# 3. SRF Cavity Design

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# 3.1 Sanding Wave (SW) Operation in SRF Cavity

### **SW Scheme in SRF Cavity Operation**



#### Standing wave (CW) is used in SRF cavity acceleration !

Synchronic acceleration and max of  $(R/Q)_{acc} \Leftrightarrow I_{active} = NI_{cell} = NcB/(2f)$  and the injection takes place at an optimum phase  $\phi_{opt}$  which ensures that particles will arrive at the mid-plane of the first cell when  $E_{acc}$  reaches its maximum (+q passing to the right) or minimum (-q passing to the right).

### **Transit Time Factor Due to SW Operation**



- *T* : Transit time factor
- $T = \frac{2}{\pi} = 0.637 \text{ (for Pill Box Cavity)}$  $Eacc \equiv \frac{V}{d} = E_0 T$

Acceleration efficiency is automatically reduced by ~ 40% in the SW scheme.

#### Why TW Operation is not used with SRF Cavities ?



The merit of SRF is that RF consumption is very small ! The damped RF has to be reused. The wave-guide returned RF should be superconduting, which makes very complex cryogenic system.

# **3.2 LC Circuit Model**

### **LC Circuit Model for RF Cavity**



## LC Equivalent Circuit of Cavity



When resistance in not zero, the resonance frequency has a band width.

### **Resonance Spectrum and Band Width**

Cavity wall loss: 
$$P_{loss}$$
  $P_{loss} = \frac{1}{2}R_s \int i^2 dS$ ,  $i = H$   
Damping of Stored Energy

$$\frac{\mathrm{d}\mathbf{U}}{\mathrm{d}\mathbf{t}} = -\mathbf{P}_{\mathbf{loss}} = -\frac{\omega \mathbf{U}}{\mathbf{Q}} \implies \mathbf{U} = \mathbf{U}_{\mathbf{0}} \mathbf{e}^{-\omega \mathbf{t}/\mathbf{Q}} \qquad Q \equiv \frac{\omega U}{P_{loss}} = \frac{Const}{R_s}$$
$$U_0(t) = \frac{\varepsilon_0}{2} E_0^2(t) \implies E(t) = E_0 e^{-\omega_0 t/2Q} e^{-i\omega t} = \int_{-\infty}^{\infty} E(\omega) e^{-i\omega t} d\omega$$
urrier Transformation

$$E(\omega) = \frac{1}{2\pi} \int_0^\infty E_0 e^{-\frac{\omega_0 t}{2Q}} e^{-i\omega_0 t} e^{i\omega t} dt = \frac{1}{2\pi} \int_0^\infty E_0 \exp(-\frac{\omega_0 t}{2Q} - i\omega_0 t + i\omega t) dt = \frac{1}{2\pi} \frac{E_0}{-\frac{\omega_0}{2Q} + i(\omega - \omega_0)}$$

Resonance Spectrum of RF power in Frequency domain

F

$$P|E(\omega)|^{2} = \left|\frac{A}{-\frac{\omega_{0}}{2Q} + i(\omega - \omega_{0})}\right|^{2} = \frac{A}{-\left(\frac{\omega_{0}}{2Q}\right)^{2} + (\omega - \omega_{0})^{2}}$$
$$Q = \frac{f_{0}}{2\Delta f} (\omega_{0} = 2\pi f_{0}) \quad \Longrightarrow \quad \Delta f = \frac{f_{0}}{2Q} \propto \frac{f_{0}}{2} R_{S}$$



# **3.3 Pill Box Cavity**

## **Electro-magnetic field in a waveguide**

Maxwell equations in a waveguide

$$\nabla \times \mathbf{E} = i\frac{\omega}{c}\mathbf{B}, \nabla \cdot \mathbf{B} = 0, \quad \nabla \times \mathbf{B} = -i\mu\varepsilon\frac{\omega}{c}\mathbf{E}, \quad \nabla \cdot \mathbf{E} = 0, \quad \rho = 0, \quad \mathbf{j} = 0$$

$$\left(\nabla^{2} + \mu\varepsilon\frac{\omega^{2}}{c^{2}}\right)\left\{\frac{\mathbf{E}}{\mathbf{B}}\right\} = 0, \quad \mathbf{k}: \text{ wavevector,}$$

$$\mathbf{E}(x, y, z, t) = \mathbf{E}(x, y)\exp(\pm ikz - i\omega t), \quad k: \text{ wavevector,}$$

$$\mathbf{B}(x, y, x, t) = \mathbf{B}(x, y)\exp(\pm ikz - i\omega t),$$

$$\left[\nabla_{i}^{2} + (\varepsilon\mu\frac{\omega^{2}}{c^{2}} - k^{2})\right]\left\{\frac{\mathbf{E}}{\mathbf{B}}\right\} = 0, \quad \nabla_{i}^{2} \equiv \nabla^{2} - \frac{\partial^{2}}{\partial^{2}z}, \quad \mathbf{E} = E_{z}\mathbf{e}_{z} + \mathbf{E}_{i}, \quad \mathbf{B} = B_{z}\mathbf{e}_{z} + \mathbf{B}_{i}$$

$$\mathbf{B}_{i} = \frac{1}{\left(\varepsilon\mu\frac{\omega^{2}}{c^{2}} - k^{2}\right)}\left[\nabla_{i}\left(\frac{\partial B_{z}}{\partial z}\right) + i\varepsilon\mu\frac{\omega}{c}\mathbf{e}_{z} \times \nabla_{i}E_{z}\right], \quad \mathbf{E} \text{ cercise IV.}$$

$$\mathbf{E}_{i} = \frac{1}{\left(\varepsilon\mu\frac{\omega^{2}}{c^{2}} - k^{2}\right)}\left[\nabla_{i}\left(\frac{\partial E_{z}}{\partial z}\right) - i\frac{\omega}{c}\mathbf{e}_{z} \times \nabla_{i}B_{z}\right]$$

$$\mathbf{G}_{i}$$



TM-mode : 
$$B_z = 0, E_z \neq 0$$
  $\Longrightarrow$  Can accelerate beam Beam  
 $B_t = \frac{i\varepsilon\mu\frac{\omega}{c}}{\left(\varepsilon\mu\frac{\omega^2}{c^2} - k^2\right)} [e_z \times \nabla_t E_z],$   
 $E_t = \frac{1}{\left(\varepsilon\mu\frac{\omega^2}{c^2} - k^2\right)} \nabla_t \left(\frac{\partial E_z}{\partial z}\right),$   
 $\left[\nabla_t^2 E_z + (\varepsilon\mu\frac{\omega^2}{c^2} - k^2)\right] E_z = 0,$   $\Longrightarrow$  Solve the eigenvalue problem, get k and Ez

Boundary condition  $E_z|_{S} = 0$  ( $\because$   $\mathbf{n} \times \mathbf{E} = 0$  on the surface of perfect conductor)  $\frac{B_z}{n}|_{S} = 0$  ( $\because$   $\mathbf{n} \cdot \mathbf{B} = 0$  on the surface,

but automatically satisfied by the TM - mode condition)

### **TE-Mode Assign**

TE-mode :  $E_z = 0, B_z \neq 0$  $\mathbf{B}_t = \frac{i\varepsilon\mu\frac{\omega}{c}}{(\varepsilon\mu\frac{\omega^2}{c^2} - k^2)} \nabla_t \left(\frac{\partial B_z}{\partial z}\right),$ 

Can not accelerate beam,

Kicks the beam.



Boundary condition  $E_z|_S = 0$  (  $\therefore$   $\mathbf{n} \times \mathbf{E} = 0$  on the surface of perfect conductor but automatically satisfied by the TE- mode condition)

$$\frac{B_z}{n}|_S = 0 \ (:: \mathbf{n} \cdot \mathbf{B} = 0 \text{ on the surface})$$

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### **Eigevalue problem**

 $\psi(x,y) = E_z(x,y)$  for TM-mode or  $B_z(x,y)$  for TE-mode  $(\bar{\nabla}_t^2 + \gamma^2)\psi = 0$ ,  $\psi|_S = 0$  (for TM - mode) or  $-\frac{1}{n}\psi|_S = 0$  (for TE - mode)

$$\gamma^2 = \epsilon \mu \frac{\omega^2}{c^2} - k^2 \ge 0$$
  
From the boundary condition,

$$\gamma^2 = \gamma_{\lambda}^2, \ \psi = \psi_{\lambda} \quad (\lambda = 1, 2, \cdots)$$
  
 $k_{\lambda}^2 = \varepsilon \mu \frac{\omega^2}{c^2} - \gamma_{\lambda}^2$ 

If  $\omega < c \frac{\gamma_{\lambda}}{\sqrt{\epsilon \mu}}$ , then  $k_{\lambda}$  is an imaginal number. The wave is damped in the waveguide.

$$\omega_{\lambda} = c \frac{\gamma_{\lambda}}{\sqrt{\varepsilon \mu}} \cdots \text{cutoff frequency}$$

When  $\omega \ge \omega_{\lambda}$ , wave number  $k_{\lambda}$  is a real number,

then the wave can propagate into the waveguide.

## **TM-Modes in a Pill Box Cavity**

TM-modes

 $\mathbf{E}(x, y, z, t) = \mathbf{E}(x, y) \exp(ikz - i\omega t)$ 

When shorted at z = 0 and z = d, then the wave makes a standing wave.

 $\therefore \mathbf{E}(x, y, z, t) = [\mathbf{A}(x, y)\cos(kz) + \mathbf{B}(x, y)\sin(kz)]\exp(-i\omega t)$ 

If the cavity is made from perfect conductor,  $E_t = 0$  at z = 0 and d.

 $\therefore \mathbf{E}(x, y, z) = \mathbf{B}(x, y)\sin(kz) \text{ and } \sin(kd) = 0 \Rightarrow kd = p\pi(p = 0, 1, 2, \dots) \Rightarrow k = \frac{p\pi}{d}$  $\mathbf{E}_{z}(x, y, z) = \Psi(x, y, z)\mathbf{e}_{z} = \left[\mathbf{A}_{z}(x, y)\cos(kz) + \mathbf{B}_{z}(x, y)\sin(kz)\right]\mathbf{e}_{z}$  $\mathbf{E}_{t}(x, y, z, ) = \frac{1}{\gamma^{2}}\nabla_{t}\left(\frac{\partial\Psi}{\partial z}\right), \text{ and the boundary condition: } \mathbf{E}_{t} = 0 \text{ at } z = 0.$ 

$$\Rightarrow \Psi = B_z(x, y)\cos(kz) = B_z(x, y)\cos(\frac{p\pi}{d}z)$$

Now one can solve the eigenvalue problem.

$$\left(\nabla_{t}^{2} + \gamma^{2}\right)\Psi = 0, \ \gamma^{2} = \varepsilon\mu\frac{\omega^{2}}{c^{2}} - k^{2} = \varepsilon\mu\frac{\omega^{2}}{c^{2}} - \left(\frac{p\pi}{d}\right)^{2}$$
  
Cylindorical cordinate  $(r, \theta, z), \ \Psi \to \Psi = B_{z}(r, \theta)$   
$$\left(\nabla_{t}^{2} + \gamma^{2}\right)\Psi = \left(\frac{\partial^{2}}{\partial^{2}r} + \frac{1}{r}\frac{\partial}{\partial r} + \frac{1}{r^{2}}\frac{\partial^{2}}{\partial^{2}\theta}\right)\Psi + \gamma^{2}\Psi = 0$$
  
$$\Psi(r, \theta) = R(r) \cdot \Theta(\theta)$$



$$r^{2} \frac{\partial^{2} R(r)}{\partial^{2} r} + \frac{r}{R(r)} \frac{\partial R(r)}{\partial r} + \gamma^{2} r^{2} = -\frac{1}{\Theta(\theta)} \frac{\partial^{2} \Theta(\theta)}{\partial^{2} \theta}$$
$$-\frac{1}{\Theta(\theta)} \frac{\partial^{2} \Theta(\theta)}{\partial^{2} \theta} = m^{2} \Longrightarrow \Theta(\theta) = \Theta_{0} \exp(\pm im\theta), m = 0, 1, 2, \cdots$$

 $\Theta$  is for a single-value function at  $\theta = 0 \sim 2\pi$ .

$$\rho = \gamma r,$$
  
$$\frac{\partial^2 R}{\partial^2 \rho} + \frac{1}{\rho} \frac{\partial R}{\partial \rho} + (1 - \frac{m^2}{\rho^2})R = 0 \Longrightarrow R : mthBesselfunction(J_m)$$

For no divergence at  $\rho = 0 \Rightarrow R(\rho) = J_m(\rho)$ 

Boundary condition:  $E_z(r,\theta) = 0$  at  $r = a \Rightarrow J_m(\gamma a) = 0 \Rightarrow \gamma a = \rho_{m,n}$ : nth solution of  $J_m$ 

$ ho_{m,n}$	n=1	n=2	n=3
m=0	$\rho_{0,1} = 2.405$	$\rho_{0,2} = 5.520$	$\rho_{0,3} = 8.654$
m=1	$\rho_{1,1} = 3.832$	$\rho_{1,2} = 7.016$	$\rho_{1,3} = 10.173$
m=2	$\rho_{2,1} = 5.136$	$\rho_{2,2} = 8.417$	$\rho_{2,3} = 11.620$

$$\gamma_{m,n} = \frac{\rho_{m,n}}{a}$$
, thus  $\Psi(r,\theta) = J_m(\frac{\rho_{m,n}}{a} \cdot r) \cdot \exp(\pm im\theta)$ ,

Resonance frequency  $(TM_{m,n,p} - mode)$ 

$$\omega_{m,n,p} = \frac{c}{\sqrt{\varepsilon\mu}} \sqrt{\frac{\rho_{m,n}}{a^2} + \frac{p^2 \pi^2}{d^2}}$$

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For  $E_t$  and  $B_t$ , calculate

$$\begin{split} \mathbf{B}_{t} &= \frac{i\varepsilon\mu\frac{\omega}{c}}{\left(\varepsilon\mu\frac{\omega^{2}}{c^{2}} - k^{2}\right)} \left[\mathbf{e}_{z} \times \nabla_{t}E_{z}\right], \\ \mathbf{E}_{t} &= \frac{1}{\left(\varepsilon\mu\frac{\omega^{2}}{c^{2}} - k^{2}\right)} \nabla_{t} \left(\frac{\partial E_{z}}{\partial z}\right), \\ \mathcal{T}M_{m,n,p} &- \text{mode} \\ E_{z} &= E_{o}\cos(kz)J_{m}(\frac{\rho_{m,n}}{a}r)\exp(-im\theta), \qquad B_{z} = 0 \\ E_{r} &= \frac{iE_{0}p\pi}{\gamma_{m,n,p}}\cos(\frac{p\pi}{d}z)\frac{\partial J_{m}(\rho)}{\partial\rho}\exp(-im\theta), \qquad B_{r} = -\frac{E_{0}m\varepsilon\mu\omega_{m,n,p}}{c}\cos(kz)J_{m}(\frac{\rho_{m,n}}{a}r)\exp(-im\theta) \\ E_{\theta} &= \frac{E_{0}mp\pi}{\gamma_{m,n,p}^{2}dc}\cos(\frac{p\pi}{d}z)J_{m}(\frac{\rho_{m,n}}{a}r)\exp(-im\theta), \qquad B_{\theta} = \frac{iE_{0}\varepsilon\mu\omega_{m,n,p}}{\gamma_{m,n,p}c}\cos(kz)\exp(-im\theta)\frac{\partial J_{m}(\rho)}{\partial\rho} \end{split}$$



# **TE<sub>mnp</sub> Modes**



#### Pill Box TM<sub>010</sub>-Mode Single Cell Cavity Design

This is a very much instructive example for the RF cavity design. The essential is included in this example.

Exercise V.Make design a 1300MHz single cell Pill Box cavity1.What is the diameter of the cell?2. What is the cell length?

### **Summaries of Characteristic Parameters of RF cavity**

Surface Impedance 
$$Z[\Omega]$$
:  $Z = \frac{E_{II}}{H_{II}} = R_{S} + iX$ ,  $R_{S} = \frac{1}{\sigma\delta} = \sqrt{\frac{\mu\omega}{2\sigma}}$ ,  
Skin depth  $\delta$  [m]:  $\delta = \sqrt{\frac{2}{\mu\omega\sigma}}$   
Wall loss  $P_{loss}$  [W]:  $P_{loss} = \frac{1}{2}R_{S}\int_{S}H_{s}^{2}ds$   $(=\frac{\pi R_{S}E_{o}^{2}}{(\mu/\varepsilon)}J_{1}^{2}(2.405) \cdot a \cdot (a + d)$  for pill box cavity)  
Transit time factor T :  $T = \frac{\int_{0}^{d}E_{z}e^{i(\omega \times \frac{Z}{c})}dz}{\int_{0}^{d}E_{z}dz}$   $(=\frac{2}{\pi}$  for pill box cavity )  
Accelerating Voltage V :  $V = \int_{0}^{d}E_{o}(\rho = 0, z)e^{i(\omega \frac{z}{c})}dz$   $(= dE_{o}T$  for pill box)  
Accelerating gradient  $E_{acc}$  :  $E_{acc} = \frac{V}{d}$   $(= E_{o}T = 2\frac{E_{o}}{\pi}$  for pill box cavity)  
Stored energy U:  $U = \frac{1}{2}\mu\int_{V}H^{2}dv = \frac{1}{2}\varepsilon\int_{V}E^{2}dv$   $(=\frac{\pi\varepsilon E_{0}^{2}}{2} \cdot J_{1}^{2}(2.405) \cdot d \cdot a^{2}$  for pill box cavity  
Unloaded Q-value  $Q_{0}$ :  $Q_{0} = \frac{\omega \cdot U}{P_{loss}}$   $(=\omega \cdot \frac{\mu \cdot a^{2}d}{2 \cdot a(a + d)} \cdot \frac{1}{R_{s}}$  for pill box cavity)

### Summaries of Characteristic parameters of RF cavity

Shunt impedance 
$$\Re[\Omega]: R_{sh} = \frac{V^2}{P_{loss}}$$
  $\left( = \frac{4(V_{\mu})d^2}{\pi^3 R_S J_1^2 (2.405)a(a+d)} \text{ for pill box cavity} \right)$   
Geometrical factor  $\Gamma: \Gamma = Q_O \cdot R_S = \frac{\omega \mu \int_V H^2 dv}{\int_S H_S^2 ds}$   $\left( = \frac{\omega \mu da^2}{2(a^2 + ad)} \text{ for pill box cavity} \right) \Rightarrow R_S = \frac{\Gamma}{Q_O}$   
 $\Re_Q': \qquad \left( \Re_Q' \right) = \frac{R_{sh}}{Q_O} = \frac{V^2}{\omega U}$  Goodness of the cavity shape No dependent on materia  
 $E_{SP}/E_{acc} \quad \left( = \frac{\pi}{2} = 1.57 \text{ for pill box cavity} \right), H_{SP}/E_{acc} \quad \left( = 30.5 \frac{O_e}{MV/m} \text{ for pill box cavity} \right)$   
Smaller value is better from field  
emission problem point of view

Pill-box cavity maximum Eacc = 1750/30.5 = 57.4 MV/m

### **Frequency Dependence of Cavity Parameters**

Characteristic Parameter	ω dependence Normal conducting	<ul><li>ω dependence</li><li>Super conducting</li></ul>
R <sub>S</sub>	$\omega^{\frac{1}{2}}$	$\omega^2$
P <sub>loss</sub>	$\omega^{-\frac{3}{2}}$	No dependence
U	$\omega^{-3}$	$\omega^{-3}$
Q <sub>0</sub>	$\omega^{-\frac{1}{2}}$	$\omega^{-2}$
R <sub>sh</sub>	$\omega^{-\frac{1}{2}}$	$\omega^{-2}$
R <sub>sh</sub> /L	$\omega^{\frac{1}{2}}$	$\omega^{-1}$
Г	No dependence	No dependence
R/Q	No dependence	No dependence

Rsh per length linearly increases to  $\sqrt{\omega}$ , so normal conducting choose higher frequency, for example 11.4GHz @ warm LC.

## 3.4 Realistic Cavity Cell Design Criteria

## **Real Cavity Cell Design**

- 1) Need a hole on the cavity for electron to pass the cavity
- 2) Need RF input port
- 3) HOM coupler port
- 4) Higher acceleration efficiency
- 5) Higher gradient Smaller Ep/Eacc : Field emission Smaller Hp/Eacc : Multipaction



HOM coupler



## **Cavity Design (single cell cavity)**

All calculated values below refer to the mesh geometry only.
Field normalization (NORM = 0): EZERO = 1.00000 MV/m
Length used for E0 normalization = 10.76000 cm
Frequency (starting value = 1300.000) = 1293.77430 MHz
Particle rest mass energy = 0.510999 MeV
Beta = 1.0000000
Normalization factor for E0 = 1.000 MV/m = 7048.913
Transit-time factor Abs(T+iS) = 0.5454664
Stored energy = 0.0038869 Joules 2000
Using standard room-temperature copper.
Surface resistance = 9.38405 milliOhm
Normal-conductor resistivity = 1.72410 microOhm-cm
Operating temperature = 20.0000 C
Power dissipation = $1118.1551 \text{ W}$ $-10  0  10$
Q = 28257.6 Shunt impedance = 96.230 MOhm/m
$Rs*Q = 265.171 Onm \qquad 2*1*1 = 28.652 \text{ MOHM/m}$
P/Q = 109.024 Onm wake loss parameter = $10.22137$ V/pC Augreer memory is field on the outer well = $1729.9$ A/m 140411 W/cm <sup>2</sup> 2
Maximum H (at Z R = 3.32643.8.55466) = $1753.44 \text{ A/m}$ , 1.44258 W/cm <sup>2</sup> 2
Maximum F (at Z R = 4 75232 4 24425) = $0.946176 \text{ MV/m}$ 0.02953 Kilp.
Batio of peak fields Bmax/Emax = $2.3288 \text{ mT/(MV/m)}$ -10 0 10
Peak-to-average ratio $Emax/F0 = 0.9462$

### Superfish outputs

f<sub>0</sub>=1293.77430MHz Ploss=118.1551W RsQ=265.171 Ω Qo=28257.6 (Rsh/Q)=109.24 Ω Hp=1753.44 A/m Ep=0.946176 MV/m

# Exercise VI.

Calculate the following cavity RF parameters from the Superfish outputs.

Rsh [ $\Omega$ ] = Accelerating Voltage V [MV]= RF wave length  $\lambda$ [m] = Gradient Eacc = V/L<sub>eff</sub> [MV/m]= Hp/Eacc[Oe/(MV/m)] = Ep/Eacc = Eacc [MV/m] =  $Z \cdot \sqrt{P_{loss} \cdot Q_o}$ , Z= Geometrical factor  $\Gamma$  [ $\Omega$ ] =

,defined as  $L_{eff} = \lambda/2$ , use  $1A/m = 4\pi 10^{-3}$  Oe

#### What are figures of merit for a cavity storing E-H energy?



$$W_n \equiv \text{stored energy of a mode } n : \{\omega_n, E_n, H_n\}$$

$$W_n \equiv \mu \int_V \frac{{H_n}^2}{2} dV = \varepsilon \int_V \frac{{E_n}^2}{2} dV$$

**Quality Factors** 

The measure of the energy loss in the metal wall and due to the radiation via open ports:

Intrinsic 
$$Q \equiv Qo$$
  
 $Q_{0,n} \equiv \frac{\omega_n \cdot W_n}{P_n} = \frac{\omega_n \cdot W_n}{\frac{R_{s,n}}{2} \int_{S} H_n^2 ds}$ 
 $Q_{ext,n} \equiv \frac{\omega_n \cdot W_n}{P_{rad,n}} = \frac{\omega_n \cdot W_n}{\frac{1}{2} \int_{S_{allports}} E_n \times H_n ds}$ 

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#### Geometric Factor

The measure of the energy loss in the metal wall for the <u>unit</u> surface resistance  $R_{s,n}$ 

$$G_{n} \equiv Q_{0,n} \cdot R_{s,n} = \frac{\omega_{n} \cdot W_{n} \cdot R_{s,n}}{P_{n}} = \frac{\omega_{n} \cdot W_{n}}{\frac{1}{2} \int_{S} H_{n}^{2} ds}$$

It is the ratio of the stored energy to the integral of  $(\mathbf{H}_n)^2$  on the metal surface. It is independent of cavity material and depends on cavity shape. What are figures of merit for the beam-cavity interaction?

This interaction which is:

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- Acceleration
- ✤ HOMs excitation

can be described in Frequency Domain (FD) or/and in Time Domain (TD).

## Very Important RF Parameter (R/Q)

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 $(R/Q)_n$ , a "measure" of the energy exchange between point charge and mode n (FD).



#### (R/Q) for Accelerating Mode

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For acceleration modes,  $V_n$  is calculated on the beam axis. (R/Q) means efficiency of the acceleration, which is independent on material. It means the goodness of cavity shape for beam acceleration.

For the accelerating mode we often use the product of  $G_{acc}$   $(R/Q)_{acc}$ , as a "measure" of the power **P** dissipated in the metal wall at the given accelerating voltage  $V_{acc}$  and the given surface resistance  $R_s$ .



This is due to the geometry of cells; Moderate improvement possible by cavity shape

### Longitudinal and Transverse Loss Factors (TD)

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Ultra relativistic point charge **q** passes **empty cavity** 



- a. Density of the inducted charge on the wall depends on the distance to the beam trajectory.
- b. The non uniform charge density on the metal wall causes the current flow on the surface.

#### Longitudinal and Transverse Loss Factors (TD), Continued

The amount of energy lost by charge q to the cavity is: J.Sekutwitz's Slide

 $\Delta U_q = k_{\parallel} \cdot q^2$  for monopole modes (max. on axis)

 $\Delta U_q = k_{\perp} \cdot q^2$  for non monopole modes (off axis)

where  $\mathbf{k}_{\parallel}$  and  $\mathbf{k}_{\perp}(\mathbf{r})$  are loss factors for the monopole and transverse modes respectively.

The induced **E-H field (wake)** is a superposition of <u>cavity eigenmodes</u> (monopoles and others) having the  $E_n(r,\varphi,z)$  field <u>along the trajectory</u>.

Both description methods FD and TD are equivalent.

For individual mode *n* and point-like charge:

$$k_{\parallel,n}^{\mathbf{p}} = \frac{\omega_n \cdot (R/Q)_n}{4}$$

Note please the linac convention of (R/Q) definition.

Similar for other loss factors......

#### RF parameters of the accelerating mode more practical

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At stored energy  $W_{acc}$  the mean value of the accelerating gradient is:



Ratio shows sensitivity of the shape to he field electron emission phenomenon.

Ratio shows limit in  $E_{acc}$  due to the break-down of superconductivity (Nb ~180mT).

### Cell to Cell Coupling $K_{cc}$

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The last parameter, relevant for multi-cell accelerating structures, is the coupling  $\mathbf{k}_{cc}$  between cells for the accelerating mode passband (Fundamental Mode passband).



#### Single-cell is attractive from the RF-point of view:

- Easier to manage HOM damping
- ✤ No field flatness problem.
- Input coupler transfers less power
- ✤ Easy for cleaning and preparation
- But it is expensive to base even a small linear accelerator on the single cell. We do it only for very high beam current machines.



A multi-cell structure is less expensive and offers higher real-estate gradient but:

- Field flatness (stored energy) in cells becomes sensitive to frequency errors of individual cells
- Other problems arise: HOM trapping...
# Cell to Cell Coupling K<sub>cc.</sub> Continued

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no  $E_r$  (in general transverse E field) no  $H_{\varphi}$  (in general transverse H field) component at the symmetry plane component at the symmetry plane

The normalized difference between these frequencies is a measure of the Pointing vector (energy flow via the coupling region)

$$k_{cc} = \frac{\omega_{\pi} - \omega_{0}}{\frac{\omega_{\pi} + \omega_{0}}{2}}$$

## **Field Flatness Factor** a<sub>ff</sub>

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**B**<sub>2</sub>

Field flatness factor for elliptical cavities with arbitrary ß=v/c

$$a_{\rm ff} = rac{N^2}{k_{\rm cc} \cdot m{B}}$$

This is an empirical correction, based on intuition.



Cells which geometric ß <1 are more sensitive to shape errors

# **Optimization of Cell Shape Against Multipacting**

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Before we will look for the correlation between the RF-parameter set and the Geometry of a cavity we need to look at the Multipacting phenomenon.

Multipacting  $\equiv$  a resonant bombardment of the metal wall (ceramics) synchronous with E-H fields, which may develop an avalanche of electrons "consuming" stored energy (cavities) or transmitted energy (waveguides, couplers) in RF devices.

How does this process go?

1-phase: electron is accelerated by the orthogonal to the wall electric field

2-phase: further acceleration and bending of its trajectory towards the wall

3-phase: electron bombs the wall and if impact energy is in a certain region more then 1 electron is emitted from the surface.

Phases 1, 2, 3 repeat which leads to the avalanche of electrons bombarding the wall and dissipation of the E-H energy.

Development of the avalanche is possible if :

- 1. Geometry + power level fulfills resonant condition.
- 2. Secondary electron emission coefficient is > 1.

### **Multipacting on Beam Pipe or Cell**



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Two-point multipacting



Secondary yield of clean Nb surface. Condense gases on the surface may increase secondary yield up to 3 !!!

## **Cell Shaped Suppressed Multipacting**



The phenomena can be very often cured by processing which leads to change of the secondary yield below 1.

*R. Parodi* (1979) presented first **spherical** *C*-band cavity with much less multipacting barrier than other cavities at that time.

*P. Kneisel* (early 80's) proposed for the DESY experiment the elliptical shape of 1 GHz cavity preserving good performance of the spherical one and stiffer mechanically.

# **Optimization of Cell Shape**

We begin with inner cells design because these cells "dominate" parameters of a multi-cell superconducting accelerating structure. **RF parameters summary:** J.Sekutwitz's Slide

$$FM : (R/Q), G, E_{peak}/E_{acc}, B_{peak}/E_{acc}, k_{cc}$$
$$HOM : k_{\perp}, k_{\parallel}.$$

There are 7 parameters we want to optimize for a inner cell Geometry :



There is some kind of conflict <u>7 parameters</u> and only <u>5 variables</u> to "tune"

#### **General Trends of Cavity Optimization on RF Geometrical Parameters**

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Criteria	RF-parameter	Improves when	Cavity examples
Operation at high gradient	$E_{peak} / E_{acc}$ $B_{peak} / E_{acc}$	r <sub>i</sub> Iris, Equator shape	TESLA, HG CEBAF-12 GeV
Low cryogenic losses	(R/Q) G	r <sub>i</sub> Equator shape	LL CEBAF-12 GeV LL- ILC cavity
High I <sub>beam</sub> ↔ Low HOM impedance	k, k /	r <sub>i</sub>	B-Factory RHIC cooling

We see here that  $r_i$  is a very "powerful variable" to trim the RF-parameters of a cavity.

## **Effect of Cavity Aperture**

Why for a smaller aperture  $(r_i)$ 

- (R/Q) is bigger
- $E_{peak}/E_{acc}$ ,  $B_{peak}/E_{acc}$  is lower?





 $E_{z}(z)$  for small and big iris radius

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### **Effect of Cavity Aperture on RF Parameters**



# Effect of Cavity Aperture on B<sub>peak</sub>/E<sub>acc</sub>

In addition to the iris radius :

• B<sub>peak</sub>/E<sub>acc</sub> (and G) changes vs. Equator shape



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# Effect of Cavity Aperture on E<sub>peak</sub>/E<sub>acc</sub>



Both cells have the same: f, (R/Q), and iris radius

## **Prons and Cons of Aperture Effect**

We know that a smaller aperture makes FM :

- (R/Q) higher
- $B_{peak}/E_{acc}$  ,  $E_{peak}/E_{acc}$  lower





but unfortunately a smaller aperture makes:

- HOMs impedances  $(k_{\perp}, k_{\parallel})$  higher
- *cell-to-cell coupling* (*k*<sub>cc</sub>) *weaker*



#### Aperture Effects on $\kappa_{//}$ and $\kappa_{\perp}$ )



 $(R/Q) = 152 \Omega$  $B_{peak} / E_{acc} = 3.5 mT/(MV/m)$  $E_{peak} / E_{acc} = 1.9$ 

 $(R/Q) = 86 \Omega$  $B_{peak} / E_{acc} = 4.6 mT/(MV/m)$  $E_{peak} / E_{acc} = 3.2$ 

## **Aperture Effect on Cell to Cell Coupling (K<sub>CC</sub>)**

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 $(R/Q) = 152 \Omega$  $B_{peak} / E_{acc} = 3.5 mT/(MV/m)$  $E_{peak} / E_{acc} = 1.9$ 

 $(R/Q) = 86 \Omega$  $B_{peak} / E_{acc} = 4.6 mT/(MV/m)$  $E_{peak} / E_{acc} = 3.2$ 

# **Choice of the RF Frequency**

What about accelerating mode frequency of a superconducting cavity?



 $r/q=(R/Q)/l \sim f$ 

# **Frequency Dependence of SRF Surface Resistance**

#### From the formula, we learned before:

$$\frac{P_{dissipated}}{V_{acc}^{2}} \equiv \frac{R_{s}}{G_{acc} \cdot (R/Q)_{acc}}$$
$$P_{dissipated} = \frac{R_{s} \cdot V_{acc}^{2}}{G_{acc} \cdot (r/q)_{acc} \cdot I_{active}}$$

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one obtains:

A higher frequencies would be a good choice to minimize power dissipation in the metal wall when the length 
$$I_{active}$$
 and the final energy  $V_{acc}$  are fixed.

Unfortunately this applies only to room temperature conductors, which  $R_s \sim (f)^{1/2}$ .

For superconductors like Nb:

$$R_{s}(f) = R_{res} + R_{BCS} = R_{res} + 0.0002 \cdot \frac{1}{T} \cdot (\frac{f[GHz]}{1.5})^{2} \cdot \exp(-\frac{17.67}{T})$$

and increase of R<sub>s</sub> for higher f must be compensated with lower temperature T.

This is why ILC (1.3GHz) will operate at 2K, and HERA (0.5GHz) and LEP (0.352GHz) can (could) operate at 4.2 K

The inner cell geometry was optimize with respect to: low  $E_{peak}/E_{acc}$  and coupling  $k_{cc}$ .

At that time (1992) the field emission phenomenon and field flatness were of concern, no one was thinking about reaching the magnetic limit.

$f_{\pi}$	[MHz]	1300.0
r <sub>iris</sub>	[mm]	35
k <sub>cc</sub>	[%]	1.9
$E_{peak}/E_{acc}$	-	<i>1.98</i>
$B_{peak}/E_{acc}$	[mT/(MV/m)]	4.15
R/Q	[ Ω]	113.8
G	[ Ω]	271
<i>R/Q*G</i>	[ <i>Ω</i> * <i>Ω</i> ]	30840



Inner cell; Contour of E field

|--|

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Evamplas o	f Innor col	le				<b>5.5CRUEWIZ S BILL</b>			
		13	new	II II New II				new	new
		CEBAF Original Cornell <b>ß</b> =1	CEBAF -12 High Gradient <b>ß</b> =1	CEBAF -12 Low Loss <b>ß</b> =1	TESLA <b>ß</b> =1	SNS <b>B</b> =0.61	SNS <b>B</b> =0.81	RIA ß=0.47	RHIC Cooler ß=1
$f_o$	[MHz]	1448.3	1468.9	1475.1	1278.0	792.8	792.8	793.0	683.0
$f_{\pi}$	[MHz]	1497.0	1497.0	1497.0	1300.0	805.0	805.0	805.0	703.7
k <sub>cc</sub>	[%]	3.29	1.89	1.49	1.9	1.52	1.52	1.52	2.94
$E_{peak}/E_{acc}$	-	2.56	1.96	2.17	1.98	2.66	2.14	3.28	1.98
$B_{peak}/E_{acc}$	[mT/(MV/m)]	4.56	4.15	3.74	4.15	5.44	4.58	6.51	5.78
R/Q	[ <i>Ω</i> ]	96.5	112	128.8	113.8	49.2	83.8	28.5	80.2
G	[ <i>Ω</i> ]	273.8	266	280	271	176	226	136	225
<i>R/Q*G</i>	[ <i>\$\Omega\$</i> * <i>\$\Omega</i> ]	26421	29792	36064	30840	8659	18939	3876	18045
$k_{\perp}(\sigma_z=1mm)$	[V/pC/cm <sup>2</sup> ]	0.22	0.32	0.53	0.23	0.13	0.11	0.15	0.02
$k_{l}(\sigma_{z}=1mm)$	[V/pC]	1.36	1.53	1.71	1.46	1.25	1.27	1.19	0.85

# **3.5 High Gradient Cavity Shape**



# **High Gradient Shapes**

## **Cavity shape designs with low Hp/Eacc**

TTF: TESLA shape Reentrant (RE): Cornell Univ. Low Loss(LL): JLAB/DESY Ichiro-Single(IS): KEK		<b>TTF</b> 1992	LL 2002/2	- F 2004 20	RE 102
		TESLA		RE	IS
	Diameter [mm]	70 60		66	61
	Ep/Eacc	2.0	2.36	2.21	2.02
	Hp/Eacc [Oe/MV/m]	42.6	36.1	37.6	35.6
	R/Q [W]	113.8	133.7	126.8	138
	G[W]	271	284	277	285
	Eacc max	41.1	48.5	46.5	49.2

from J.Sekutowicz lecture Note

### Eacc vs. Year

#### 2<sup>nd</sup> Breakthrough! 70 1<sup>st</sup> Breakthrough! RE, LL, IS shape 60 New Shape **High pressuer** water rinsing (HPR) 50 Eacc,max [MV/m] (·) **40 Electropolshing(EP)** 30 + HPR + 120<sup>o</sup>C Bake 20 **Chemical Polishing** 10, '91 '93 '95 '97 '03 '05 '00 **'07** '99 Date [Year]

#### Comparison of DESY and KEK single results



Using DESY/ Detlef Reschke's data.

# **3.6 Criteria for Multi-cell Structures**

Single-cell is attractive from the RF-point of view:

- Easier to manage HOM damping
- No field flatness problem.
- Input coupler transfers less power
- Easy for cleaning and preparation
- But it is expensive to base even a small linear accelerator on the single cell. We do it only for very high beam current machines.

A multi-cell structure is less expensive and offers higher real-estate gradient but:

- Field flatness (stored energy) in cells becomes sensitive to frequency errors of individual cells
- Other problems arise: HOM trapping...

How to decide the number of cells ?



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# **RF Structure Simulation**

Currently full 3D analysis is possible using cords Omega or ANALIS, example SLAC, KEK



# **Pros and Cons for Multi-cell Structure**

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Cost of accelerators is lower (less auxiliaries: LHe vessels,

tuners, fundamental power couplers, control electronics)

- Higher real-estate gradient (better fill factor)
- Field flatness vs. N
- HOM trapping vs. N
- Power capability of fundamental power couplers vs. N
- Chemical treatment and final preparation become more complicated
- The worst performing cell limits whole multi-cell structure



## Solution for j-th cell in m-th mode

 $\pi$ -mode

$$v = \frac{1}{\sqrt{N}} [1, -1, 1, -1, \cdots]$$
 General equation  

$$\begin{bmatrix} 1+k+\gamma & -k & 0 & \cdots & 0 \\ -k & 1+2k & -k & \cdots & 0 \\ 0 & \ddots & & 0 \\ \vdots & -k & 1+2k & -k \\ 0 & \cdots & 0 & -k & 1+2k & -k \\ 0 & \cdots & 0 & -k & 1+2k & -k \\ 0 & \cdots & 0 & -k & 1+2k & -k \\ 0 & \cdots & 0 & -k & 1+3k \end{bmatrix} \begin{bmatrix} I_1 \\ I_2 \\ \vdots \\ I_{N-1} \\ I_N \end{bmatrix} = \Omega^{(N)} \begin{bmatrix} 1 \\ -1 \\ \vdots \\ \vdots \\ I_{N-1} \\ I_N \end{bmatrix}$$

$$1 + 2k + \gamma = \Omega^{(N)}$$
  
-1-4k = -\Omega^{(N)} \rightarrow \gamma = 2k

$$v_{j}^{(m)} = B^{(m)} \sin \left[ m\pi \left( \frac{2j-1}{2N} \right) \right], \quad B^{(m)} = \sqrt{\frac{2-\delta_{m,N}}{N}}$$
$$m = \text{m-th mode},$$
$$j = j\text{-th cell in mode m-th}$$

# **Field distribution in pass-bands**



### Phase Advance per 9-Cell Cavity in Pass-Band Modes of TM<sub>010</sub>



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# **Pass-Band Modes Frequency in 9-Cell Cavity**



When N is infinite,  $V_g=0$  @  $\pi$ -mode. It means no energy flow between cells. At large N, beam acceleration becomes unstable because less energy flow between cells.



### **TM**<sub>010</sub> Pass-Band Modes Frequency in 9-Cell Cavity

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# **Field Flatness Sensitivity Factor vs. N** J.Sekutwitz's Slide RF HOM Beam pipe has no acceleration beam. **BP** reduce the efficiency. N: number of cells Multi-cell is more efficient. **Field flatness factor :** $a_{ff} = \frac{N^2}{k_{cc}}$ $f_{\pi}$ **Cell to cell coupling :** $k_{cc} = 2 \cdot \frac{f_{\pi} - f_0}{f_{\pi} + f_0}$ $\omega = f_{\pi/N}$ $n_0$ $n_{\pi}$

# **Effect of N on Field Flatness Sensitivity Factor**

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#### Field flatness vs. N

		ieni   Loss		<b>B</b> =0.61	<b>ß</b> =0.81	<b>B=0.47</b>	
	N=5 $N=$	7 N=7	N=9	N=6	N=6	N=6	N=5
year 1	1982 200	2002	1992	2000	2000	2003	2003
	1 <b>489</b> 259	2 3288	4091	3883	2924	5040	850
					-		

Many years of experience with: heat treatment, chemical treatment, handling and assembly allows one to preserve tuning of cavities, even for those with bigger N and weaker  $k_{cc}$ 

For the TESLA cavities: field flatness is better than 95 %

# **HOM Issue with Multi-Cell Structure**

HOM couplers limit RF-performance of sc cavities when they are placed on cells no E-H fields at HOM couplers positions, which J.Sekutwitz's Slide are always placed at end beam tubes

The HOM trapping mechanism is similar to the FM field profile unflatness mechanism:

- → weak coupling HOM cell-to-cell, k<sub>cc,HOM</sub>
- ✤ difference in HOM frequency of end-cell and inner-cell

f = 2385 MHz





f = 2415 MHz

#### **HOM Modes in Single Cell Cavity**



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Smaller number of cells is easy to take out HOMs.

## **Capable Input Power Dependence on N**

• Power capability of fundamental power couplers vs. N

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When  $I_{beam}$  and  $E_{acc}$  are specified and a superconducting multi-cell structure does not operate in the energy recovery mode:

The Q<sub>ext</sub> of the FPC, which usually is << than intrinsic Qo, is:

$$Q_{ext} \cong \frac{E_{acc} \cdot \beta \cdot \lambda \cdot N}{I_{beam} \cdot (R/Q)_{cell} \cdot N} = \underbrace{E_{acc} \cdot \beta \cdot \lambda}_{I_{beam} \cdot (R/Q)_{cell}} = \underbrace{\frac{\omega_{acc} \cdot W_{onecell} \cdot N}{1 \int E_{acc} \times H_{acc} ds}}_{S_{inputport}}$$
Independent of N
It must be ~ N to keep the ratio constant
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## Adjustment of End-Cells

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The geometry of end-cells differs from the geometry of inner cells due to the attached beam tubes



Their function is multi-folded and their geometry must fulfill three requirements:

- ✤ field flatness and frequency of the accelerating mode
- field strength of the accelerating mode at FPC location enabling operation with matched Qext
- ✤ fields strength of dangerous HOMs ensuring their required damping by means of HOM couplers or/and beam line absorbers.

All three make design of the end-cells more difficult than inner cells.

The cavity was designed in 1992 (A. Mosnier, D. Proch and J.S.).





TTF 9-cells; Contour of E field

f <sub>n</sub>	[MHz]	1300.00
f <sub>π-1</sub>	[MHz]	1299.24
R/Q	[ Ω]	1012
G	[ Ω]	271
Active length	[mm]	1038

# **3.7 Example of SRF Cavities**

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### Cavities operating with highest $I_{beam}$ or $E_{acc}$

Type /No. of cavities			P <sub>beam</sub> /cavity [kW]	P <sub>HOM</sub> /cavity [kW]
KEK-B 0.5 GHz	Single- 8 with m I <sub>beam</sub>	cell ax d	350	16
HERA 0.5 GHz	Multi-c with m I <sub>beam</sub>	eell ax 180 bunches cw	60	0.13
TTF-I, 1.3 GHz	Multi-c 1 with m E <sub>acc</sub>	eell E <sub>acc</sub> = 35 MV/m ax 1.3ms/pulse 1Hz PRF	~100 Almost no beam loading	0

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Cavities which will operate with high  $I_{beam}$  in the near future

Type /No. cavities				P <sub>beam</sub> /cavity [kW]	P <sub>HOM</sub> /cavity [kW]
SNS ß= 0.61, 0.805 GHz	x 33	Multi-cell with max I <sub>beam</sub>	I <sub>beam</sub> =38 (59 ) mA 1.3ms/pulse DF = 6 %	240 (366)	0.06 peak
SNS ß= 0.805 GHz	x 48			482	0.06 peak
TTF-II ep , 1.3 GHz	x 8	Multi-cell with max E <sub>acc</sub>	E <sub>acc</sub> = 35 MV/m 1.3ms/pulse 10Hz PRF	146	< 0.02>