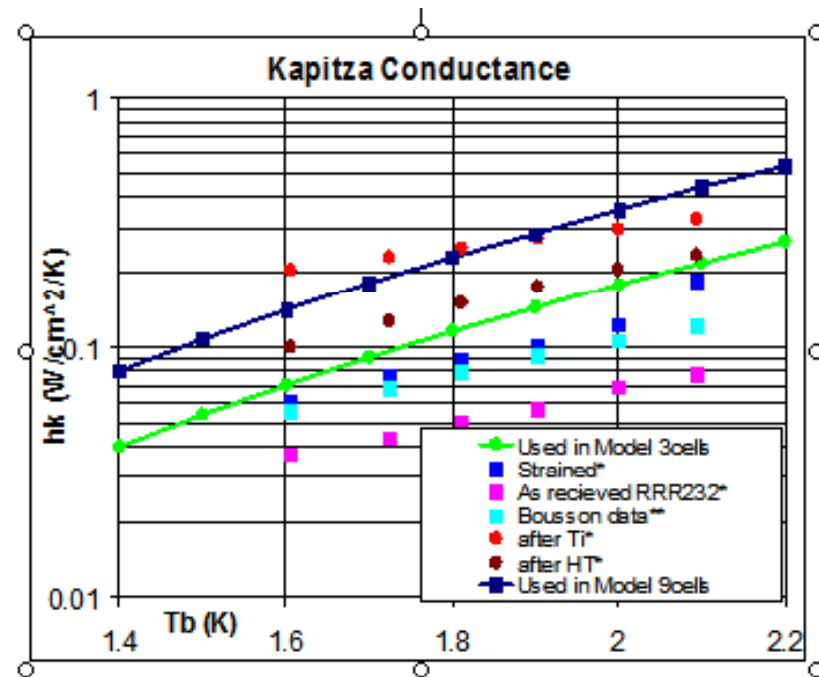
\*P.Bauer et.all, "Discussion of possible evidence for non-linear BSC resistance in SRF cavity", SRF 05 workshop

## 2. Thermal Conductivity and Kapitza resistance



Measured thermal conductivity and values used in models (pink)

A. Aizaz, "Improved Heat Transfer in SRF Cavities, NSCL, MSU, 2006

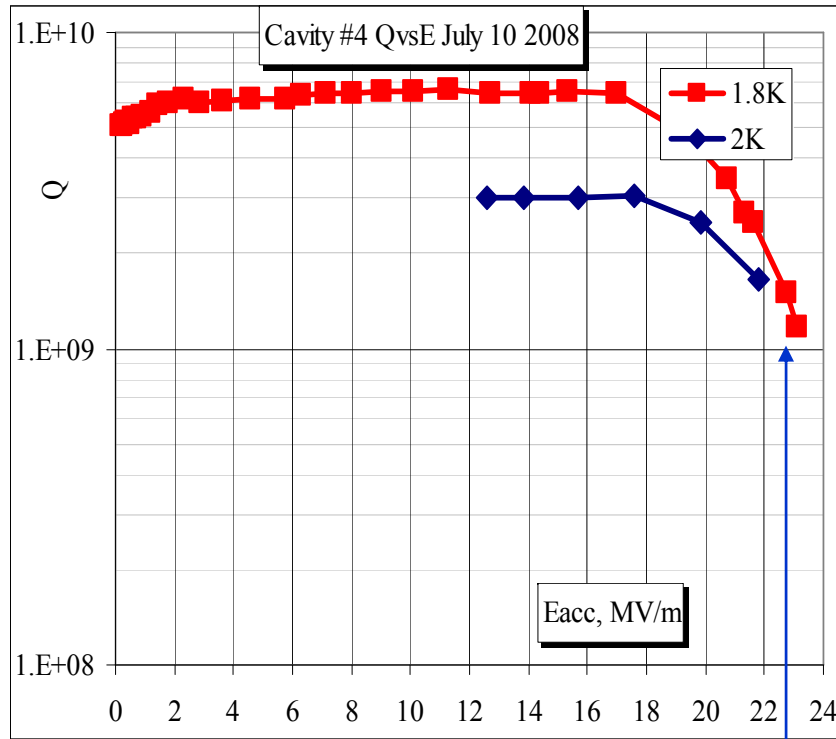


Measured values of Kapitza conductance and values used in model (solid lines)

Bousson et. All, "Kapitza Conductance and Thermal Conductivity of Materials Used For SRF Cavities Fabrication", SRF workshop, 1999

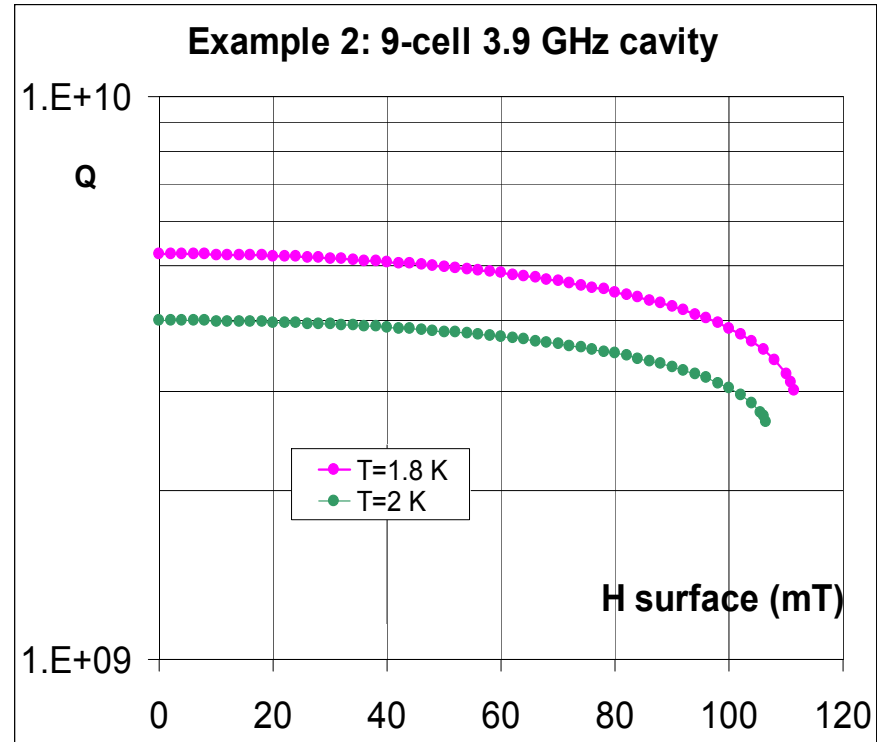


# Results for 3.9 GHz 9-cell cavity



Experiment

Hs=120 mT

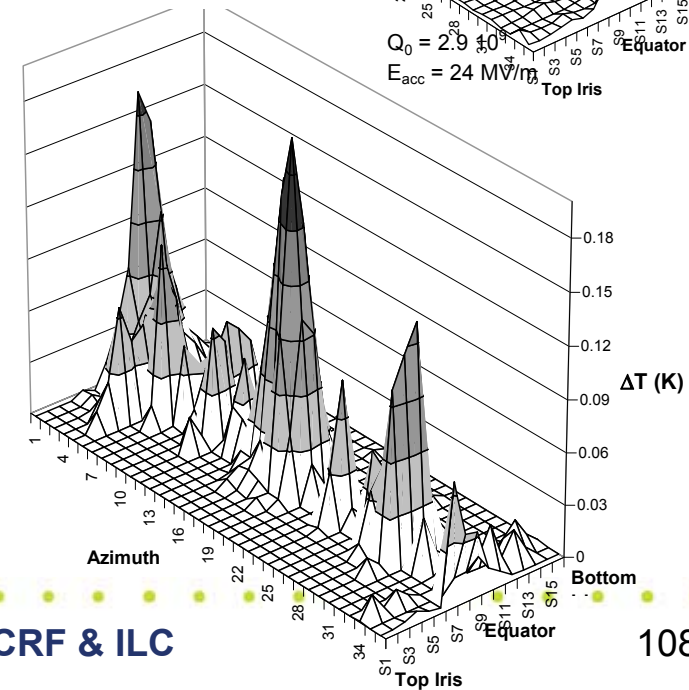
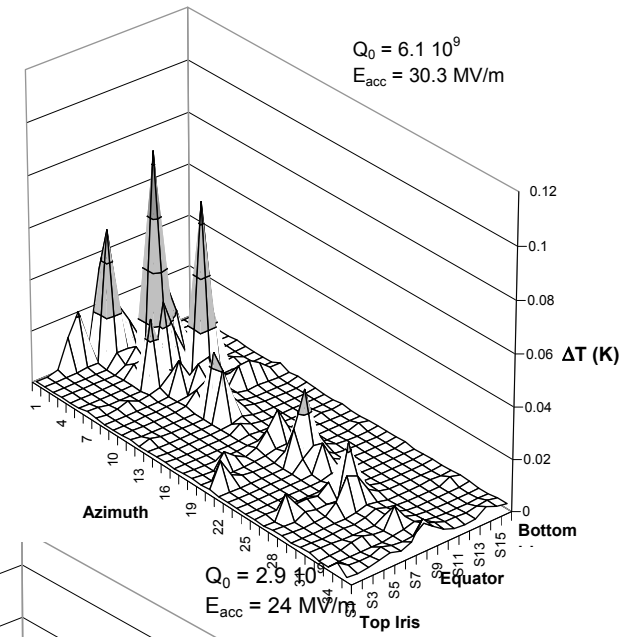
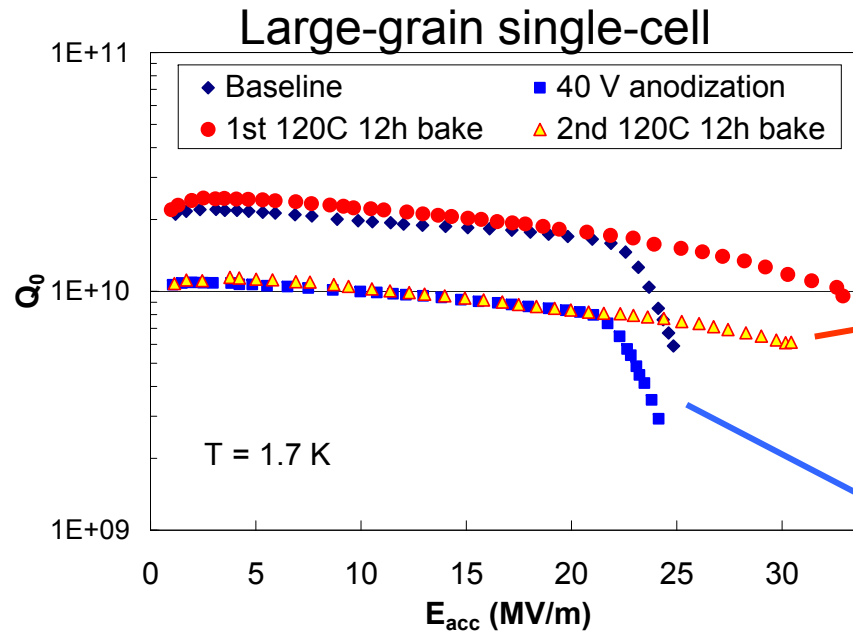


Simulations

I. Gonin - FNAL



# Large Grain : Hot Spots



Hot spots ( large grain cavity )  
Reduced after baking  
**Q-slope restored by 40 V anodization**

G. Ciovati - LINAC (2006)

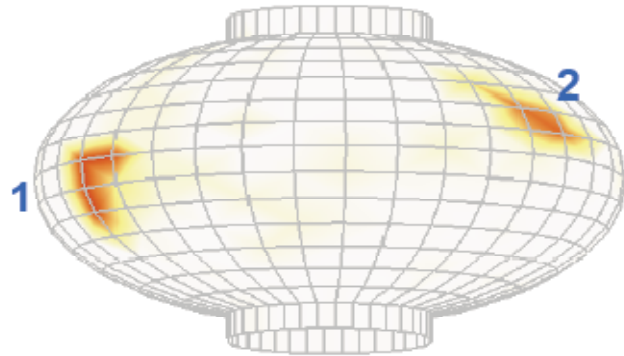




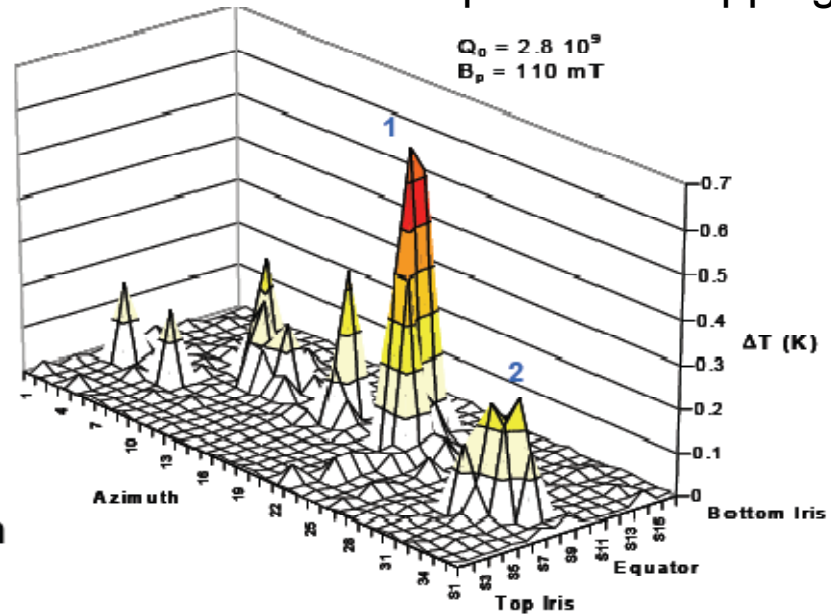
# T-mapping in cavity

Sub-mm size defects lead to quench

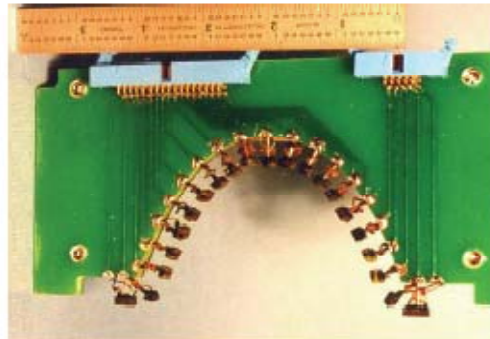
Temperature Mapping



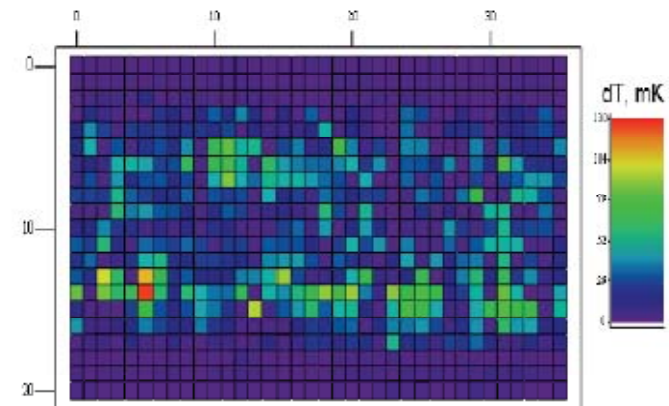
Thermometer array to detect hotspots, which ignite cavity breakdown



G. Ciovati - JLab - ODU



H. Padamsee - Cornell





# Multipactoring in SC cavity



## Theory of multipactor discharges

The multipactor resonance condition for an electron in a parallel plate geometry can be determined analytically starting with the Lorentz force and the equation of motion,

$$\frac{d\mathbf{v}}{dt} = \frac{q}{m} (\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

If  $v \ll c$  and the equation of motion in 1-D

$$\frac{dv}{dt} = \frac{eE_o}{m} \sin(\omega t)$$

then:

If the electron is born from an electrode at a time,  $t = \frac{\alpha}{\omega}$ , relative to the rf phase,  $\alpha$ , with initial velocity  $v_o$ , the time dependent, 1-D velocity and position of the electron are given by

$$v = v_o + \frac{eE_o}{m\omega} (\cos(\alpha) - \cos(\omega t))$$

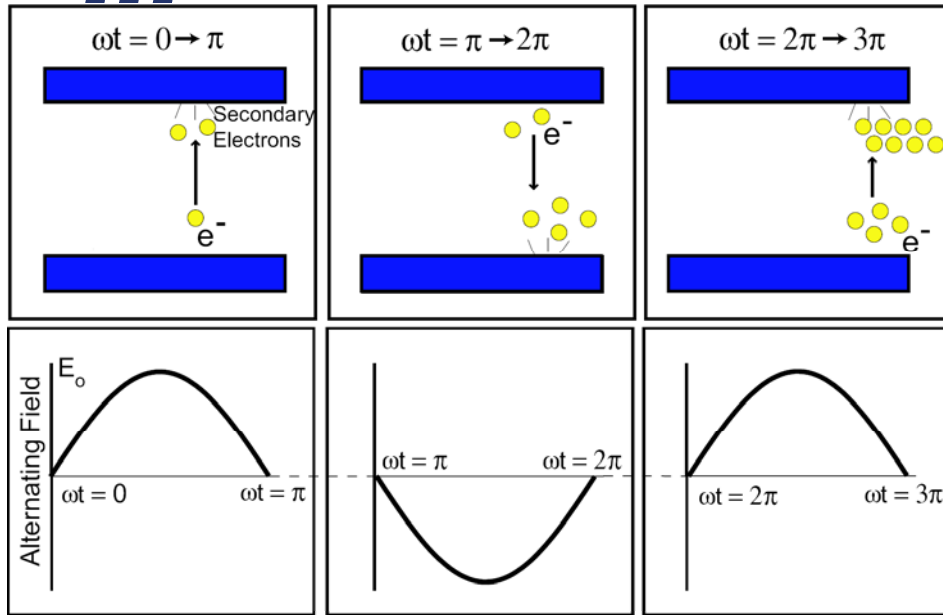
$$x = x_o + \frac{v_o}{\omega} (\omega t - \alpha) + \frac{eE_o}{m\omega^2} [\sin(\alpha) - \sin(\omega t) + \cos(\alpha)(\omega t - \alpha)]$$

In the simplest example, the multipactor resonant condition specifies the electron must traverse the electrode spacing,  $d$ , and impact the opposing surface near the time the electric field changes direction. The electric field changes direction at  $\omega t = N\pi + \alpha$ , where  $N$  is a positive odd integer. Invoking this condition of  $\omega t = N\pi + \alpha$  the multipactor condition for the voltage in a parallel plate geometry is given by

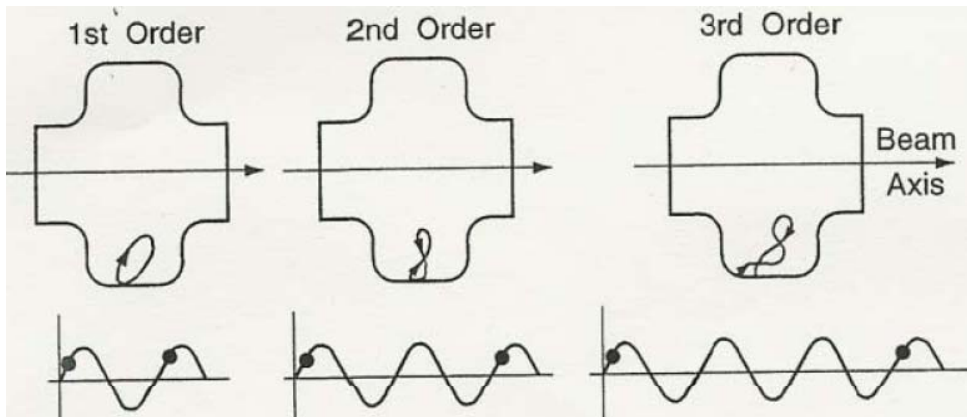
$$V_o = E_o \cdot d = \frac{m}{e} \frac{\omega d (\omega d - v_o N \pi)}{N \pi \cos \alpha + 2 \sin \alpha}$$

For simplicity, if the electron is born at the  $x=0$  electrode with  $v_o = 0$ , and  $\alpha = 0$

$$V_o = \frac{4\pi m}{e} (f \cdot d)^2$$

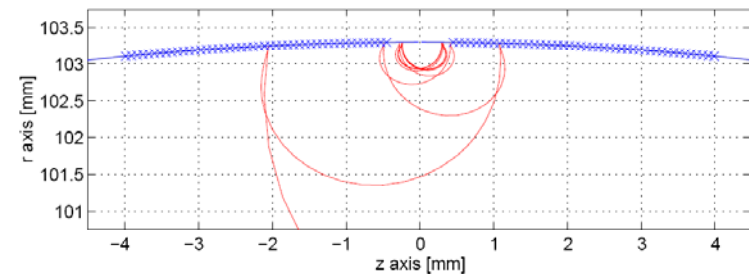
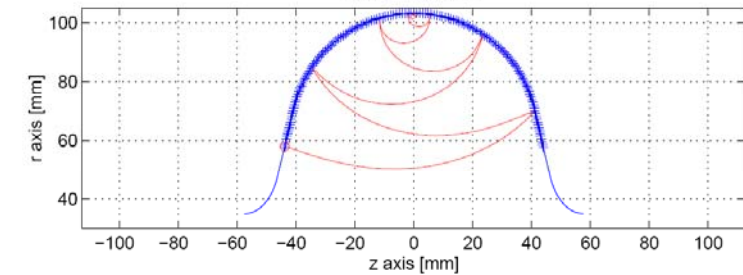
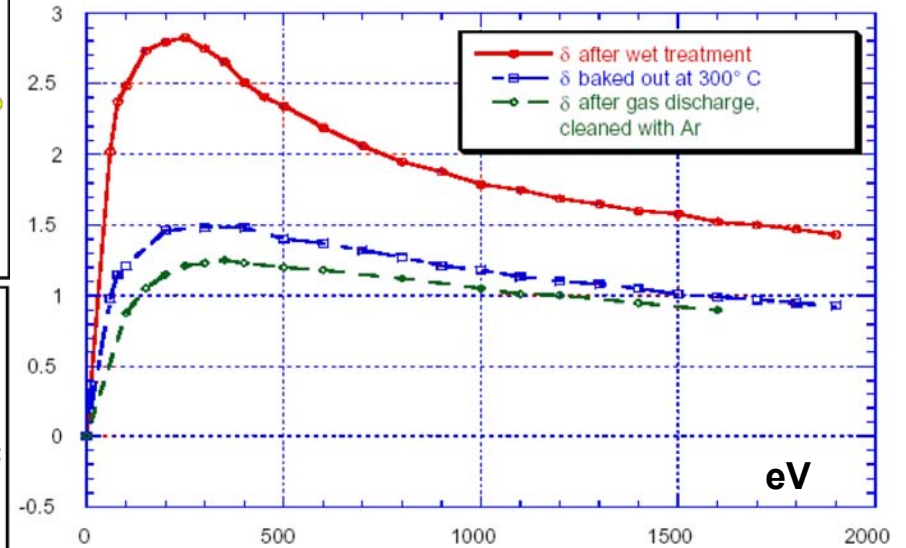


Multipactor discharge with an electric field oscillating between two metal electrodes.



Typical one-point multipactor trajectories for orders 1, 2 and 3.  
Oct. 19-29, 2008

Secondary emission coefficient for Nb



Two point MP in 1.3GHz TESLA cavity.  
2D simulations 112

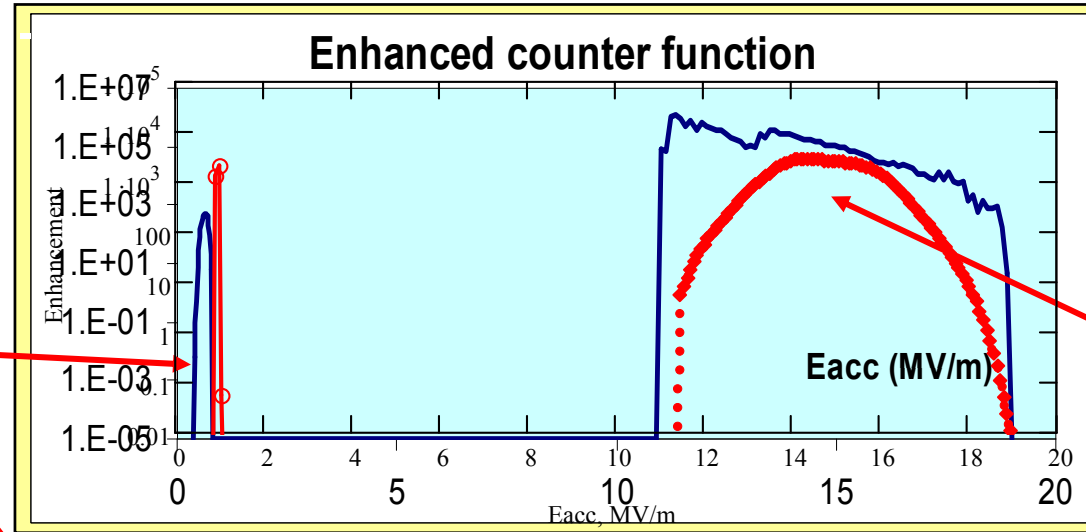
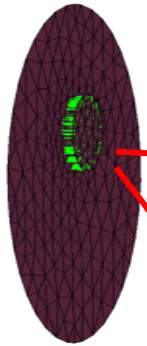


# Ex: Multipactor in HOM Couplers of 3<sup>rd</sup> harm. cavity

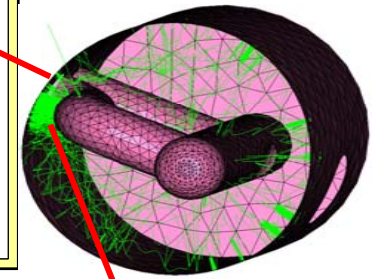
## 3D simulation

Omega 3P(Analyst)

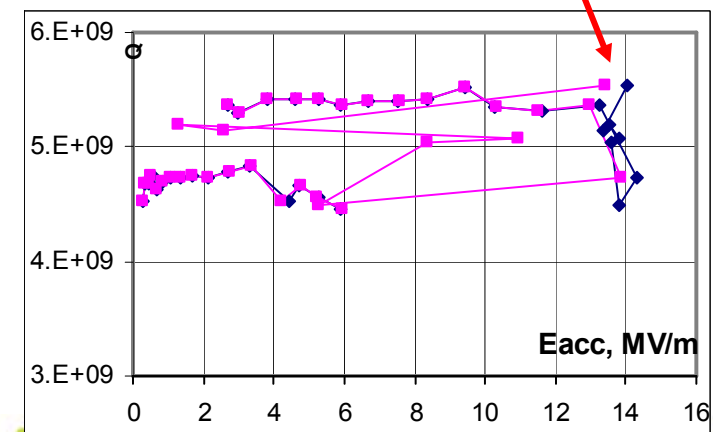
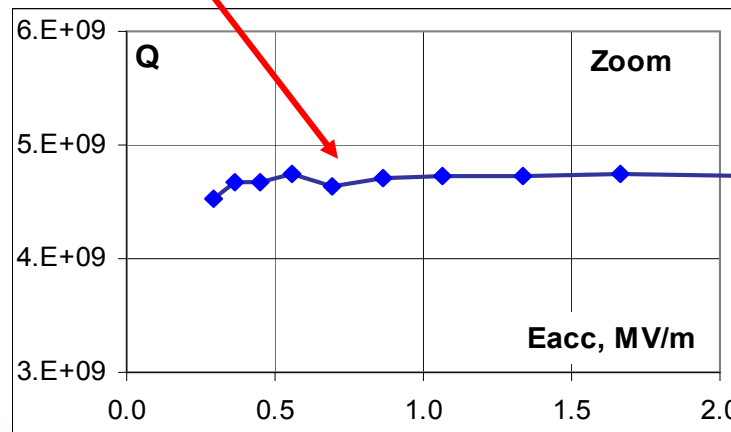
MP in notch gap  
0.6 mm



MP in 2 mm  
Leg-wall gap



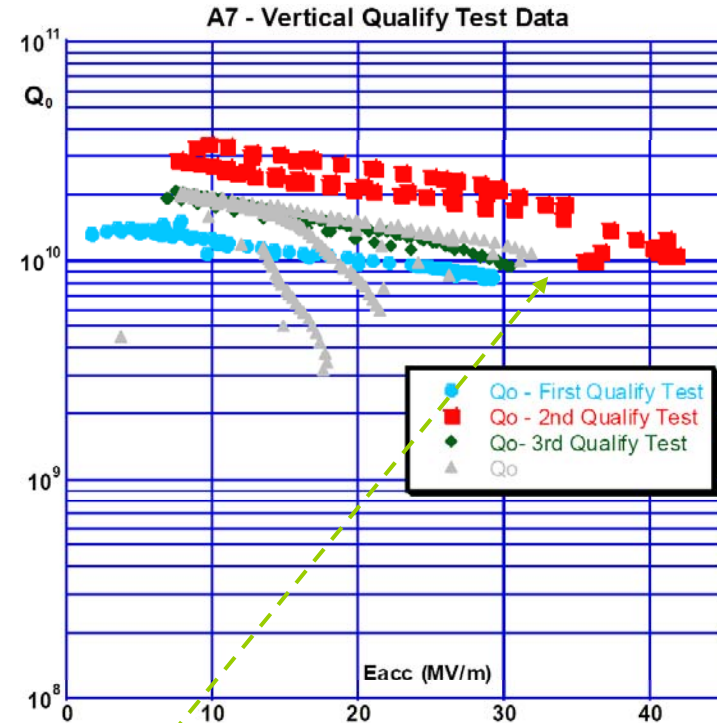
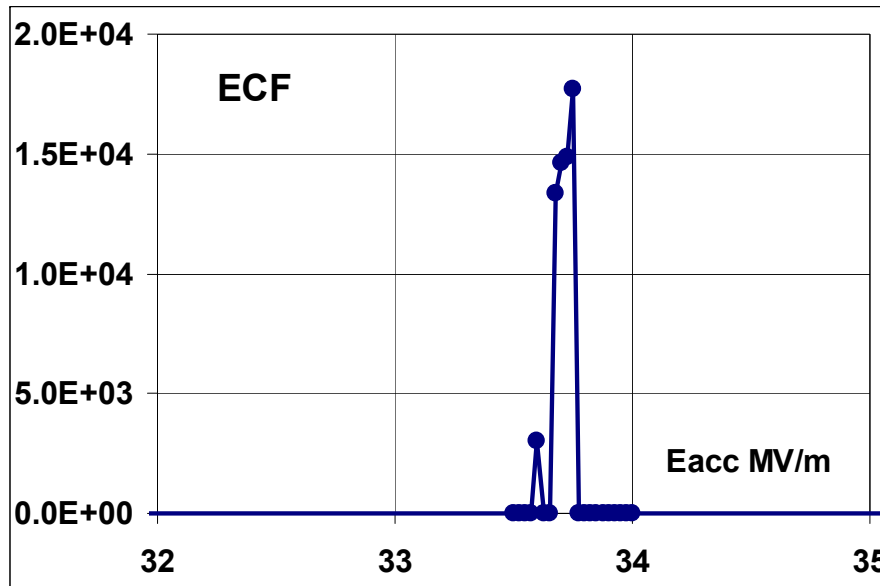
Cavity No.3. Results of vertical test: MP observed at Eacc~0.7MV/m (Q drop). Quench at Eacc~14MV/m.



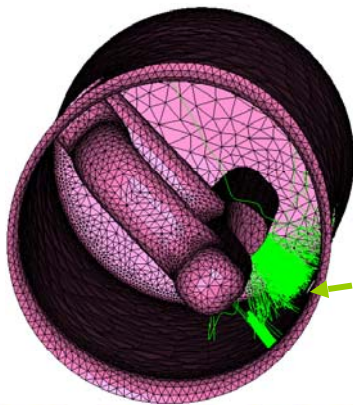




# Multipactor in Cavity HOM Couplers



ANALYST predict MP at  $E_{acc} \sim 1$  MV/m and  $E_{acc} \sim 33.7$  MV/m



MP explains Q-drop during vertical test at JLab and localize the place of MP. ILC operating gradient  $E_{acc} = 31.5$  MV/m is close to MP level.

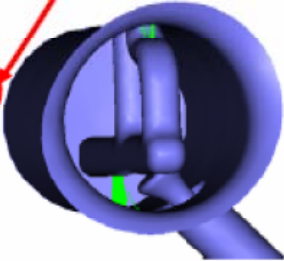
Possible HOM coupler design modification needed!

# Problems in Ichiro 9-cell cavity

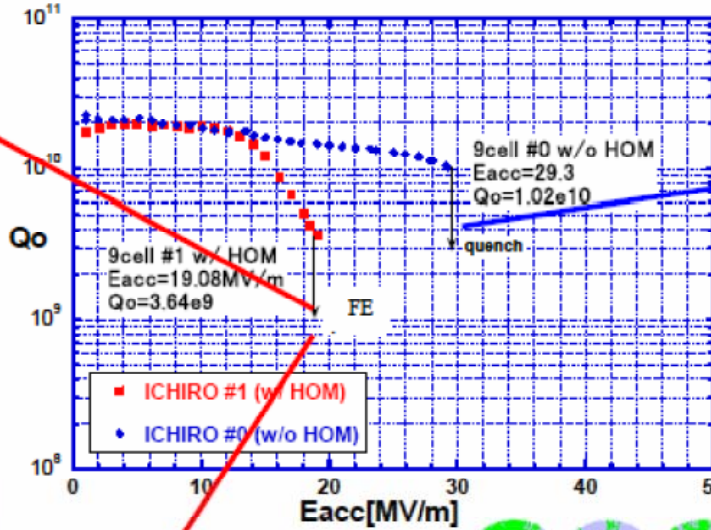
(Superstructure Version)



9-Cell-#1  
Equipped



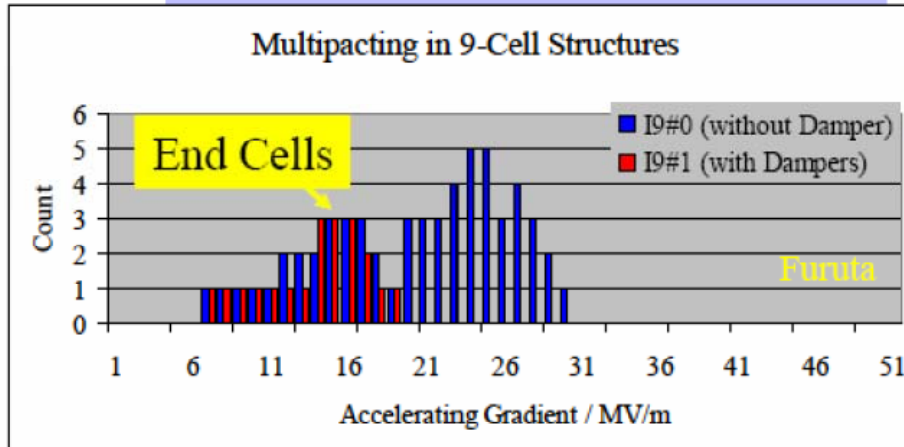
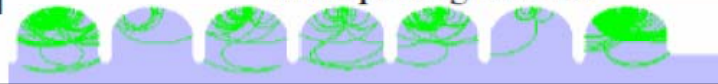
Multipacting  
in Dampers



Multipacting at  
Tapered Beam Port



Multipacting in Cells

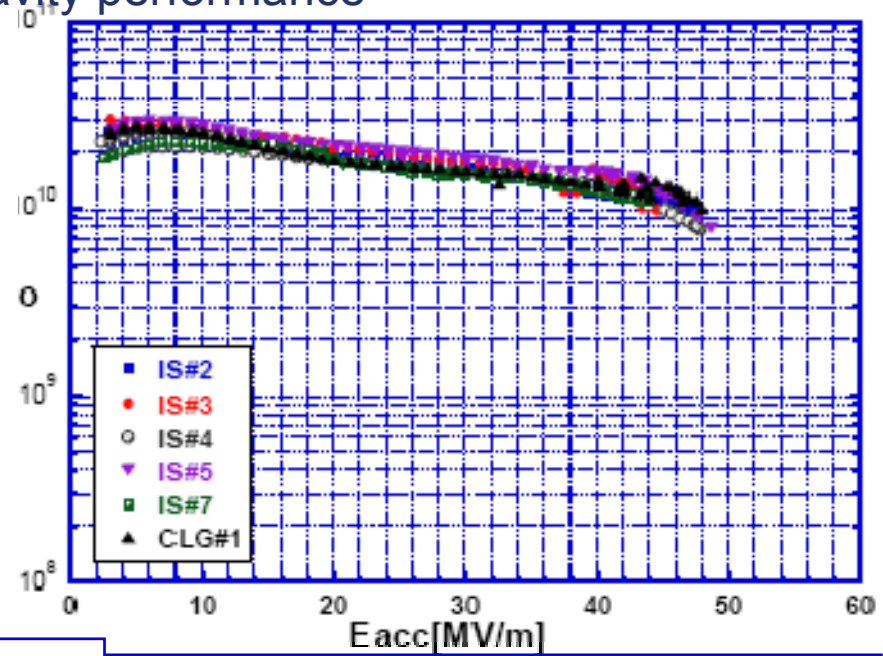
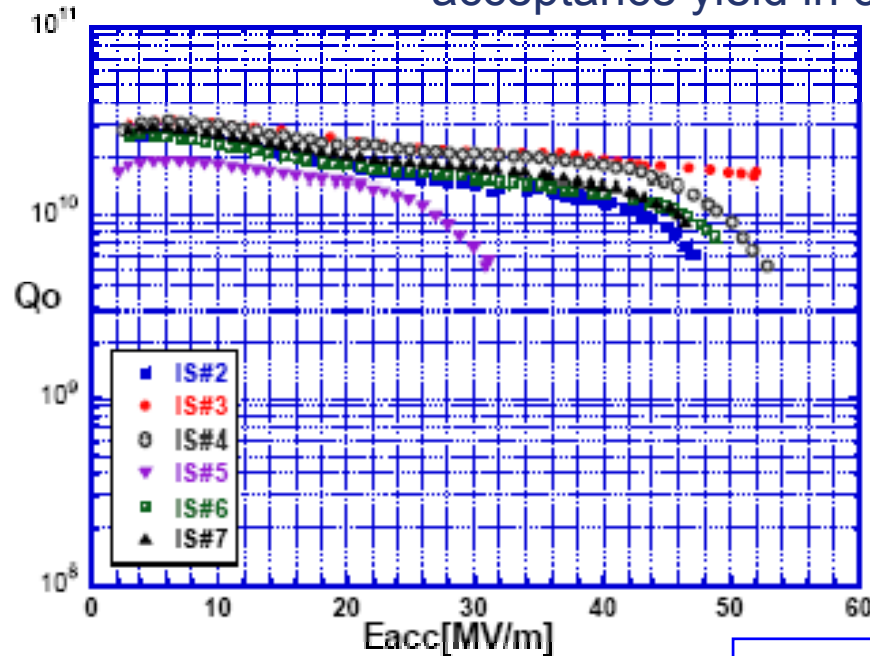


9-Cell-#0  
Plain



# S0/S1 ILC R&D programs

Goal: develop procedure which will provide >85 % acceptance yield in cavity performance



## ILC Baseline receipt

- Final EP (~20 $\mu$ m) after a heavy material removal (> 100 $\mu$ m)
- HPR (70kg/cm<sup>2</sup>, 1hr)
- Bake (1200C, 48hr).

$E_{acc} = 46.5 \pm 8.0$  MV/m (17%)

## KEK results

## EP Flush receipt

- Final EP(20 $\mu$ m) + EP(3 $\mu$ m, fresh acid)
- HPR (70kg/cm<sup>2</sup>, 1hr)
- Bake (1200C, 48hr)

$E_{acc} = 46.7 \pm 1.9$  MV/m (4%)

## New Geometry

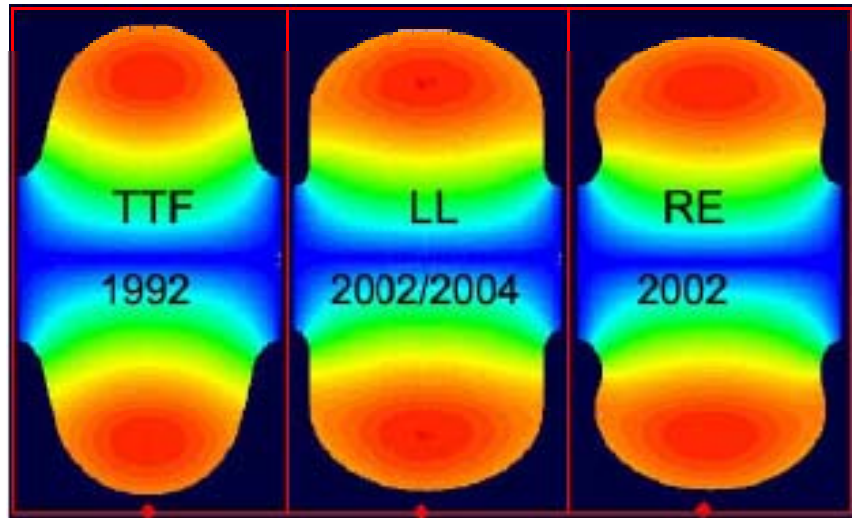


Table 1: Cavity RF parameters

	TESLA	LL	RE	IS
Diameter [mm]	70	60	60	61
$E_p/E_{acc}$	2.0	2.36	2.21	2.02
$H_p/E_{acc}$ [Oe/MV/m]	42.6	36.1	37.6	35.6
R/Q [W]	113.8	133.7	126.8	138
$\Gamma$ [W]	271	284	277	285
$E_{acc}$ max [MV/m]	41.1	48.5	46.5	49.2

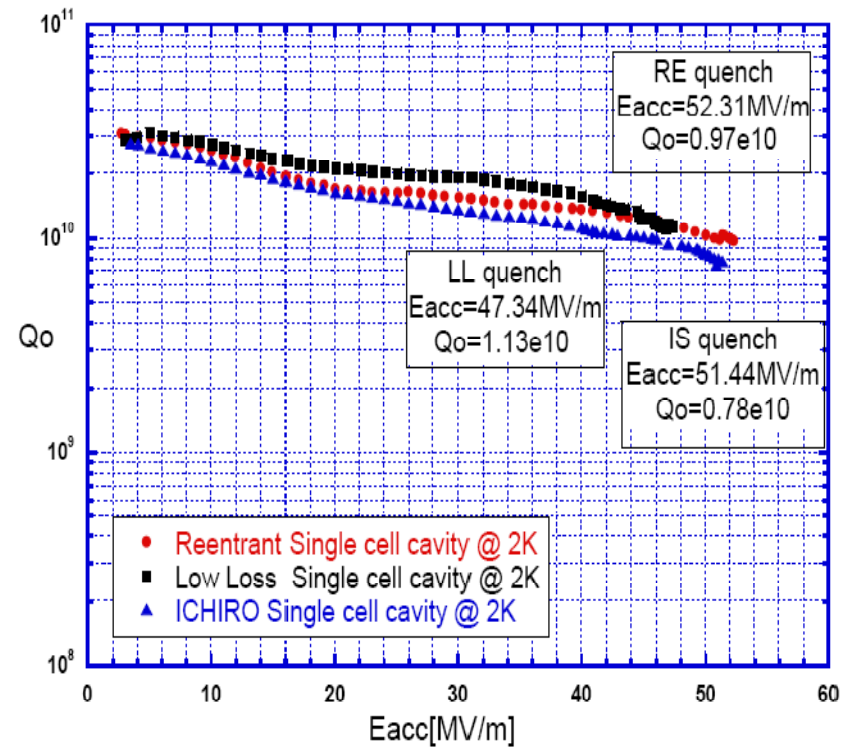


Figure 3: The results of high gradient measurements.

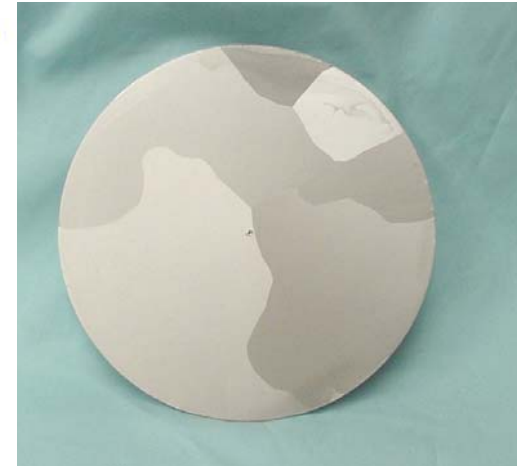
J.Sekutowicz, V.Shemelin, K.Saito



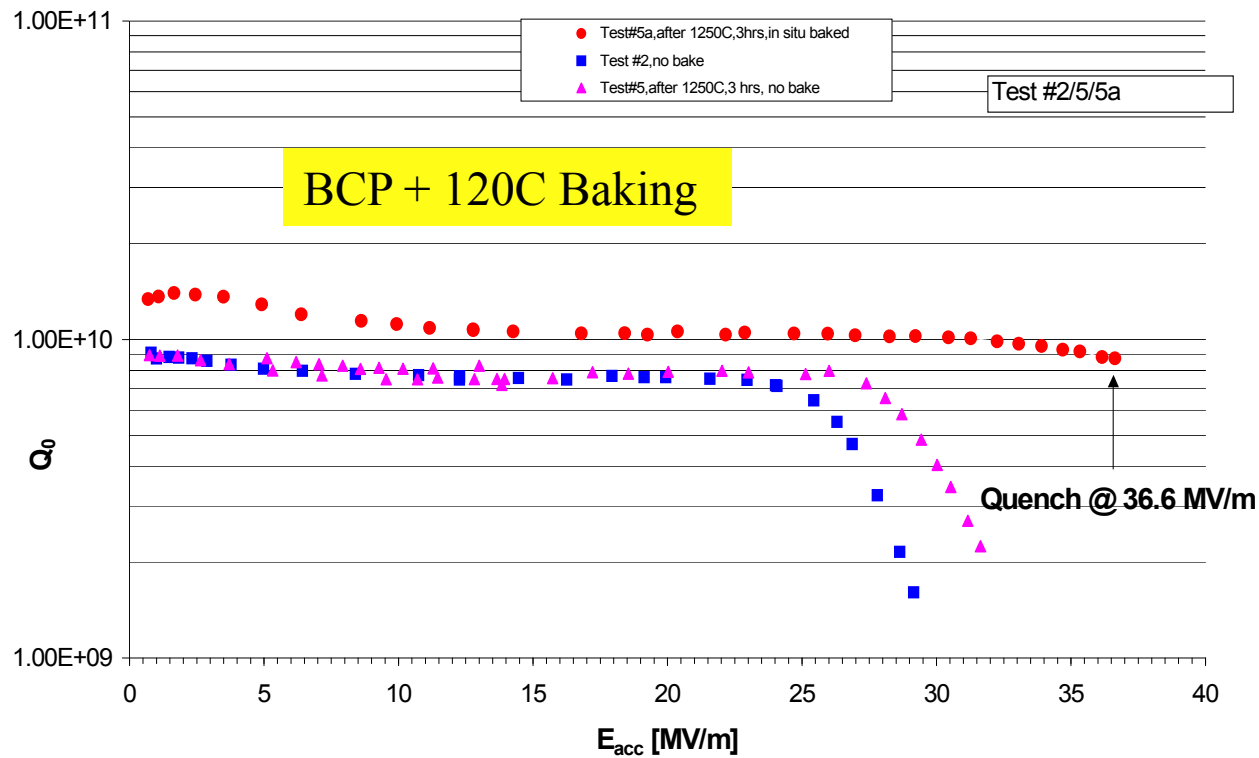


# Single-crystal or large grain cavity

Studies also underway using single or large grain Nb – could eliminate need for Electro-Polishing (EP)

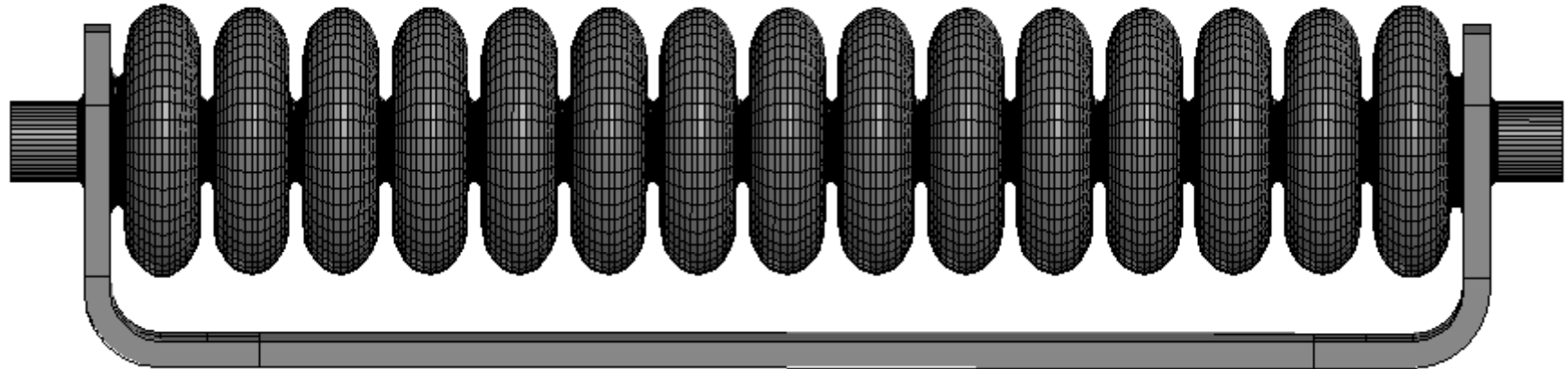


CEBAF Single cell Chinese Large Grain  
 $Q_0$  vs.  $E_{acc}$





# Traveling Wave Structure (TWS)

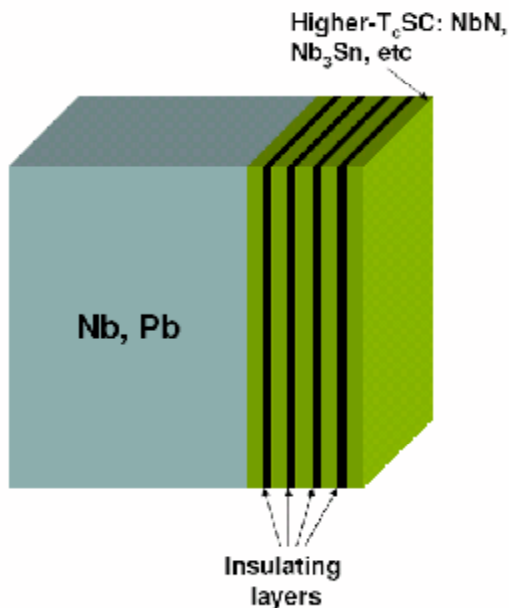
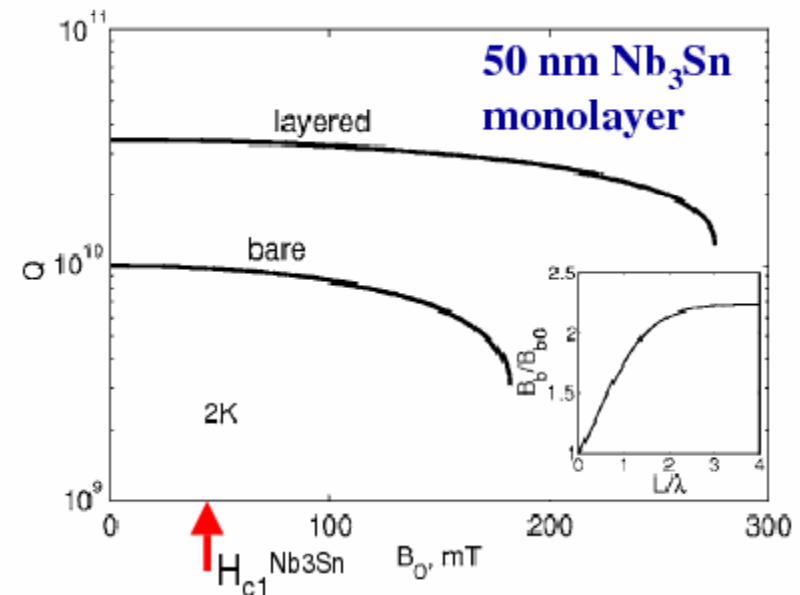
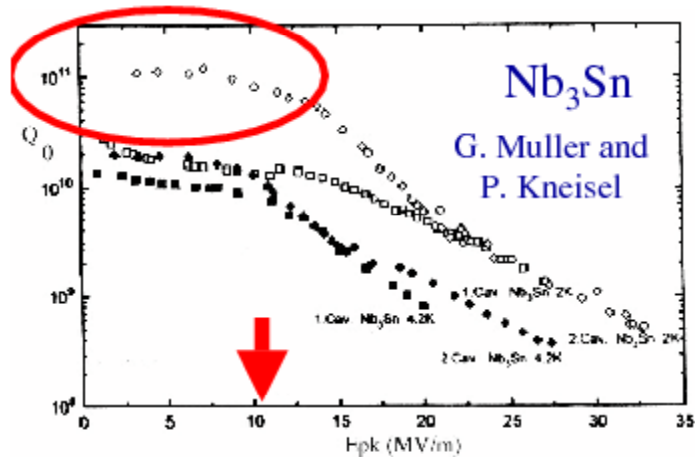


~ 25% (max 42%) higher accelerating gradient (vs. TESLA cavity) – SBIR project phase II

- Shorter cells (105 deg phase advance) to improve transit-time factor
- No limitation (up to 10m) in cavity length
- One, two or more input couplers
- Need tuning to cancel reflected wave

*A.Kanareykin, S.Kazakov, N.Solyak, V.Yakovlev, P.Avrakhov*

# Beyond the Nb technology

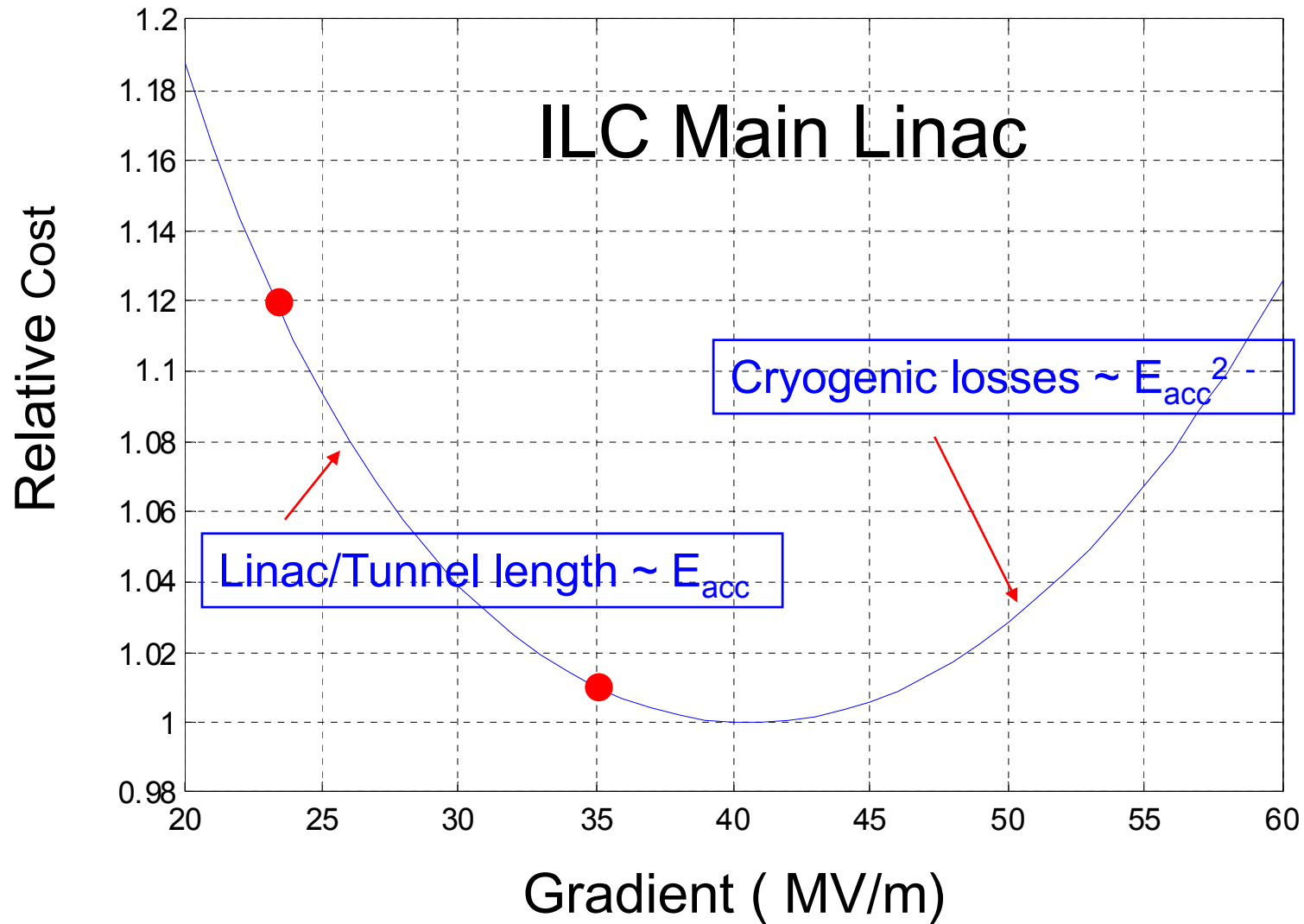


A. Gurevich, Appl. Phys. Lett. 88, 012511 (2006)

- Thin high- $H_c$  layers ( $d < \lambda$ ) separated by insulating layers increase  $H_{c1}$  well above the bulk  $H_{c1}$ .
- Nb<sub>3</sub>Sn thin film coating may triple the breakdown field of Nb and increase  $Q \sim \exp(\Delta/k_B T)$ , by 3-10 times because  $\Delta_{\text{Nb3Sn}} \approx 1.8\Delta_{\text{Nb}}$



# Project Cost -vs- Linac Gradient





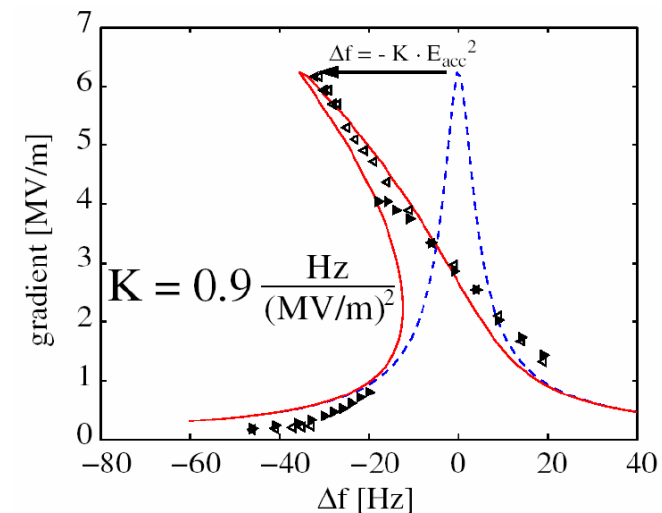
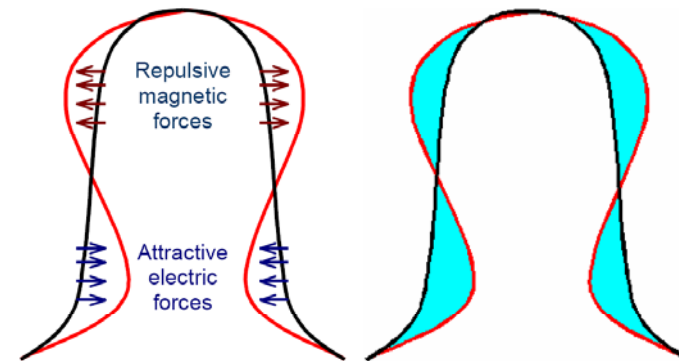
# Resonance Control in SCRF Cavities

## Lorentz Force Detuning, Microphonics, Fast and Slow Tuners

- ❖ Introduction and design parameters
- ❖ Designs of the slow tuners
  - **Saclay I and Saclay II tuners**
  - **Blade-tuner**
- ❖ Fast Tuners
  - **Piezo-tuner**
  - **Magneto-strictive tuner**
  - **SC electro-magnetic tuner**

# Lorentz Force Detuning

- Electromagnetic fields in the cavity cause the cavity wall to distort
- Distortion changes the resonance frequency of the cavity
- Effect proportional to the square of the field strength



CW-mode operation



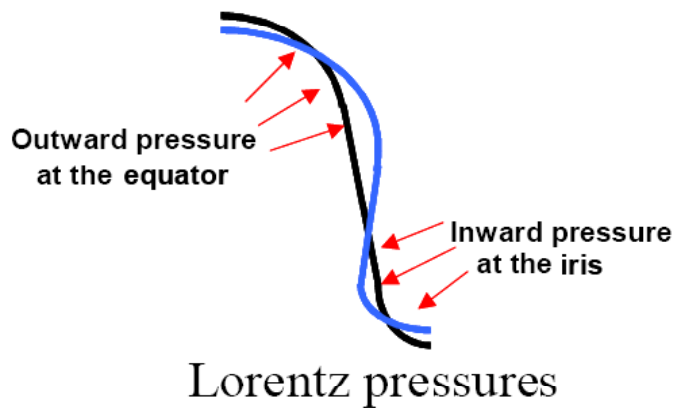
## 1. Static Analysis



RF power produces pressures that act on the cavity wall

$$P = \frac{1}{4} (\mu_0 H^2 - \epsilon_0 E^2)$$

The pressure deform the cavity wall, tending to act outward near the equator and inward near the iris. The cavity cell deformations produce a frequency shift.



$$\frac{\Delta f}{f_0} = \frac{1}{4W} \int_S (\epsilon_0 E^2 - \mu_0 H^2) \cdot d\vec{S} \Delta \vec{l}$$

Finally:  $\Delta f = -K_L \cdot E_{acc}^2$

$K_L = 1.53 \text{ (Hz/(MV/m)}^2)$ : ILC cavity without stiffening

$K_L = 0.9 \text{ (Hz/(MV/m)}^2)$ : with stiffening rings



## Equilibrium equations for cell deformation

To get the deformation of cavity wall due to Lorentz forces, ANSYS solves the equilibrium equation with pressure as a boundary conditions and calculates the strain and stress tensors:

$$(1 - 2\sigma)\Delta\vec{u} + \text{grad}(\text{div}\vec{u}) = 0$$

$$\sigma_{ik} = \frac{E}{1 + \sigma} \left( u_{ik} + \frac{\sigma}{1 - 2\sigma} u_{ll} \delta_{ik} \right)$$

$$u_{ik} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_k} + \frac{\partial u_k}{\partial x_i} \right)$$

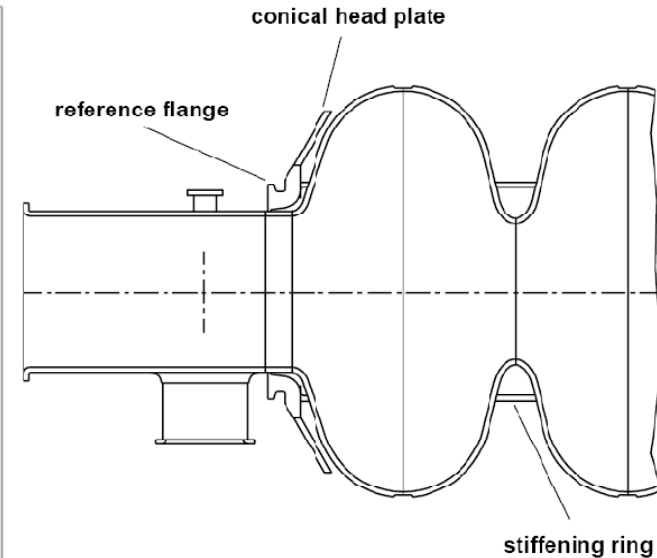
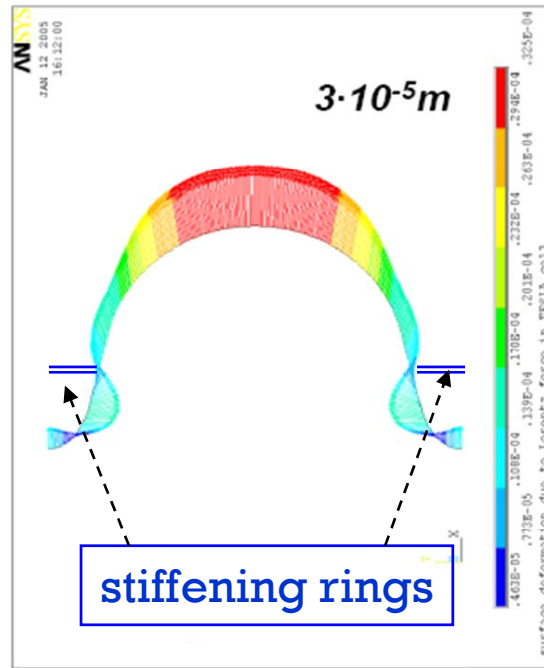
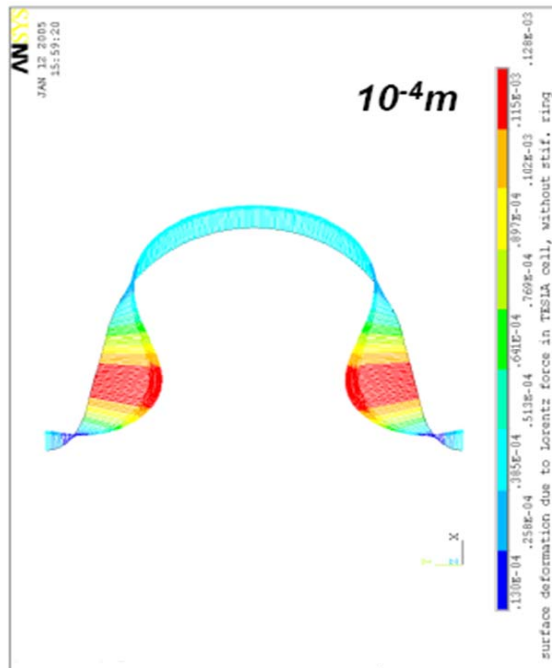
Where :

$\sigma$  – Poison ratio (for Nb  $\sigma=0.38$ ),  $E$  – Young modulus (for Nb  $E=1.05\text{GPa}$ )

$u$  – displacement vector

$u_{ik}$  and  $\sigma_{ik}$  are strain and stress tensors

# Static Simulations for mid-cell



Stiffening Rings in cavity and end-group of the TESLA cavity

Results of ANSYS simulations of TESLA single cell deformation due to Lorentz forces without (left) and with the stiffening ring (right). **Both Ends are Fixed**

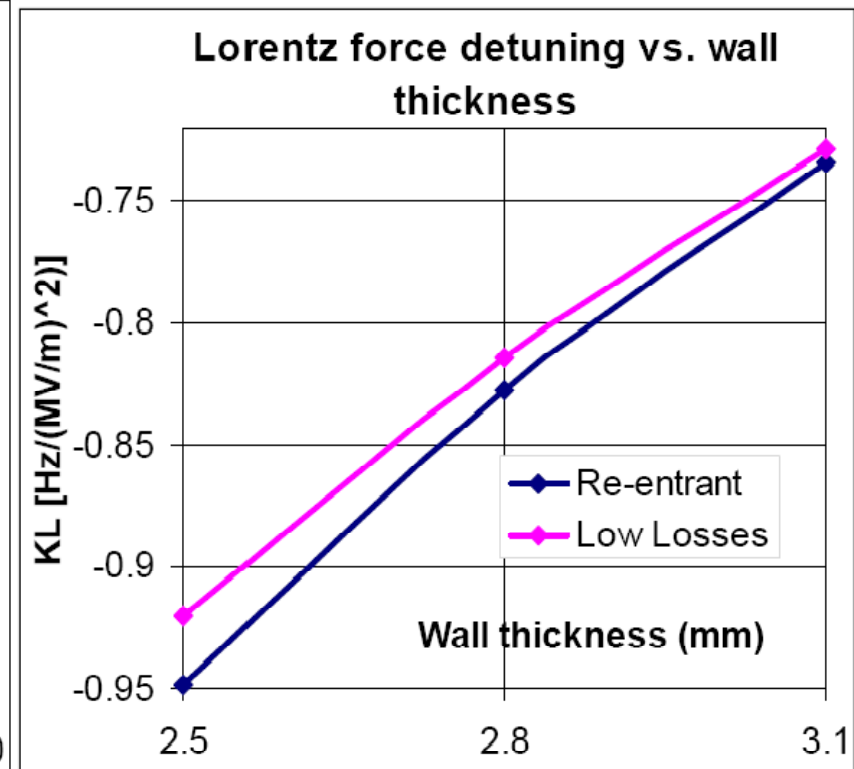
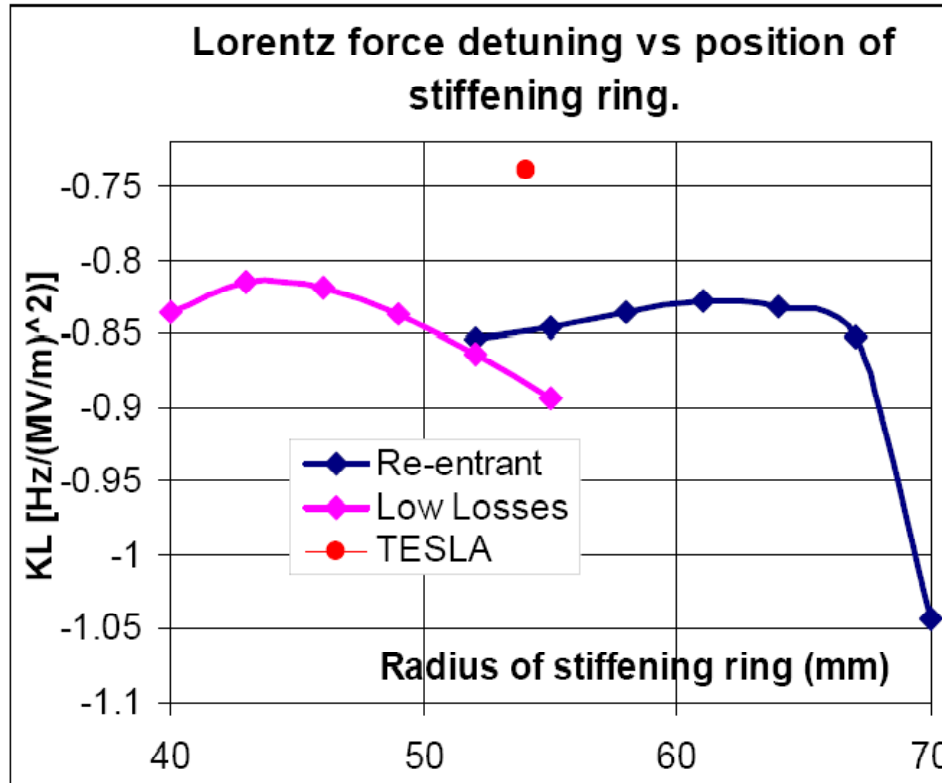
$$K_L = 1.26 \text{ Hz}/(Mv/m)^2 \quad - \text{ without stiffening ring}$$

$$K_L = 0.74 \text{ Hz}/(Mv/m)^2 \quad - \text{ with stiffening ring}$$

For **free** boundary conditions at the ends, the detuning coefficient is one order higher



## Static Lorentz Force Detuning (2)



TESLA(wall=2.8mm)  $\Delta F(\text{wo/w ring}) = -801/-463$  Hz for 25 MV/m

Low Losses(2.8mm)  $\Delta F(\text{wo/w ring}) = -871/-509$  Hz for 25 MV/m

Re-entrant(2.8mm)  $\Delta F(\text{wo/w ring}) = -860/-517$  Hz for 25 MV/m



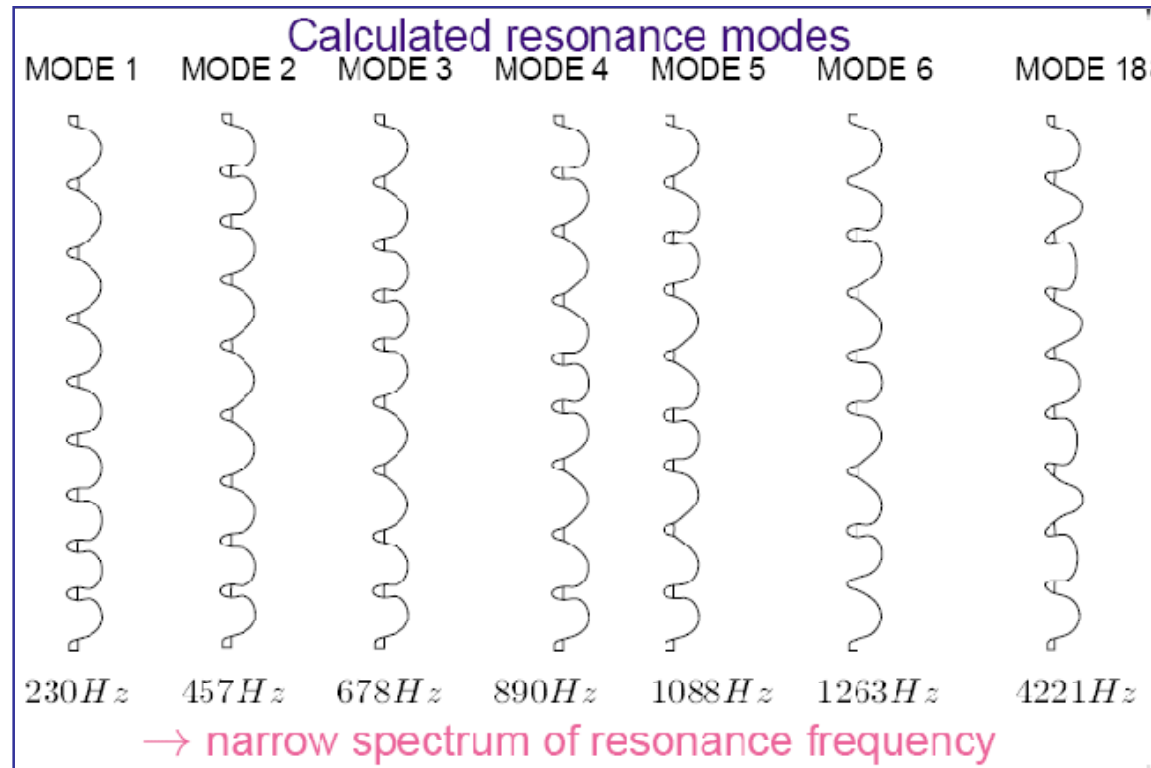
# Resonances in ILC cavity

Dynamic model include the possible resonant behavior of cavity detuning  $\Delta f$ .  
Corresponding to each mechanical eigenmode with frequency  $\omega_m$  and quality factor  $Q_m$  we use the equation

$$\frac{d^2 \Delta f_m(t)}{dt^2} + \frac{\omega_m}{Q_m} \frac{d \Delta f_m(t)}{dt} + \omega_m^2 \cdot \Delta f_m(t) = -k \cdot \omega_m^2 \cdot E_{acc}^2(t)$$

To describe the contribution  $\Delta f_m$  of  $m^{th}$  mode to the cavity detuning. In the equation,  $k_m$  is the dynamic Lorentz coefficient of  $m^{th}$  mode. The total cavity detuning is

$$\Delta f(t) = \sum_{m=1}^N \Delta f_m(t)$$

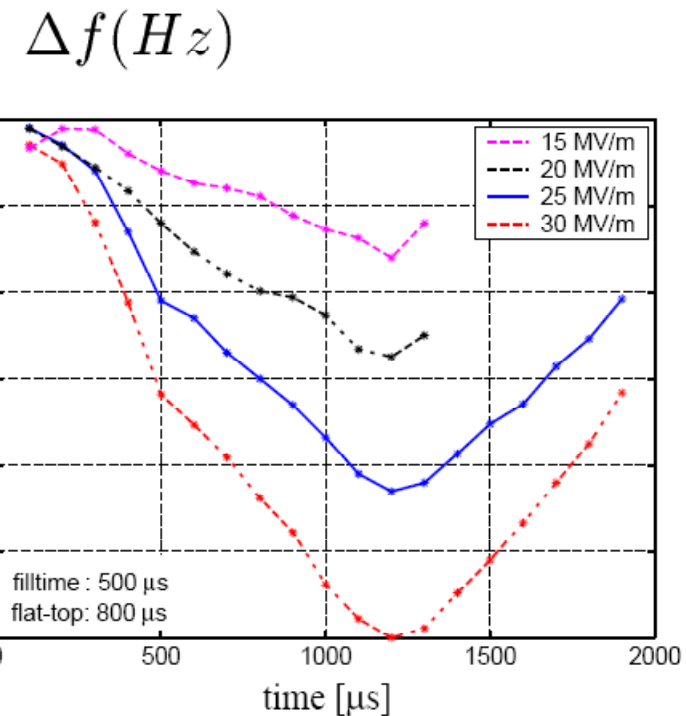
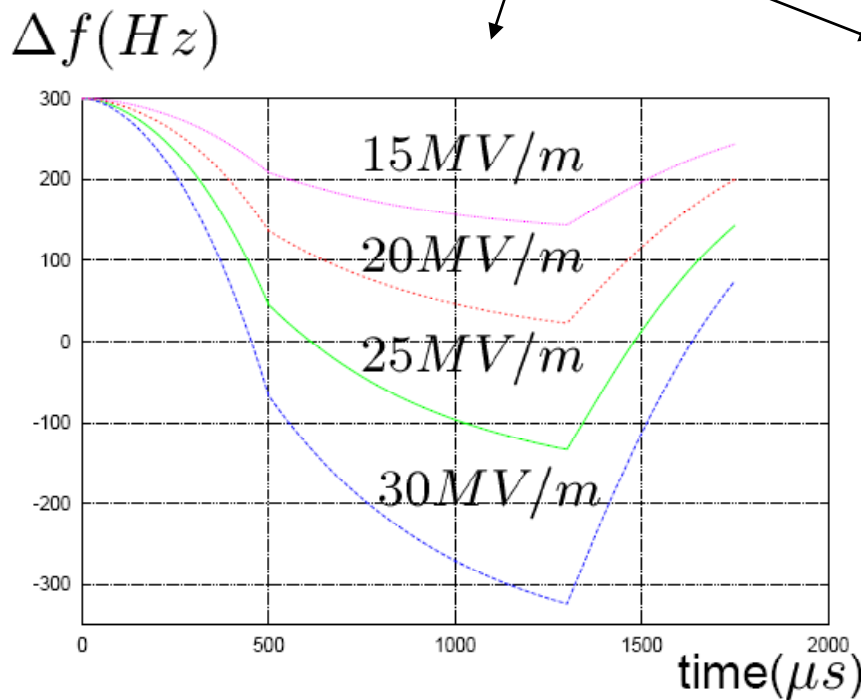
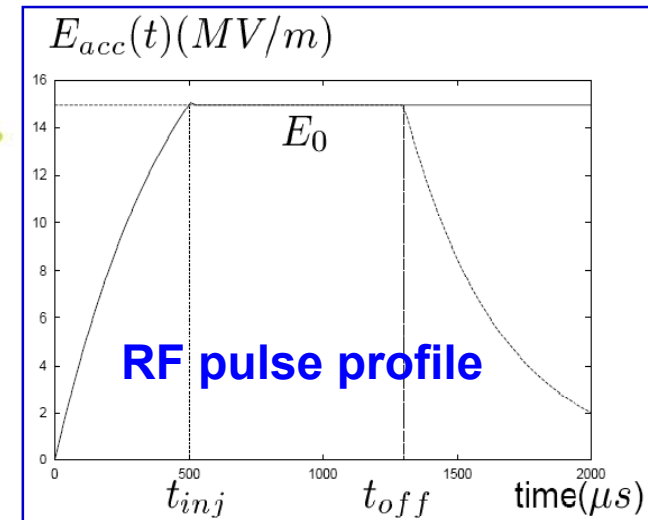






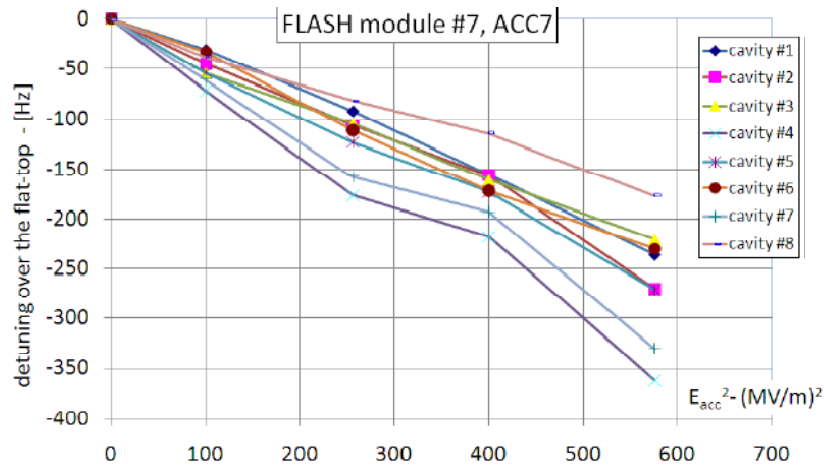
# Results

Simulations (left) vs. measurements (right) of frequency detuning for TESLA cavity

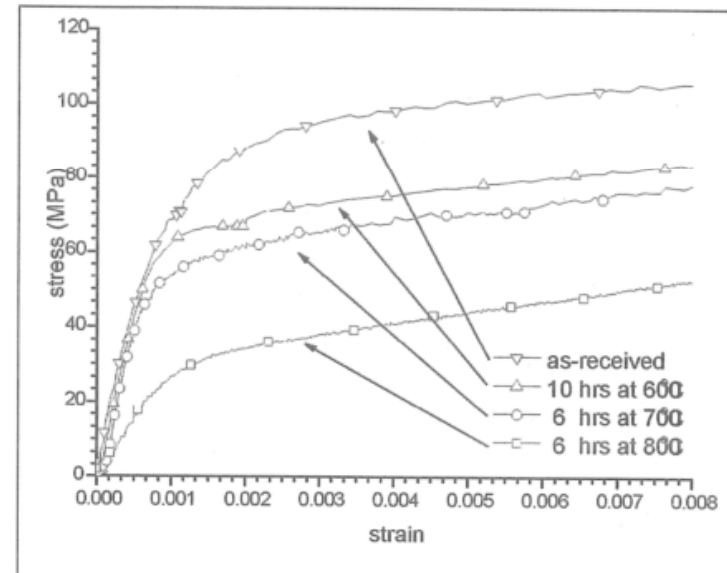




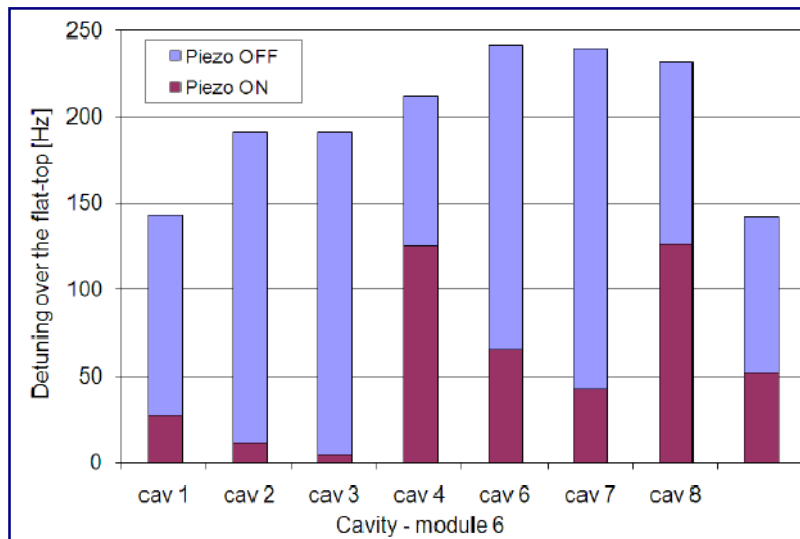
# Scattering of Lorentz detuning in cavities



LFD showed during the flat-top for ACC7 cavities, plotted vs. the square of accelerating field



Stress-strain plots of the high RRR Nb subjected to different heat treatment, the 800°C annealed sample shows a decrease in Young's modulus



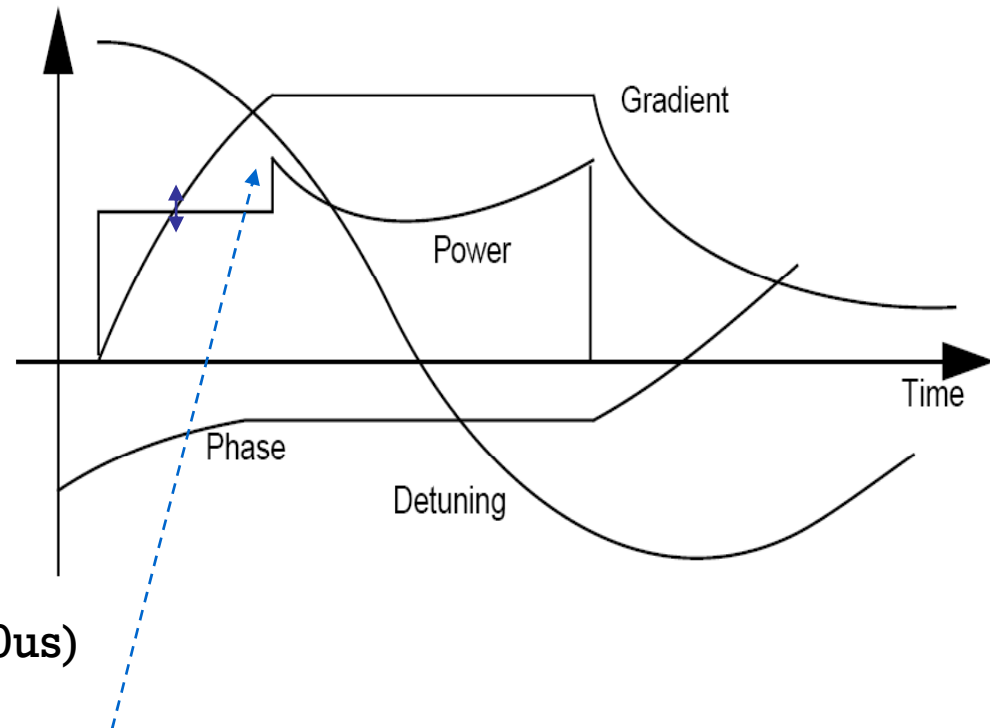
Detuning with and without proper piezo pulse for each cavity in ACC6

$$\frac{\Delta P}{P_0} = 0.25 \left( \frac{\Delta f_{FT}}{f_{1/2}} \right)^2$$

$$\Delta f_{FT} = -K_{FT} \cdot E_{acc}^2$$

$$\Rightarrow \frac{\Delta P}{P_0} = \frac{1}{4} \left[ \frac{K}{f_{1/2}} \right]^2 E_{acc}^4$$

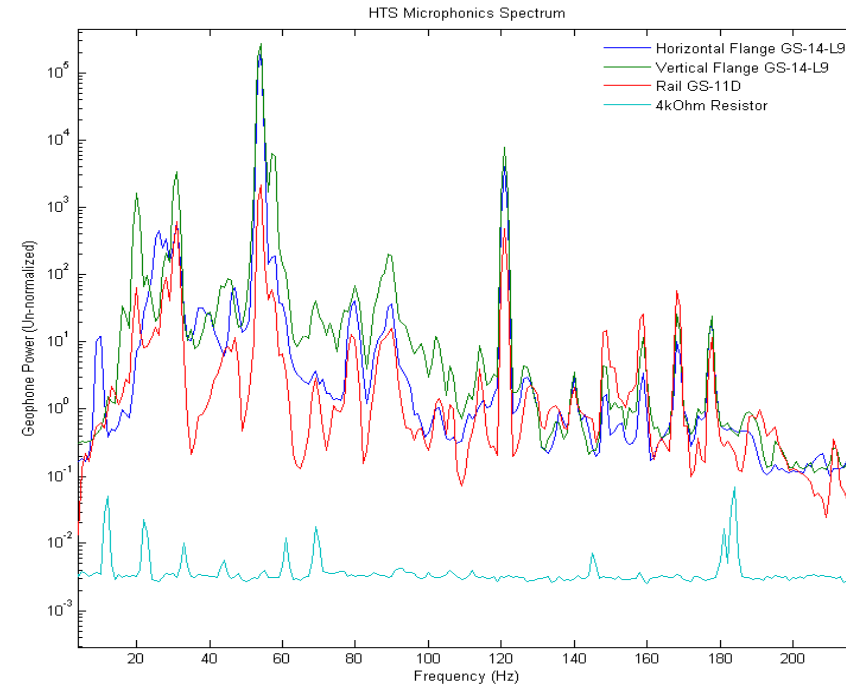
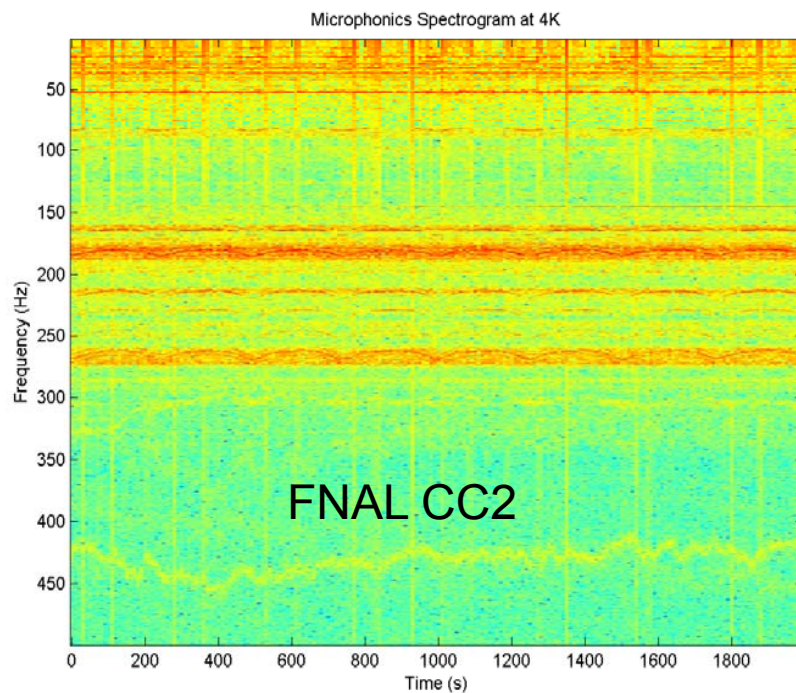
$$-K_{FT} \approx 0.5 \text{ (for FlatTop} \sim 800\mu\text{s)}$$



- Peak power increases with the **fourth** power of accelerating gradient:  
Example: for  $E_{acc}=35\text{MV/m}$  up to 100-150% extra RF power:
  - Over-sized Klystron
  - More difficult control of RF (LLRF)

# Vibrations ( Microphonics )

- Noise sources (.e.g. pumps, cryo-system; seismic waves, etc. ) excite cavity vibrations



**Important for CW operation (ERL)**

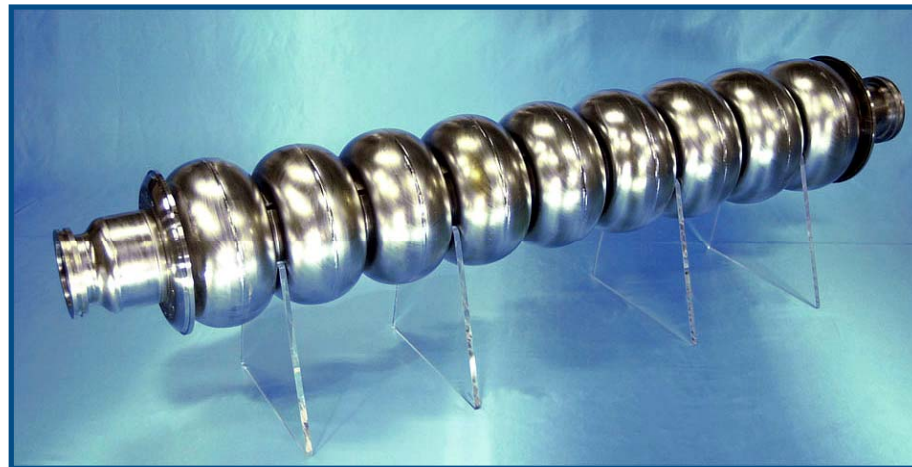
Beam loading small

- High loaded Q, small cavity bandwidth
- *Any small shift in the cavity frequency requires significant increase in power to maintain  $E=const$ , produces phase errors that affect the beam*
- *Understand expected microphonics level*
- *Choice of cavity-tuner system to be able to compensate for the microphonics*



# Resonance Control in SCRF Cavities

- SCRF cavities are designed with thin walls to maximize heat transfer to liquid He bath
- The thin walls lack stiffness making the cavities susceptible to mechanical oscillations
- Longitudinal oscillations can change the resonance frequency of the cavity
- Oscillations can be excited
  - Deterministically (Lorentz Force)
  - Non-Deterministically (Microphonics)







# Frequency Tuners

- **Slow Tuner**

- Stepper motor changes length of the cavity to bring it to the desired resonance frequency)

- **Compensates**

- Static Detuning Forces

- **Techniques:**

- Saclay tuners
    - Blade-Tuner

- **Fast Tuner**

- **Compensates**

- Lorentz Force Detuning & Microphonics

- **Techniques:**

- Piezoelectric actuators
    - Magnitostriuctive actuators
    - Electromagnetic (superconductive)



## Piezoelectric Fast Tuner

The current tuner design has been developed with the insertion of two fast piezoelectric actuators. Required preloading – 20-50% of blocking force

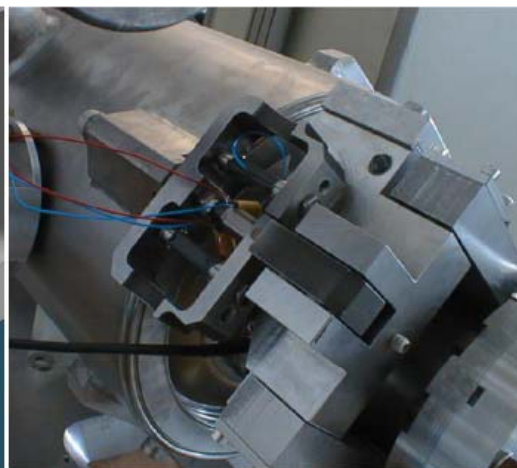
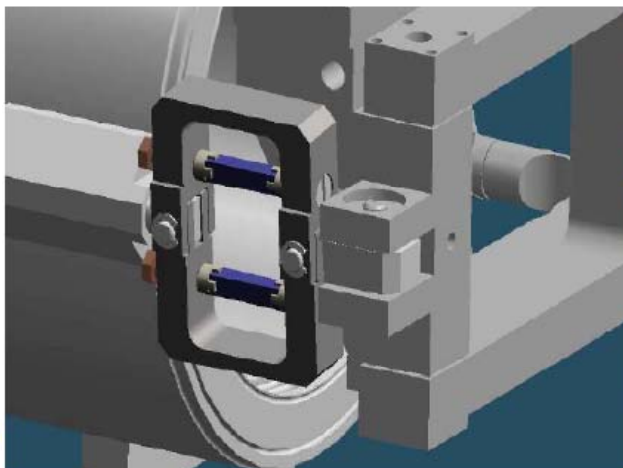


Examples of piezo actuators:

Low voltage piezo stack with ceramic coating (left)

Packaged version with integrated mechanical preloading (right)

PROPERTIES	PI P-888.90	Unit
Material	PZT-PIC 255	
Case/preload	No	
Length	36	mm
Cross section	100	mm <sup>2</sup>
Young modulus	48,3	kN/mm <sup>2</sup>
Stiffness	0,105	kN/um
Max. stroke	35	µm
Blocking force	3600	N
Res. frequency @ no load	40	kHz
Density	$7,8 \times 10^3$	kg/m <sup>3</sup>
Min. voltage	-20	V
Max. voltage	120	V
Capacity - nominal	12,4	µF
Capacity - measured	13,6	µF
Loss Factor	0,015	Tanδ



The piezo frame with 2 actuators installed in the TTF tuner CAD model (left) and first prototype (right)

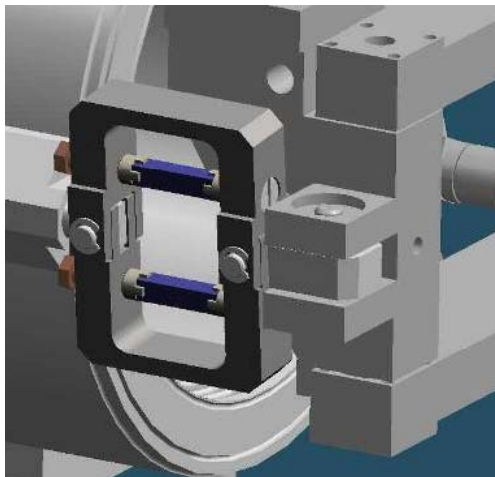
Response time < 1ms

ILC 9-cell cavity = 300 Hz/um

## PIEZOELECTRIC ACTUATORS



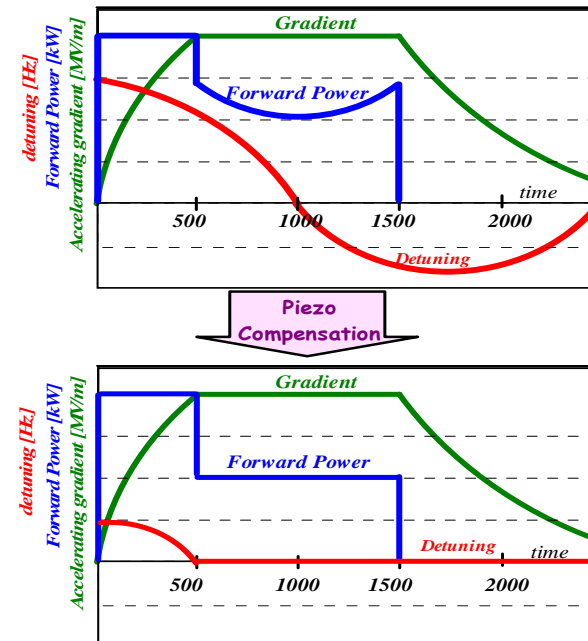
- Commercially available from multiple sources
- Typically used at room temperature (stroke  $\sim 30\mu\text{m}$  for 40mm long Piezostack at RT)
- Work at cryogenic temperatures with reduced stroke (6-10% of RT stroke  $\sim 4\text{-}5\text{mm}$  at 4K)
- Deliver high forces  $\sim 5000\text{N}$  for  $10 \times 10\text{mm}^2$  cross-section
- Actuator of main choice at many labs for detuning compensation studies



Double Piezo (DESY)

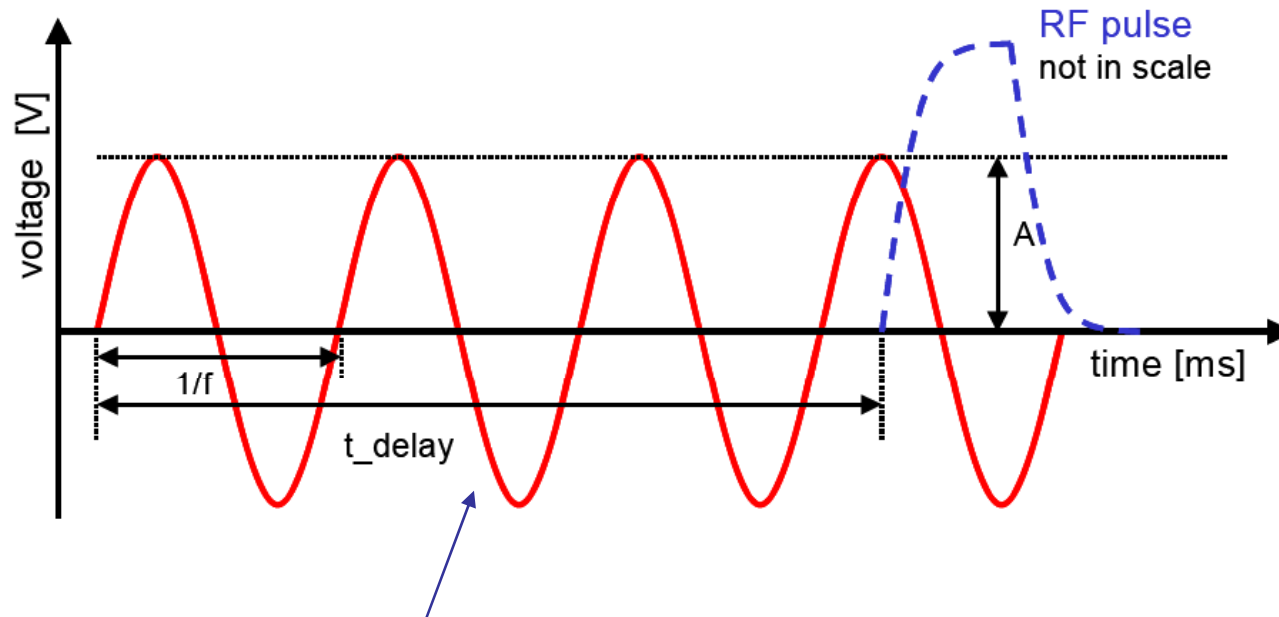


Single Piezo (FNAL)



# Detuning Compensation

## Resonant Excitation Method



Excitation electrical pulse on the piezoactuator  
(control of in-advance timing and amplitude)

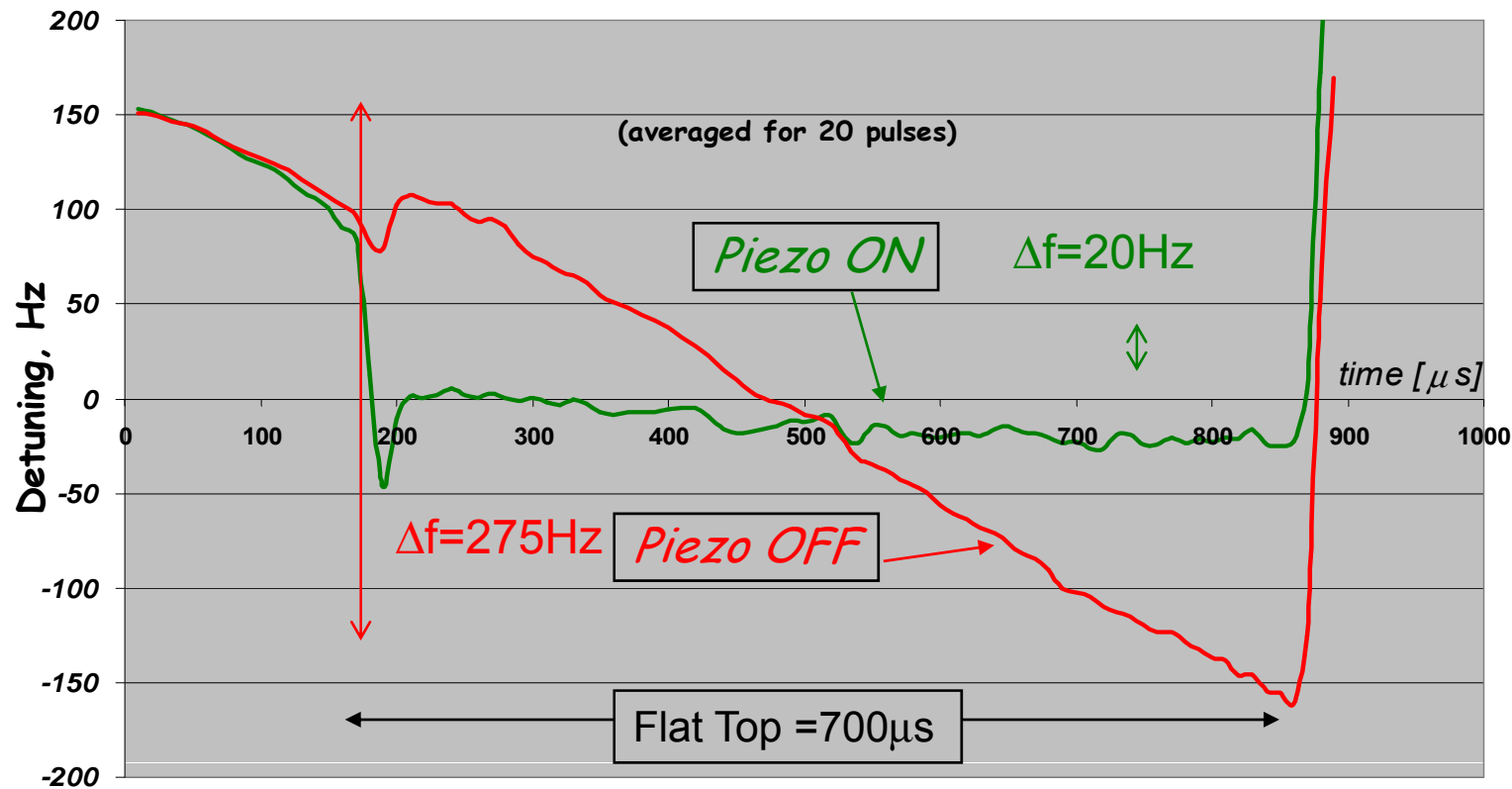
**Advantage: small piezo stroke amplified by  
mechanical resonance**



# How it's work ?

TESLA Capture Cavity:  $E_{acc}=26.2$  MV/m  
( Pre-detuning = 230Hz )

## Frequency Detuning Monitor - Phase Detector

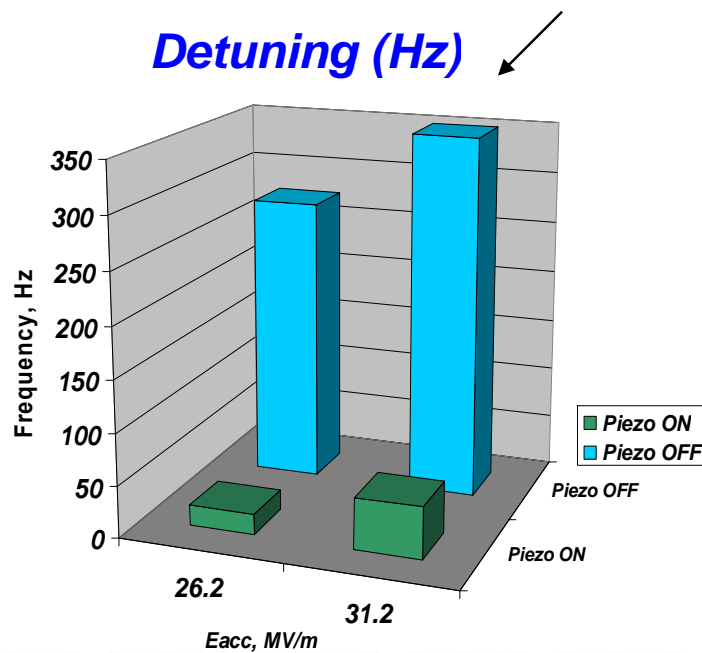




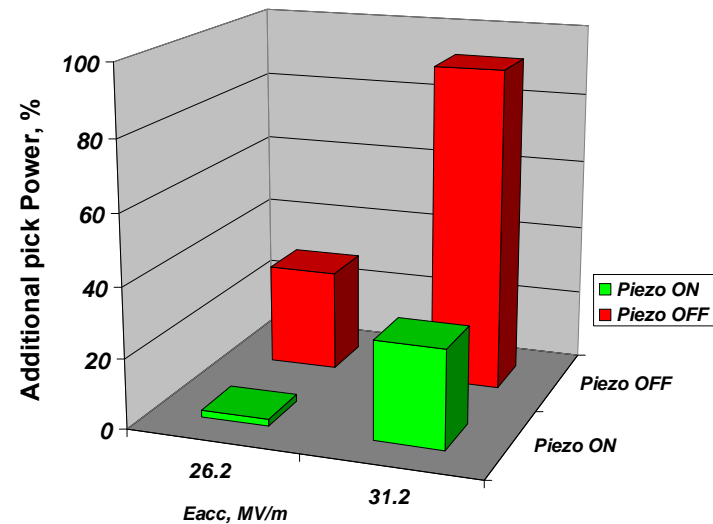


# Summary of Lorentz Force Detuning Compensation at FNAL (CC2)

	<i>Detuning (Hz)</i>		<i>Additional Forward Power at FT (pick)</i>		<i>Piezo Voltage, [V] (max=120V)</i>
	<i>Piezo OFF</i>	<i>Piezo ON</i>	<i>Piezo OFF</i>	<i>Piezo ON</i>	
26.2 MV/m	275	20	29%	2%	20V
31.2 MV/m	350	50	92%	28%	40V



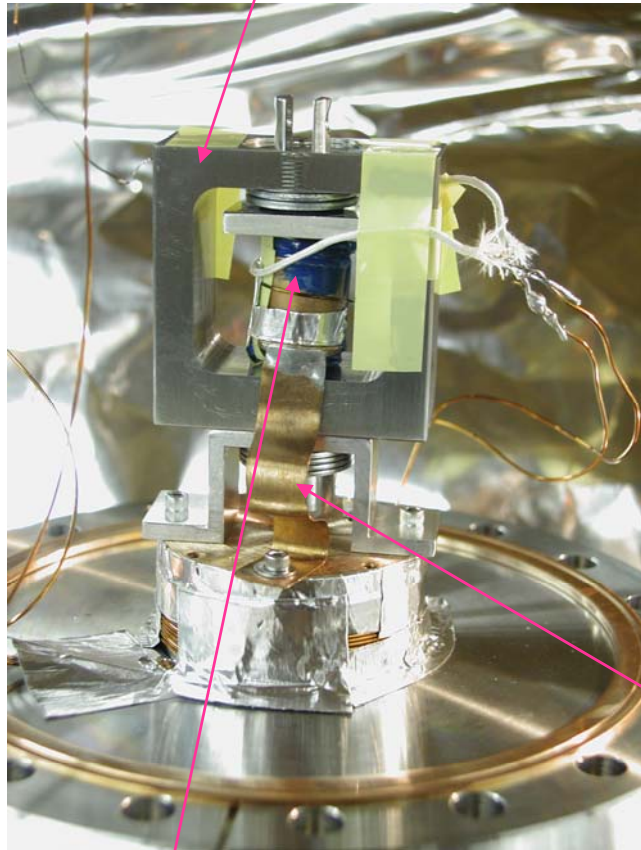
*Additional Forward Power at FT (pick)* ↘





# Fast Tuner Technologies

Actuator body



## MAGNETOSTRICTIVE ACTUATORS

**Special Ceramic Core increase size in the presence of strong Magnetic Field (SCRF cavity working at 2-4K... magnetic field generated by SC coil**

- *Being introduced as an alternative to piezoelectric actuators for SCRF fast tuning*
- *Advantage: could maintain large stroke at cryogenic temperatures*

Thermal strap

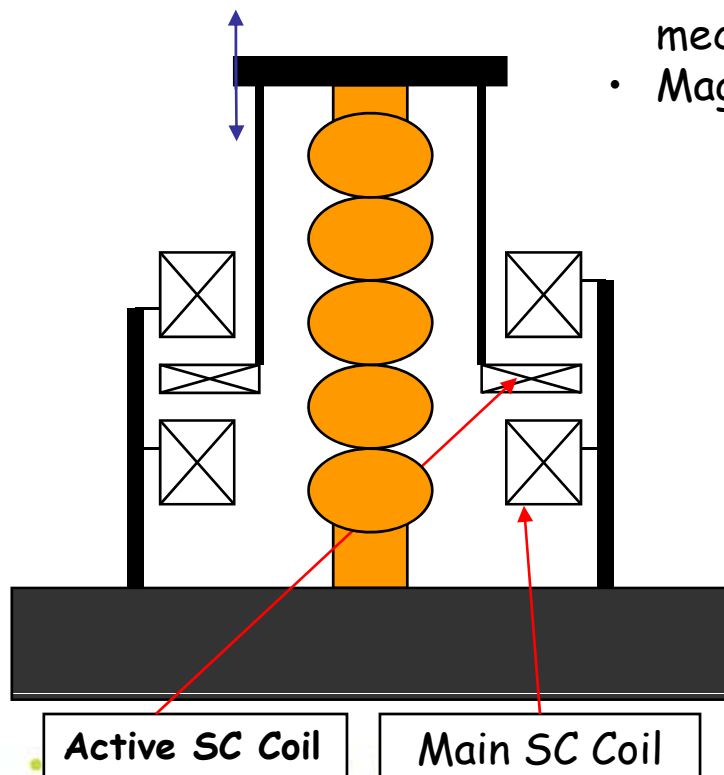
**Nb<sub>3</sub>Sn coil**



# Fast Tuner Technologies (3)

Idea Proposed  
and Prototyped at  
FNAL (B.Foster)

Loudspeaker with  
Superconductive Coils



Oct. 19-29, 2008

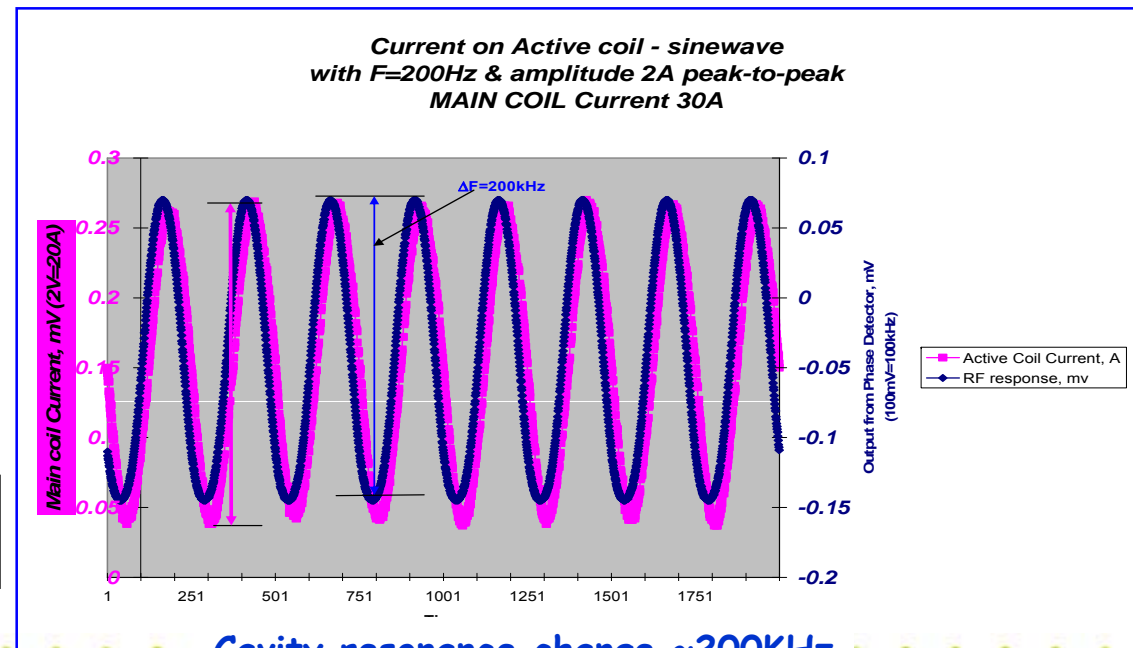
First test of SC\_EM Tuner illustrated promising results  
in FAST TUNING MODE for SCRF.

Advantage of SC\_EM Tuner:

Large stroke= 100's of kHz RF frequency shift in wide  
dynamic range of mechanical motion

Question for concerns:

- Need to study design which allowed quickly (0.1 sec) dump  
mechanical resonances
- Magnetic Field in the surface of SCRF cavity ?

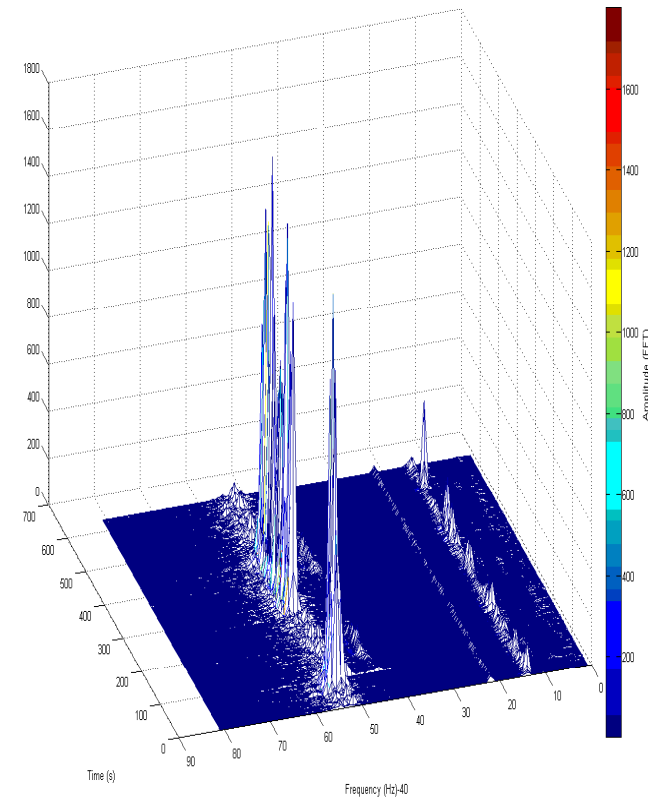
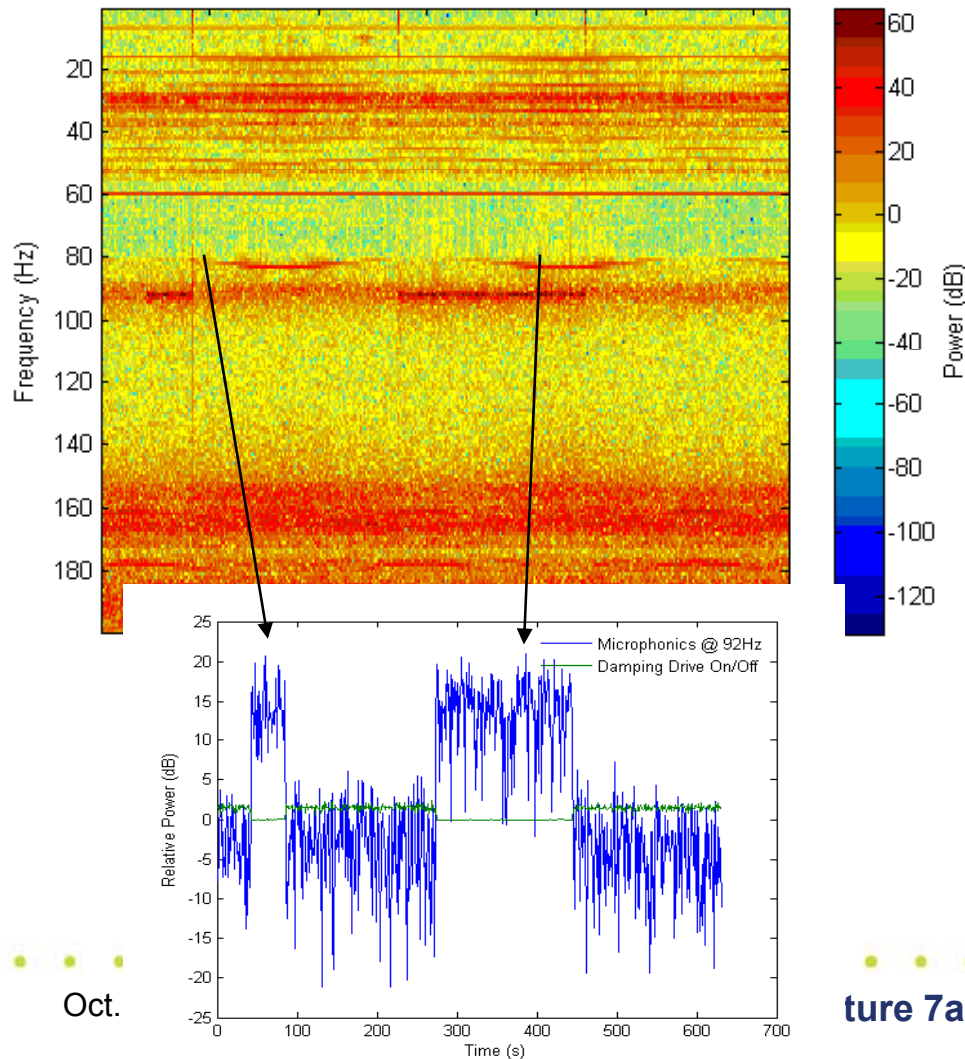


Cavity resonance change ~200KHz



# Ex: Microphonics Compensation with Piezo Tuner

Illustration of Single Resonance Compensation for CC2 ( $f=92\text{Hz}$ )  
(Algorithm: Narrow Band Filter Bank)



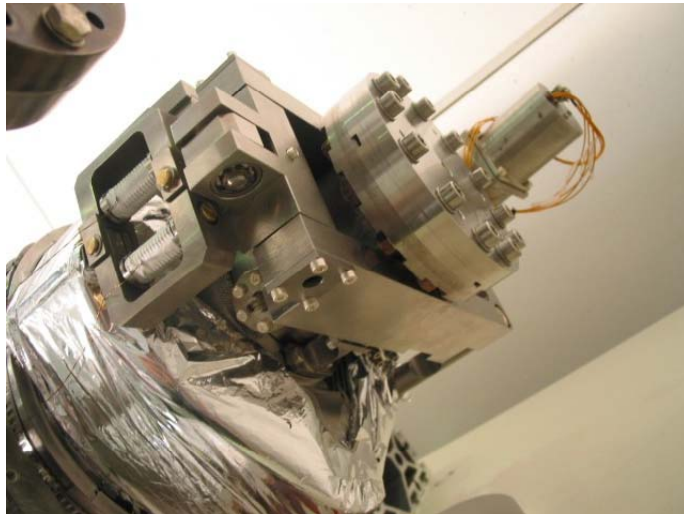
## Few examples of slow tuner designs

### Requirements for Slow Tuner

- Tuning Range
- Hysteresis
- Group delay small
- High Stiffness
- Boring transfer function
- Resolution



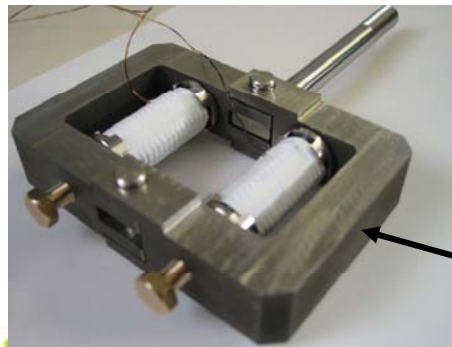
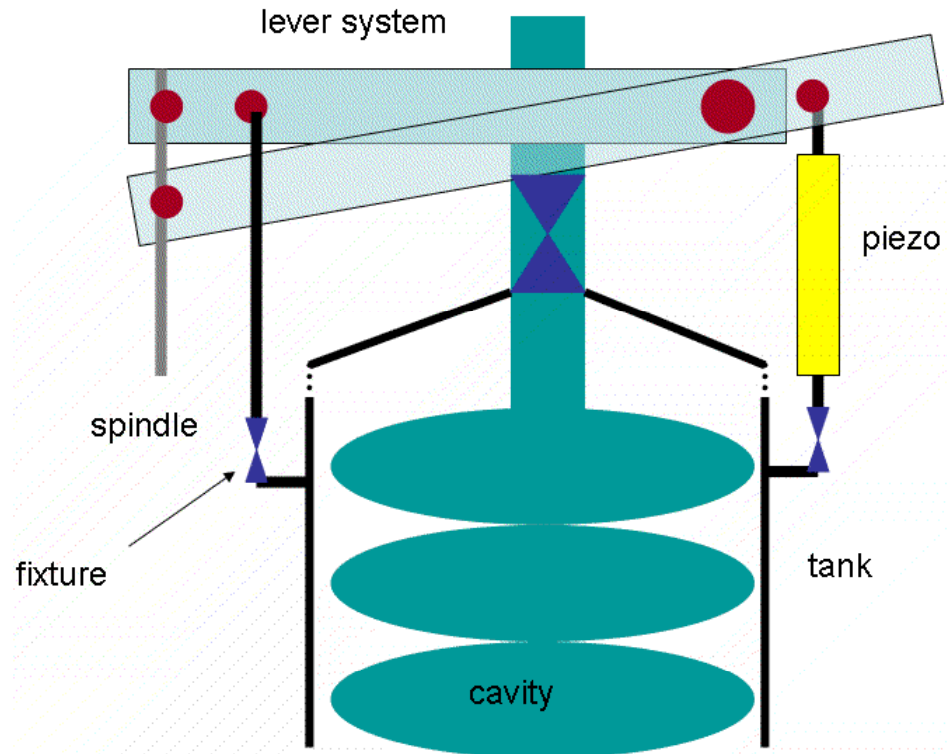
# Saclay I tuner



Lever Arm

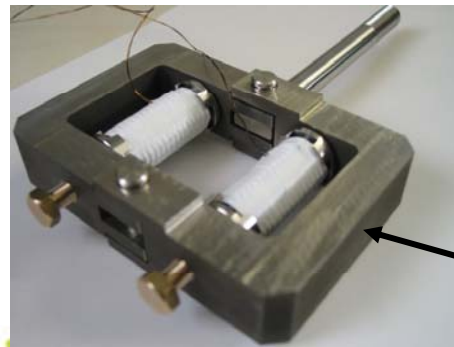
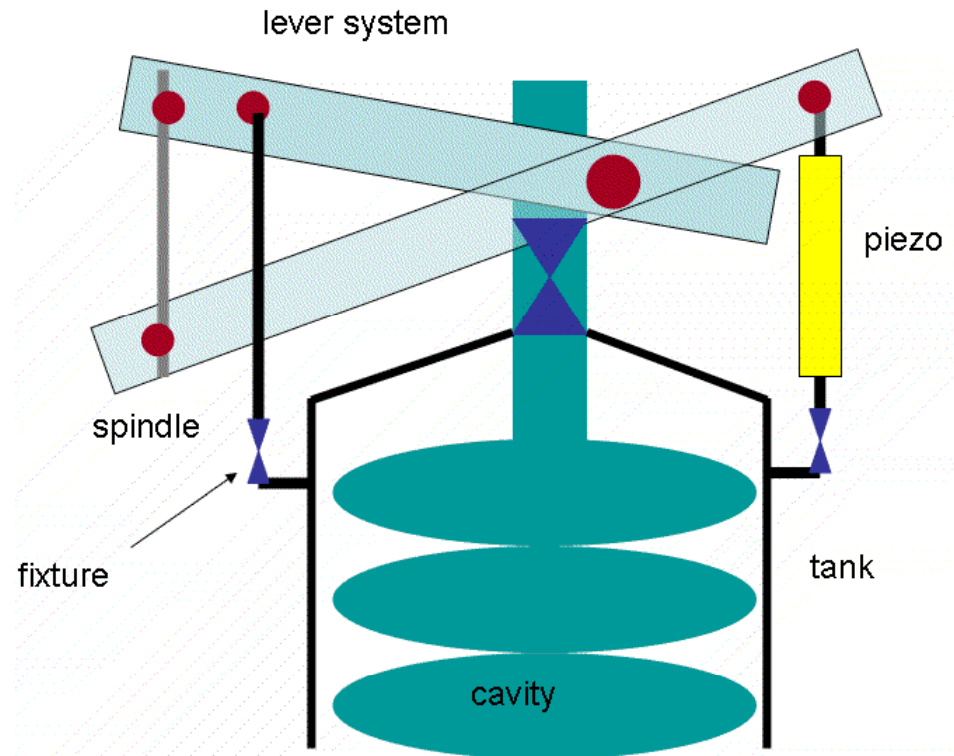
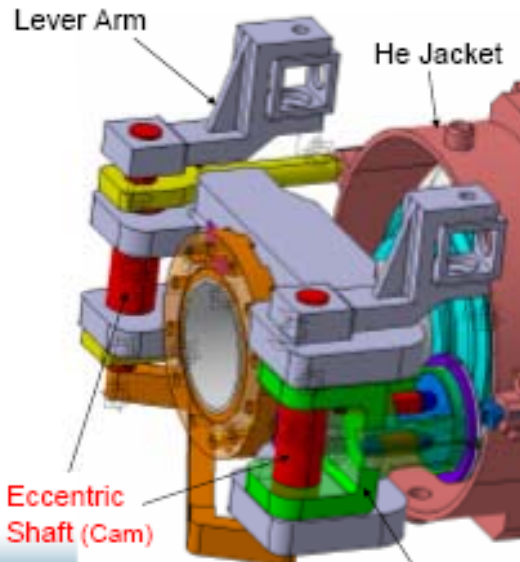
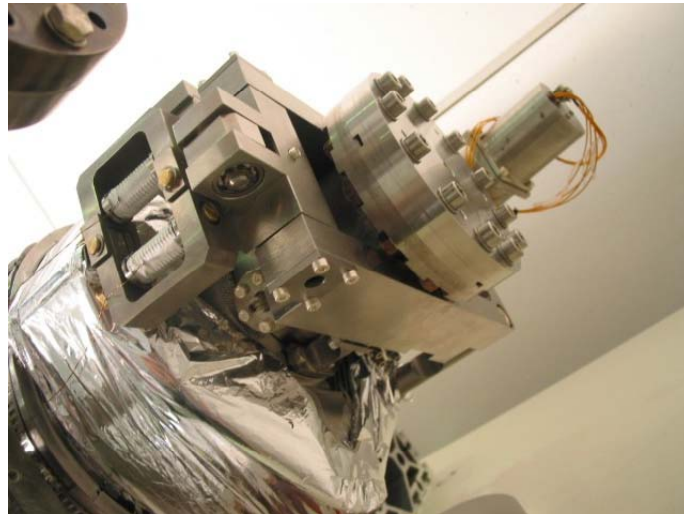
He Jacket

Eccentric Shaft (Cam)



Modified piezo holder frame:  
Higher wall thickness

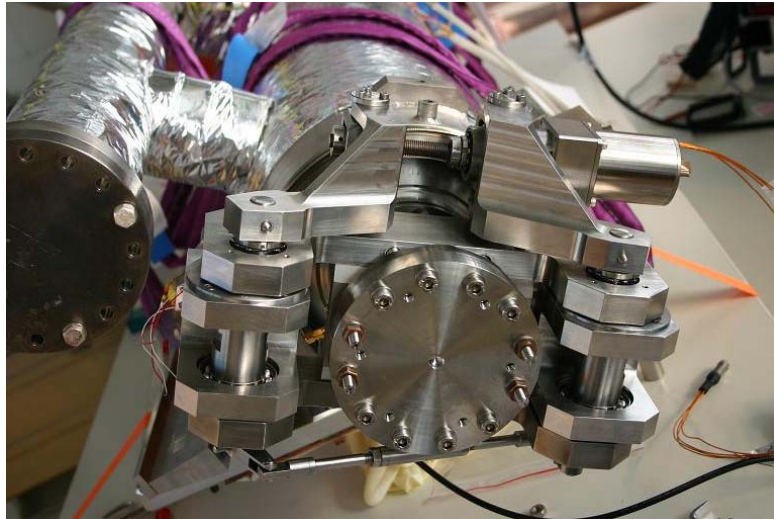
# Saclay I tuner



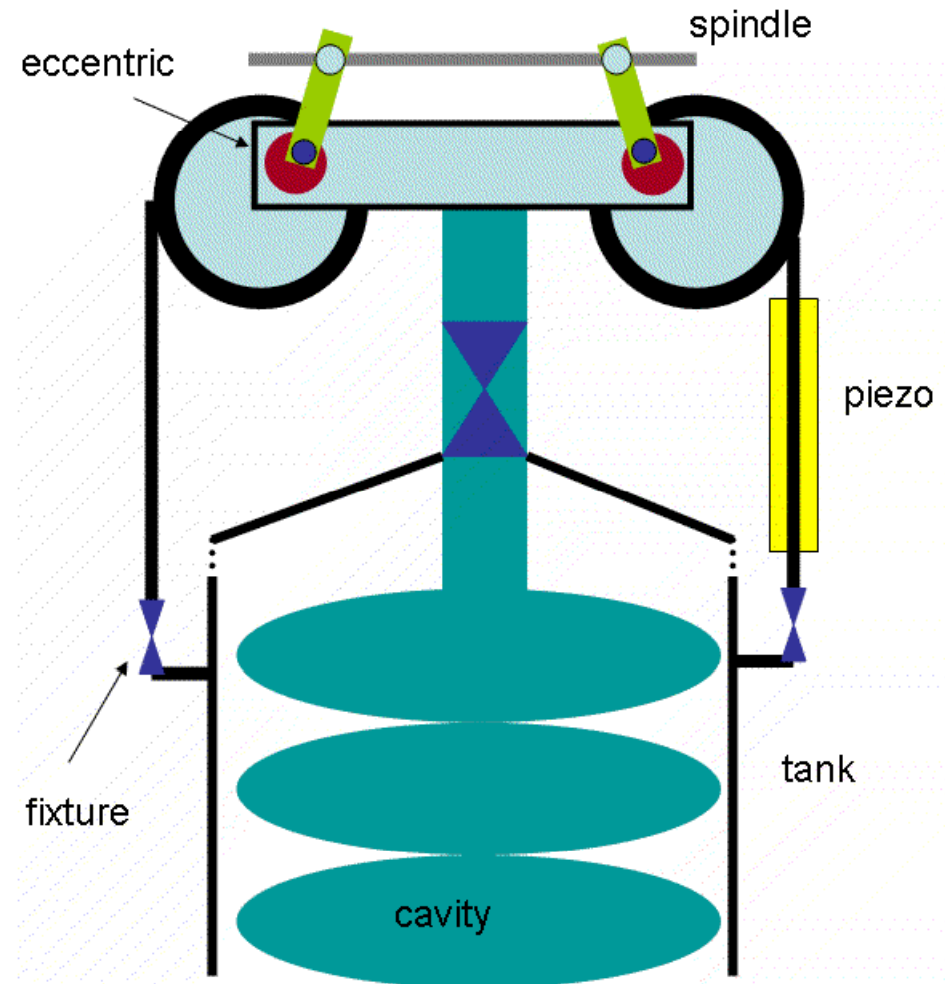
Modified piezo holder frame:  
Higher wall thickness



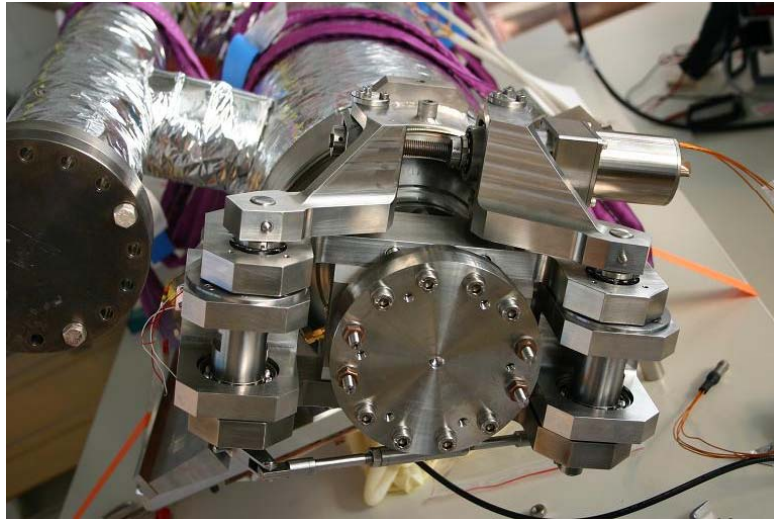
# Saclay II tuner



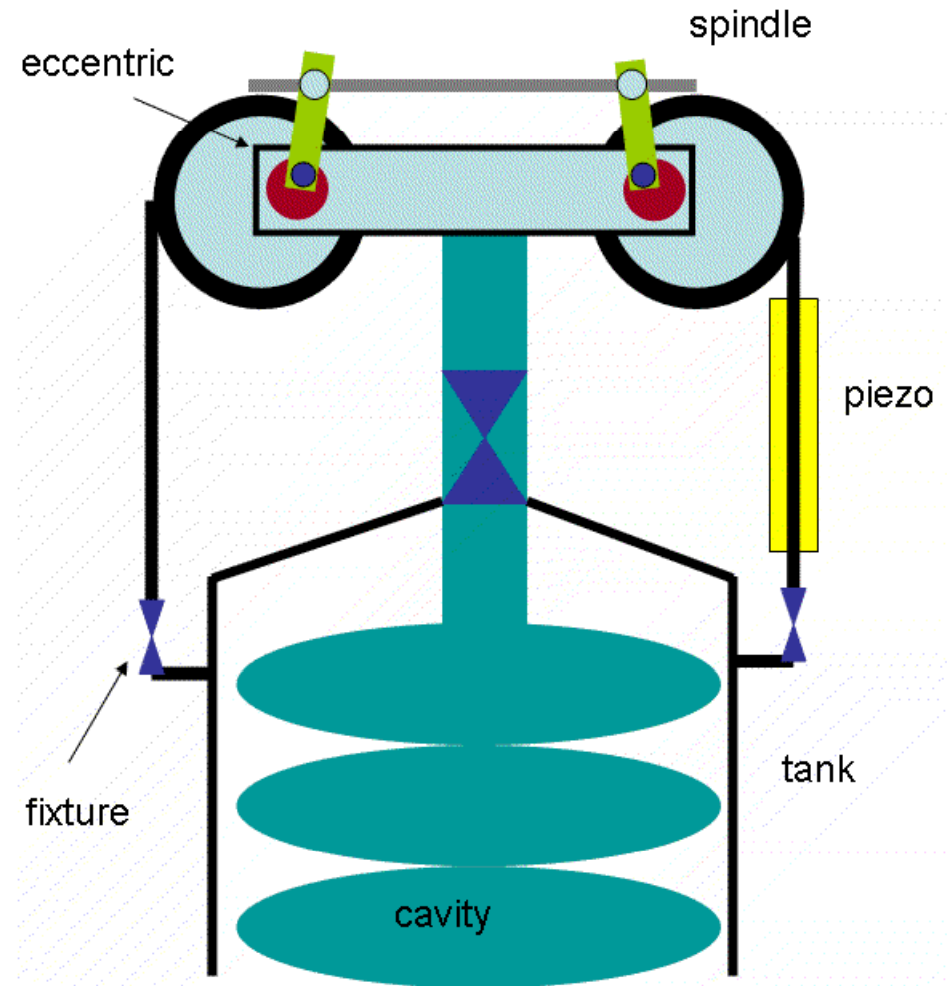
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# Saclay II tuner



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## Overview of tuner properties

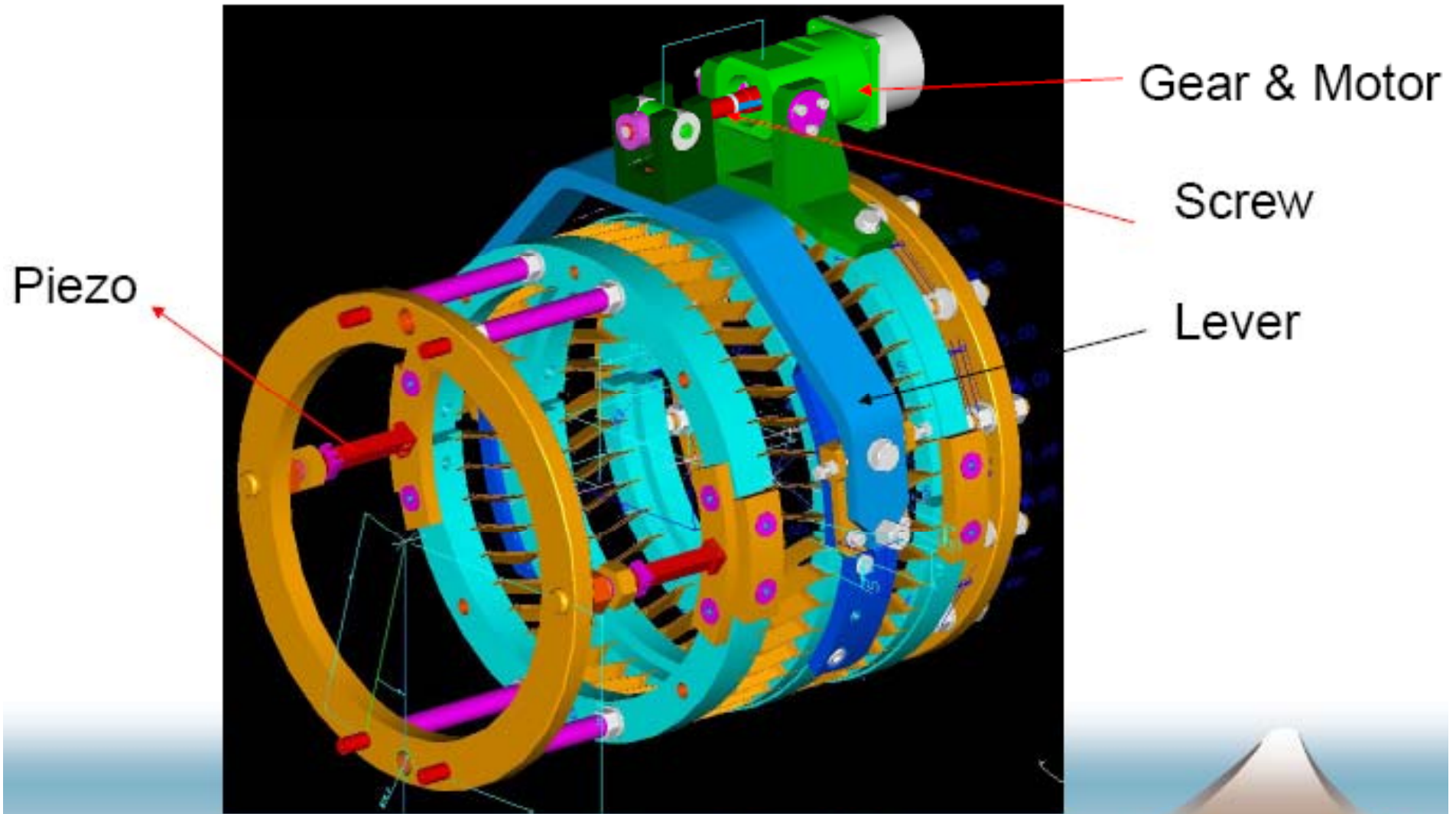
Design	Saclay I	Saclay II
Motor tuning range	750 kHz	500 kHz
Motor hysteresis	better	
Piezo tuning range	840 Hz	1420 Hz
Group delay	360 $\mu$ s	150 $\mu$ s
Stiffness	lower	higher

favored





# Blade Tuner Design



Shuichi Noguchi, SRF2007, 10.7

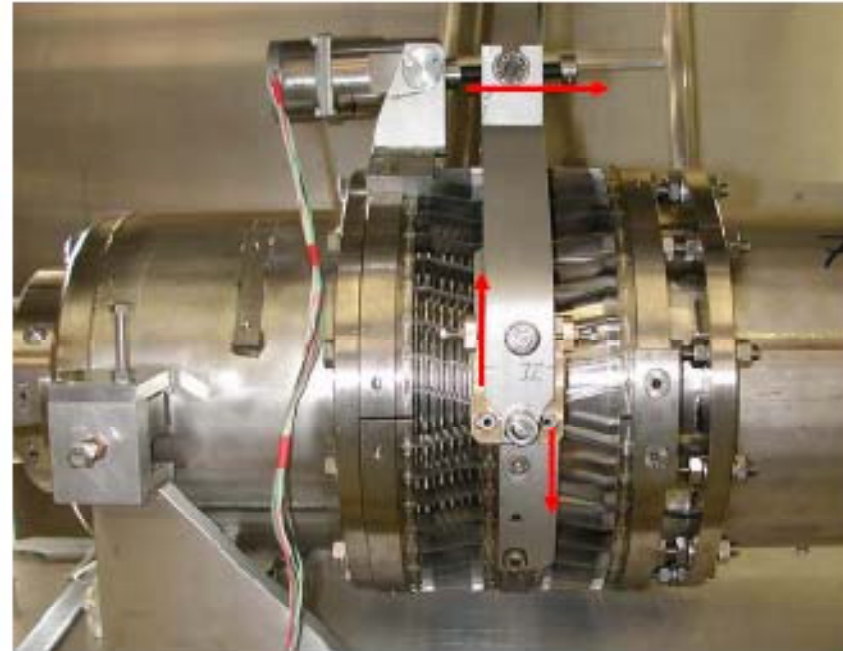
16

# Blade Tuner 25 N/ $\mu\text{m}$

Not Stiff, Fatigue of Blade,  $K_S=13\text{N}/\mu\text{m}$



Original DESY



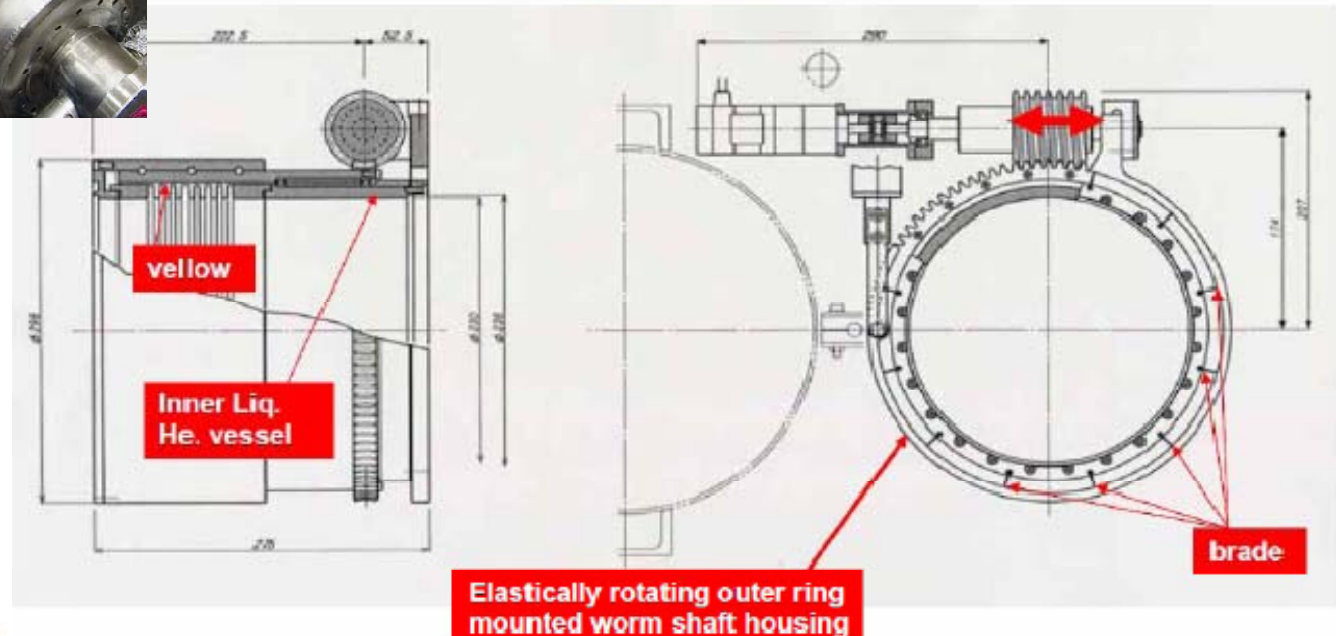
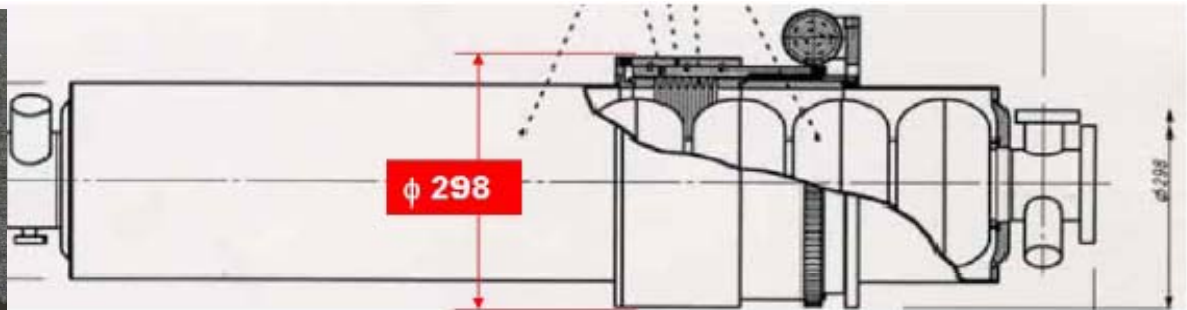
Revised INFN



# Coaxial Ball Screw 500N/ $\mu\text{m}$

Need Long Stroke Piezo

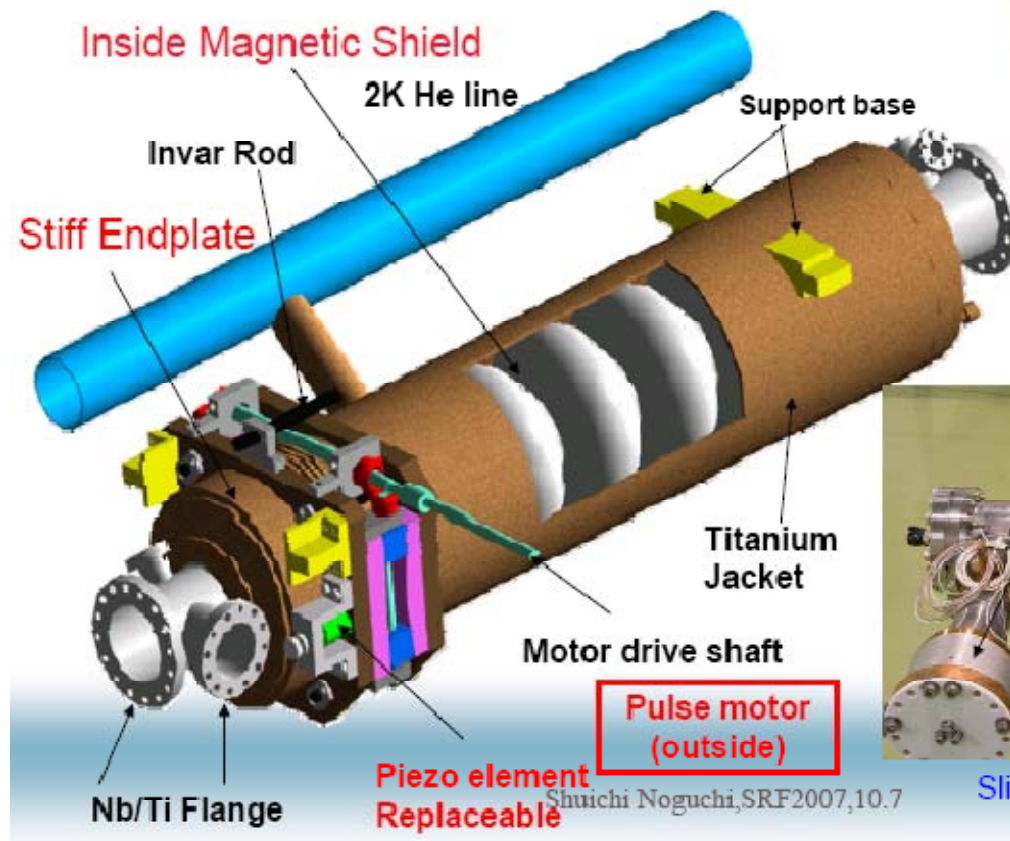
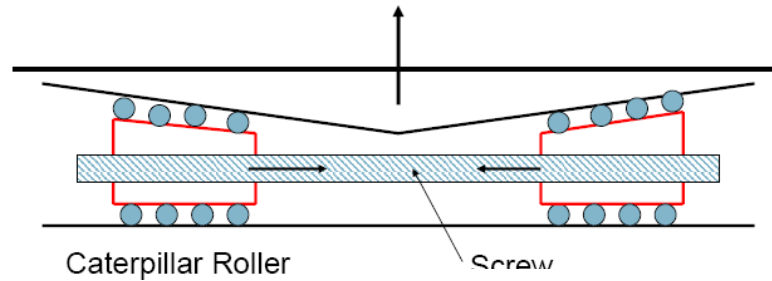
## Ball Screw : Large Ball Screw







# Side Jack Tuner (KEK)



Slide Jack Tuner

22