

# SRF LIMITATIONS

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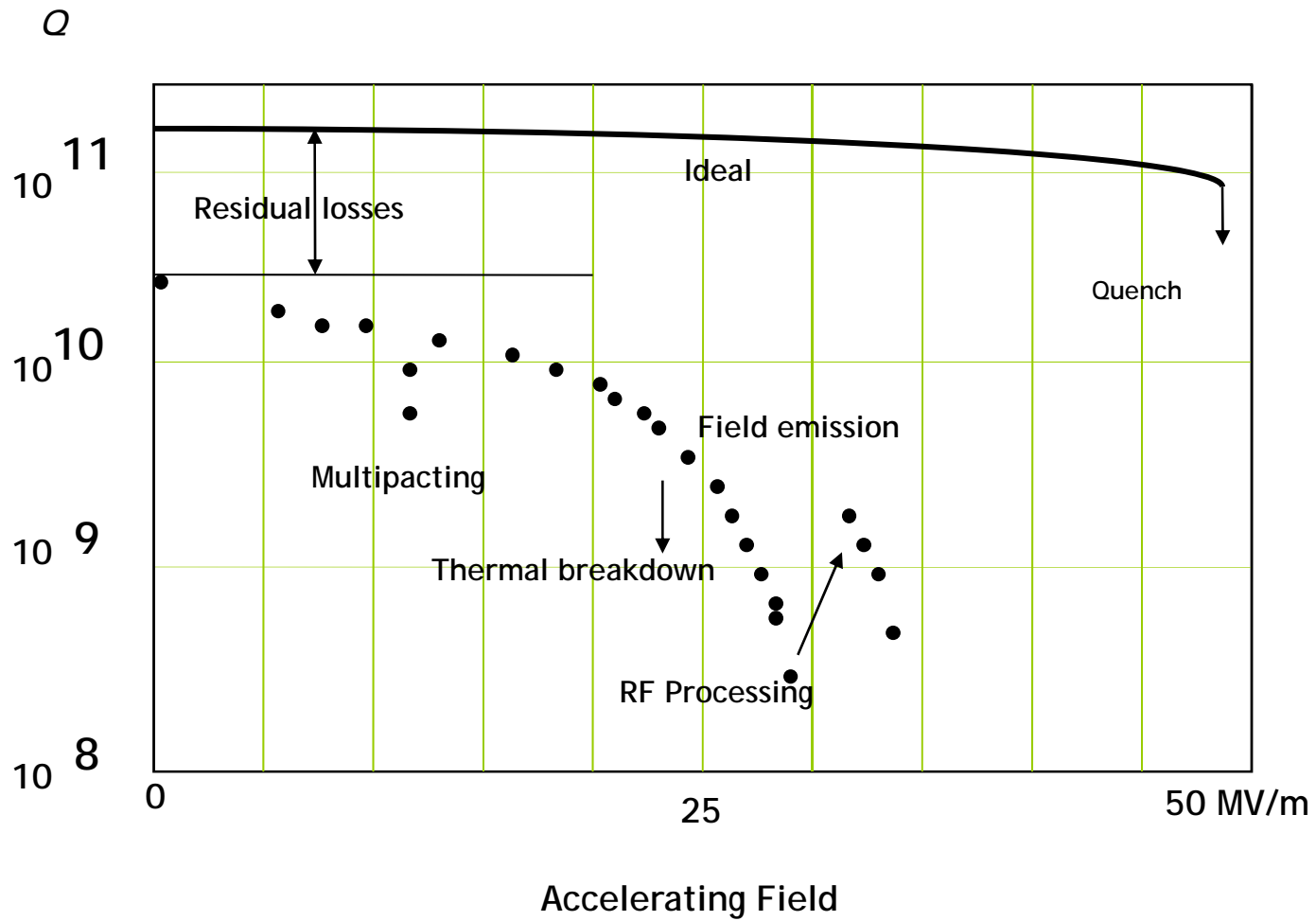
**Center for Accelerator Science  
Old Dominion University  
and**

**Thomas Jefferson National Accelerator Facility**

# Outline

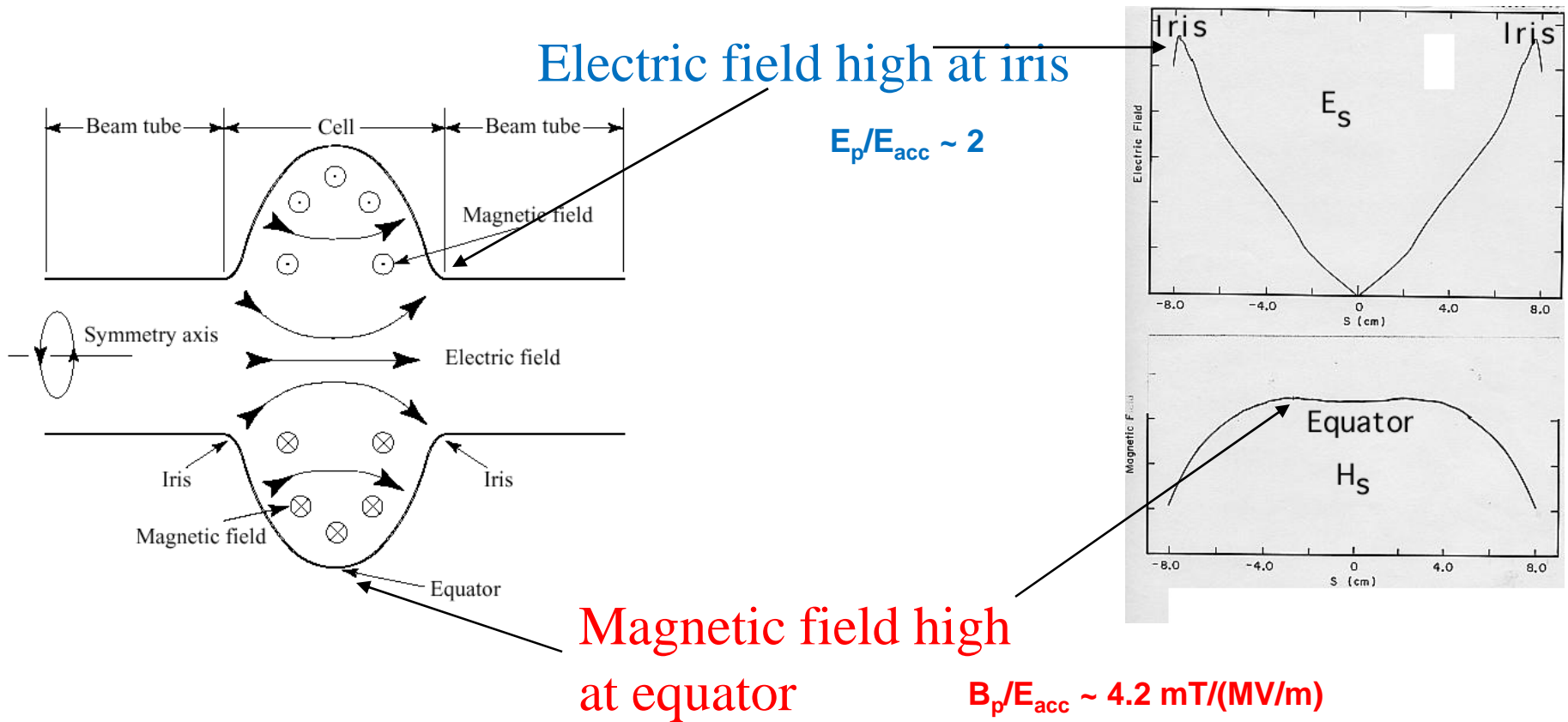
- Residual resistance
- Multipacting
- Field emission
- Quench
- High-field Q-slope

# The Real World



# Losses in SRF Cavities

- Different loss mechanisms are associated with different regions of the cavity surface



# Characteristics of Residual Surface Resistance

- No strong temperature dependence
- No clear frequency dependence
- Not uniformly distributed (can be localized)
- Not reproducible
- Can be as low as 1 n $\Omega$
- Usually between 5 and 30 n $\Omega$
- Often reduced by UHV heat treatment above 800C

# Origin of Residual Surface Resistance

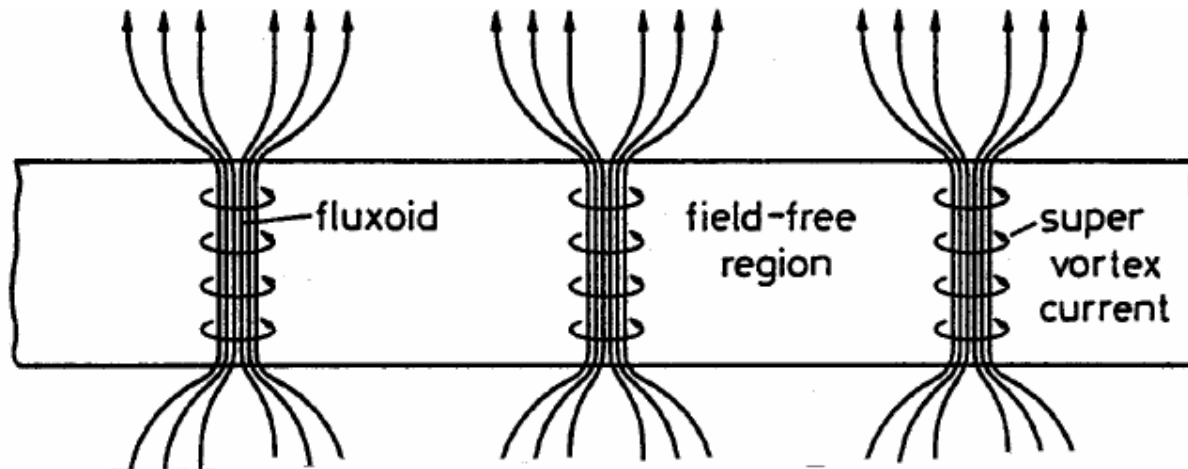
- Dielectric surface contaminants (gases, chemical residues, dust, adsorbates)
- Normal conducting defects, inclusions
- Surface imperfections (cracks, scratches, delaminations)
- Trapped magnetic flux
- Hydride precipitation
- Localized electron states in the oxide (photon absorption)

**$R_{res}$  is typically 5-10 n $\Omega$  at 1-1.5 GHz**

# Trapped Magnetic Field

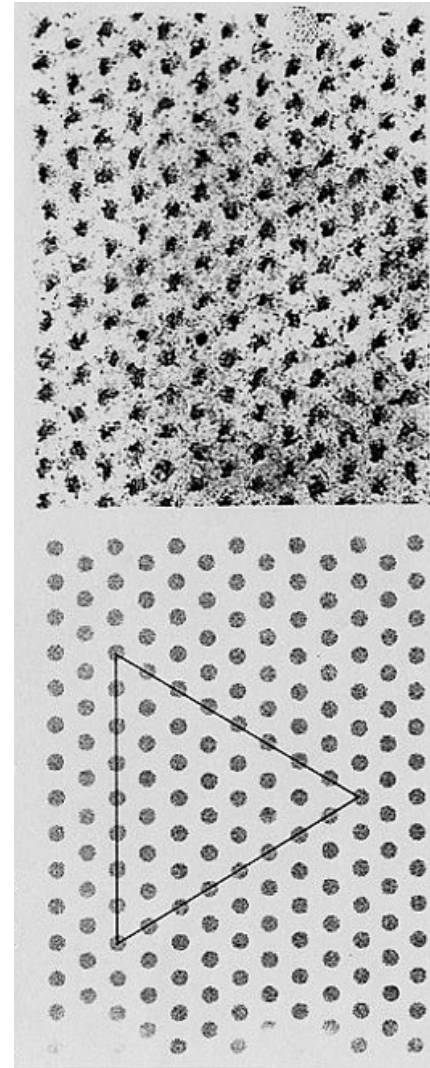
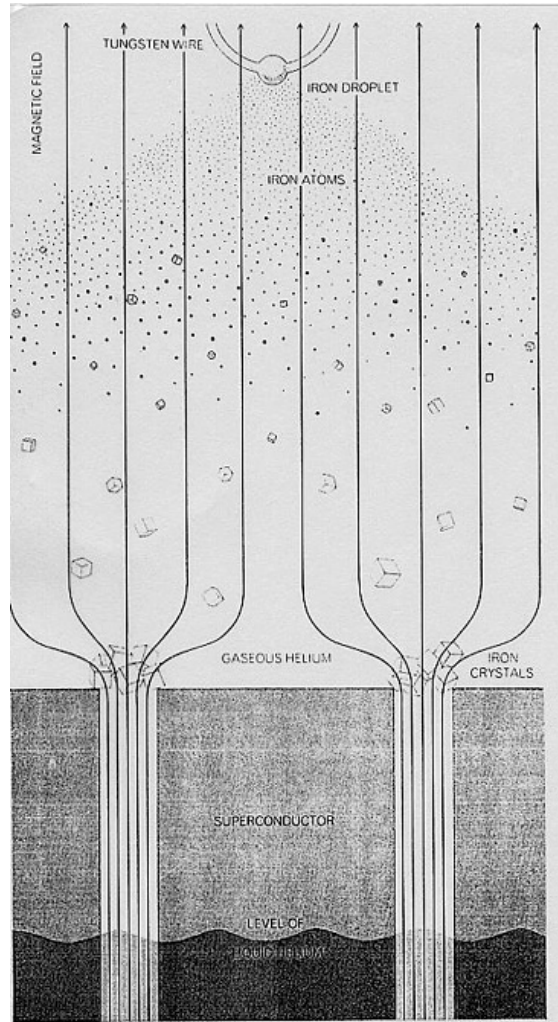
A parallel magnetic field is expelled from a superconductor.

What about a perpendicular magnetic field?



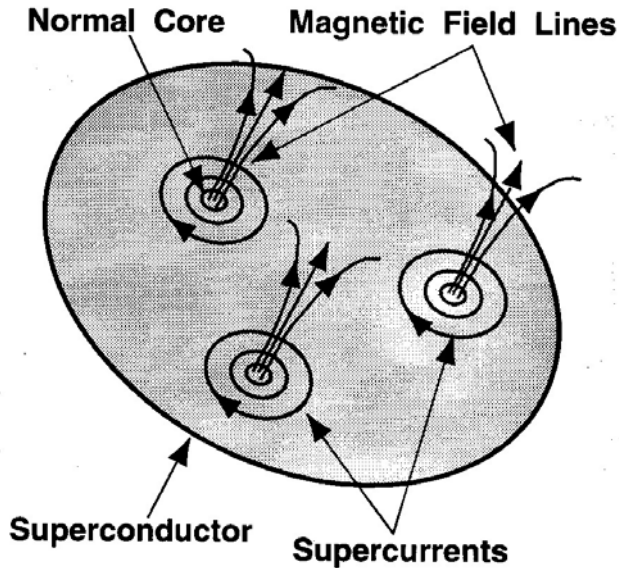
The magnetic field will be concentrated in normal cores where it is equal to the critical field.

# Trapped Magnetic Field





# Trapped Magnetic Field



- Vortices are normal to the surface
- 100% flux trapping
- RF dissipation is due to the normal conducting core, of resistance  $R_n$

$$R_{res} \cong R_n \frac{H_i}{H_{c2}}$$

$H_i$  = residual DC magnetic field

- For Nb:  $R_{res} \approx 0.3$  to  $1$  nΩ/mG around 1 GHz

**Depends on material treatment**

- While a cavity goes through the superconducting transition, the ambient magnetic field cannot be more than a few mG.
- The earth's magnetic field must be effectively shielded.
- Thermoelectric currents can cause trapped magnetic field, especially in cavities made of composite materials.

# Trapped Magnetic Field

A fraction  $H / H_c$  of the material will be in the normal state.

This will lead to an effective surface resistance  $\rho_n (H / H_c)$

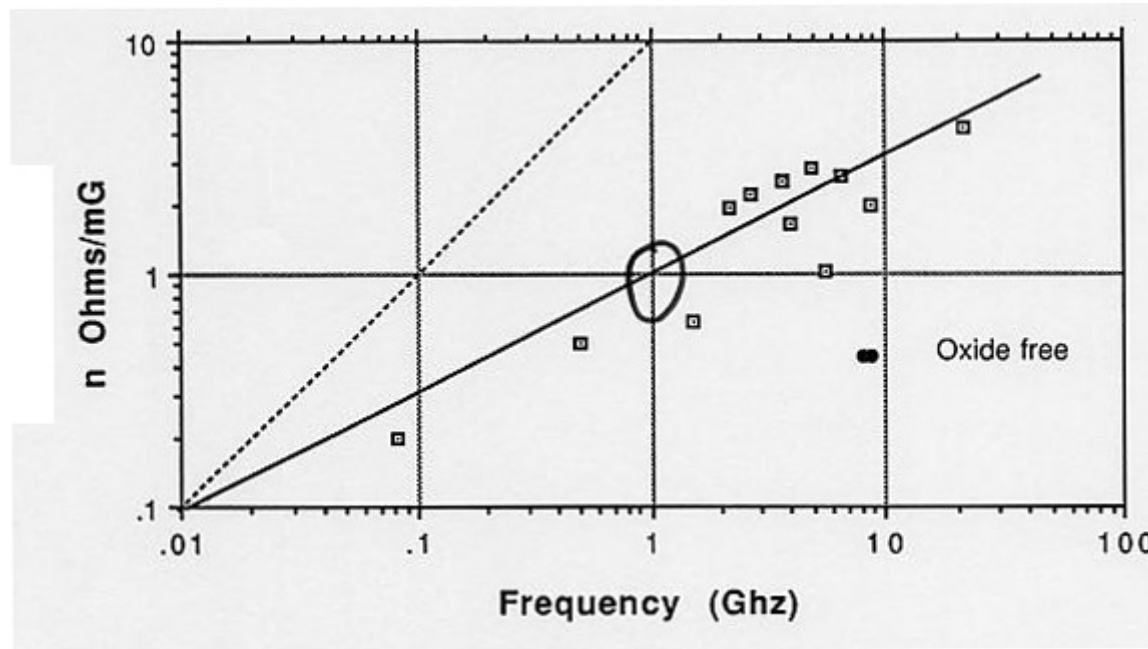
For Nb:  $\rho_{eff} \approx 0.5$  to  $1 \text{ n}\Omega/\text{mG}$  around  $1 \text{ GHz}$

While a cavity goes through the superconducting transition, the ambient magnetic field cannot be more than a few mG.

The earth's magnetic field must be effectively shielded.

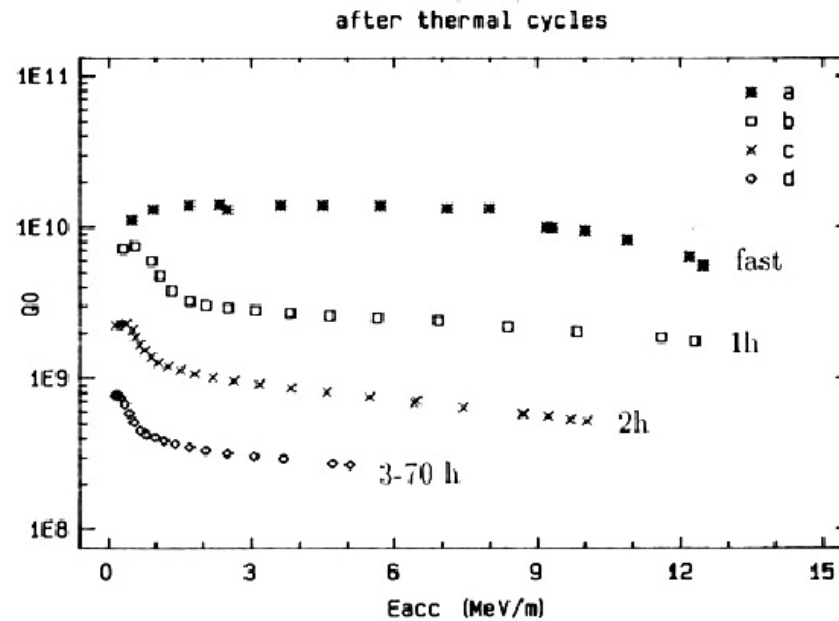
In cavities made of composite materials, thermoelectric currents can cause trapped magnetic field.

# Trapped Magnetic Field



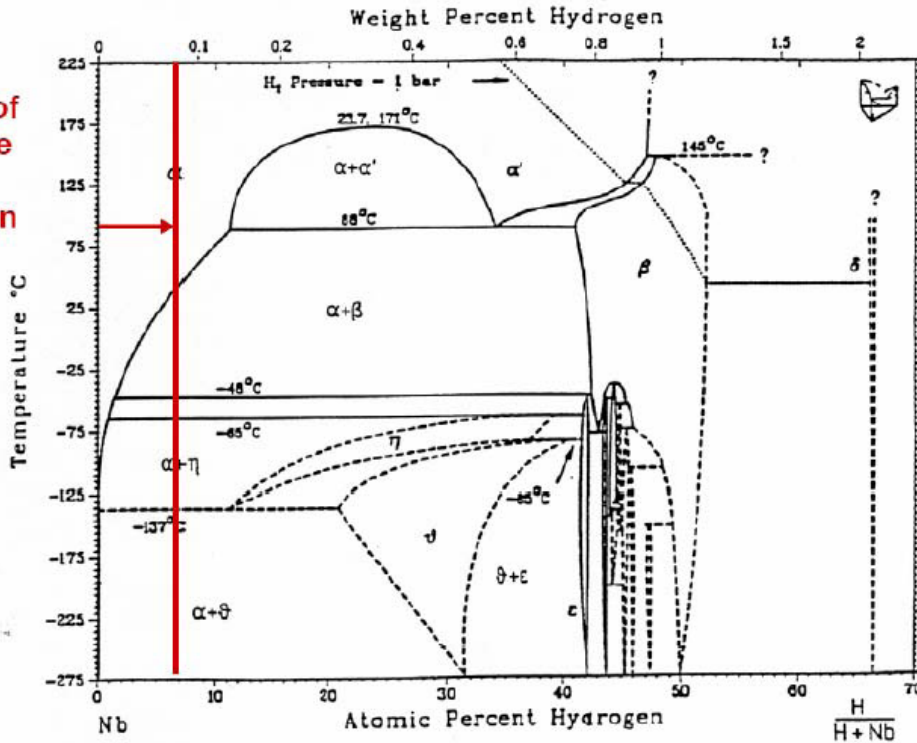
# $R_{res}$ Due to Hydrides (Q-Disease)

- Cavities that remain at 70-150 K for several hours (or slow cool-down, < 1 K/min) experience a sharp increase of residual resistance
- More severe in cavities which have been heavily chemically etched



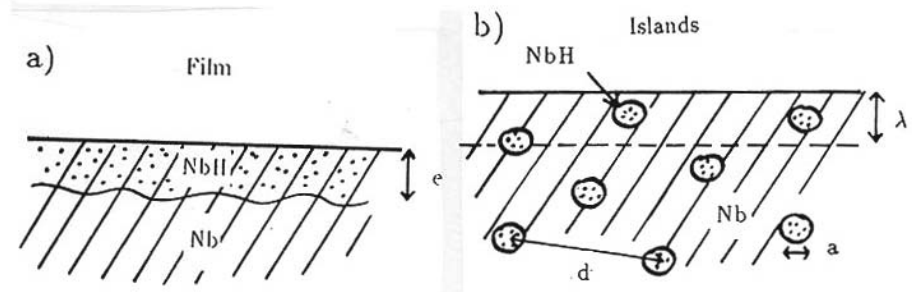
# Hydrogen: “Q-disease”

Range of possible H pollution



- H is readily absorbed into Nb where the oxide layer is removed (during chemical etching or mechanical grinding)
- H has high diffusion rate in Nb, even at low temperatures.
- H precipitates to form a hydride phase with poor superconducting properties:

- At room temperature the required concentration to form a hydride is  $10^3$ - $10^4$  wppm
- At 150K it is < 10 wppm



# Cures for Q-disease

- Fast cool-down
- Maintain acid temperature below  $\sim 20\text{ }^{\circ}\text{C}$  during BCP
- “Purge”  $\text{H}_2$  with  $\text{N}_2$  “blanket” and cover cathode with Teflon cloth during EP
- “Degas” Nb in vacuum furnace at  $T > 600\text{ }^{\circ}\text{C}$

# $Q_0$ Record

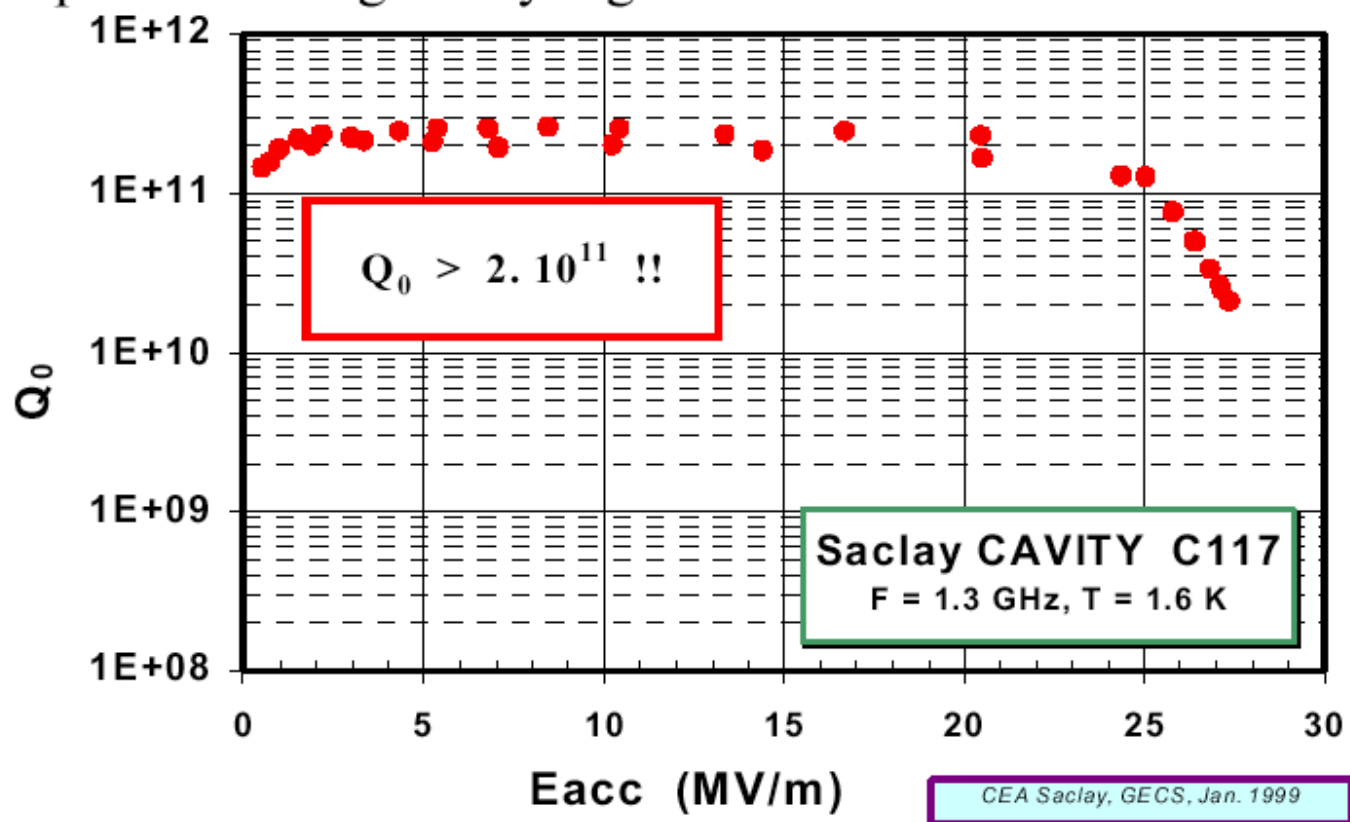
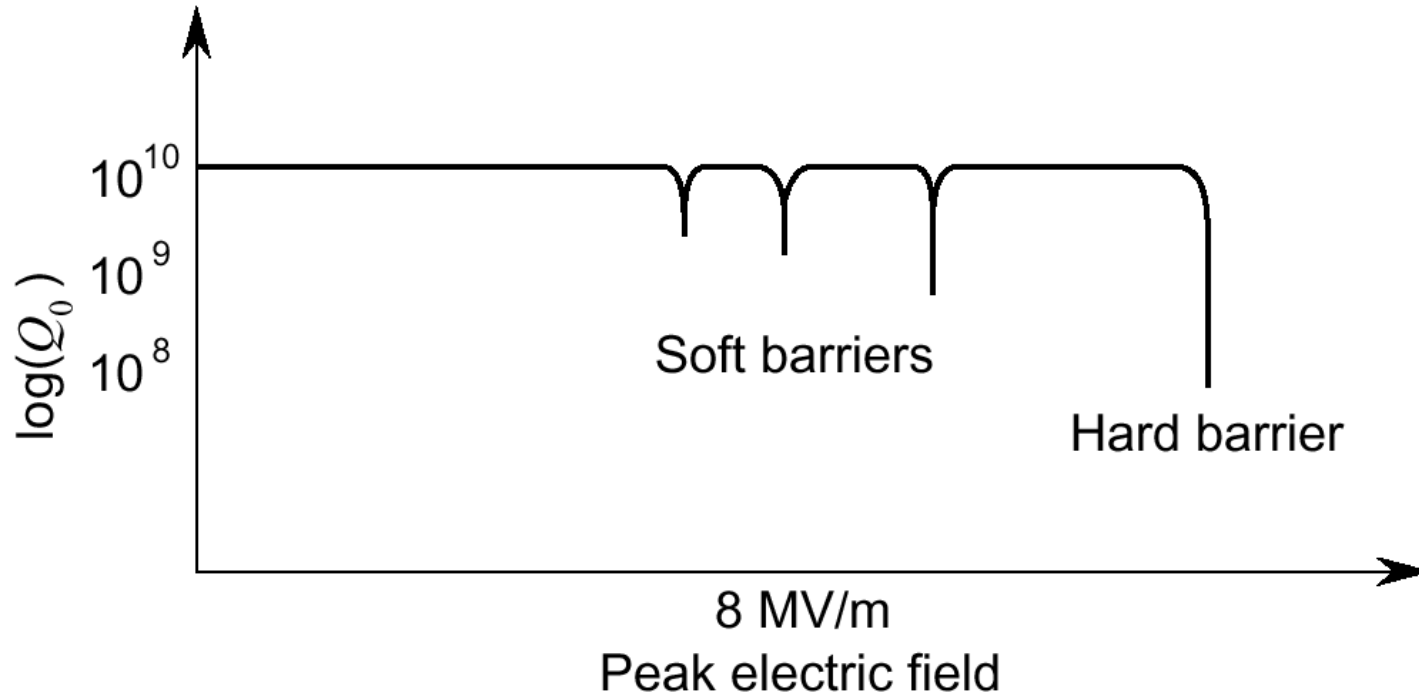
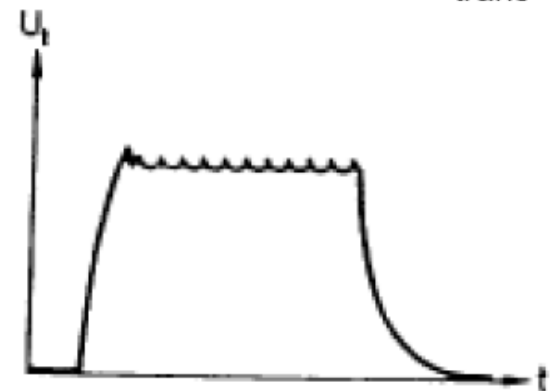


Figure 2 – Residual resistance as low as  $0.5 \text{ n}\Omega$  is actually measured on large area cavities, giving an intrinsic quality factor  $Q_0$  exceeding  $2.10^{11}$ .

# Multipacting



- No increase of  $P_t$  for increased  $P_i$  during MP
- Can induce quenches and trigger field emission





# Multipacting

Multipacting is characterized by an exponential growth in the number of electrons in a cavity

Common problems of RF structures (Power couplers, NC cavities...)

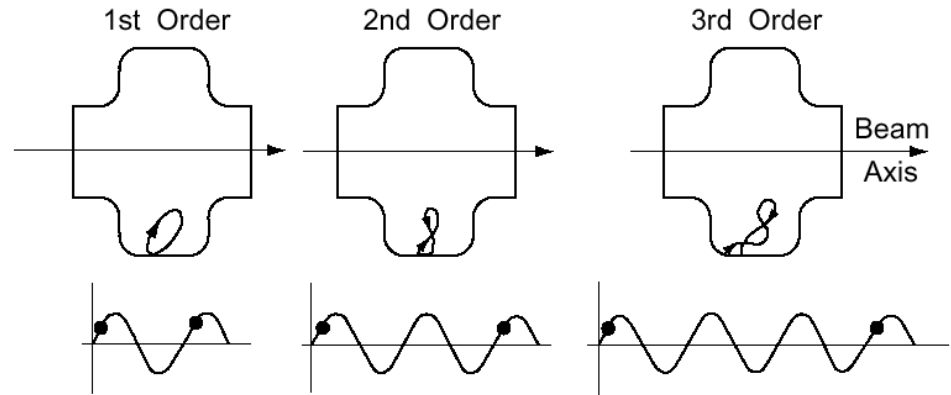
Multipacting requires 2 conditions:

- Electron motion is periodic (resonance condition)
- Impact energy is such that secondary emission coefficient is  $>1$

# One-Point Multipacting

## One-point MP

Cyclotron frequency:  $\omega_c \propto \frac{\mu_0 H e}{m}$



Resonance condition:  
Cavity frequency ( $\omega_g$ ) =  $n$  x cyclotron frequency

$$\omega_g = n\omega_c$$

**$n$ : MP order**

→ Possible MP barriers given by  $H_n \propto \frac{m\omega_g}{n\mu_0 e}$

**+ SEY,  $\delta(K)$ ,  $> 1 = MP$**

The impact energy scales as

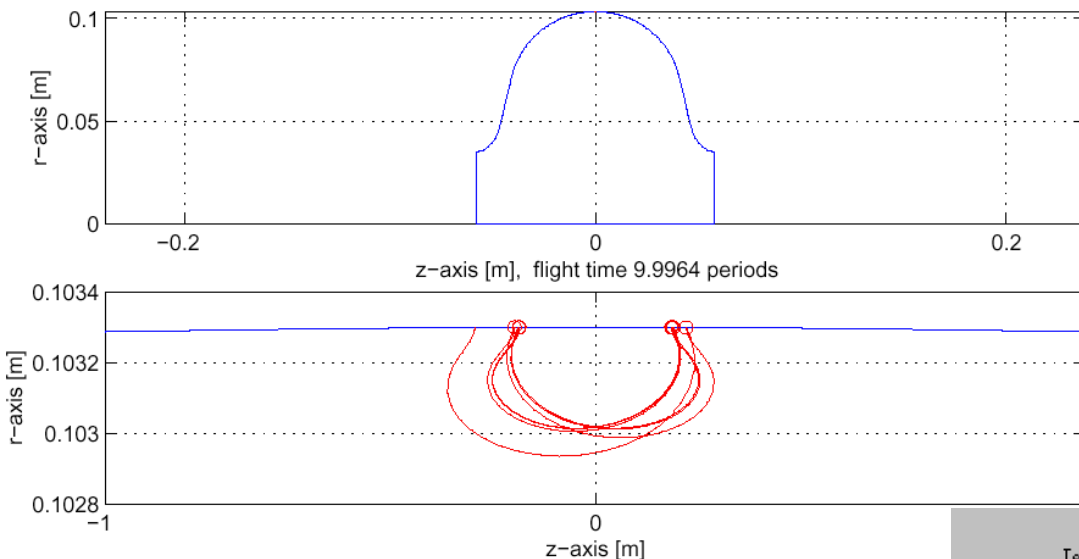
$$K \propto \frac{e^2 E_{\perp}^2}{m\omega_g^2}$$

Empirical formula:

$$H_n [\text{Oe}] = \frac{0.3}{n} f_0 [\text{MHz}]$$

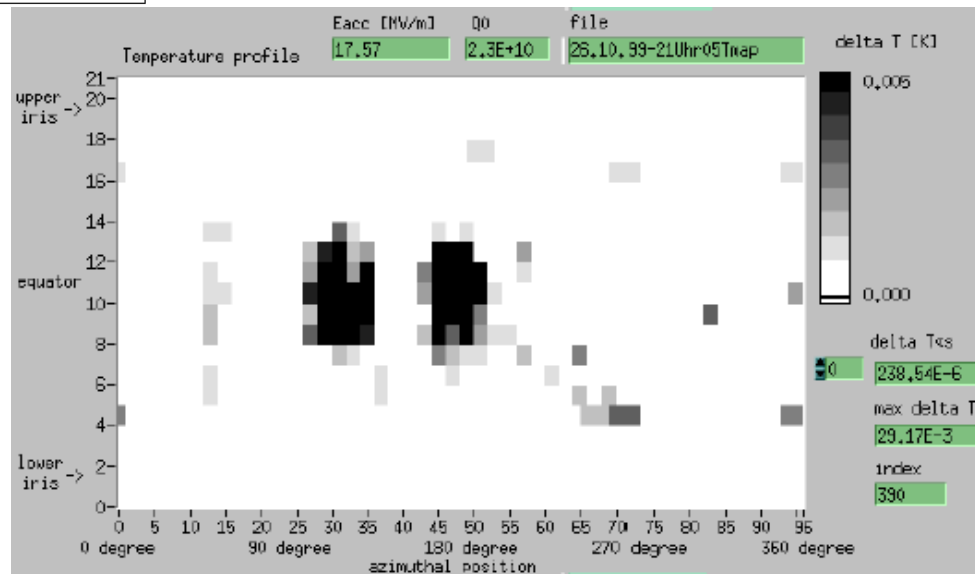
# Two-Point Multipacting

MultiPac 2.1 Electron Trajectory, N = 20, 24-Apr-2002



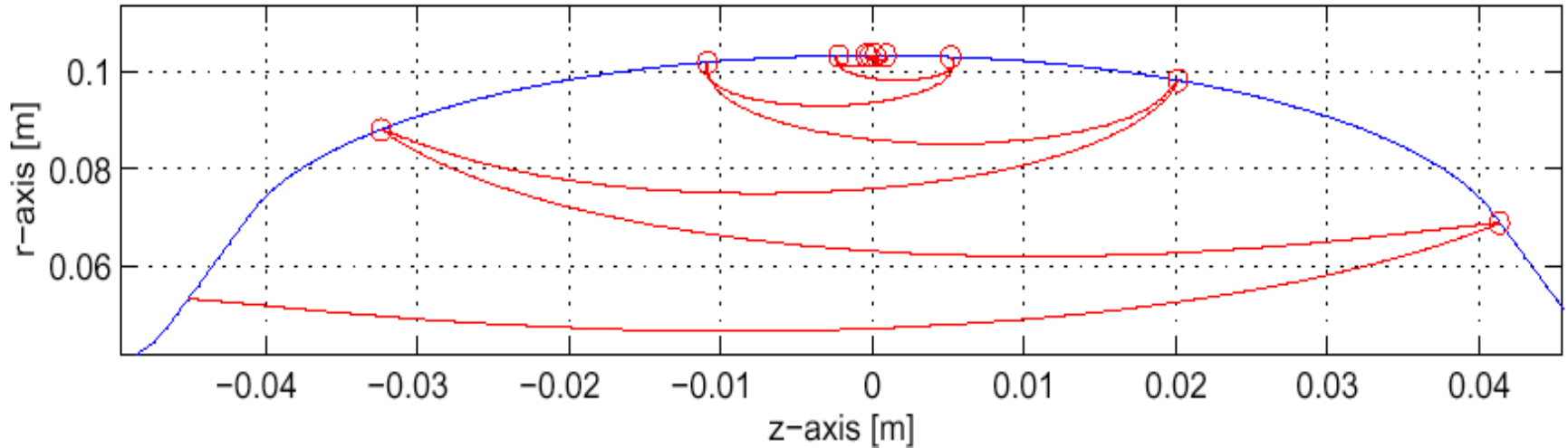
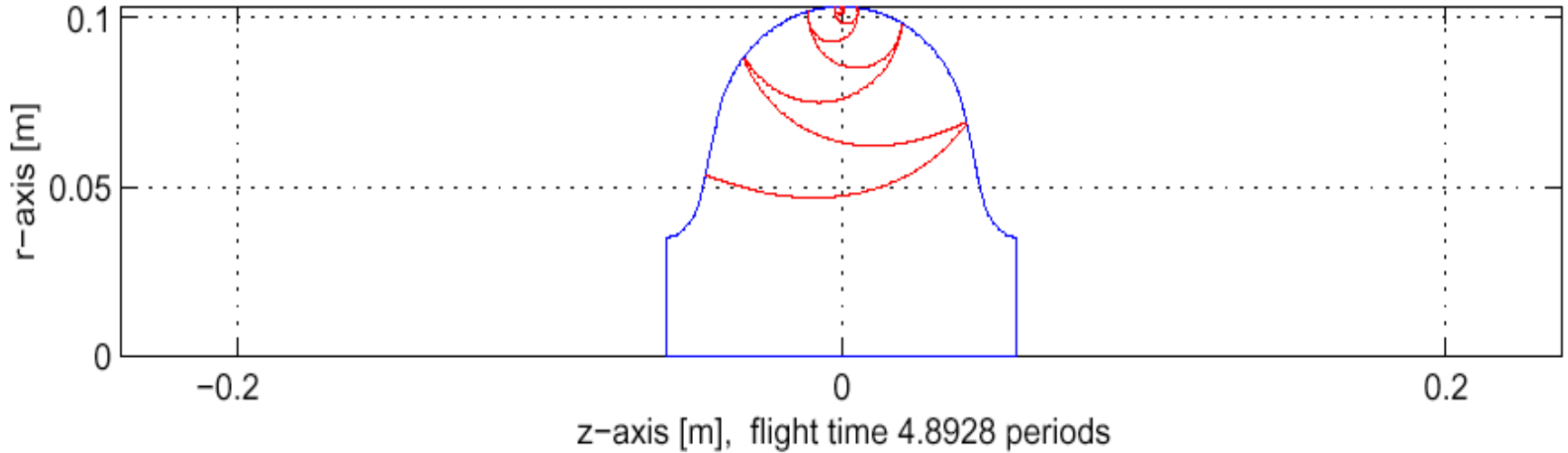
Empirical formula:

$$H_n [\text{Oe}] = \frac{0.6}{2n-1} f_0 [\text{MHz}]$$



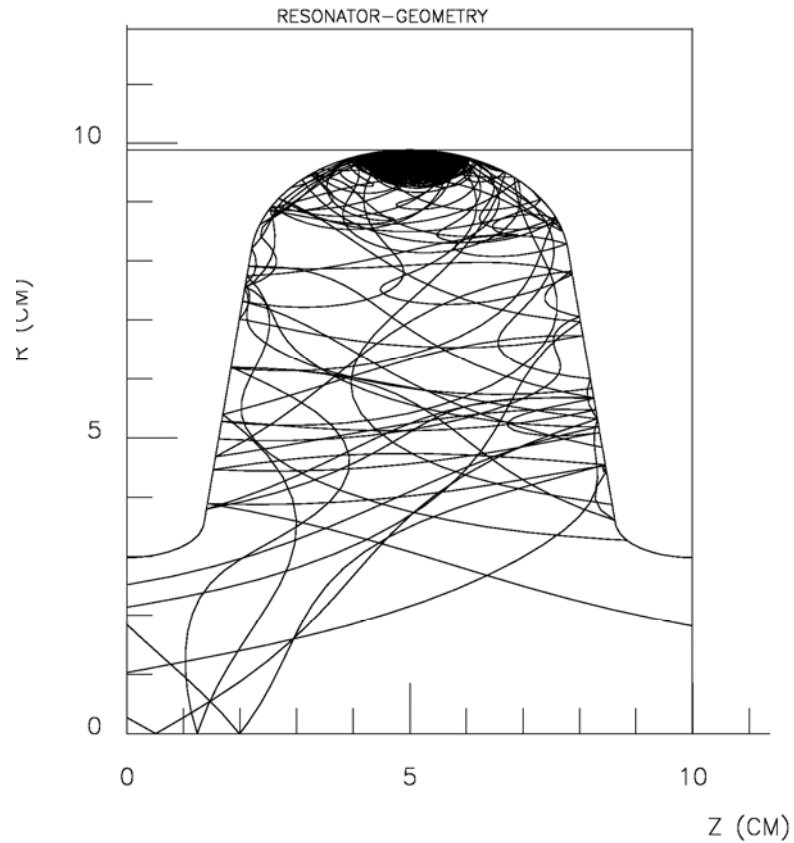
# Two-Side Multipacting

MultiPac 2.1 Electron Trajectory, N = 10, 24-Apr-2002

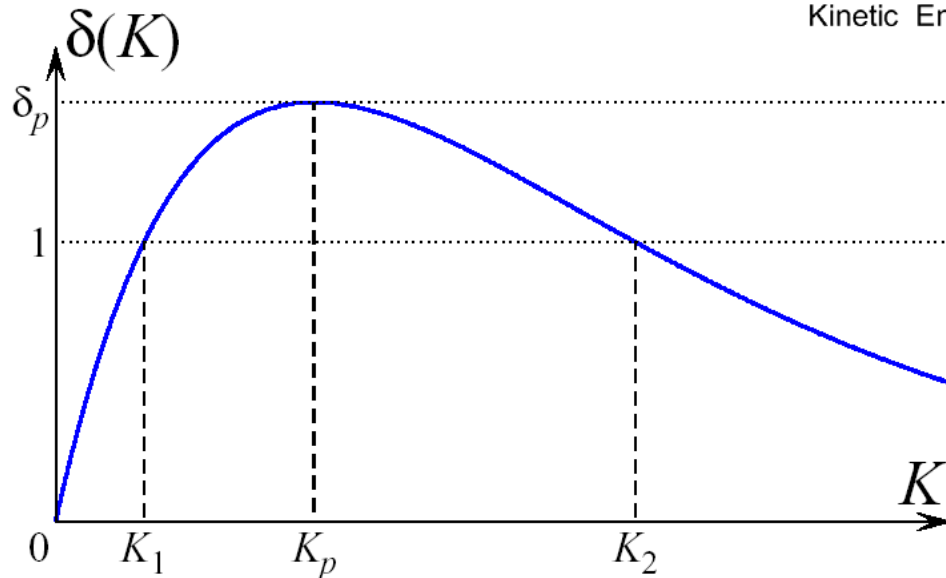
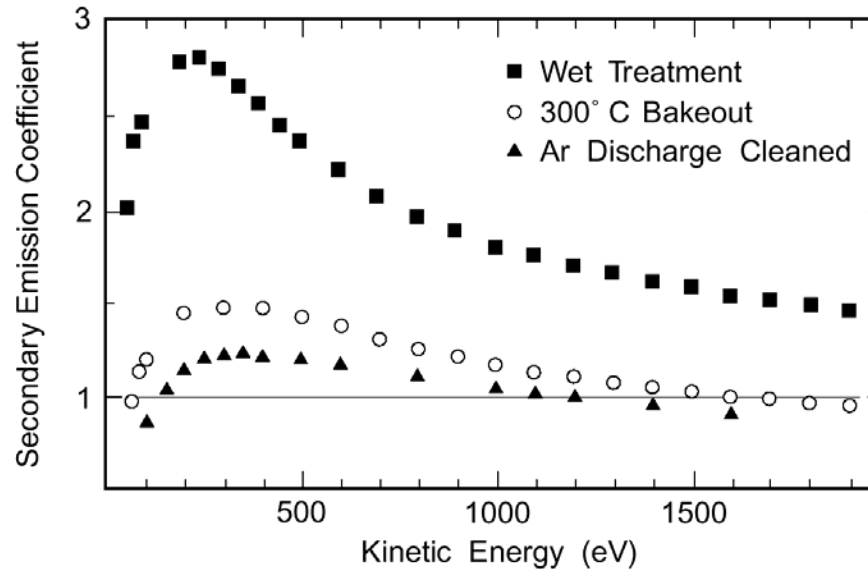


# Multipacting Simulation

TRAJECTORIES #  
EMAX= -14.260 MV/M BMAX= 224.389 GAUSS



# Secondary Emission in Niobium



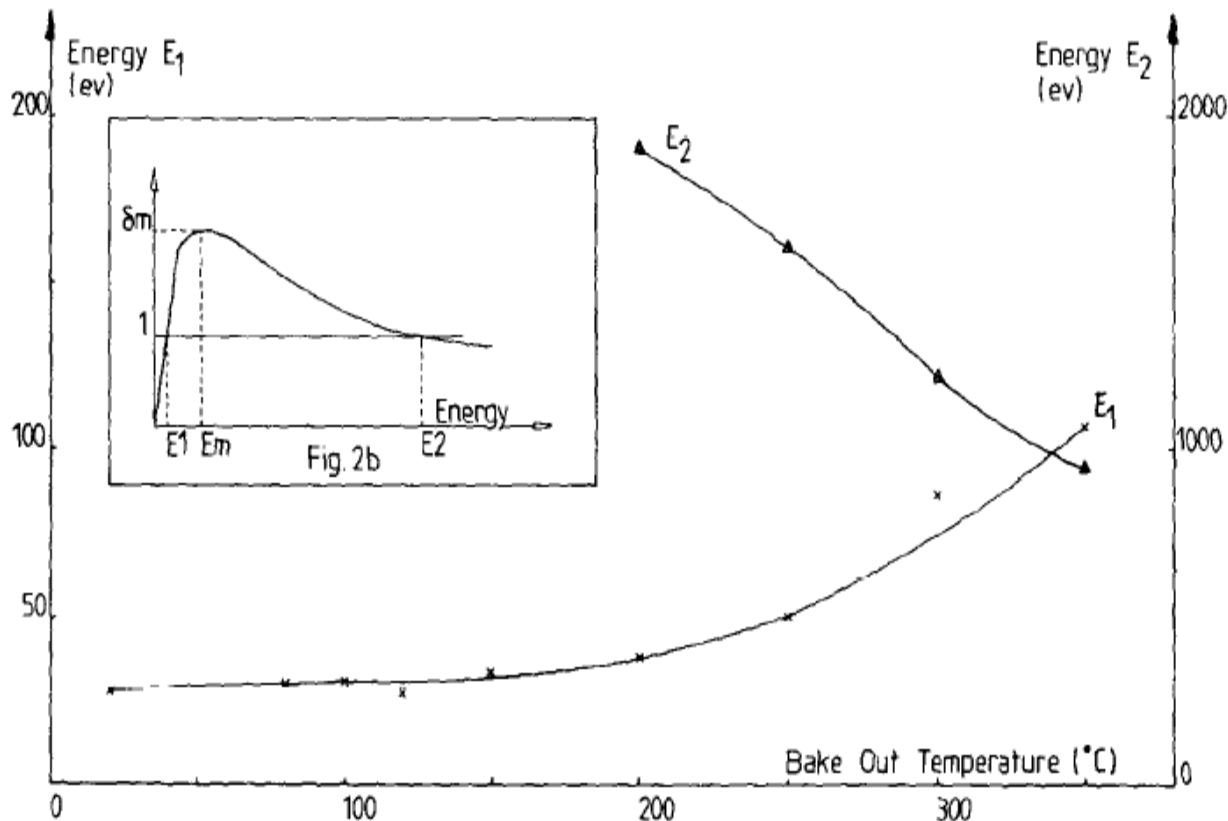
Condition	$K_1$	$K_2$
high SEY	$\sim 27$ eV	$\gtrsim 2000$ eV
typical SEY	$\sim 40$ eV	$\sim 1000$ eV
low SEY	$\sim 150$ eV	$\sim 750$ eV

# Secondary Emission in Niobium

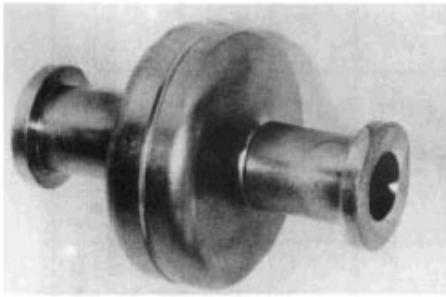
## INFLUENCE OF VARIOUS VACUUM SURFACE TREATMENTS ON THE SECONDARY ELECTRON YIELD OF NIOBIUM

Roger CALDER, Georges DOMINICHINI and Noël HILLERET

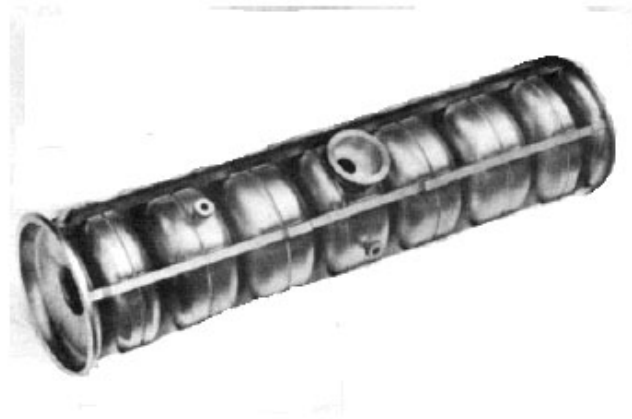
LEP-VA, CERN, 1211 Geneva 23, Switzerland



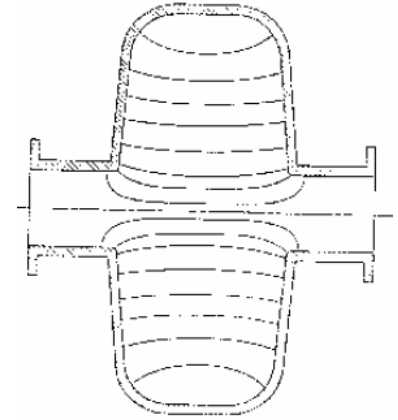
# MP in SRF Cavities



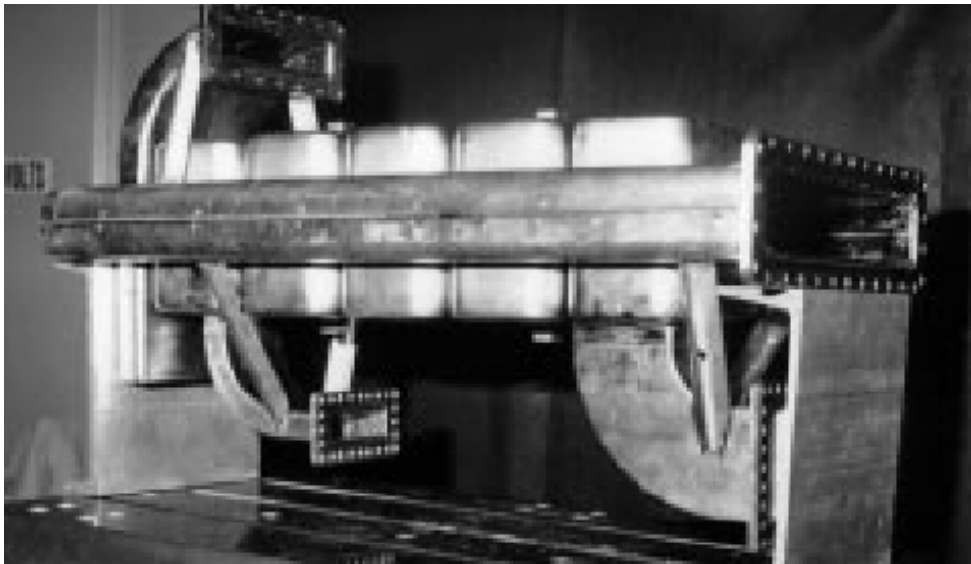
(a)



(b)



“Near pill-box” shape

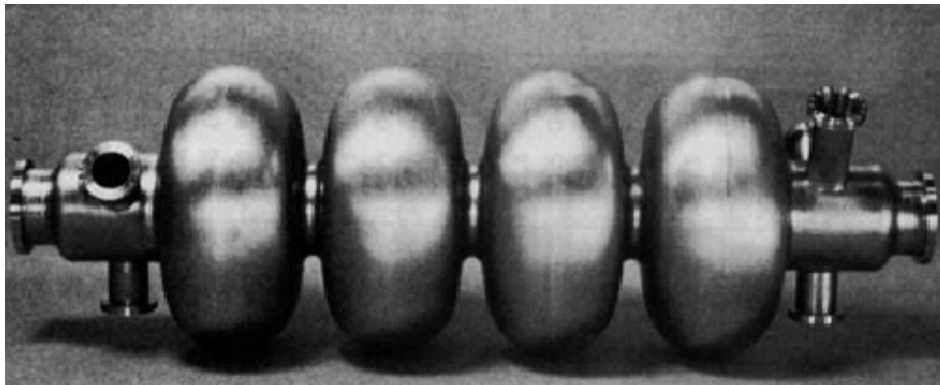


Early SRF cavity geometries (1960s-'70s) frequently limited by multipacting, usually at  $< 10$  MV/m

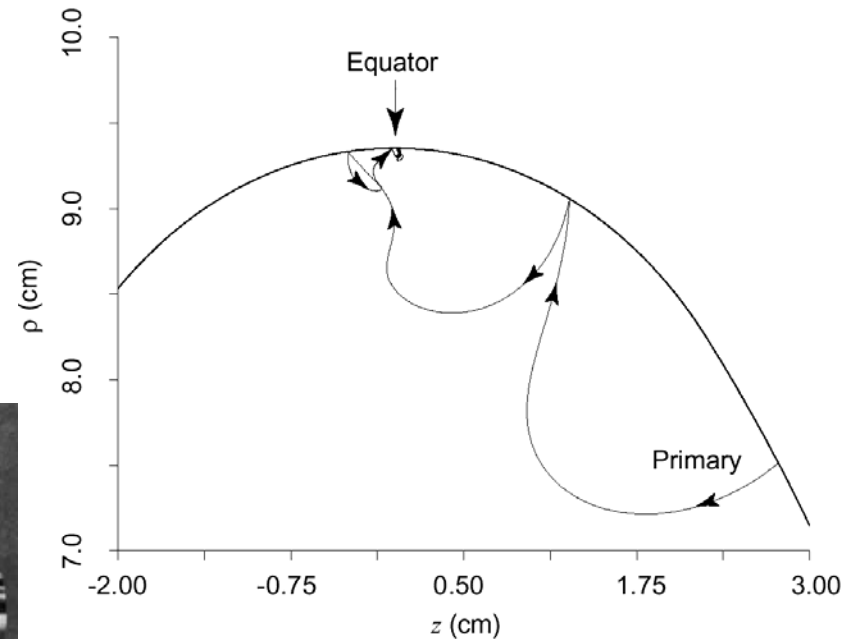


# MP in SRF Cavities

“Elliptical” cavity shape (1980s)



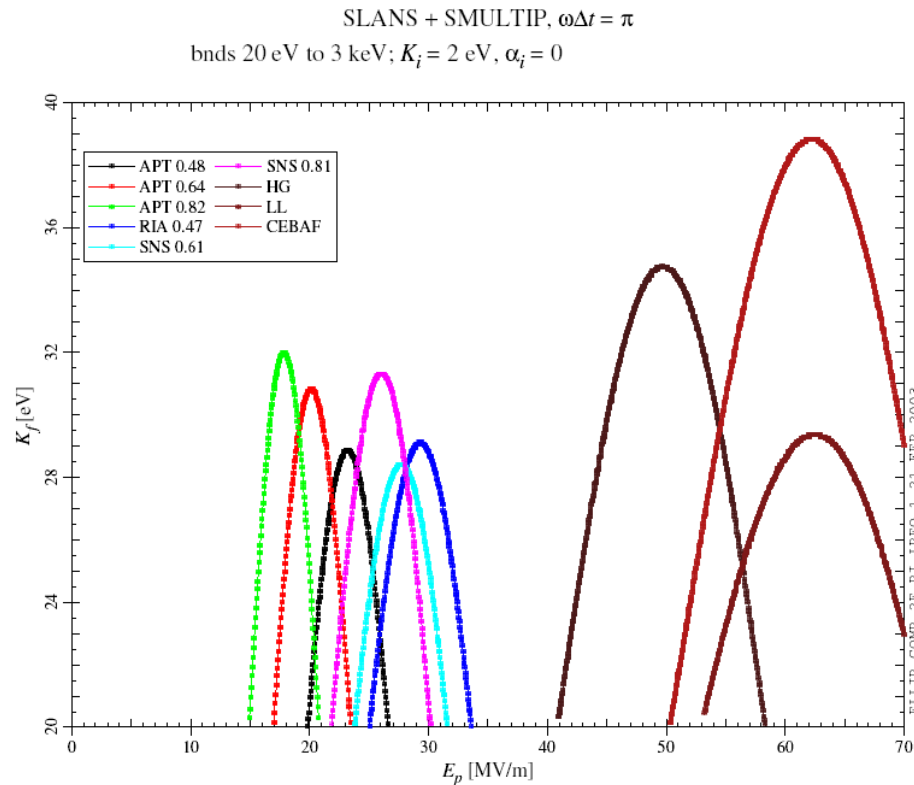
350-MHz LEP-II cavity (CERN)



Electrons drift to equator  
Electric field at equator is  $\approx 0$   
→MP electrons don't gain energy  
→MP stops

# Cures for Multipacting

- Cavity design

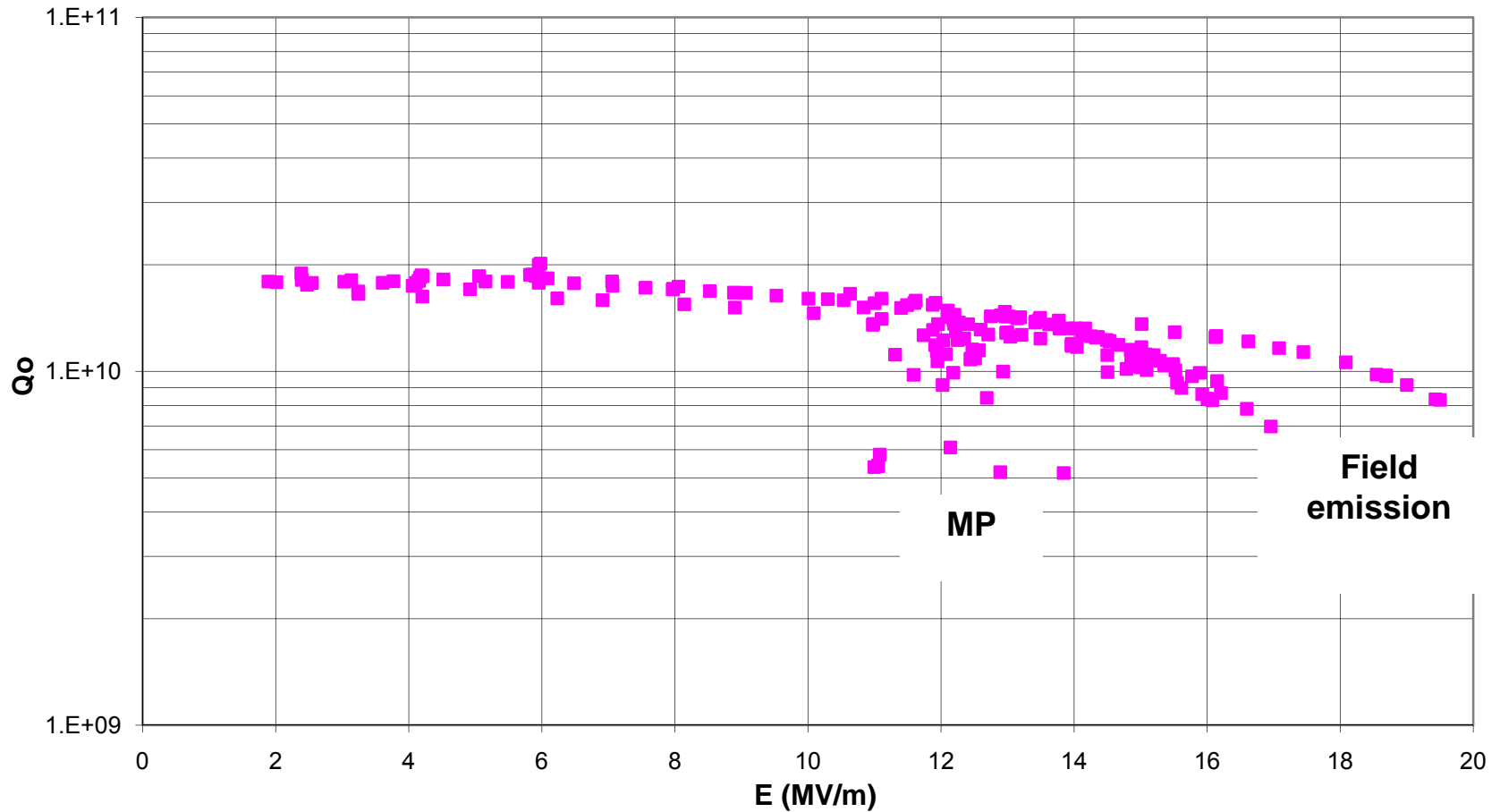


- Lower SEY: clean vacuum systems (low partial pressure of hydrocarbons, hydrogen and water), Ar discharge
- RF Processing: lower SEY by  $e^-$  bombardment (minutes to several hours)

# Recent Examples of Multipacting

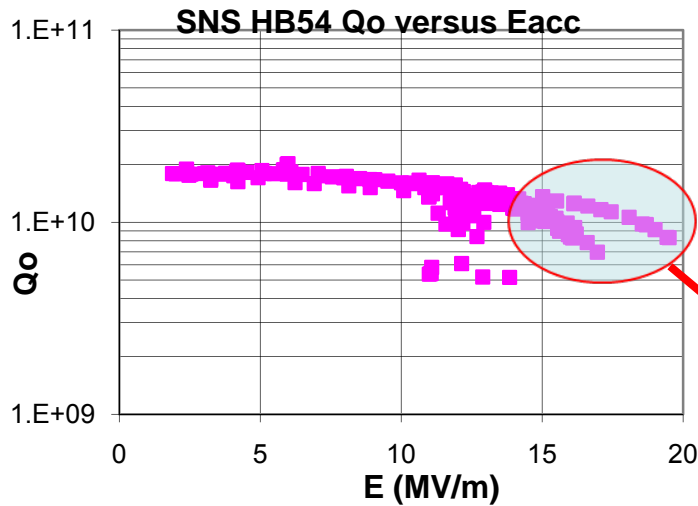
SNS HB54 Qo versus Eacc  
Multipacting limited at 16MV/m 5/16/08 cg

2008

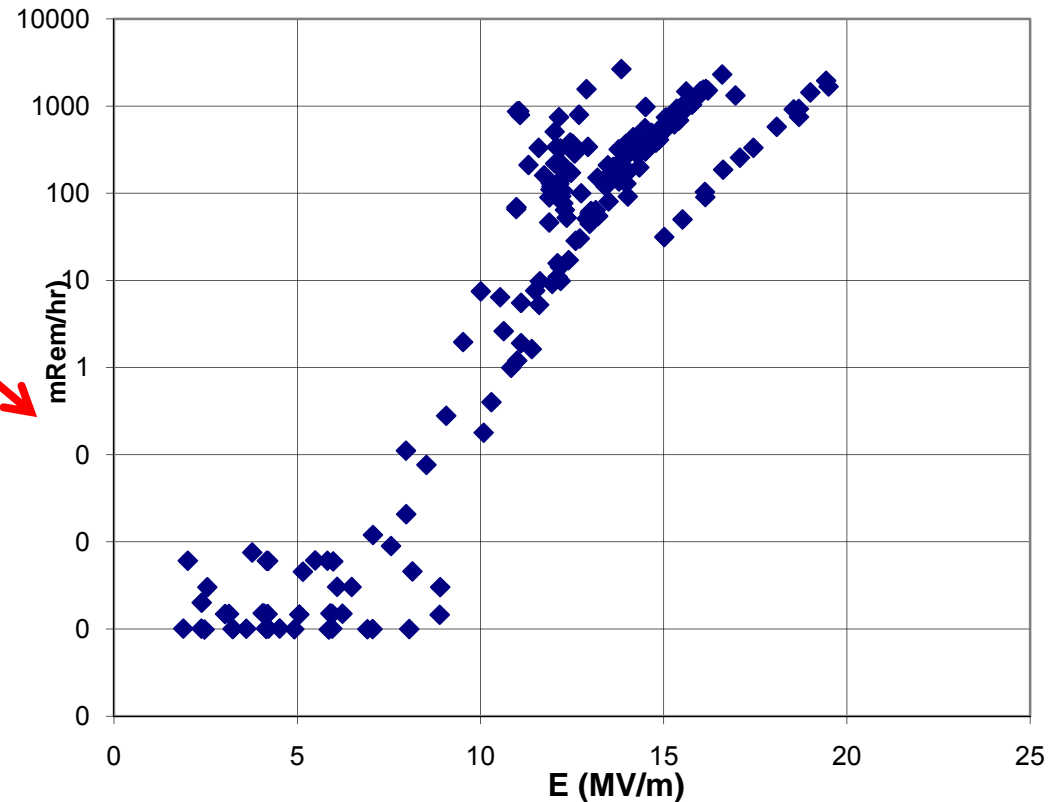


# Field Emission

- Characterized by an exponential drop of the  $Q_0$
- Associated with production of x-rays and emission of dark current

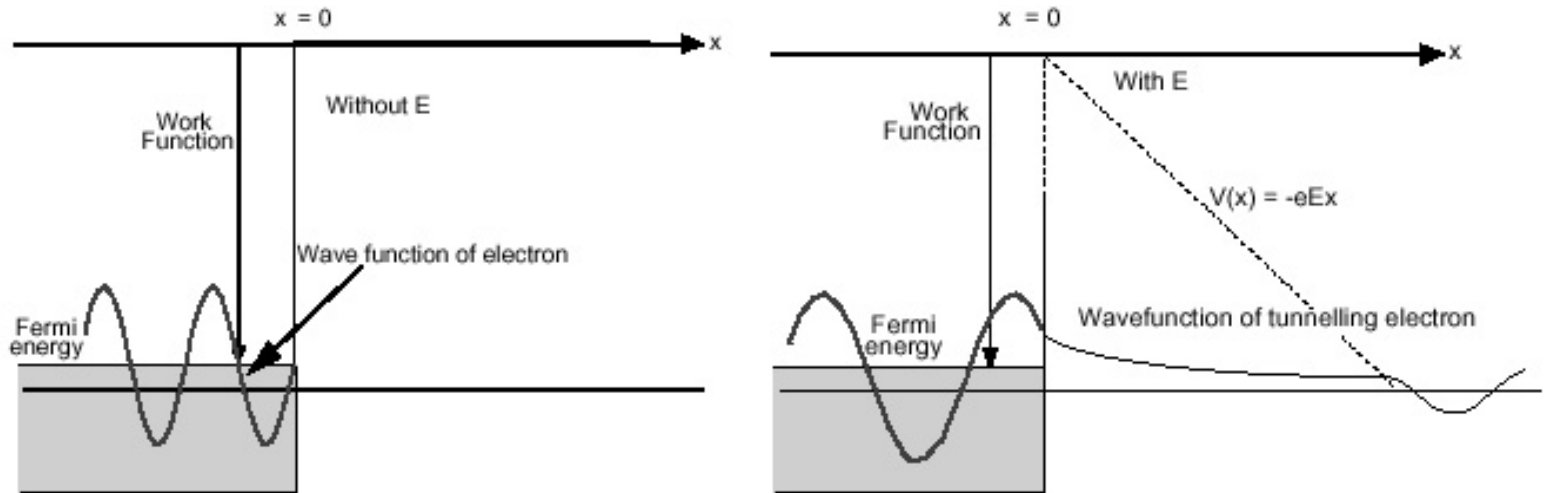


SNS HTB 54 Radiation at top plate versus Eacc 5/16/08 cg



# DC Field Emission from Ideal Surface

## Fowler-Nordheim model



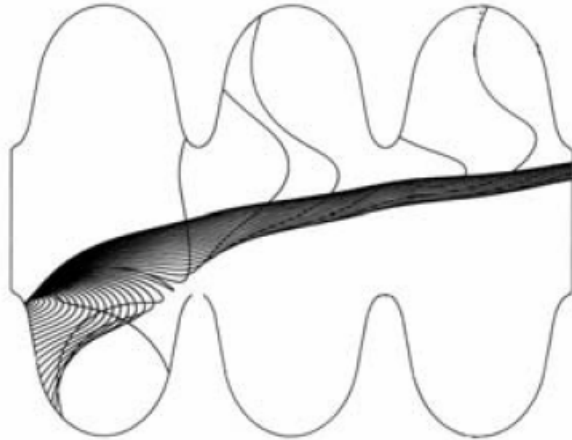
$$J = \frac{1.54 \times 10^{-6} E^2}{\Phi} \exp\left(-\frac{6.83 \times 10^9 \Phi^{3/2}}{E}\right)$$

$J$ : Current density ( $\text{A/m}^2$ )

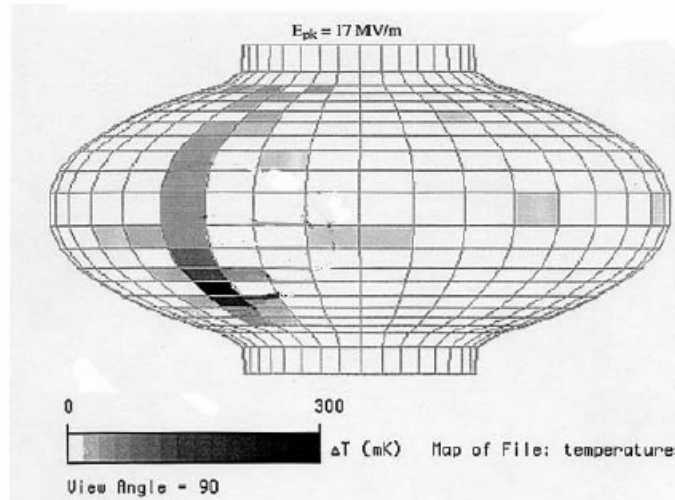
$E$ : Electric field ( $\text{MV/m}$ )

$\Phi$ : Work function ( $\text{eV}$ )

# Field Emission in RF Cavities

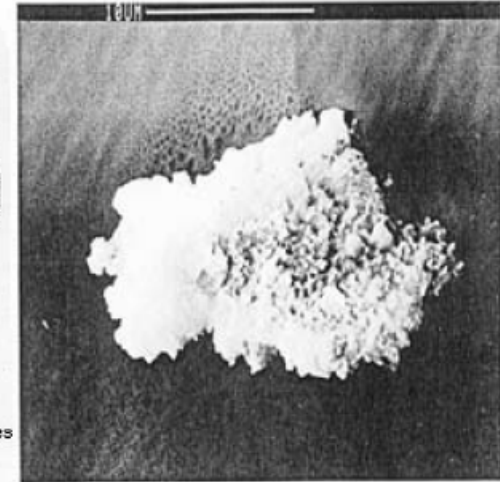


Acceleration of electrons drains cavity energy



Impacting electrons produce:

- line heating detected by thermometry
- bremsstrahlung X rays



Foreign particulate found at emission site

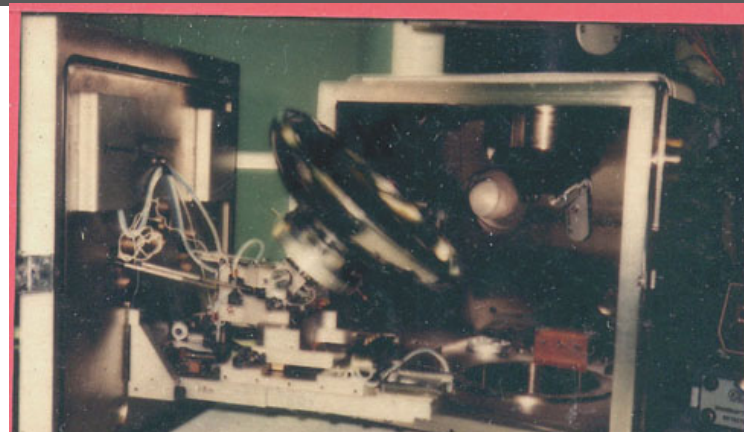
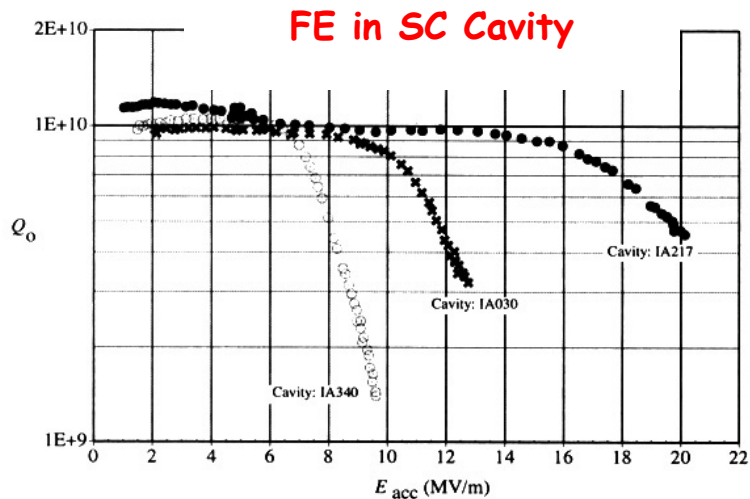
FE in cavities occurs at fields that are up to 1000 times lower than predicted...

$$J = k \frac{1.54 \times 10^{-6} (\beta E)^{5/2}}{\Phi} \exp\left(-\frac{6.83 \times 10^9 \Phi^{3/2}}{\beta E}\right)$$

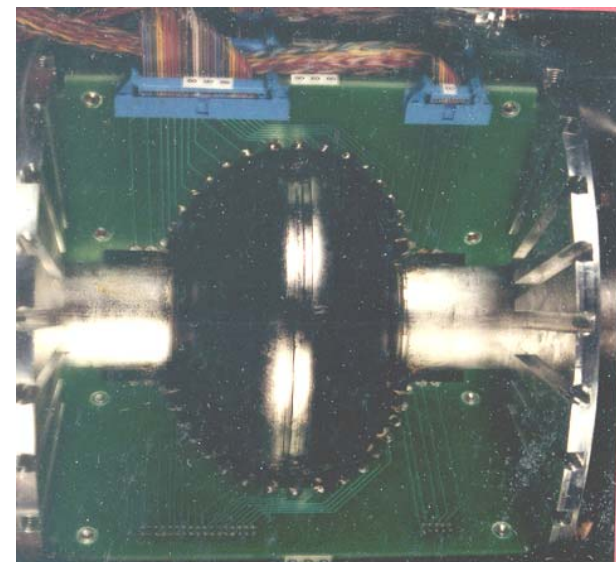
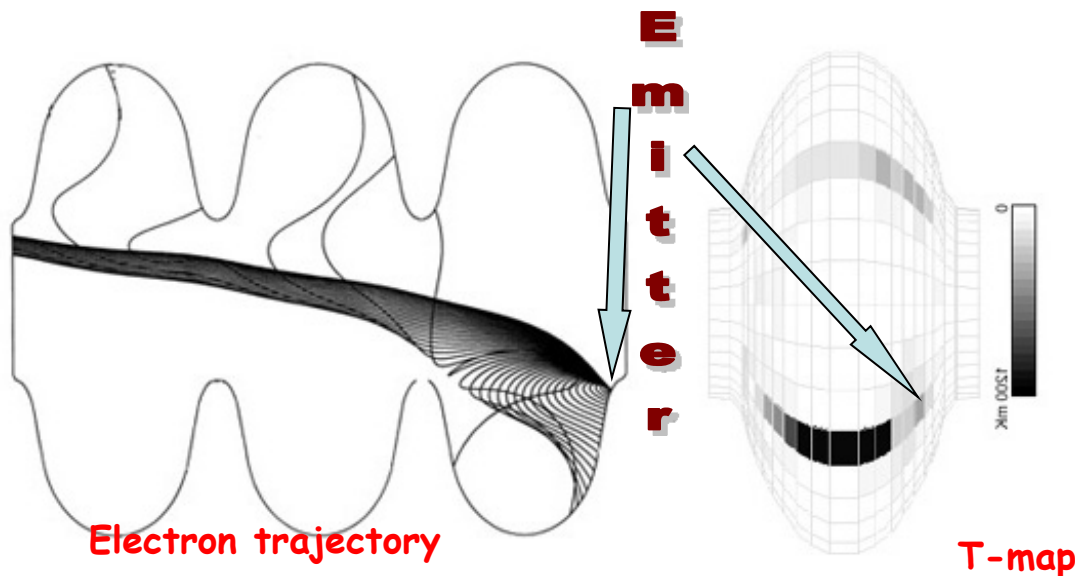
$\beta$ : Enhancement factor (10s to 100s)

$k$ : Effective emitting surface

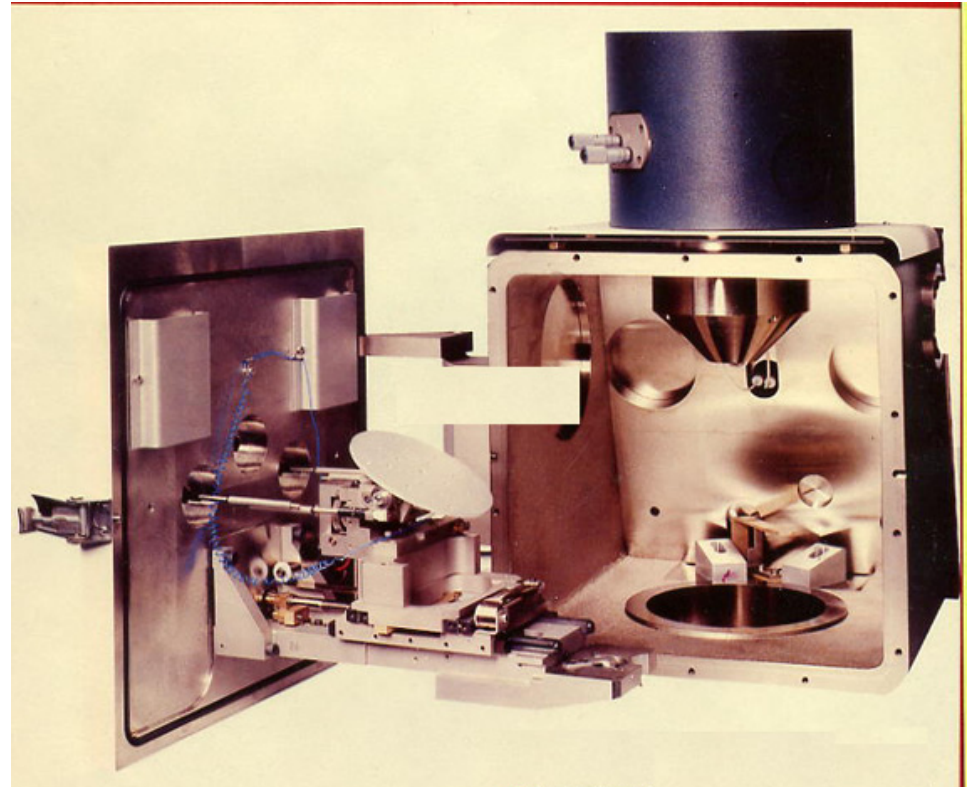
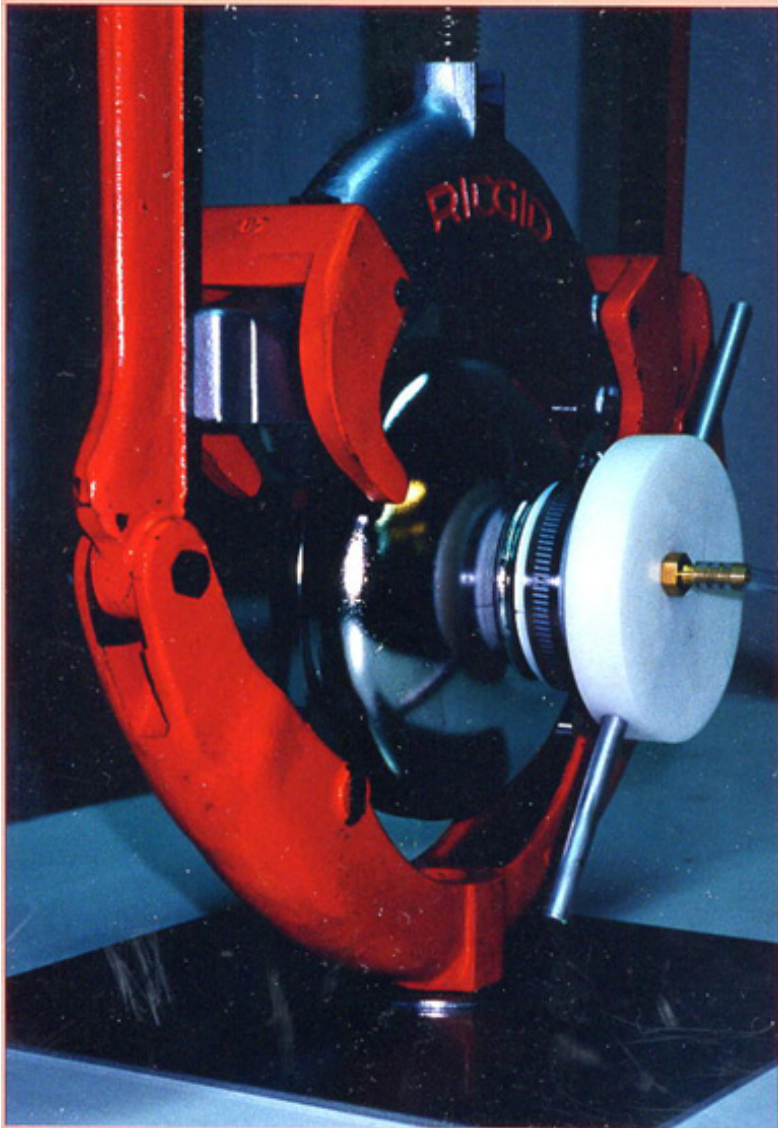
# How to Investigate Field Emission



Dissection and analysis



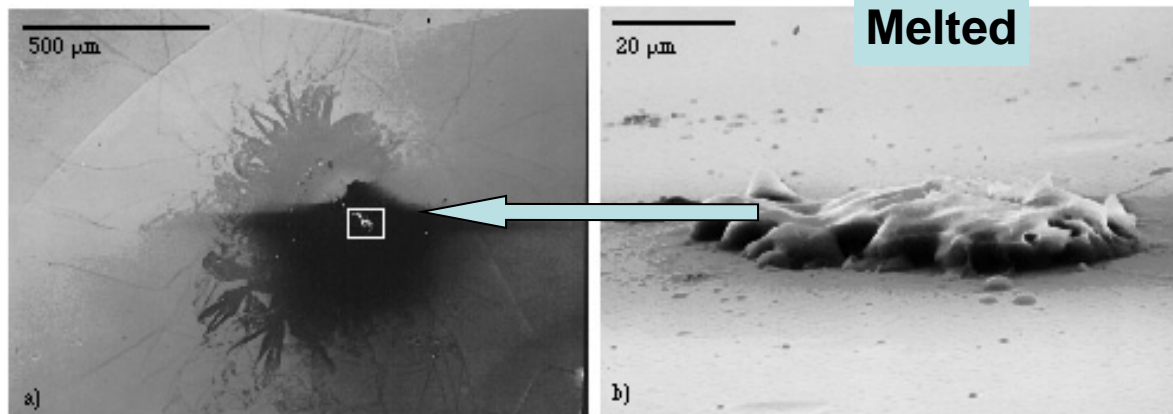
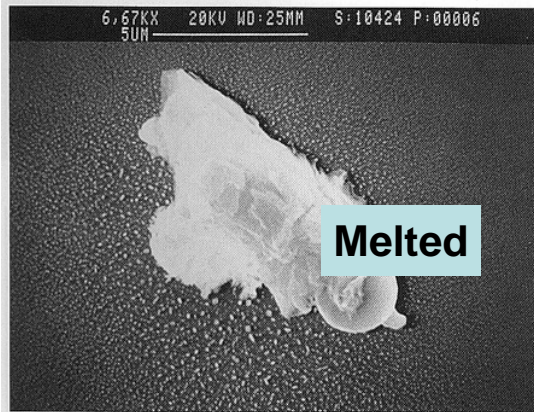
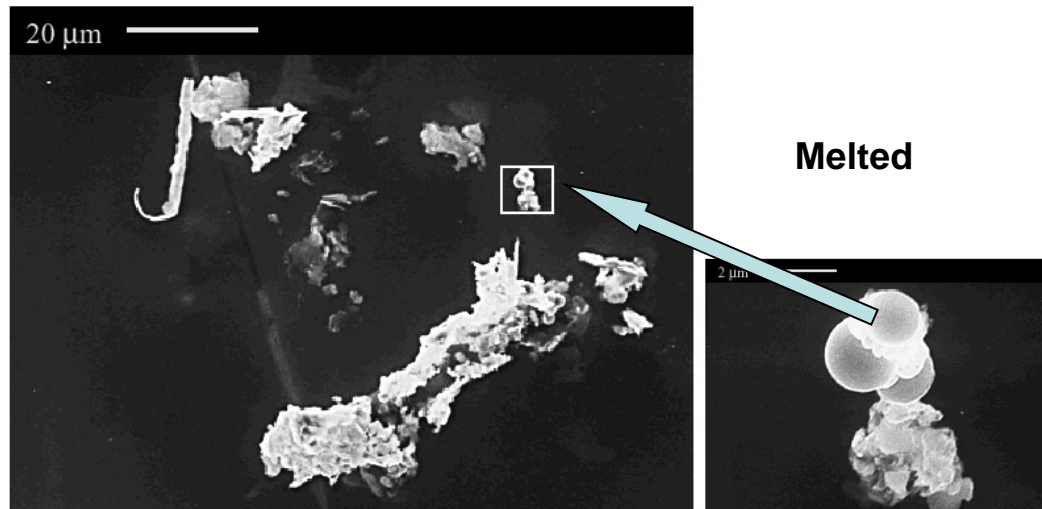
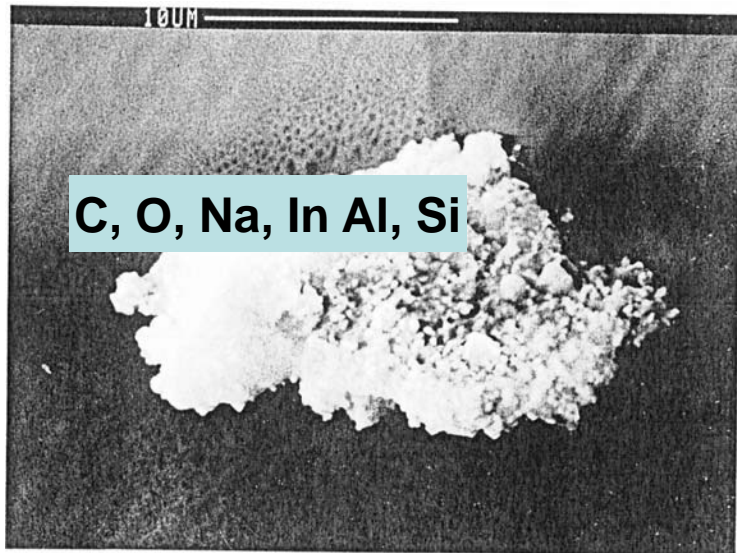
# Dissection and SEM



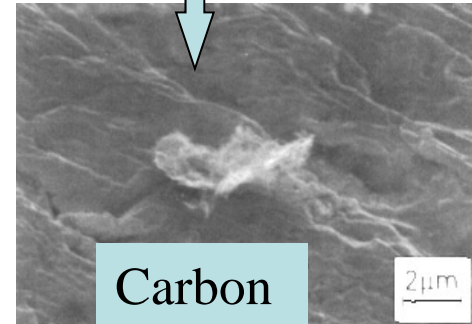
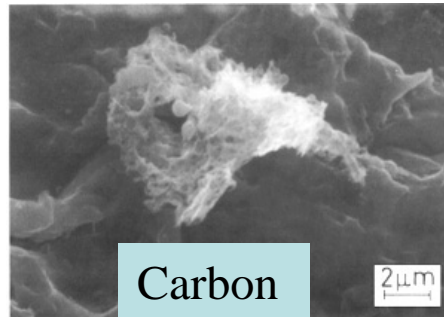
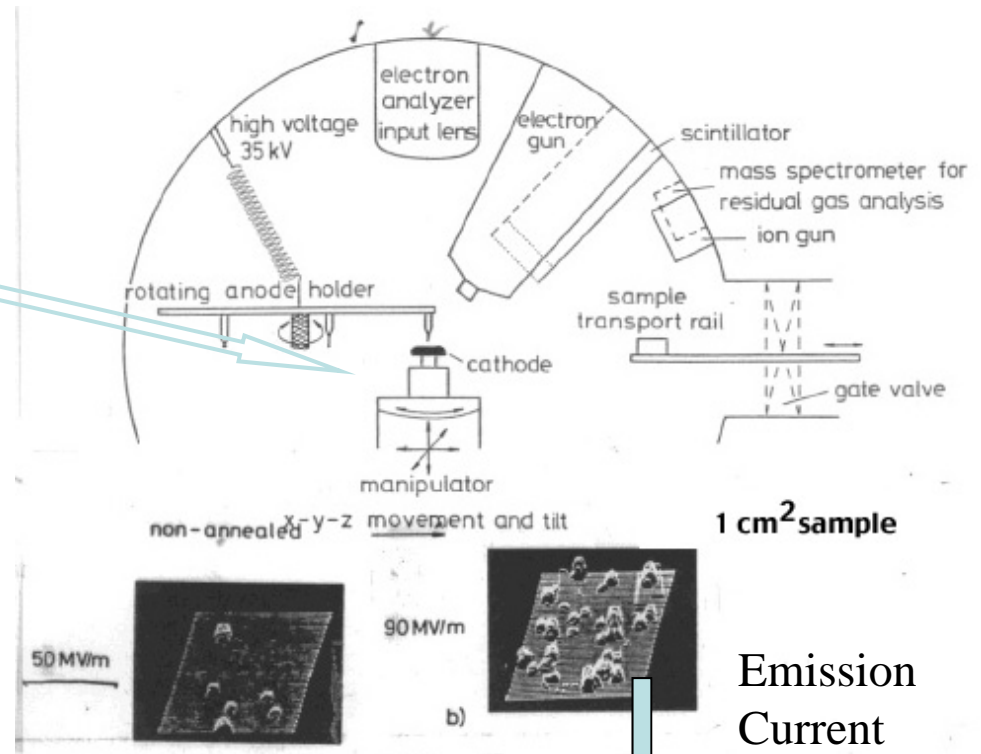
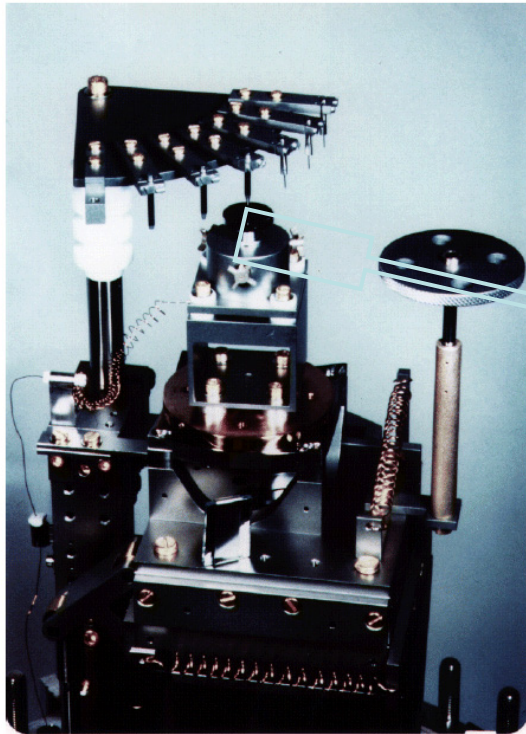


# Example of Field Emitters

## Stainless steel

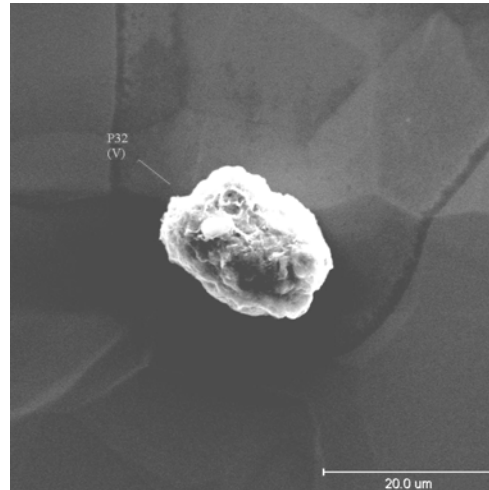
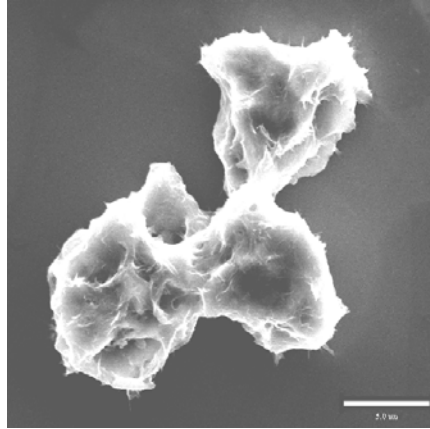


# DC Field Emission Microscope



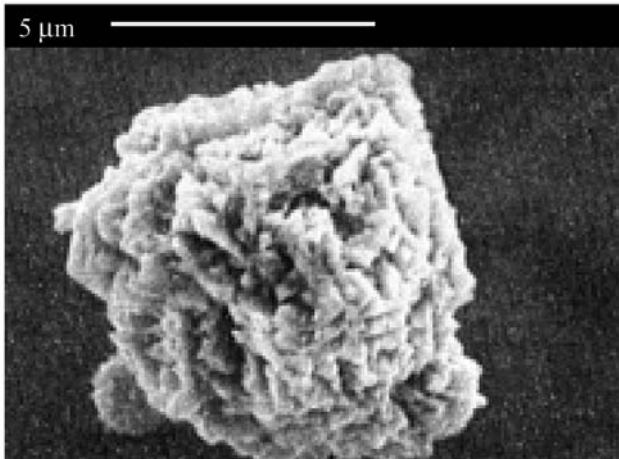
# Type of Emitters

V

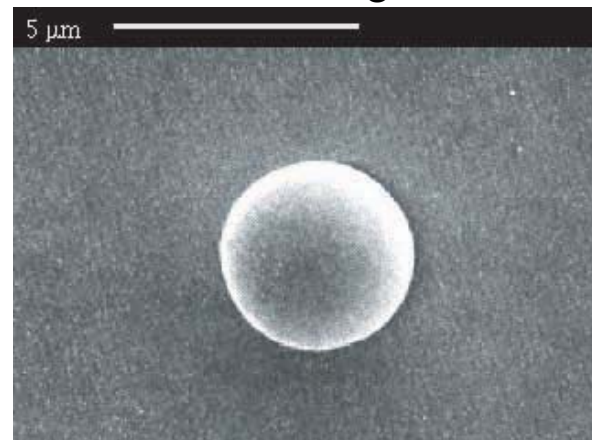


- *Tip-on-tip* model explains why only 10% of particles are emitters for  $E_{pk} < 200$  MV/m.

Ni

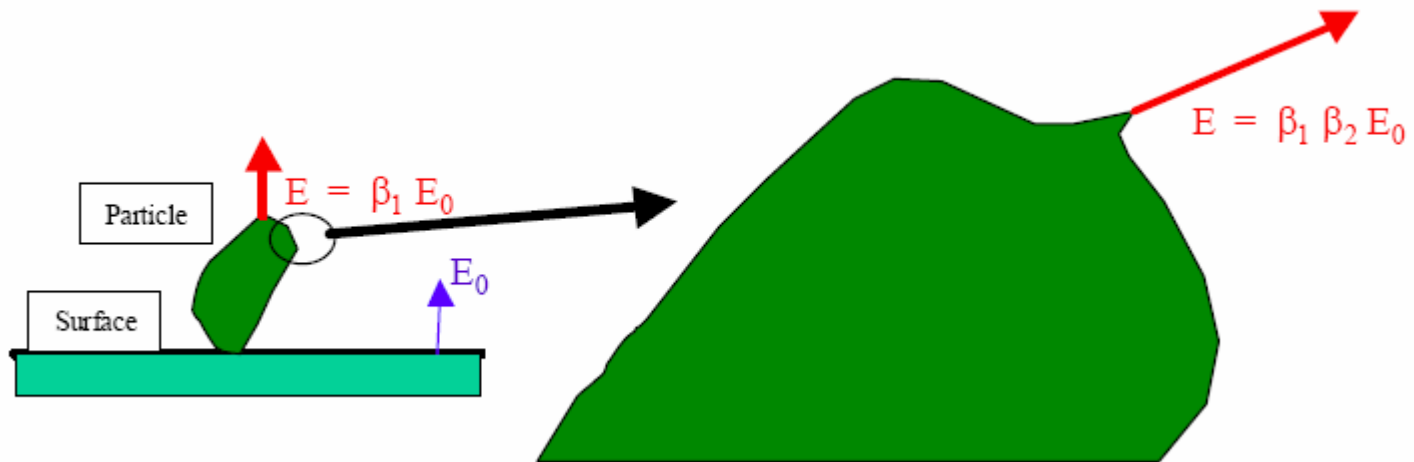


Smooth nickel particles emit less or emit at higher fields.

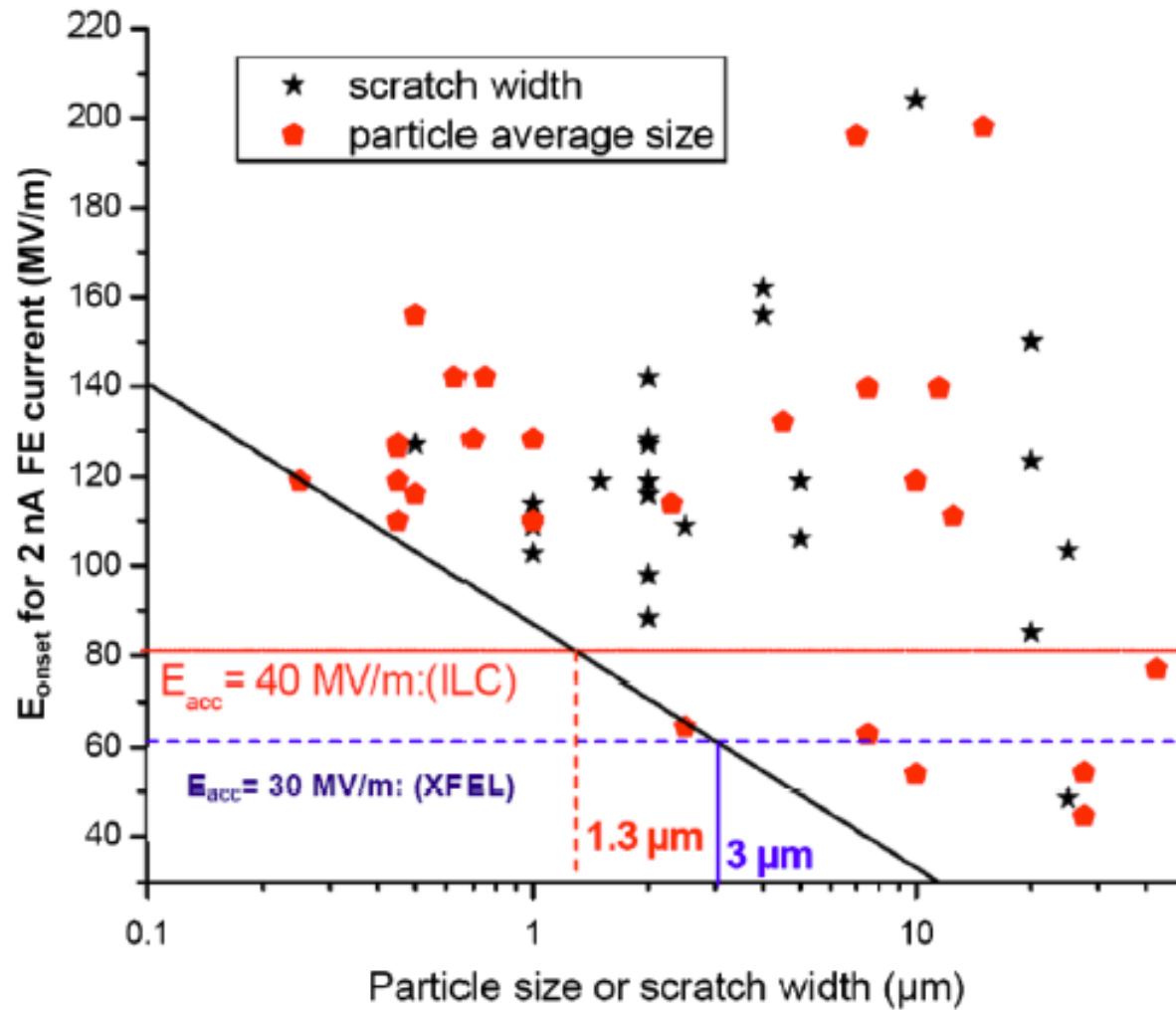


# Tip-on-tip Model

- Smooth particles show little field emission
- Simple protrusions are not sufficient to explain the measured enhancement factors
- Possible explanation: tip-on-tip (compounded enhancement)

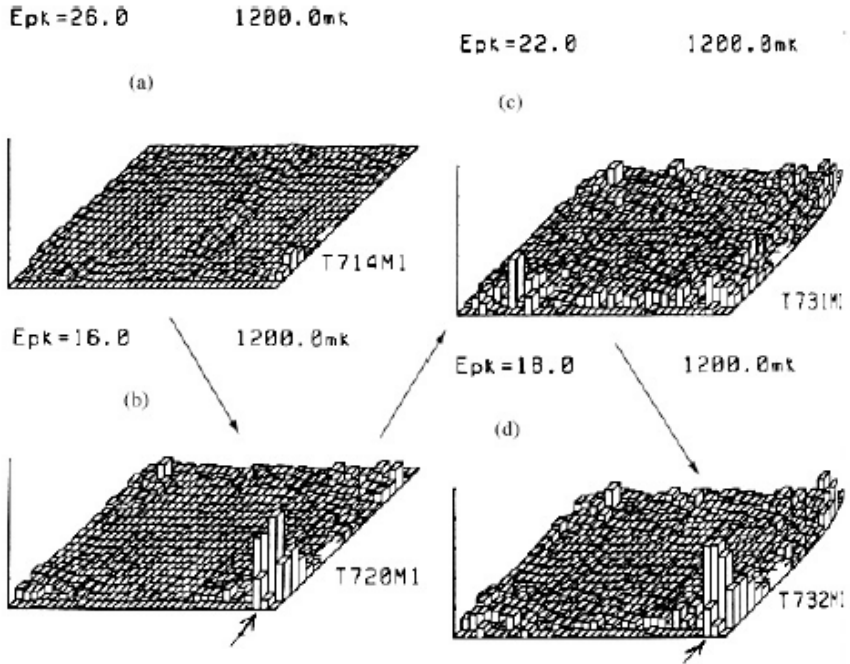
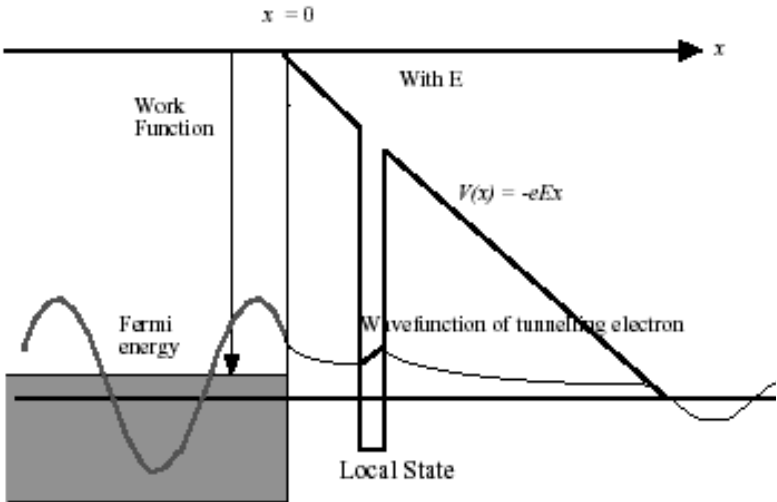


# FE onset vs. Particulate Size



# Enhancement by Absorbates

Adsorbed atoms on the surface can enhance the tunneling of electrons from the metal and increase field emission



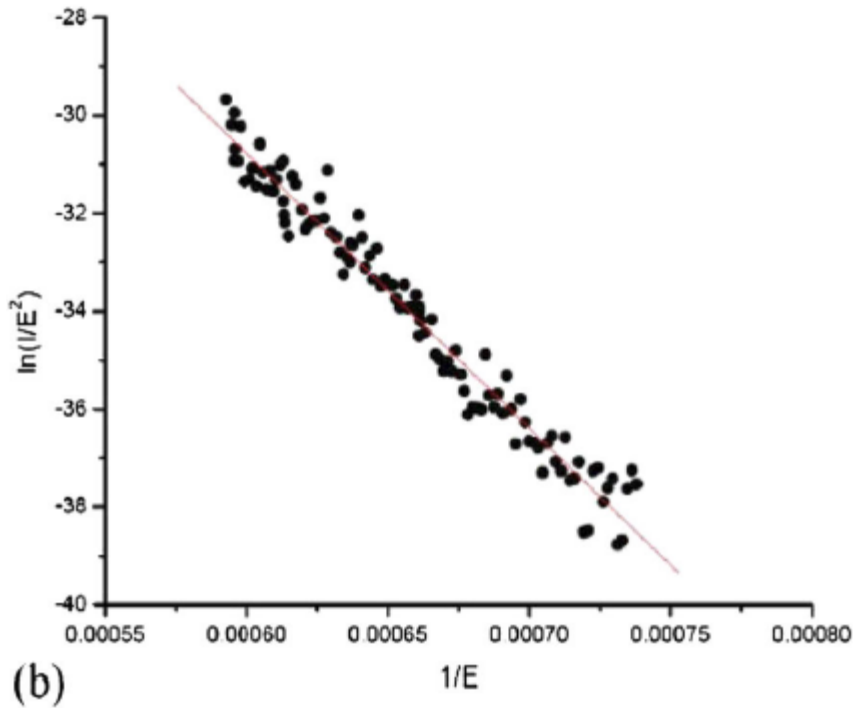
# Intrinsic FE of Nb

Single-crystal Nb samples showed FE onset higher than **1 GV/m**.

The work function was obtained from the I-V curves:

$$\Phi = 4.05 \pm 17\% \text{ eV for Nb (111)}$$

$$\Phi = 3.76 \pm 27\% \text{ eV for Nb (100)}$$



# Cures for Field Emission

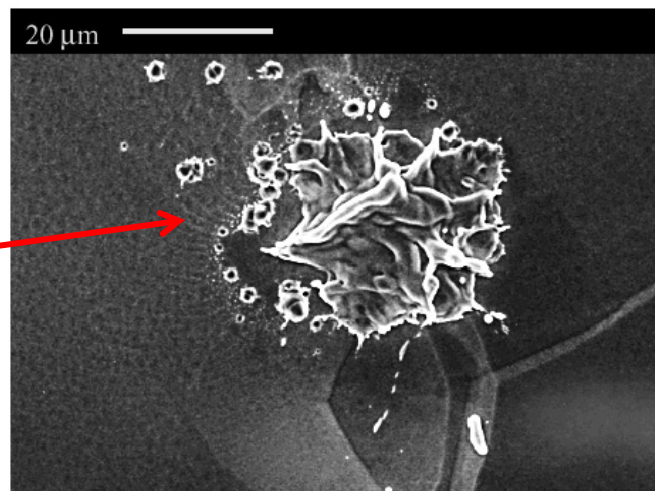
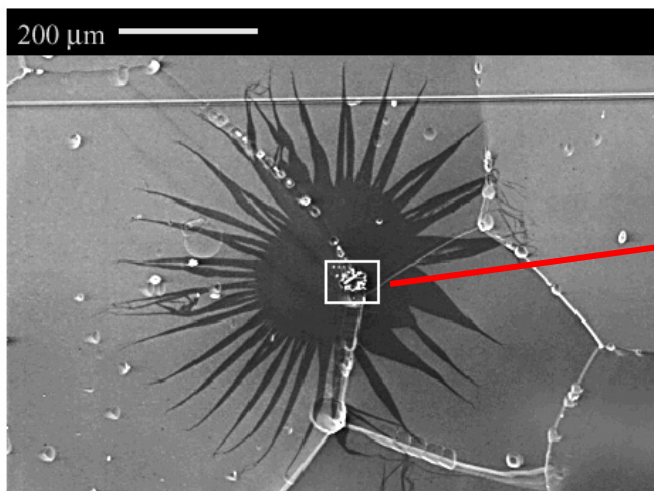
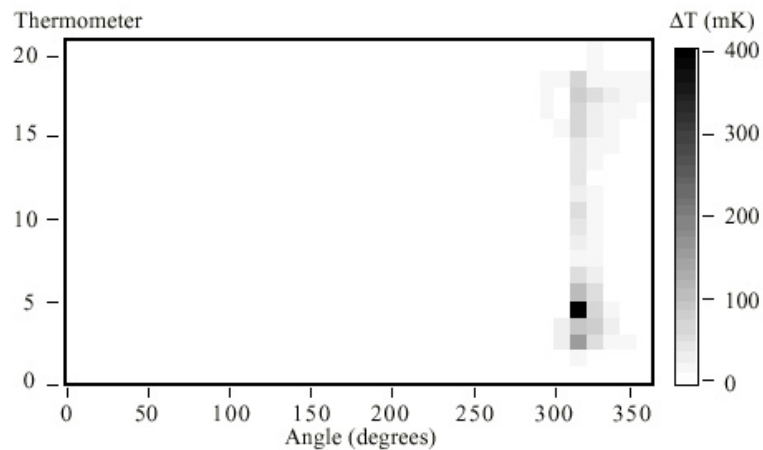
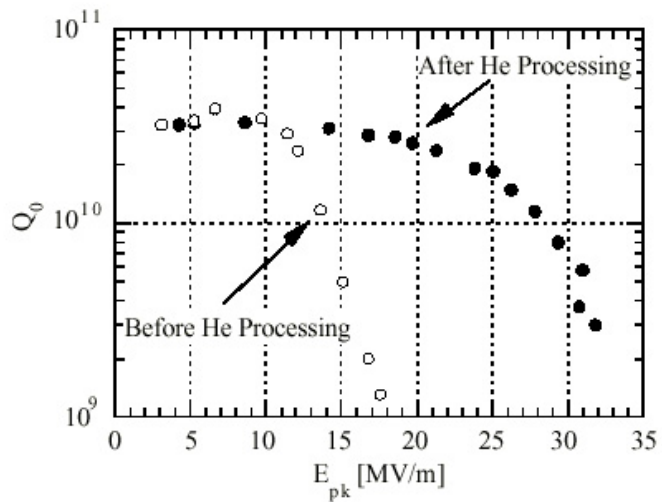
- **Prevention:**
  - Semiconductor grade acids and solvents
  - High-Pressure Rinsing with ultra-pure water
  - Clean-room assembly
  - Simplified procedures and components for assembly
  - Clean vacuum systems (evacuation and venting without re-contamination)
- **Post-processing:**
  - Helium processing
  - High Peak Power (HPP) processing



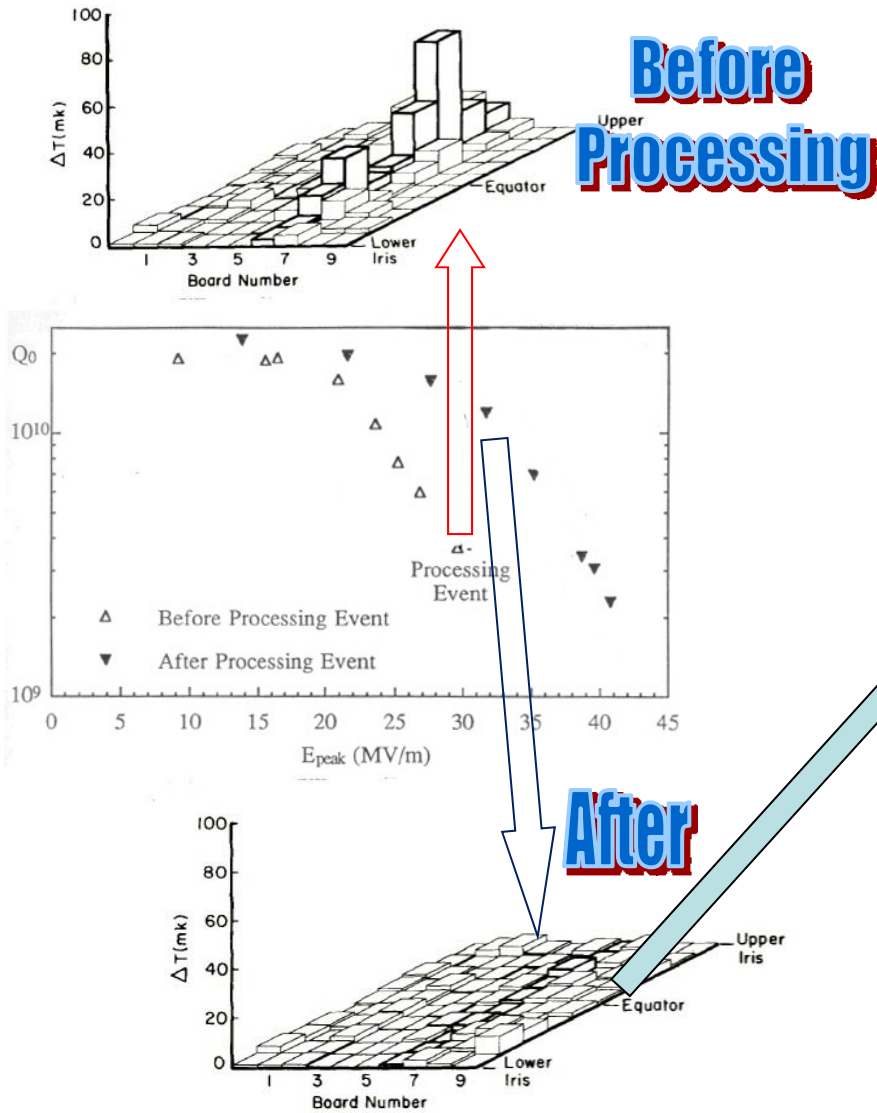
# Helium Processing

- Helium gas is introduced in the cavity at a pressure just below breakdown ( $\sim 10^{-5}$  torr)
- Cavity is operating at the highest field possible (in heavy field emission regime)
- Duty cycle is adjusted to remain thermally stable
- Field emitted electrons ionized helium gas
- Helium ions stream back to emitting site
  - Cleans surface contamination
  - Sputters sharp protrusions

# Helium Processing



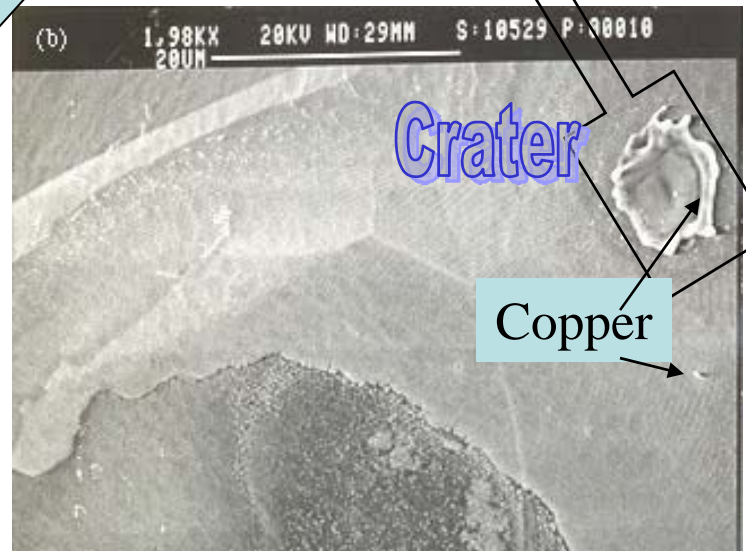
# Helium Processing



Starburst  
&  
Crater



SEM



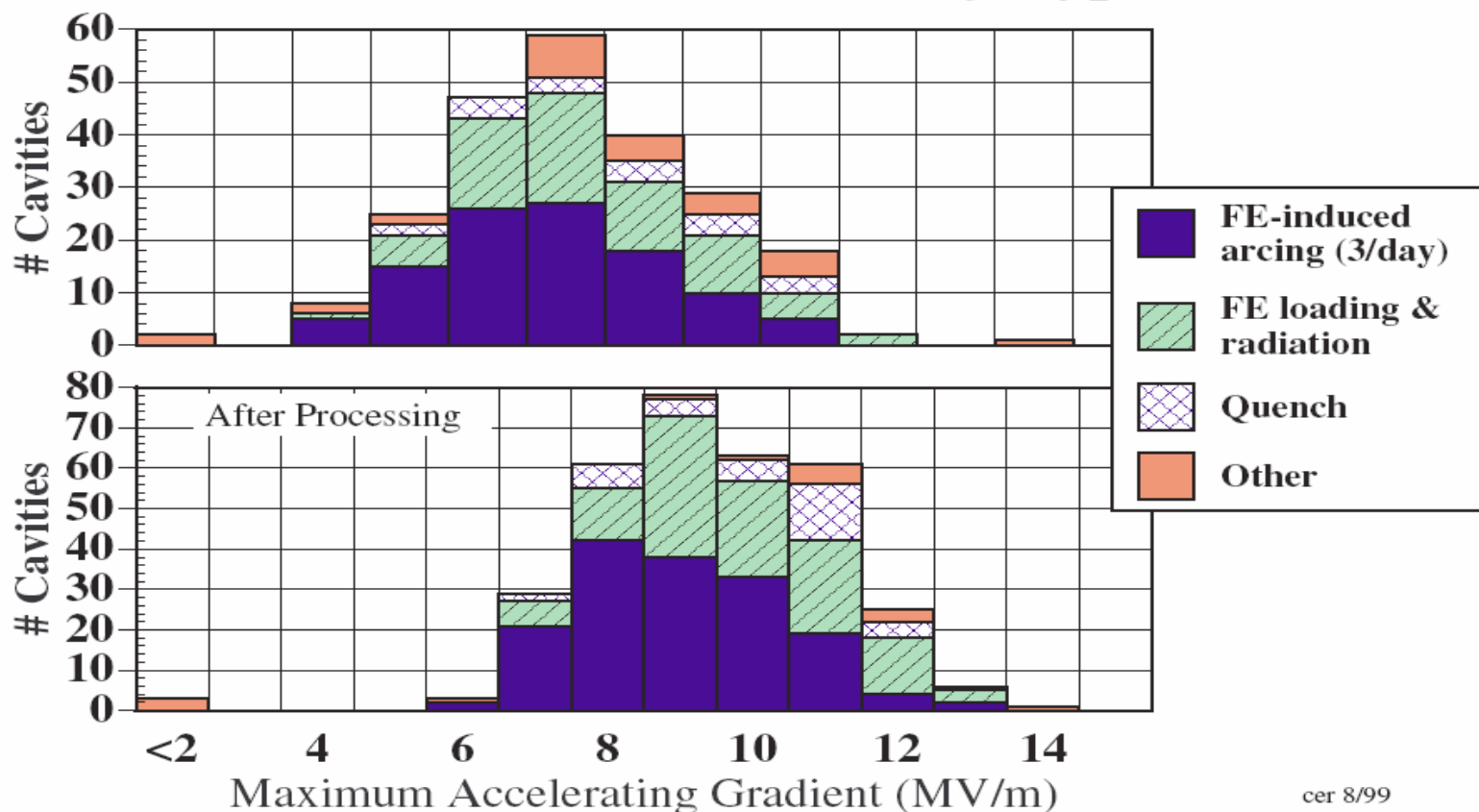
Crater

Copper

# Helium Processing in CEBAF

## Improvement of Cavity Performance with Helium Processing

### Distribution of Maximum Gradients by Type of Limitation



cer 8/99

# Helium Processing in CEBAF

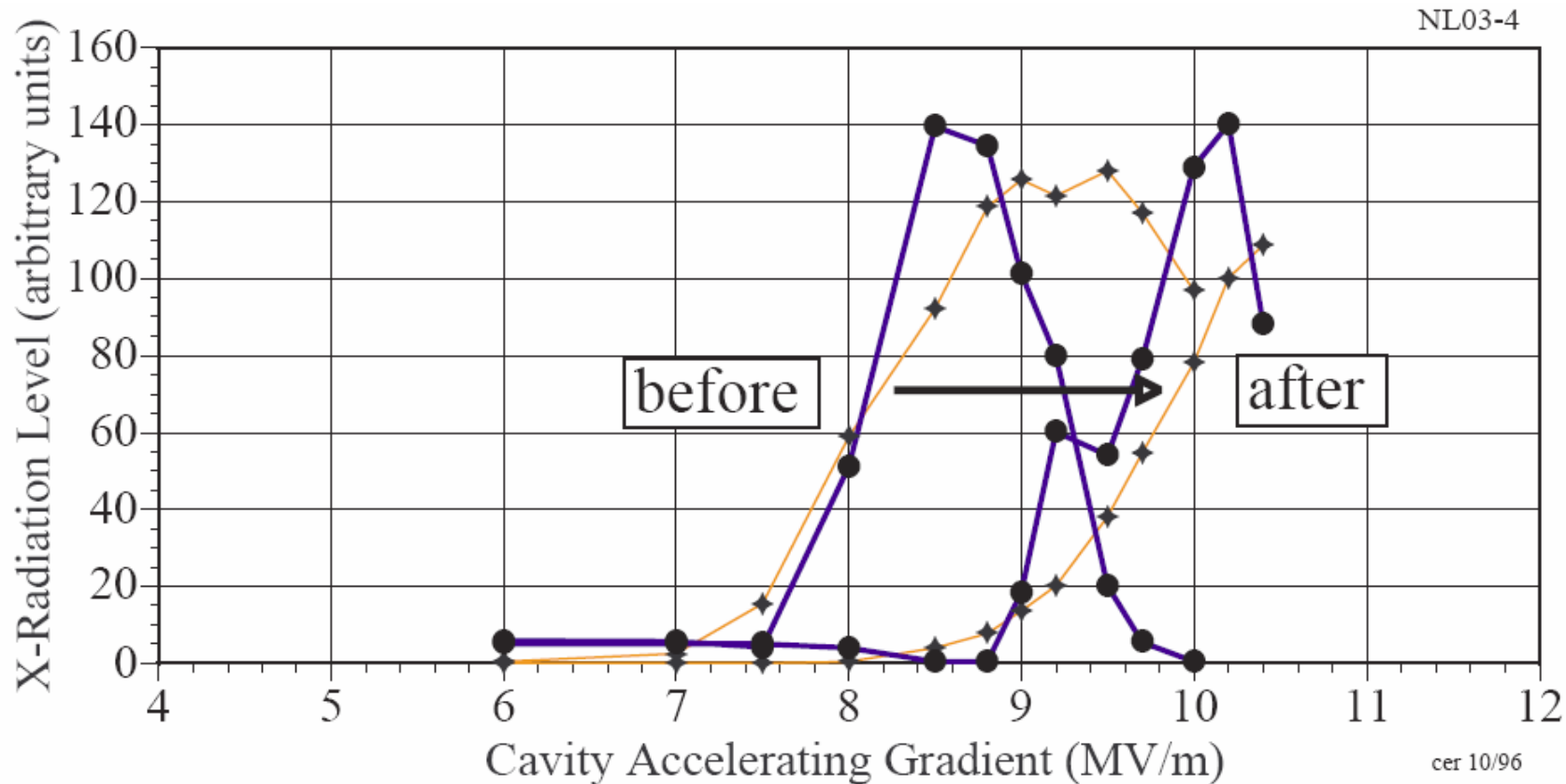
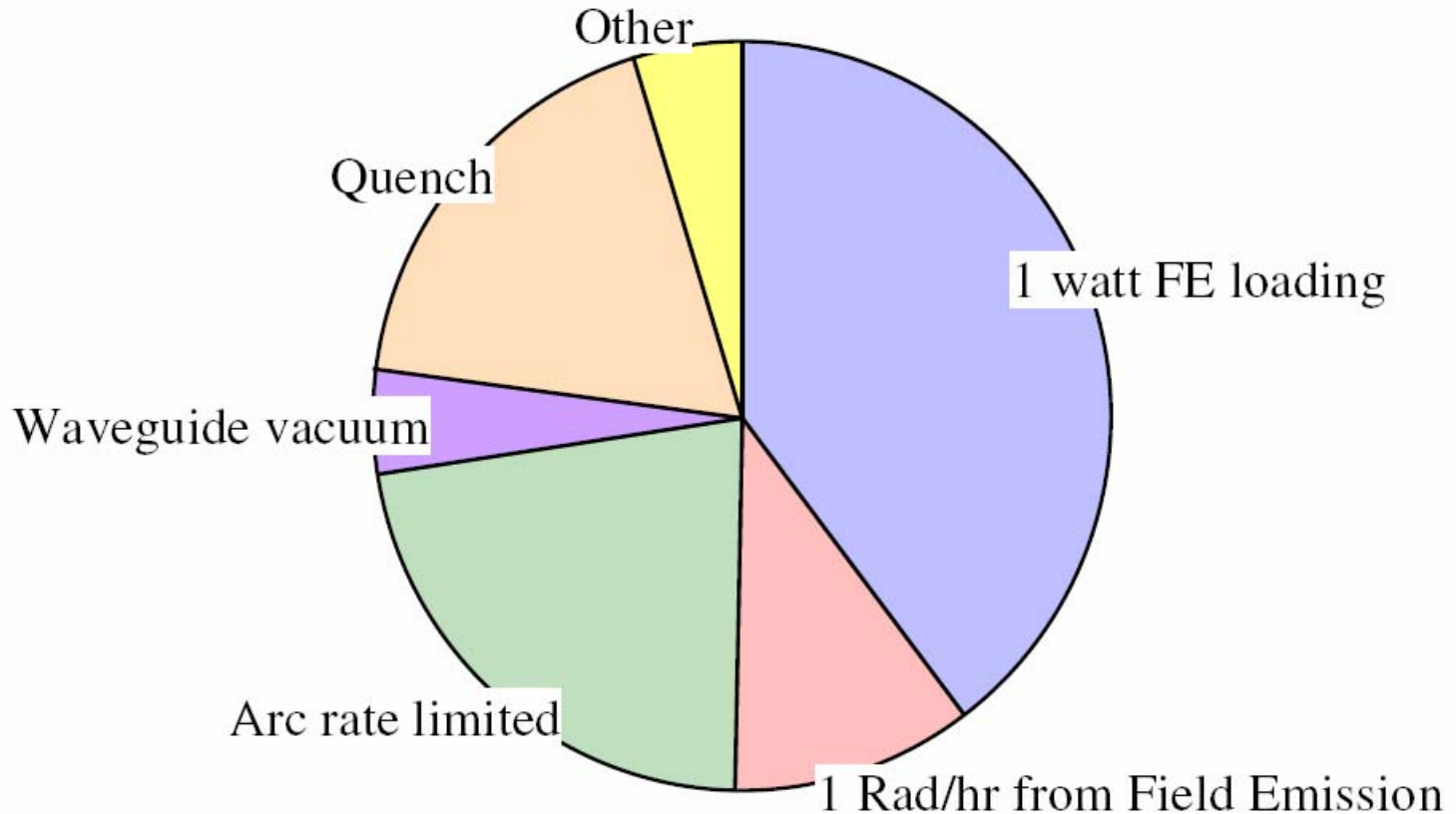
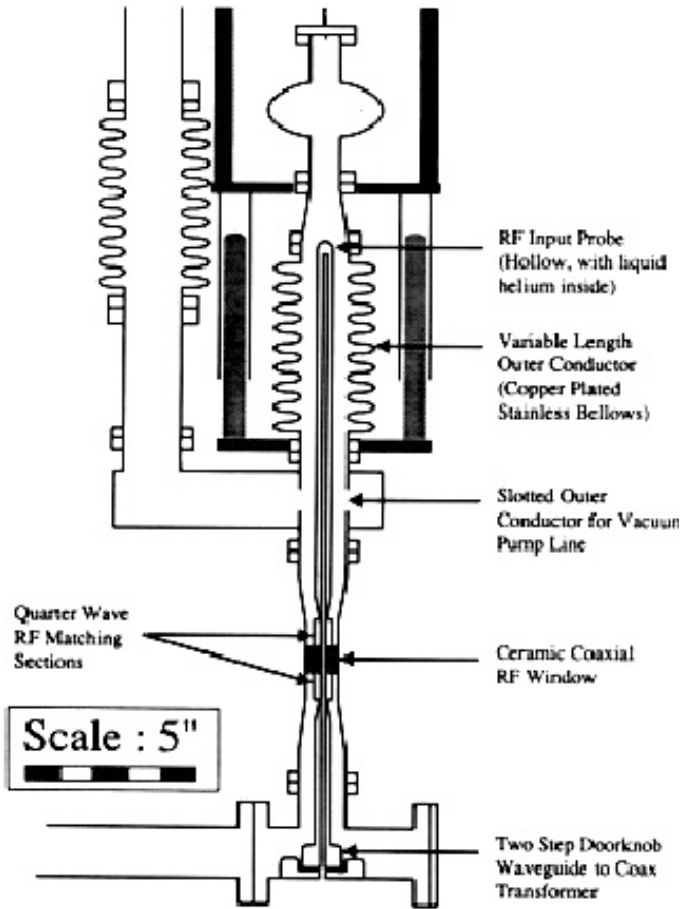


Figure 1. Radiation reduction with He processing.

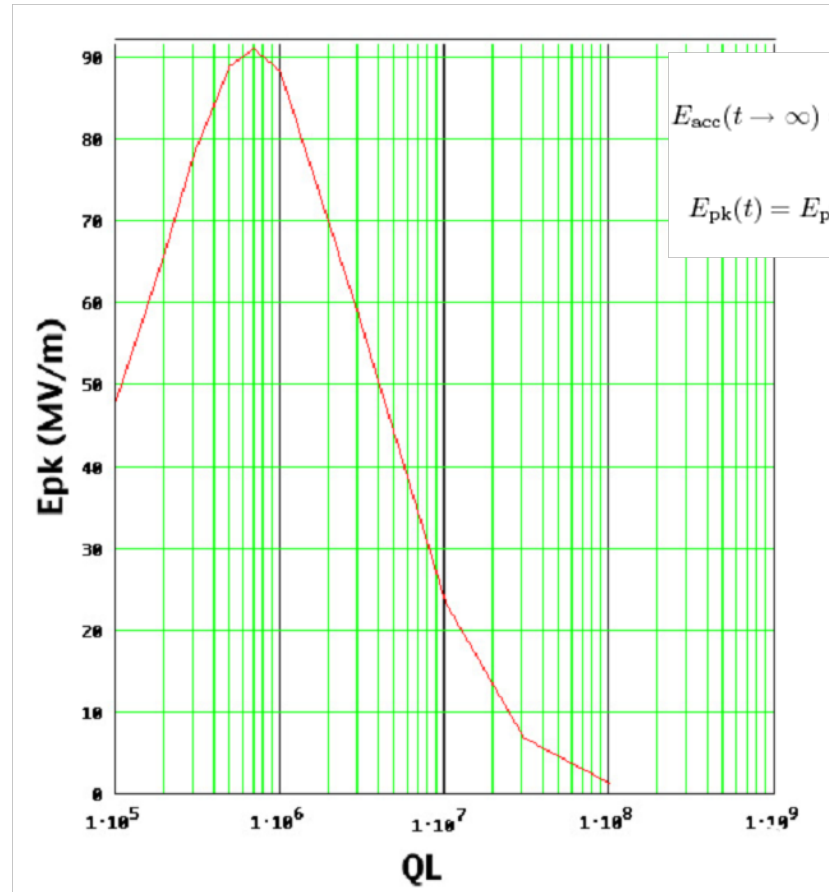
# Practical Limitations (CEBAF)



# High Peak Power Processing



*Power = 1.5 MW*  
*Pulse Length = 250 us*



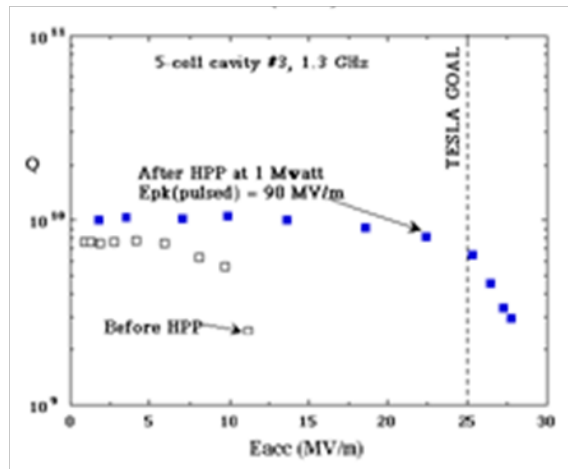
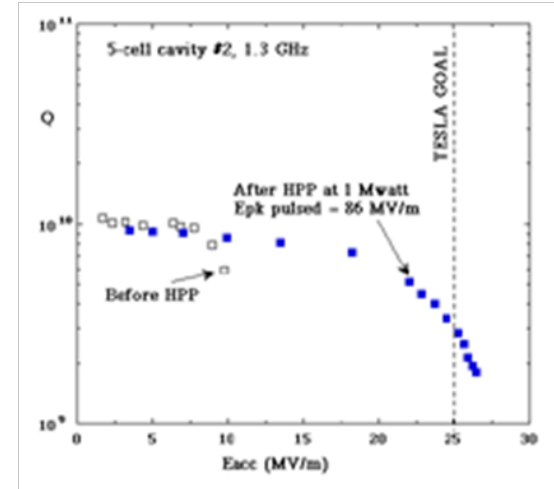
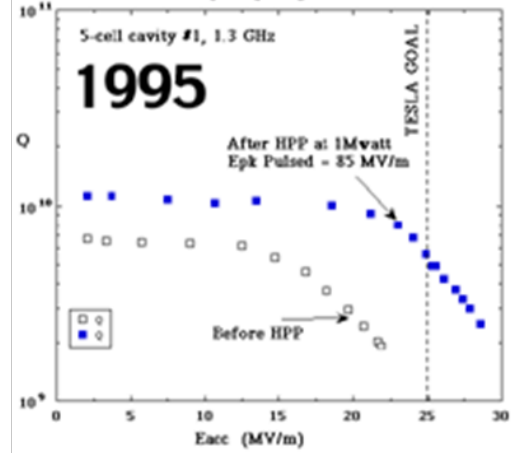
$$Q_L = \omega \tau_L$$

$$\beta = \frac{Q_0}{Q_L}$$

# High Peak Power Processing



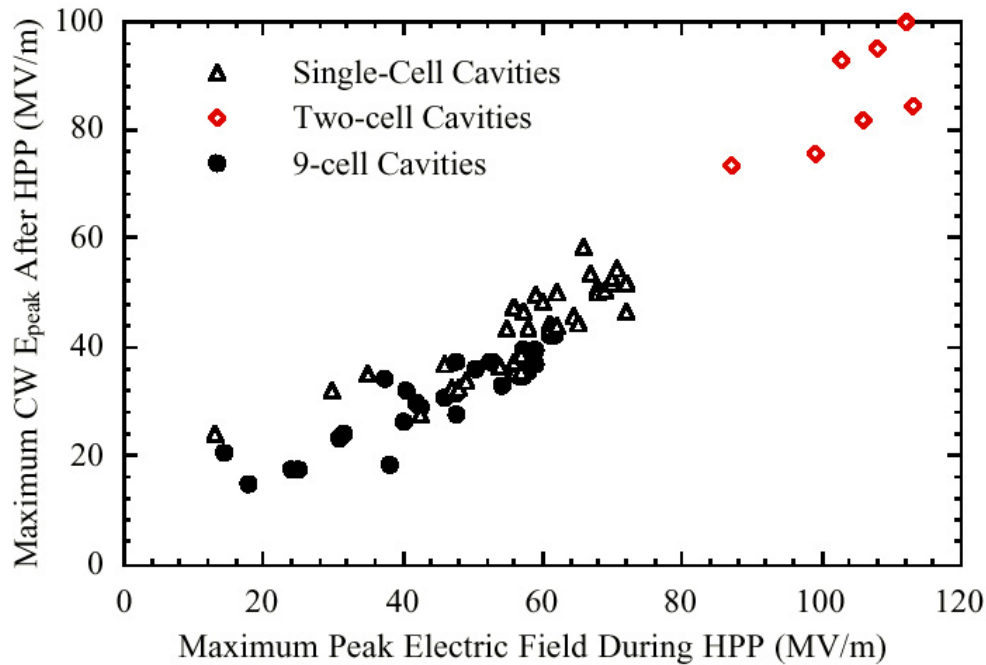
5-cell 1.3 GHz cavities  
High Pulse Power Processing  
with one MW



local melting leads to formation of a plasma  
and finally to the explosion of the emitter  
→ “star bursts” caused by the plasma



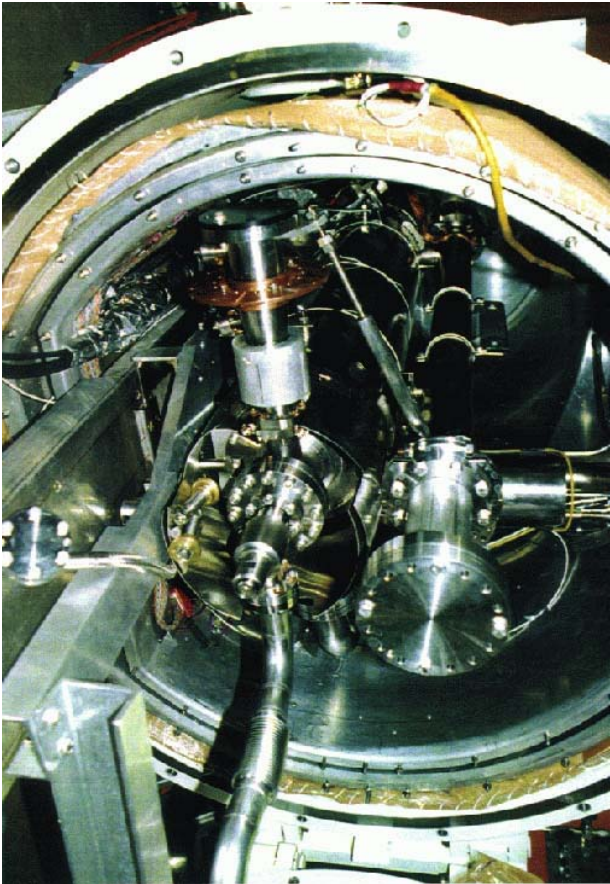
# High Peak Power Processing



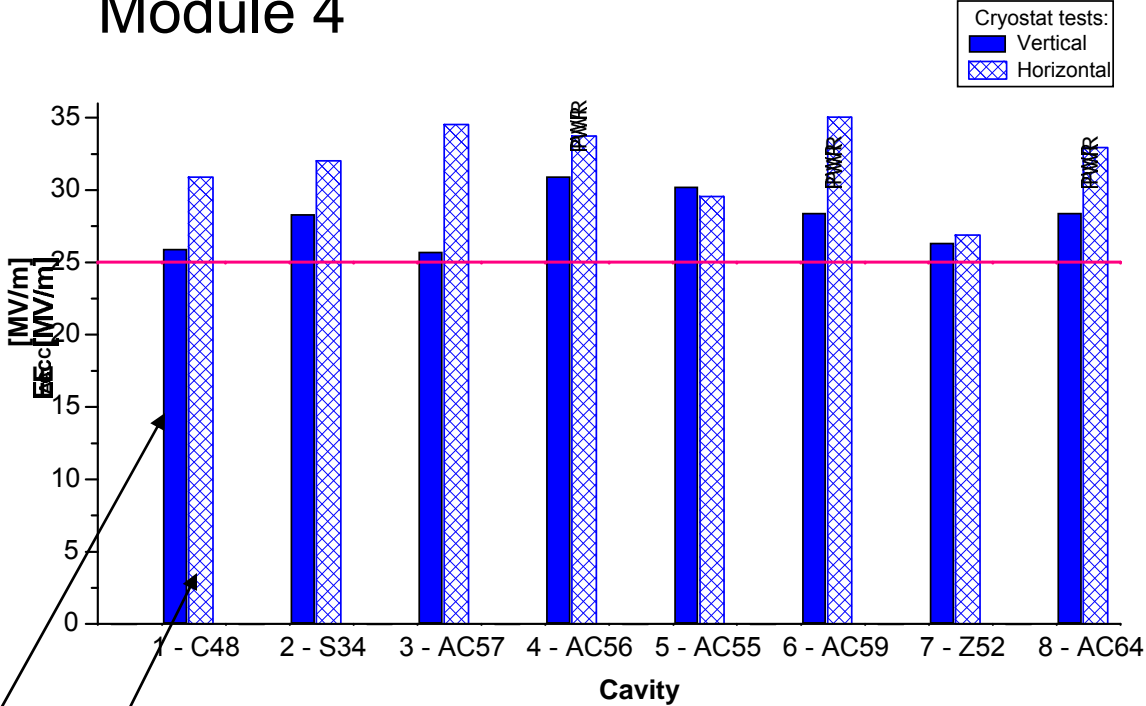
For field emission free

$$E_p (\text{pulsed}) = 2 \times E_p (\text{cw})$$

# High Peak Power Processing



Module 4



Bare Vert. Cavity vs. Equipped Hor. Cavity Test

# Issues with HPP

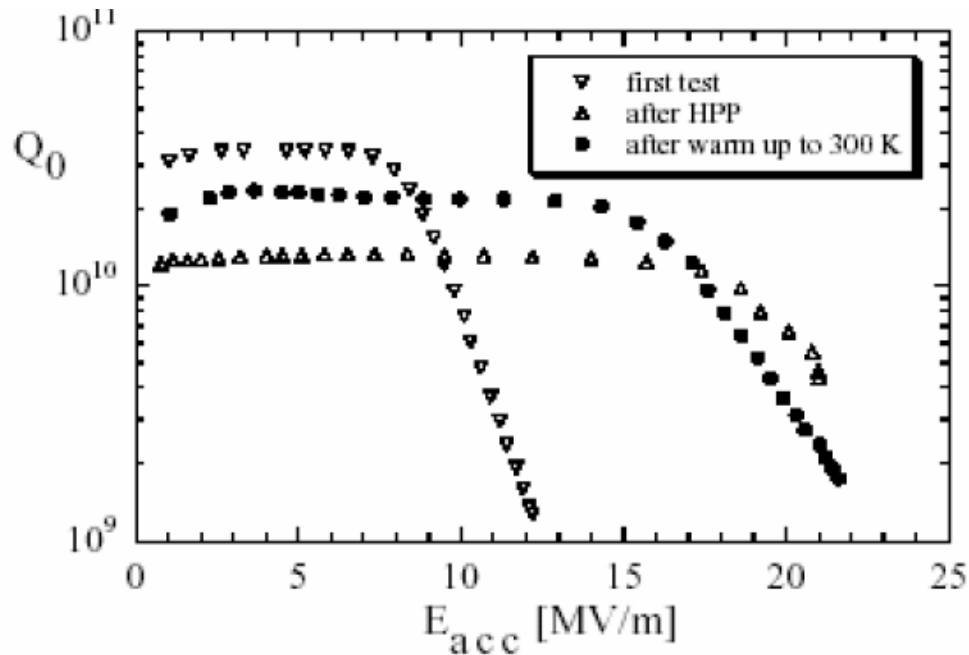


Fig. 2: Cavity C19 before and after HPP. The  $Q_0$  recovered partially after warm up to room temperature.

- Reduced  $Q_0$  after processing
- No experience with HPP above  $E_{acc} = 30$  MV/m in 9-cell cavities
- Very high power required

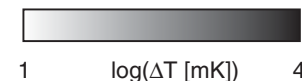
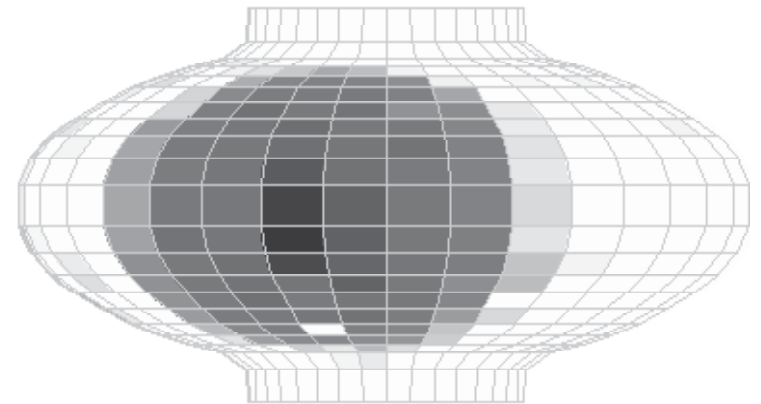
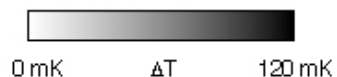
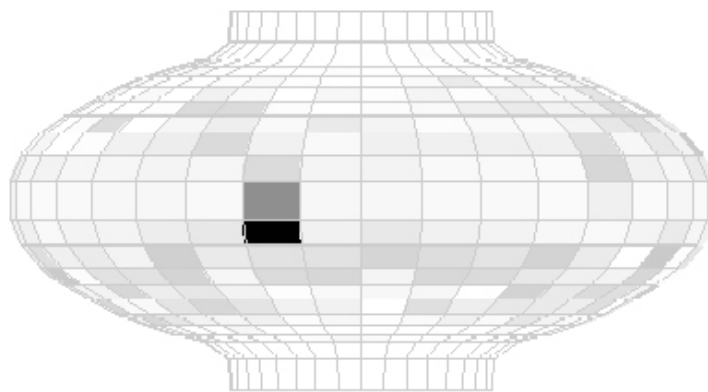
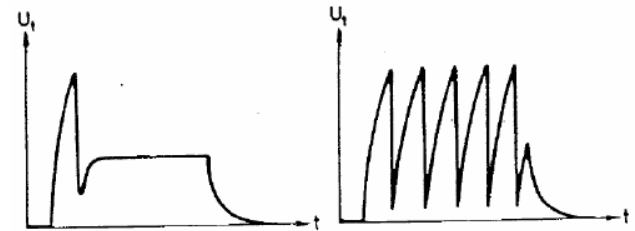
# Thermal Breakdown (Quench)

Localized heating

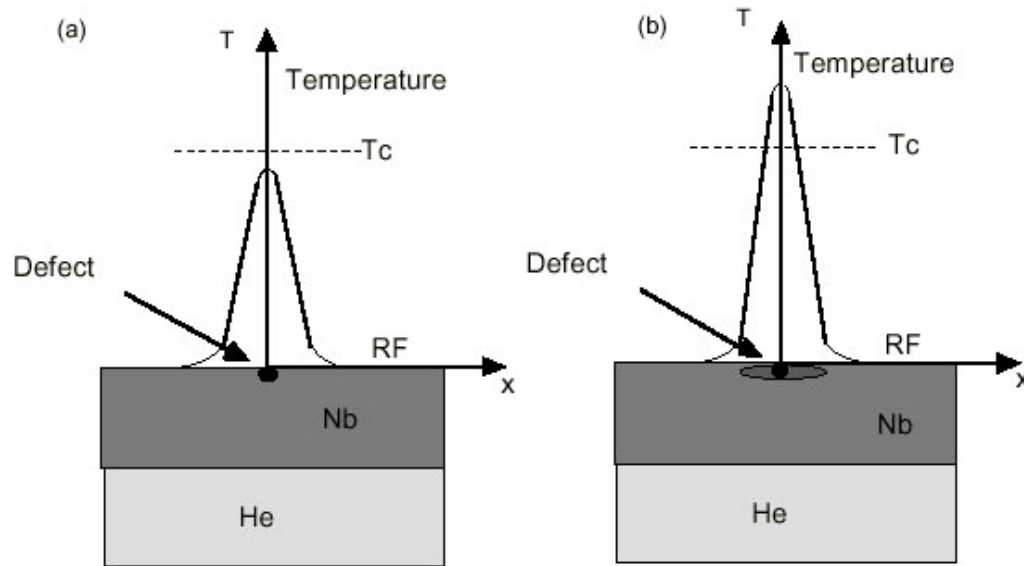
Hot area increases with field

At a certain field there is a thermal runaway, the field collapses

- sometimes displays a oscillator behavior
- sometimes settles at a lower value
- sometimes displays a hysteretic behavior

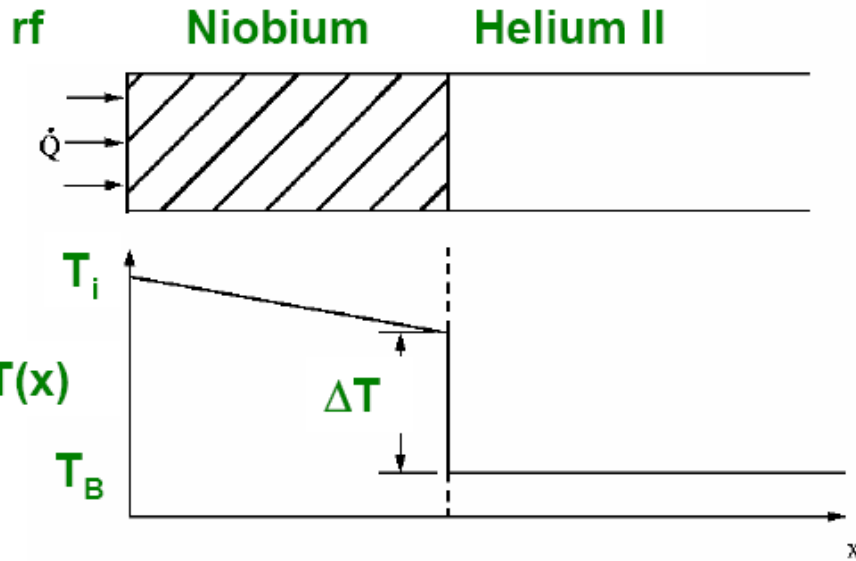


# Thermal Breakdown



Thermal breakdown occurs when the heat generated at the hot spot is larger than that can be transferred to the helium bath causing  $T > T_c$ : “quench” of the superconducting state

# Quench Mechanism



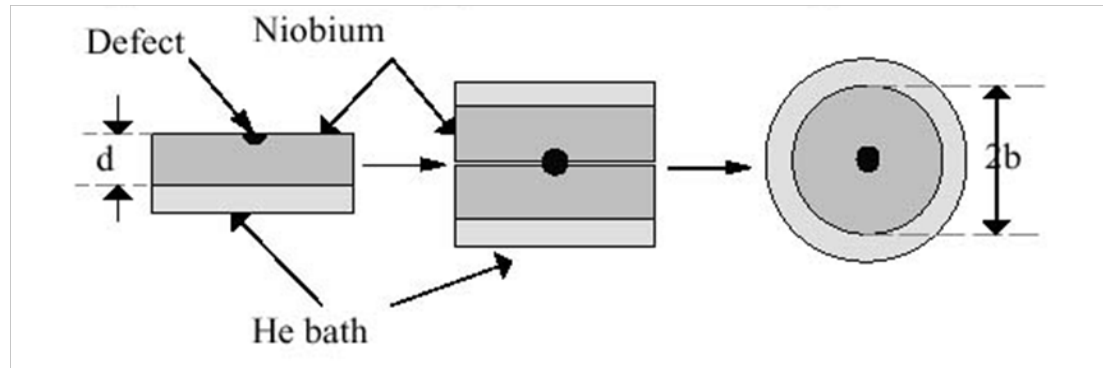
Temperature difference between inner surface and helium bath temperature (two dimensional case):

- The RF current produces heat
- Superconductors are bad thermal conductors:
  - Thermal conductivity
  - Kapitza Nb/He interface resistance
- A small normalconducting defect can produce a very large heating (Factor  $10^6$  surface resistance!)

$$T_i - T_B = \frac{\dot{Q}}{A} \left( \frac{d}{\lambda} + \frac{1}{h_k} \right)$$

High thermal and Kapitza conductivity required !!

# Thermal Breakdown: Simple Model



The power dissipation (in watts) at the defect is

$$\dot{Q}_T = \frac{1}{2} R_n H^2 \pi a^2.$$

Heat flow out through a spherical surface:

$$-4\pi r^2 \kappa \frac{\partial T}{\partial r} = 2\dot{Q}_T$$

When the defect reaches  $T_c$ , the field reaches its maximum value

$$H_{\max} = \sqrt{\frac{4\kappa(T_c - T_b)}{aR_n}}.$$

**Breakdown field given by (very approximately):**

$$H_{tb} = \sqrt{\frac{4\kappa_T(T_c - T_b)}{r_d R_d}}$$

$\kappa_T$ : Thermal conductivity of Nb  
 $R_d$ : Defect surface resistance  
 $T_c$ : Critical temperature of Nb  
 $T_b$ : Bath temperature

# Thermal Conductivity of Nb

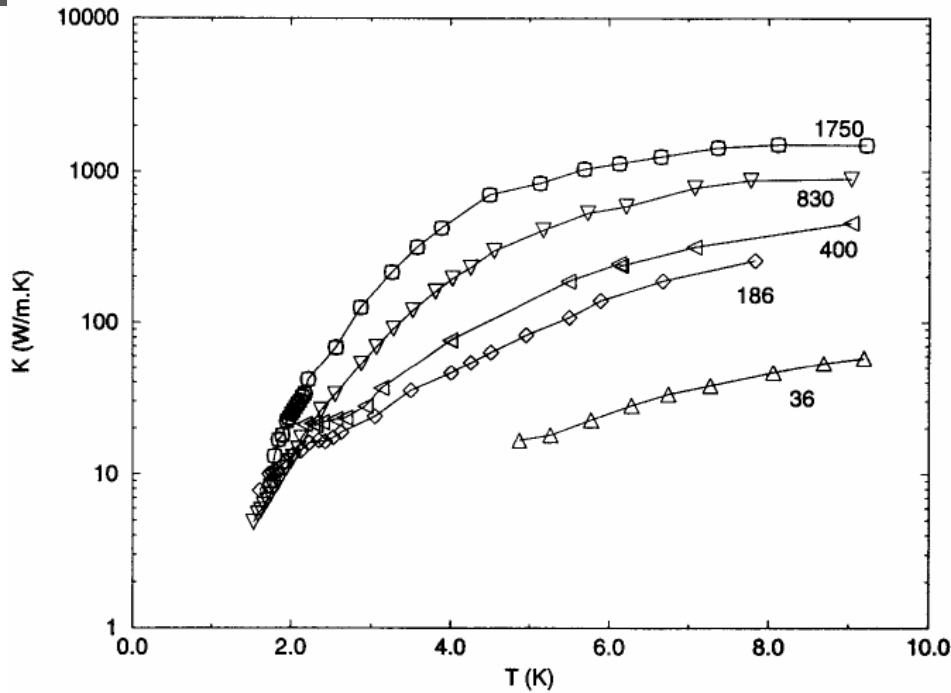


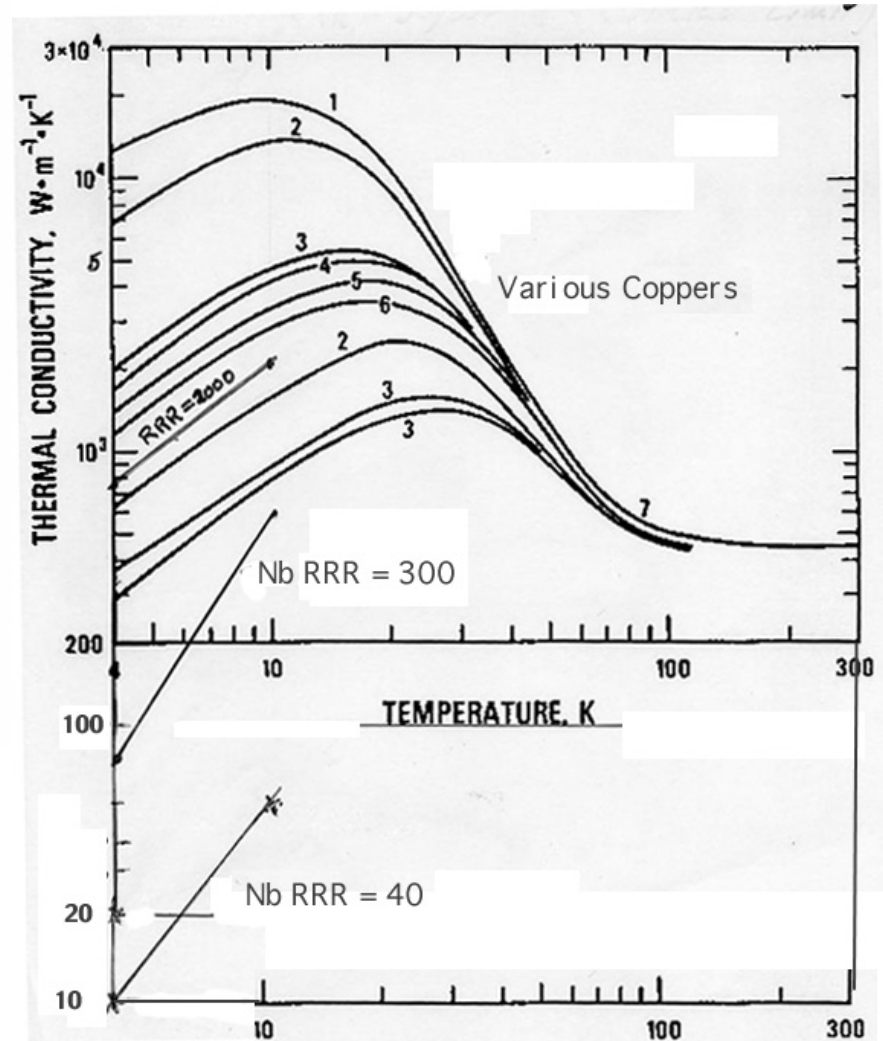
Fig. 3 The thermal conductivity of niobium as a function of temperature, for various RRR values.

RRR is the ratio of the resistivity at 300K and 4.2K

$$RRR = \frac{\rho(300K)}{\rho(4.2K)}$$

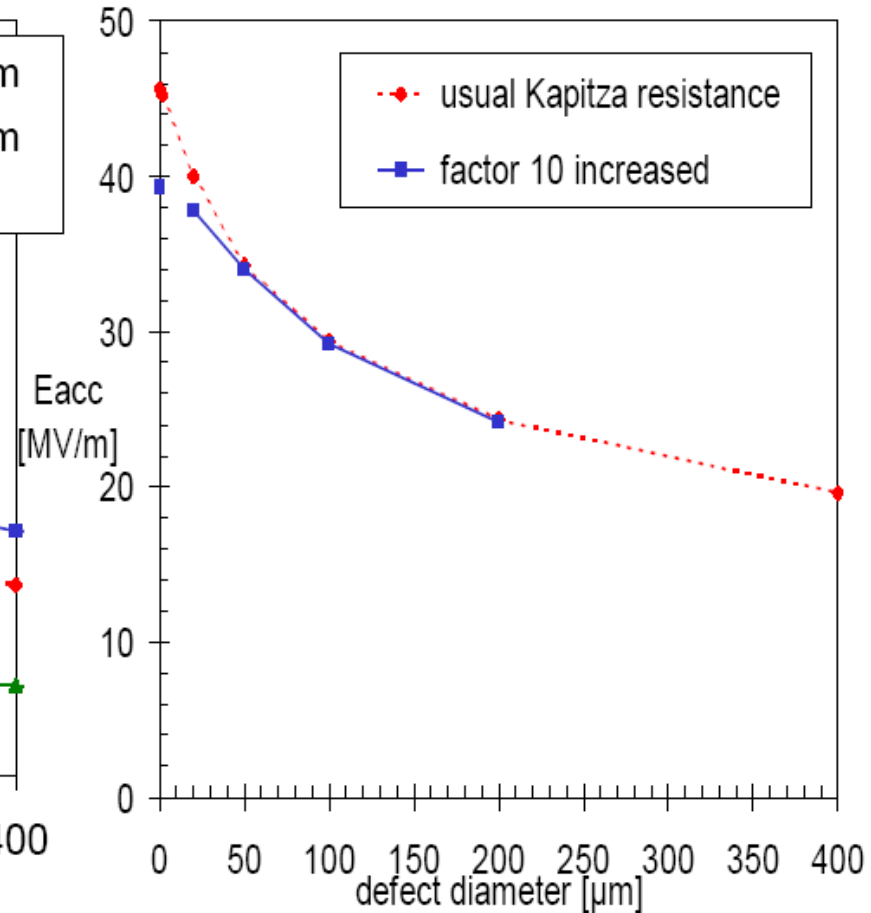
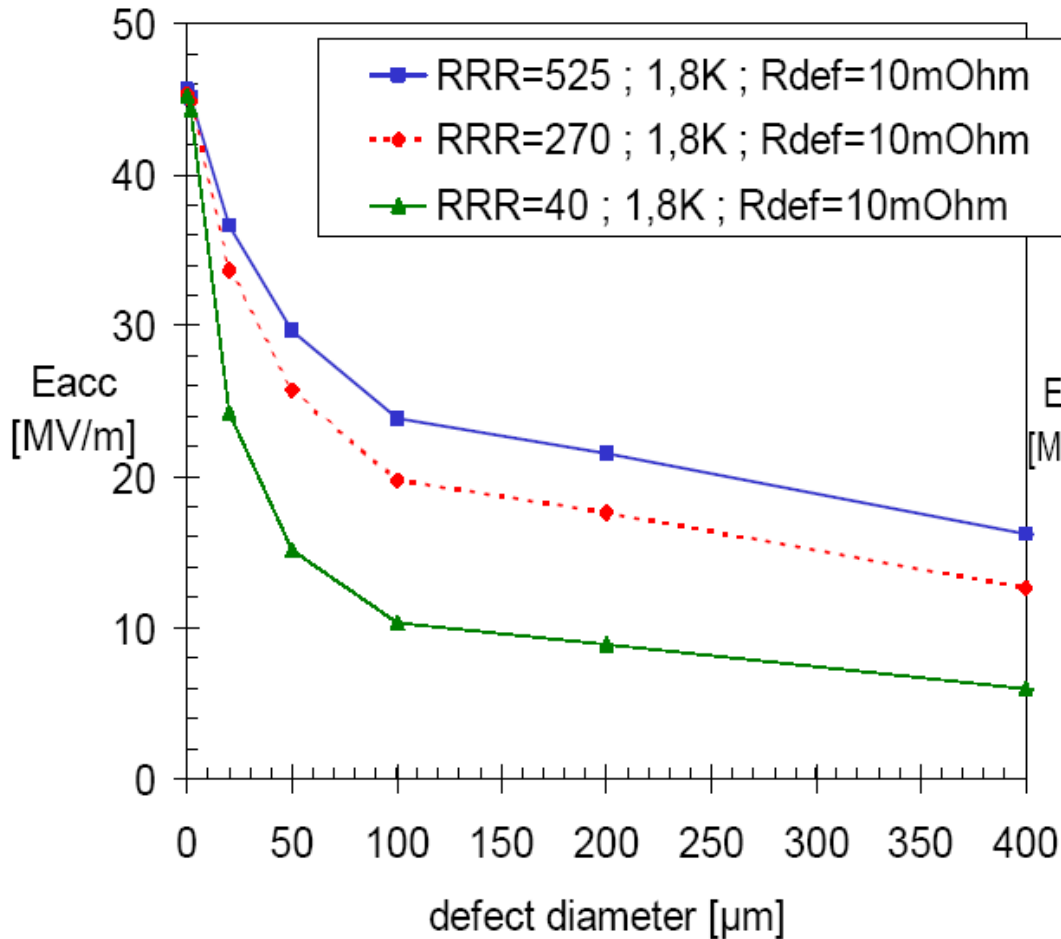
RRR is related to the thermal conductivity

For Nb:  $\lambda(T = 4.2K) \approx RRR / 4 \text{ (W. m}^{-1} \cdot \text{K}^{-1}\text{)}$





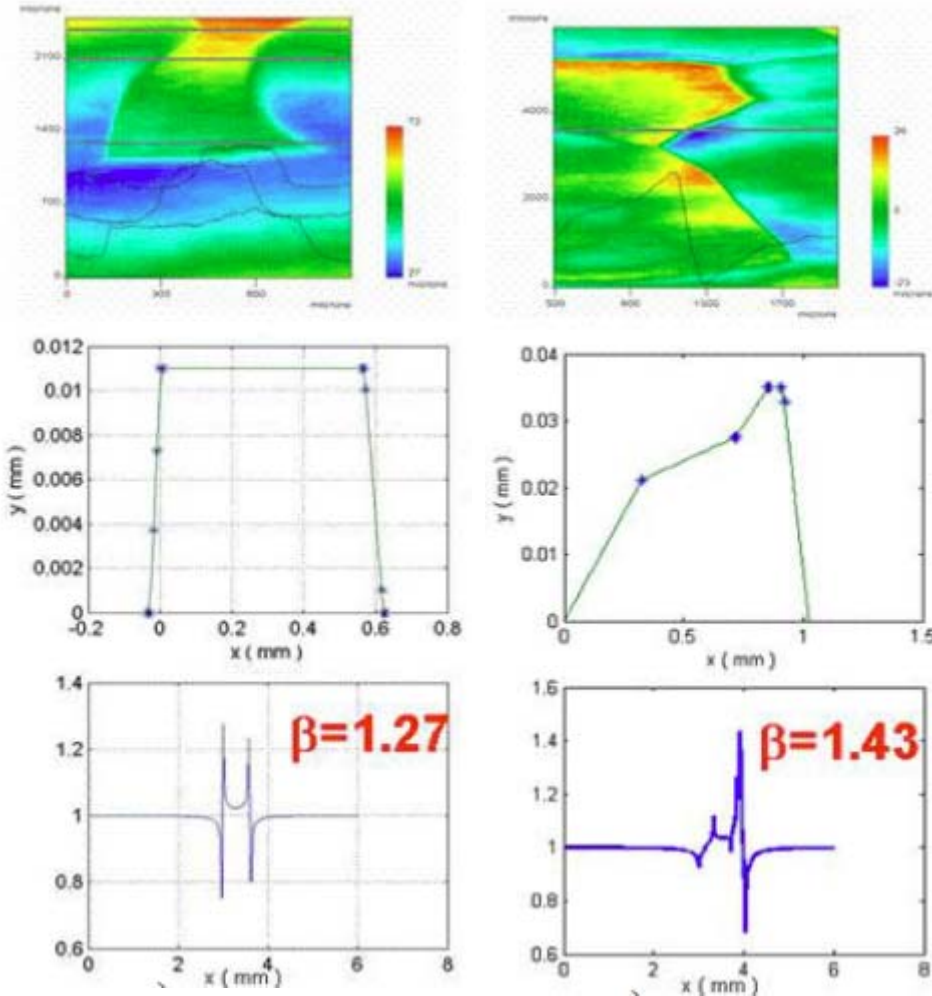
# Numerical Thermal Model Calculations



Note:  $H_{tb}$  has nearly no dependence on  $T_B < 2.1 \text{ K}$

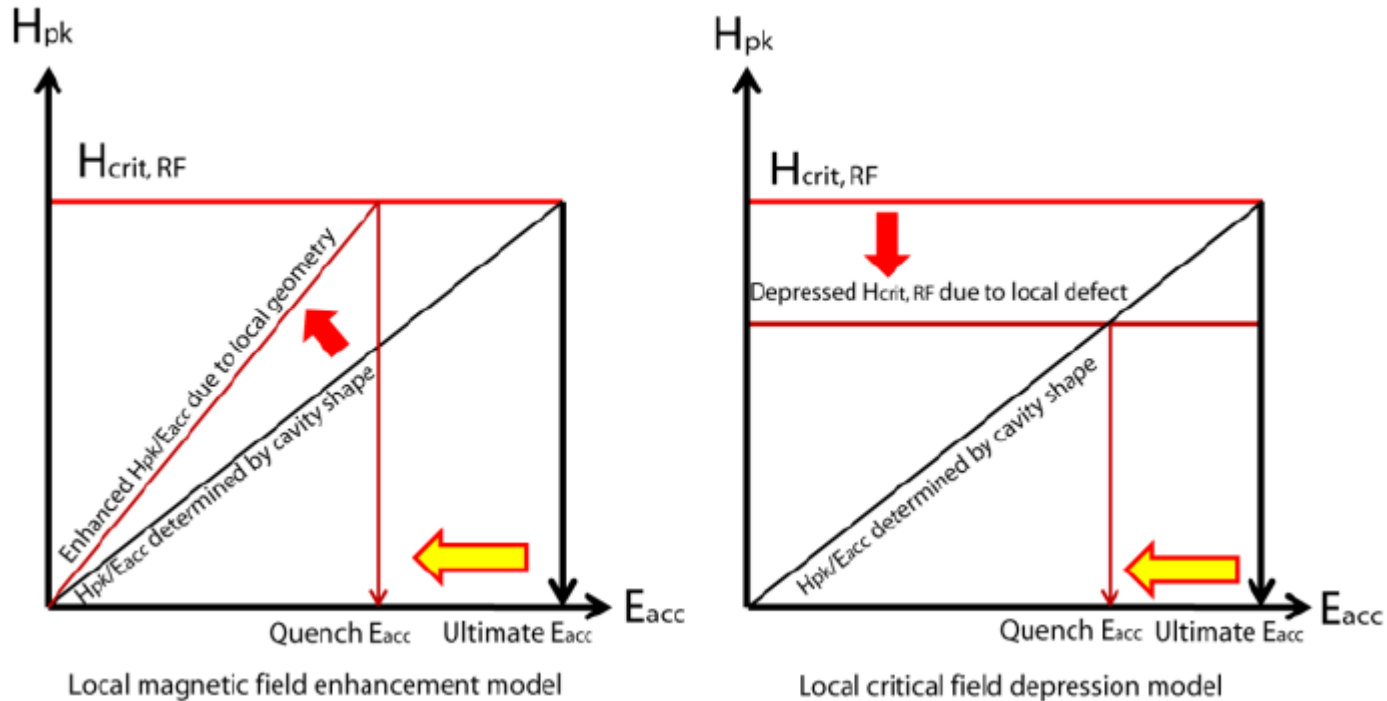
# Magneto-thermal Breakdown

- Quench location identified by T-mapping
- Morphology of quench site reproduced by replica technique



Local Magnetic Field Enhancement:  
Quench when  $\beta H > H_c$

# Magneto-thermal Breakdown: Maximum $E_{acc}$



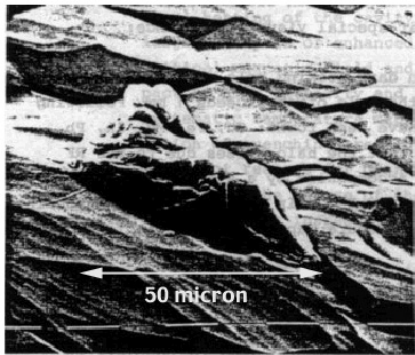
$$E_{acc}^{max} = d \frac{r H_{c,RF}}{\beta_m \left( H_p / E_{acc} \right)}$$

$r \leq 1$ , reduction of the local critical field within the penetration depth, due to impurities or lattice imperfection

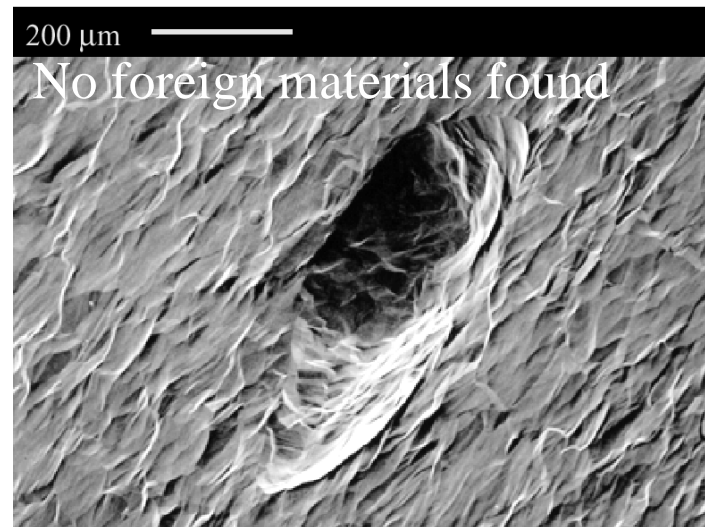
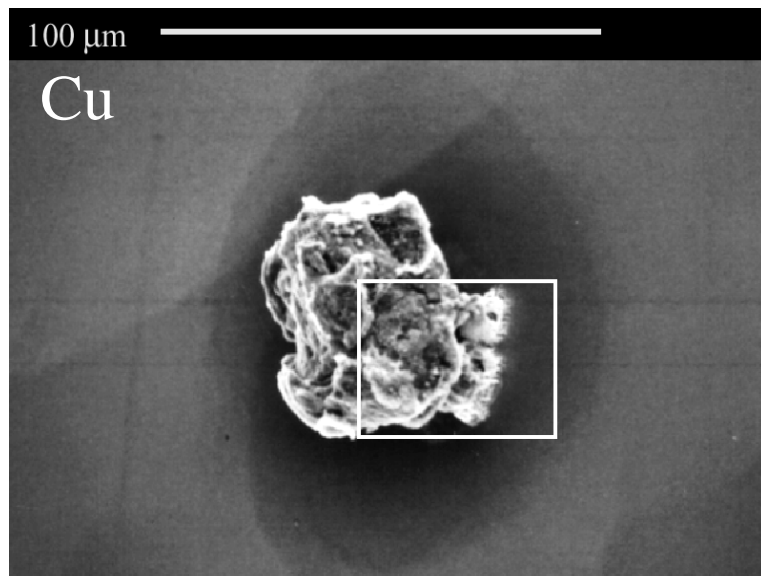
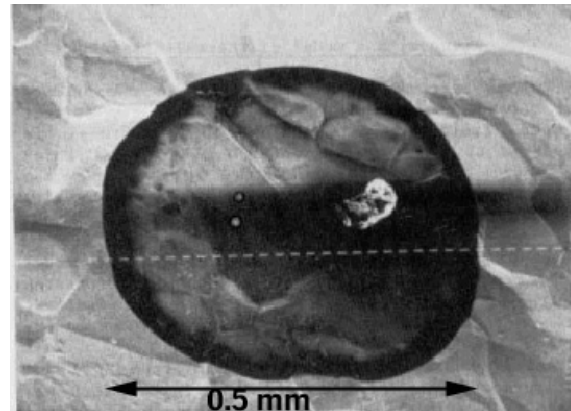
$d$ , thermal stabilization parameter  $\propto \sqrt{\kappa}$

$\beta_m > 1$ , geometric field enhancement factor

# Type of Defects



SEM

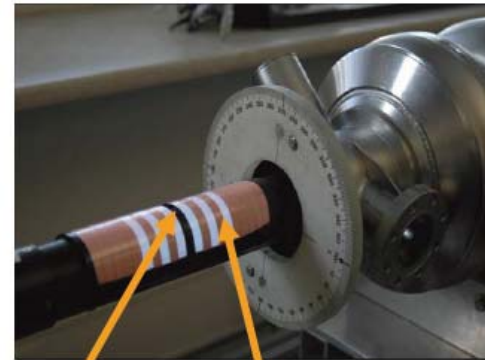


Surface defects, holes can also cause TB

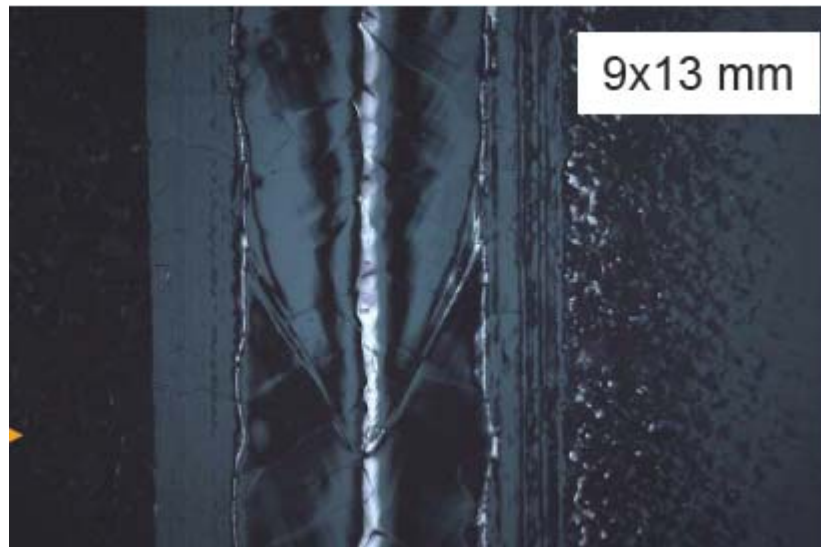
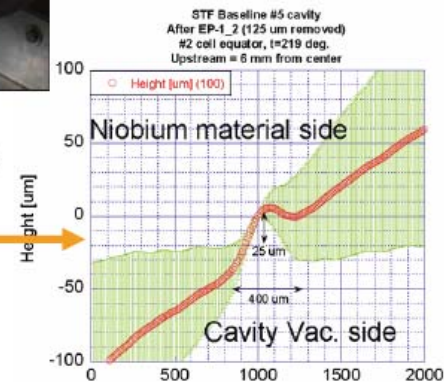
**0.1 – 1 mm size defects cause TB**

# Optical Inspection

- long distance microscope (Cornell)
  - resolution:  $12\ \mu\text{m}/\text{pixel}$  (limited by camera)
- University Kyoto and KEK camera system
  - resolution:  $7\ \mu\text{m}/\text{pixel}$
  - variable light system for height measurement



camera light source



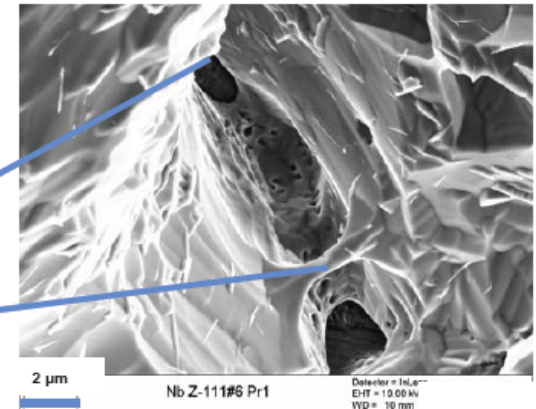
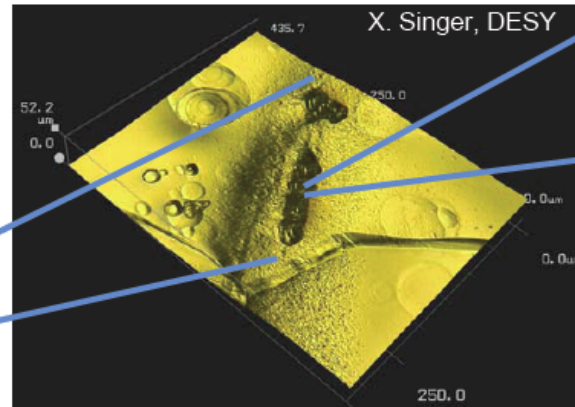
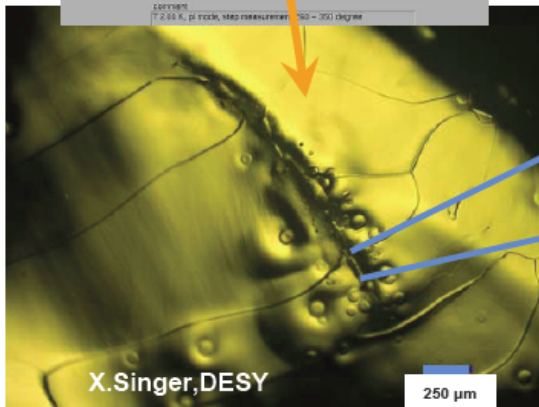
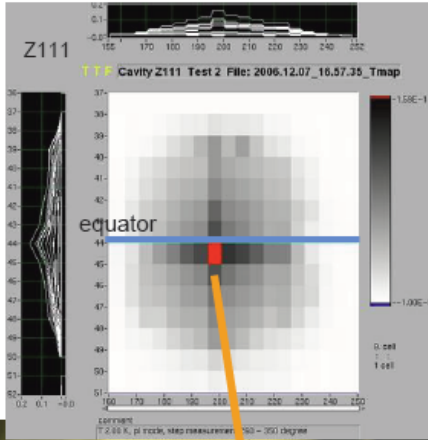
# Defects Seen by Optical Inspection

## Cell 6, Quench at 16 MV/m on equator

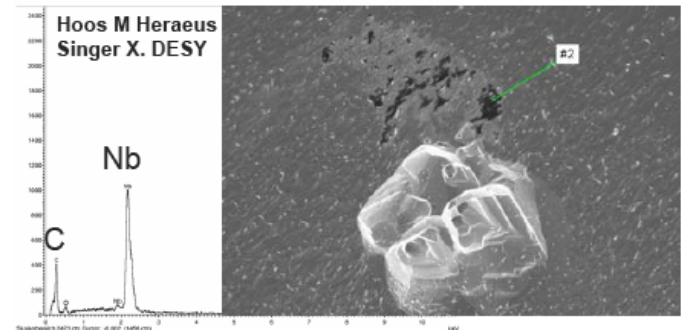
DESY

- Holes with sharp edges along the grain boundaries in the equator weld
- Pits around the holes.

SEM

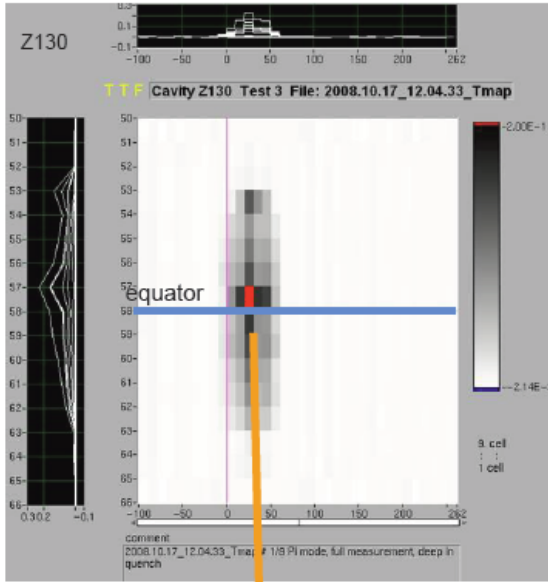


- Auger analysis: no foreign material
- EDX analysis: increased content of carbon in black spots

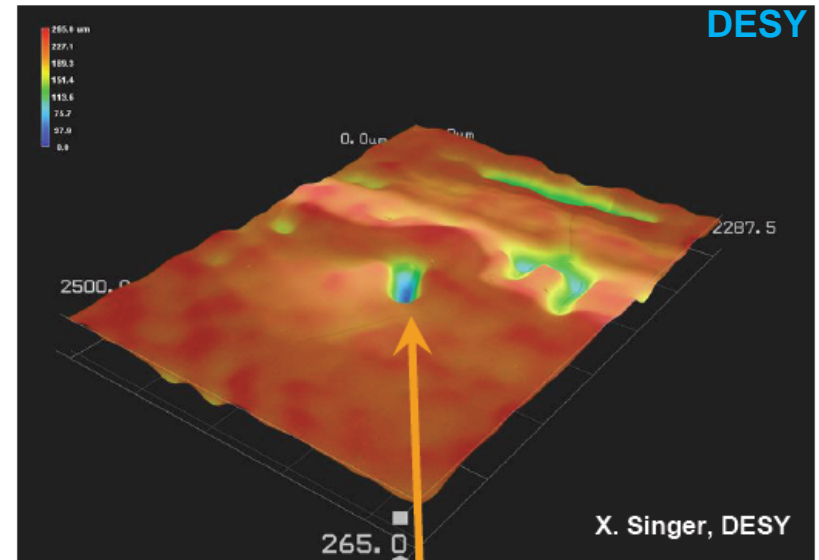


# Defects Seen by Optical Inspection

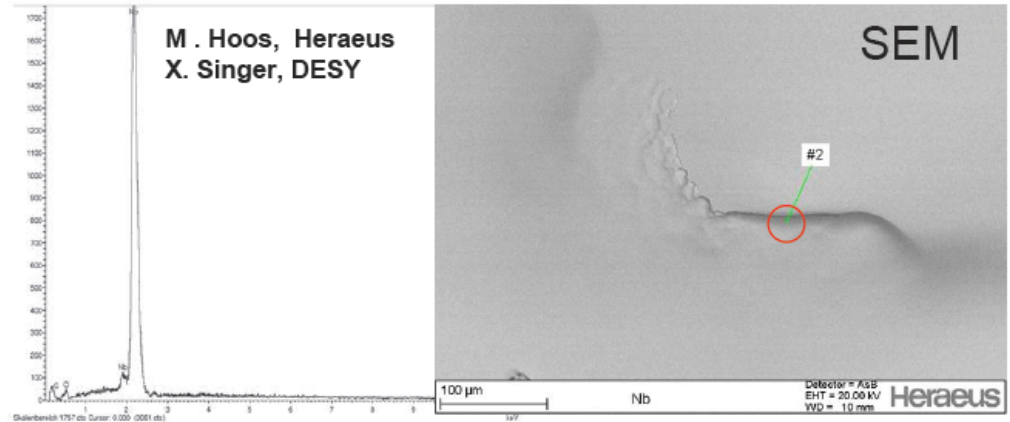
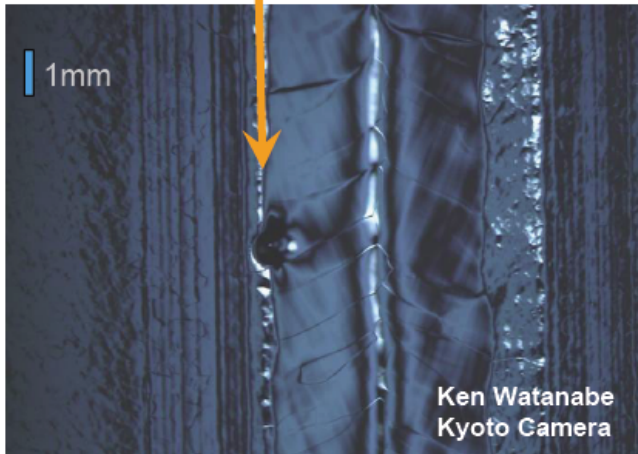
Cell 5, Quench at 23 MV/m on equator



hole in the equator weld



3D image, bump and hole up to 200  $\mu\text{m}$  deep



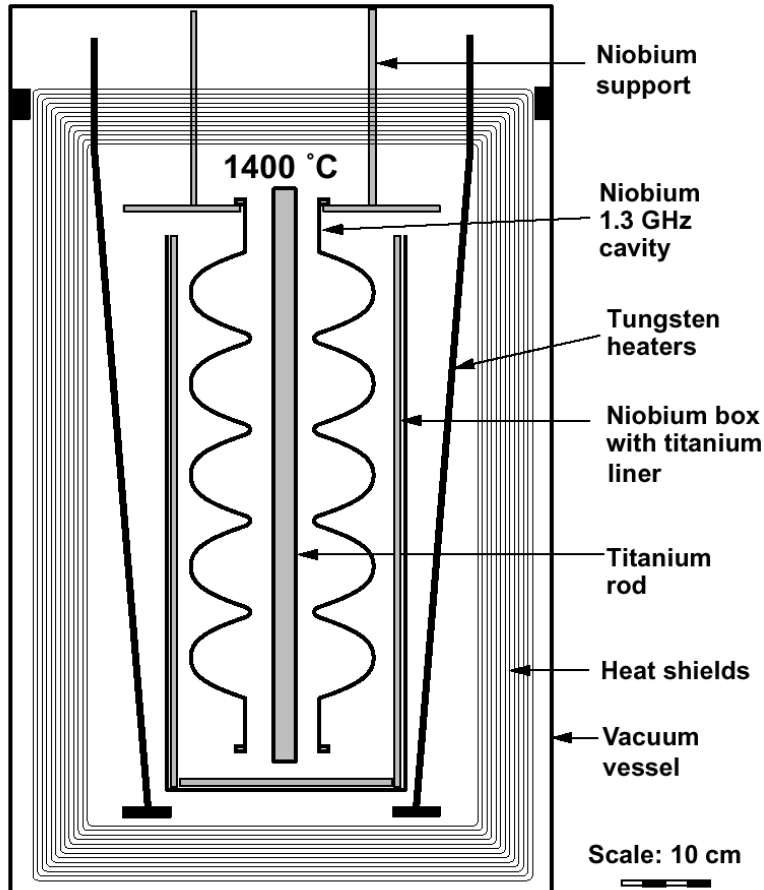
No foreign material inclusions detected by EDX

# Cures for Quench

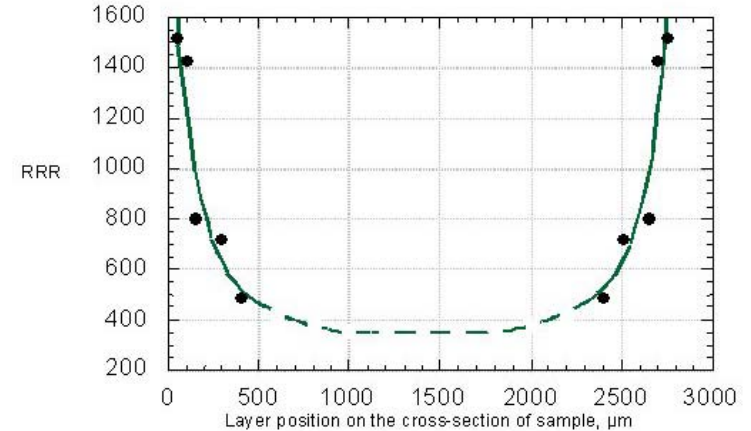
- **Prevention: avoid the defects**
  - High-quality Nb sheets
    - Eddy-current scanning of Nb sheets
  - Great care during cavity fabrication steps
- **Post-treatment:**
  - Thermally stabilize defects by increasing the RRR
  - Remove defects: local grinding



# Post-purification for Higher RRR



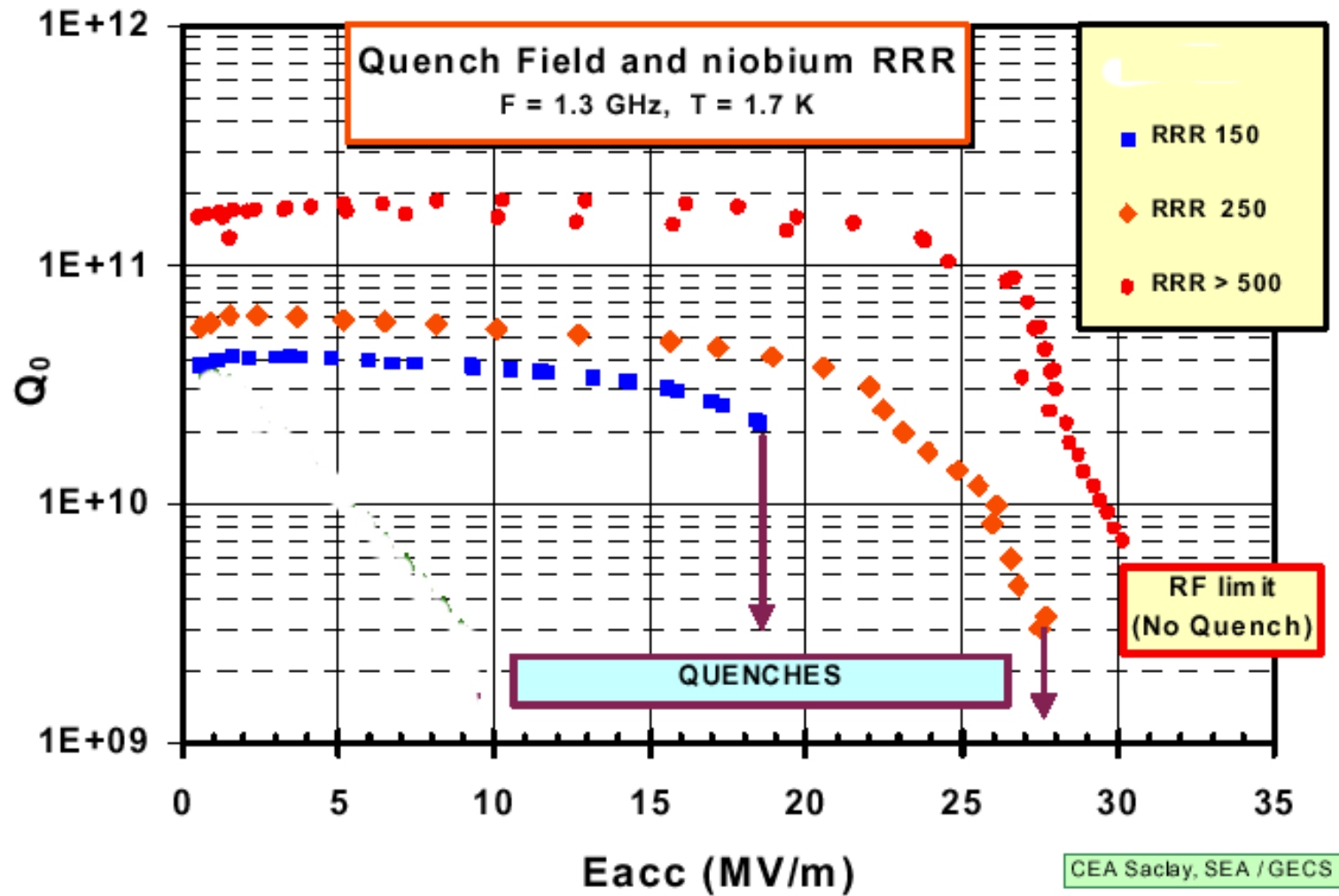
- Post-purification by solid-state gettering
- use Ti (or Y) as getter material => higher affinity for O, (N, C) than Nb
  - coating of cups or cavity with getter material at 1350 C (Ti) under UHV
  - diffusion of O from Nb to Ti until equilibrium
- 1) Increase of RRR = 250-300 to RRR = 500 – 700
- 2) Homogenizing impurities



## Disadvantages:

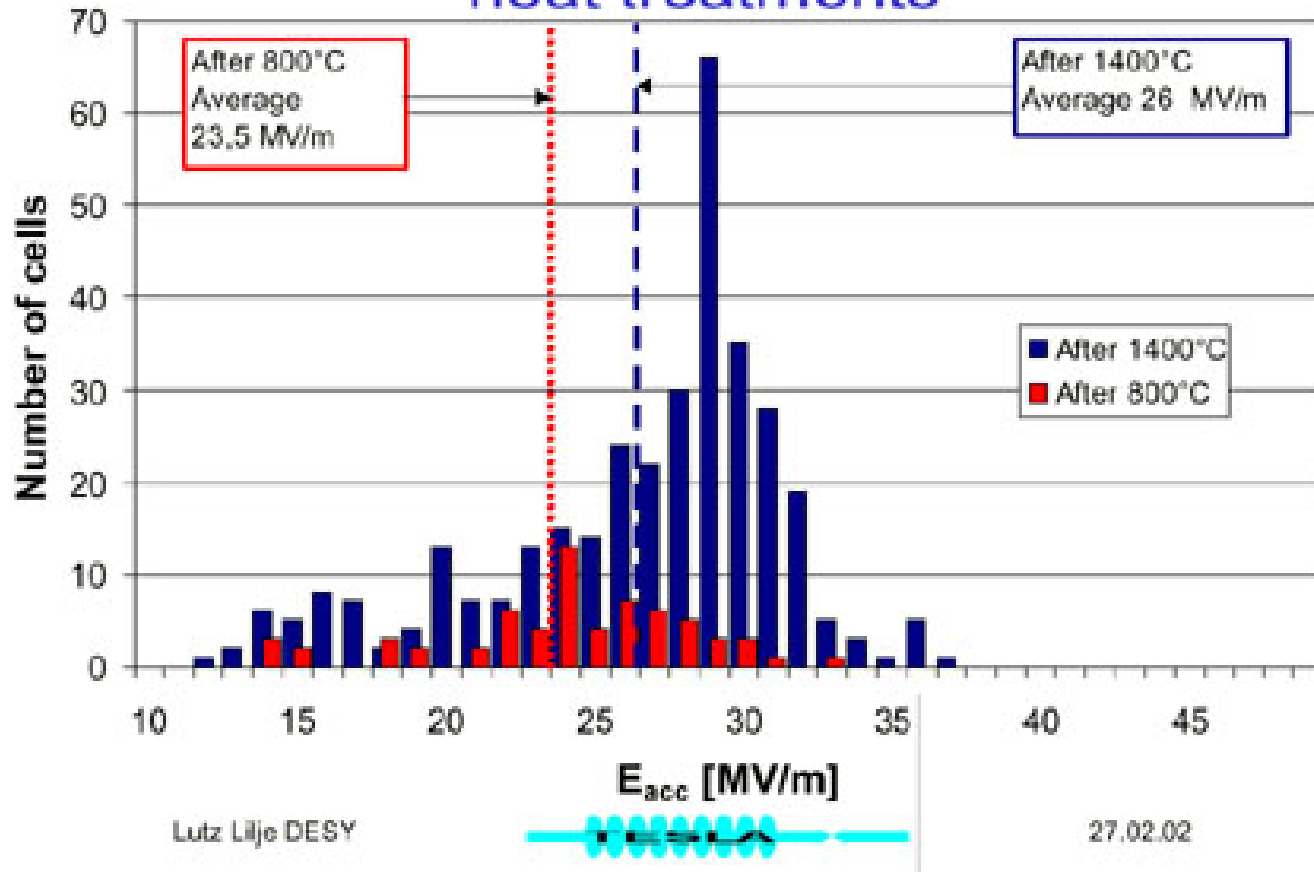
- > 50 μm material removal necessary after heat treatment
- Significant reduction of yield strength of the Nb

# Post-purification



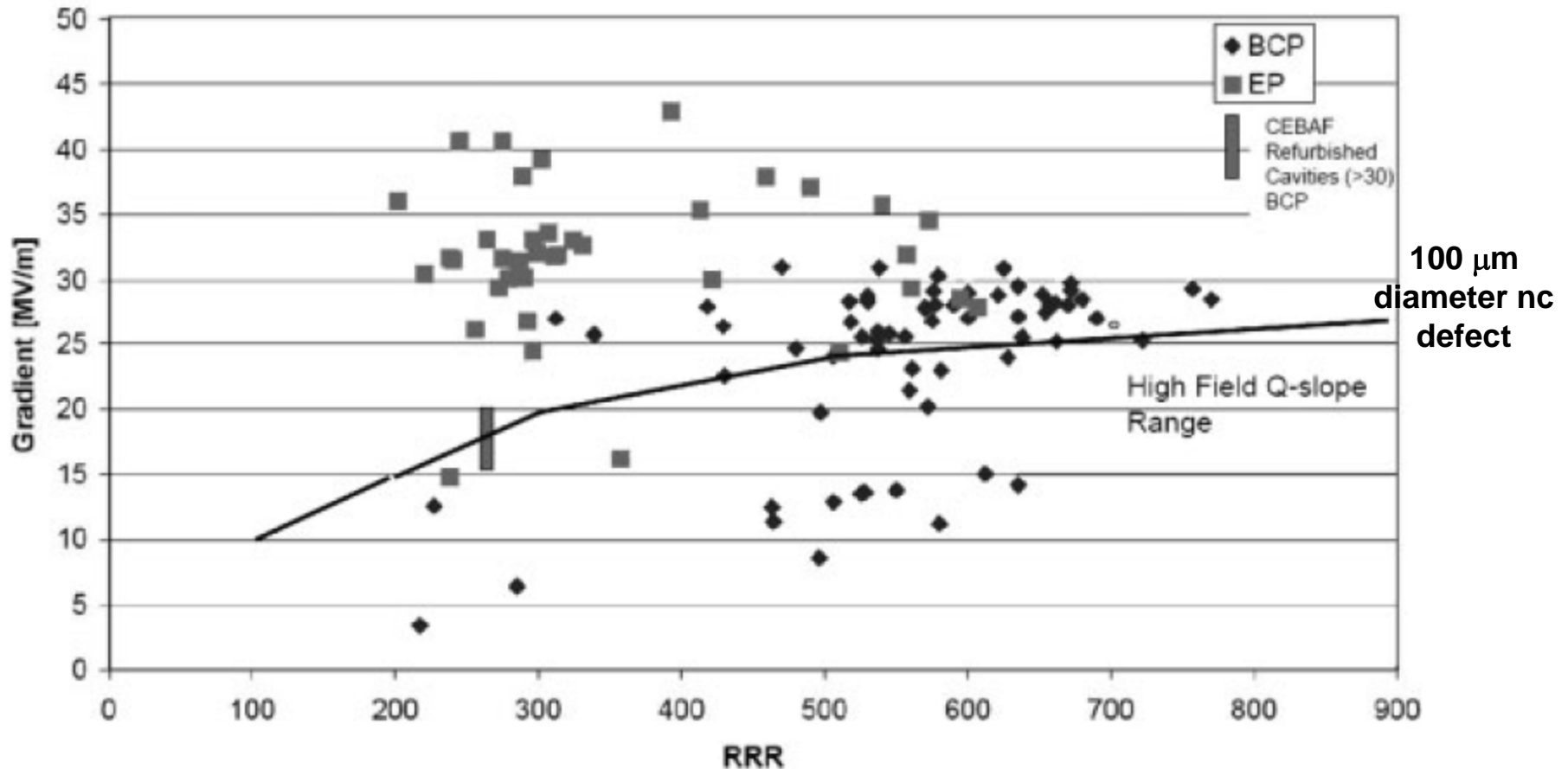
# Post-purification

## Benefit of the high temperature heat treatments

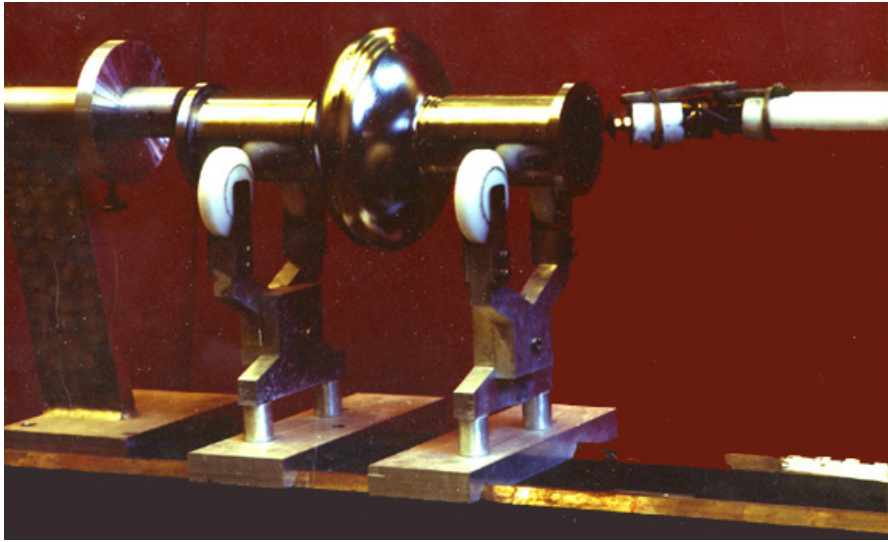


# How High of RRR Value is Necessary?

9-cell ILC cavities

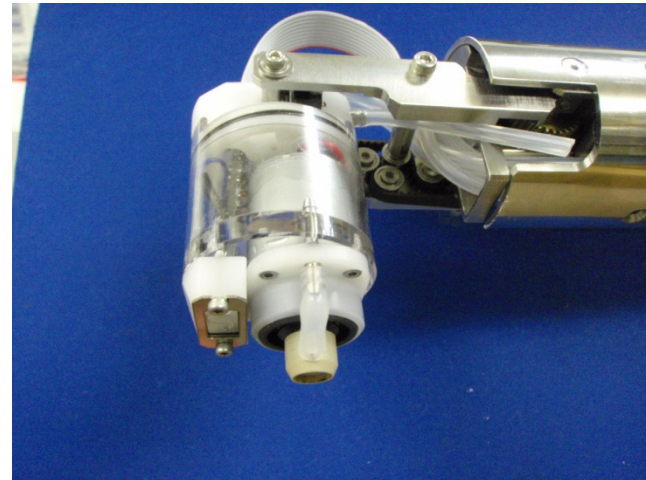


# Defect Repair: Local Grinding

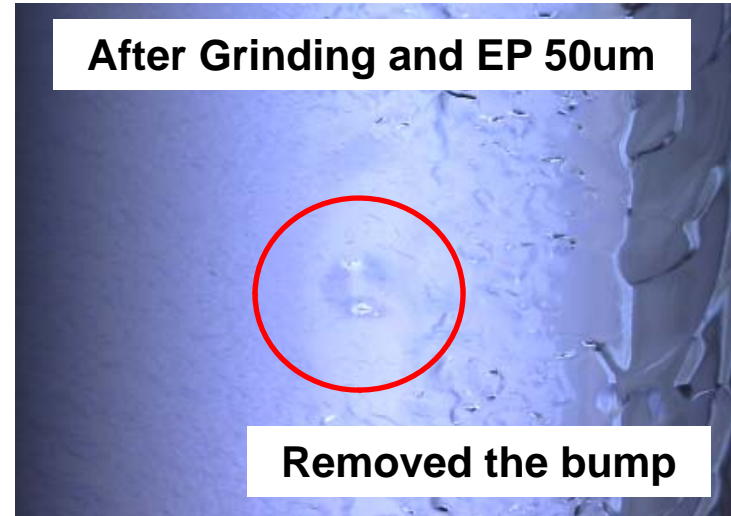
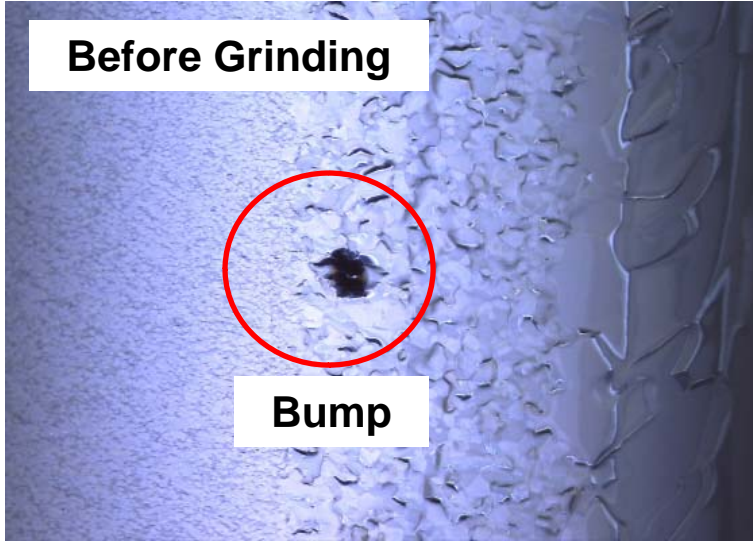


**Polymond + water for grinding**

**Polymond: diamond particles in a resin  
(particle size = 40 ~ 3  $\mu\text{m}$ )**



# Defect Repair: Local Grinding



Quench at  $E_{acc}=20$  MV/m

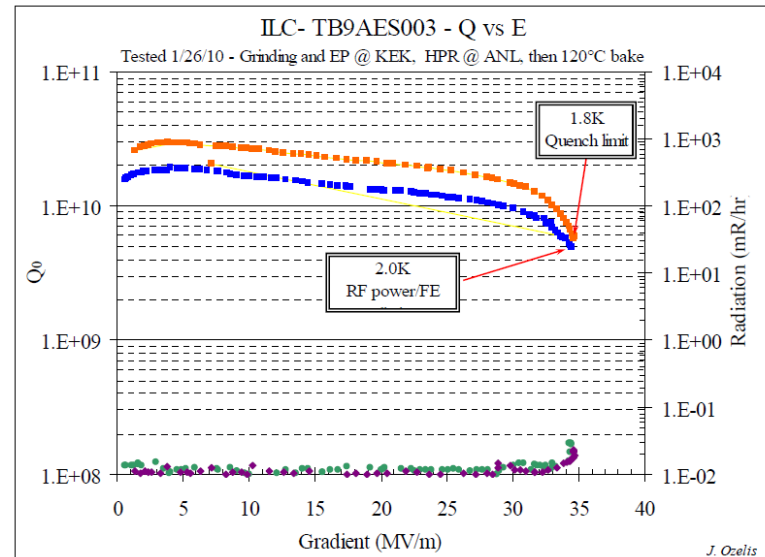
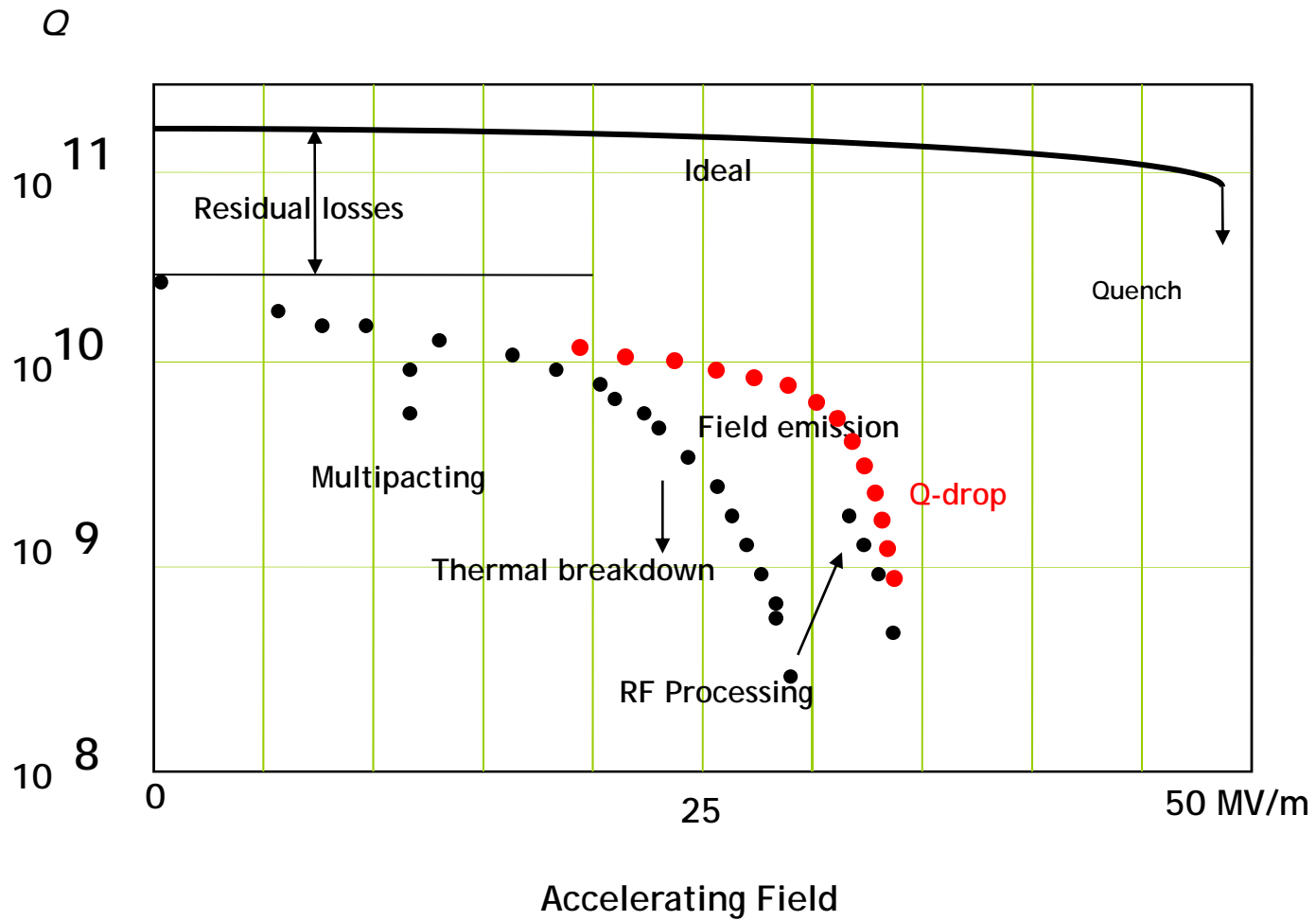


Figure 1.)  $Q_0$  vs E runs at 2.00K and 1.80K.

# Summary on Quench

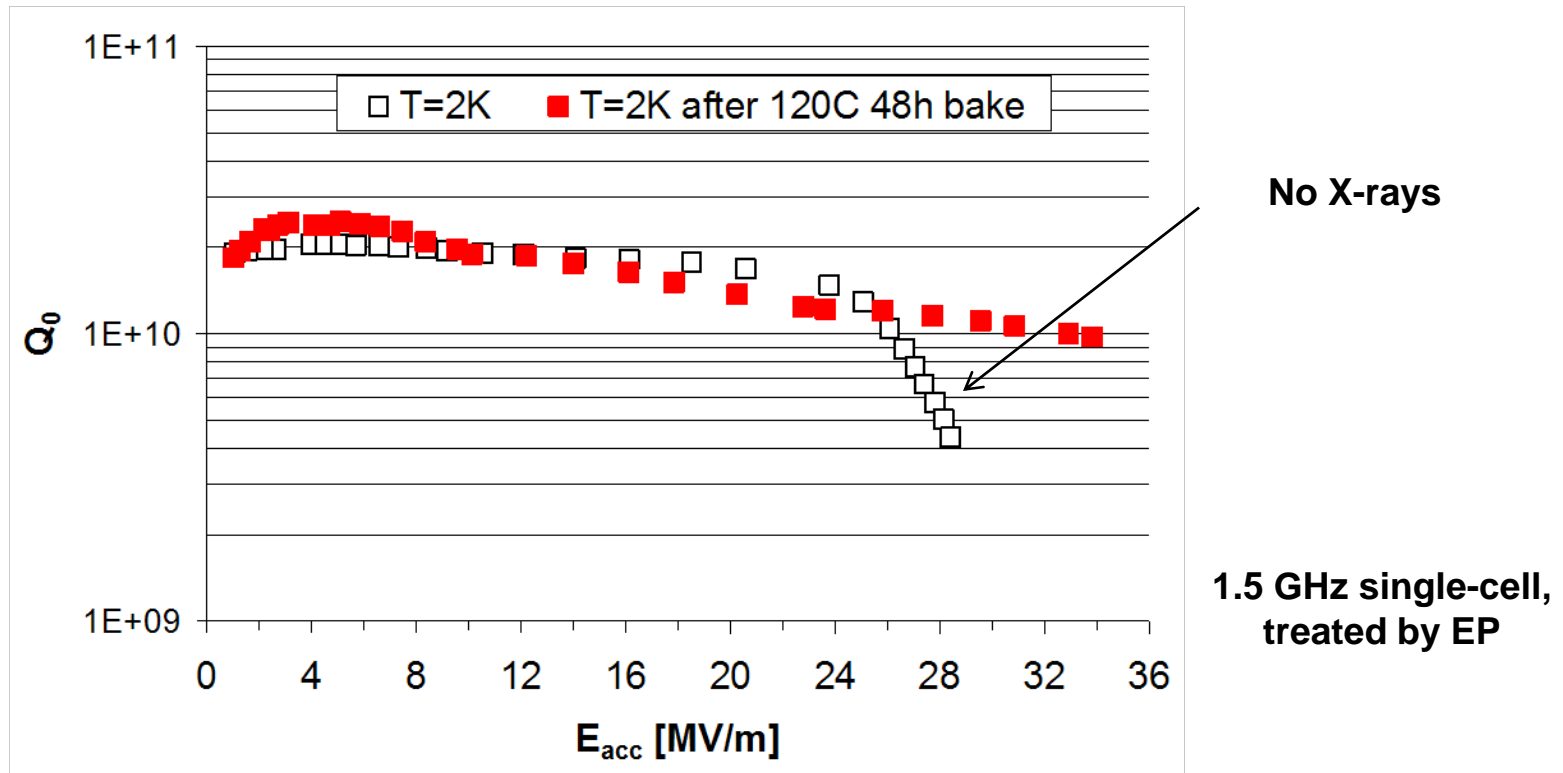
- **Big improvement in Cavity fabrication and treatment**  
**less foreign materials found (at limitations  $<20\text{MV/m}$  only)**
- **Visual inspection systems are available**
- **Many irregularities in the cavity surface are found with this systems**  
**during and after fabrication and treatment**
  - pits and bumps**
  - weld irregularities**
- **Often one defect limits the whole cavity**
- **Some correlations are found between defects and quench locations**  
**at higher fields**
  - But often no correlation between suspicious pits and bumps**  
**and quench location**
- **At gradient limitations in the range  $>30\text{ MV/m}$  defects are often not identified**

# High-Field Q-Slope (“Q-drop”)





# Q-drop and Baking

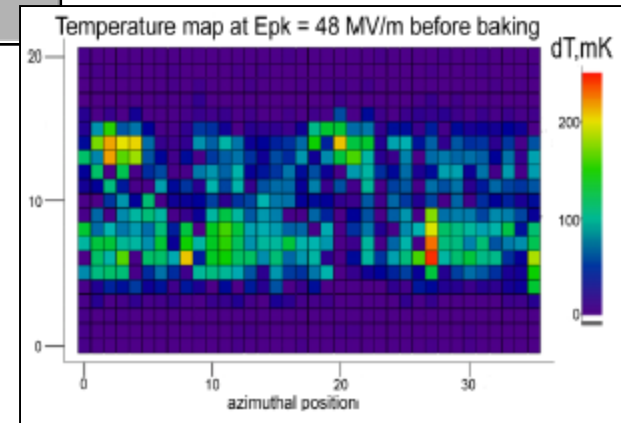
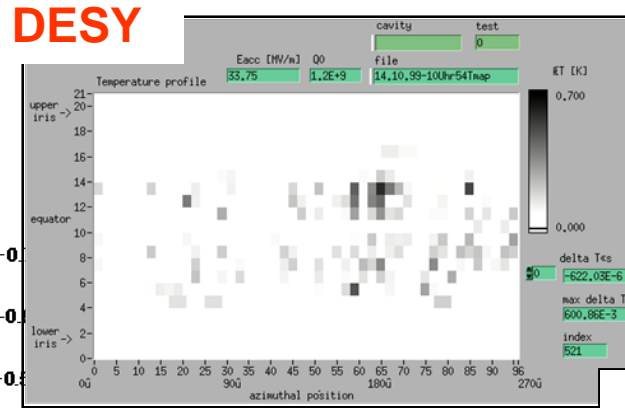
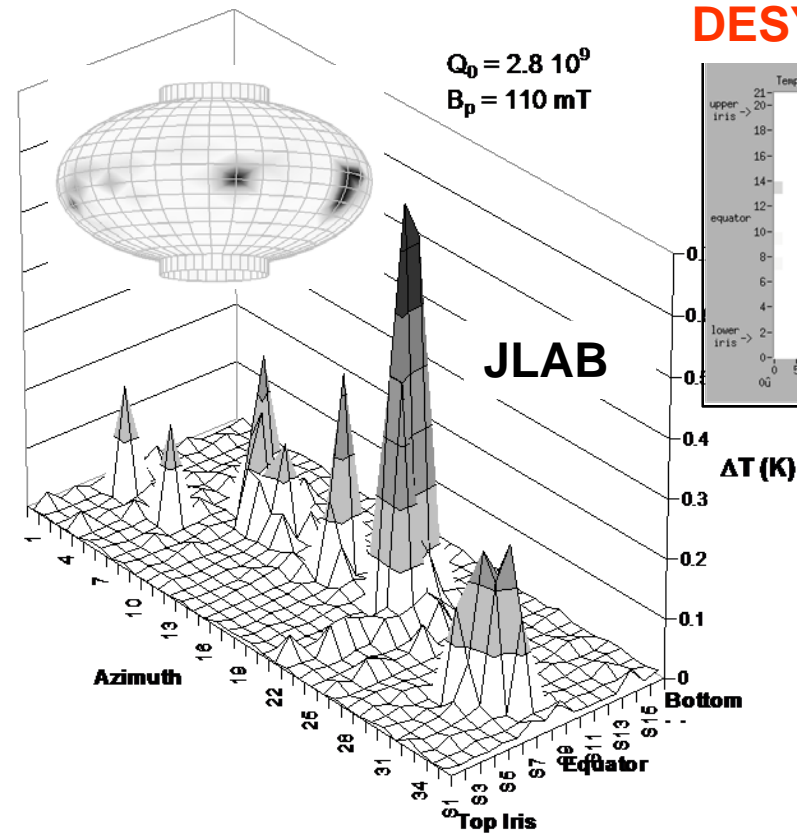


- The origin of the Q-drop is still unclear. Occurs for all Nb material/treatment combinations
- The Q-drop recovers after UHV bake at 120 °C/48h for certain material/treatment combinations

# Experimental Results on Q-drop

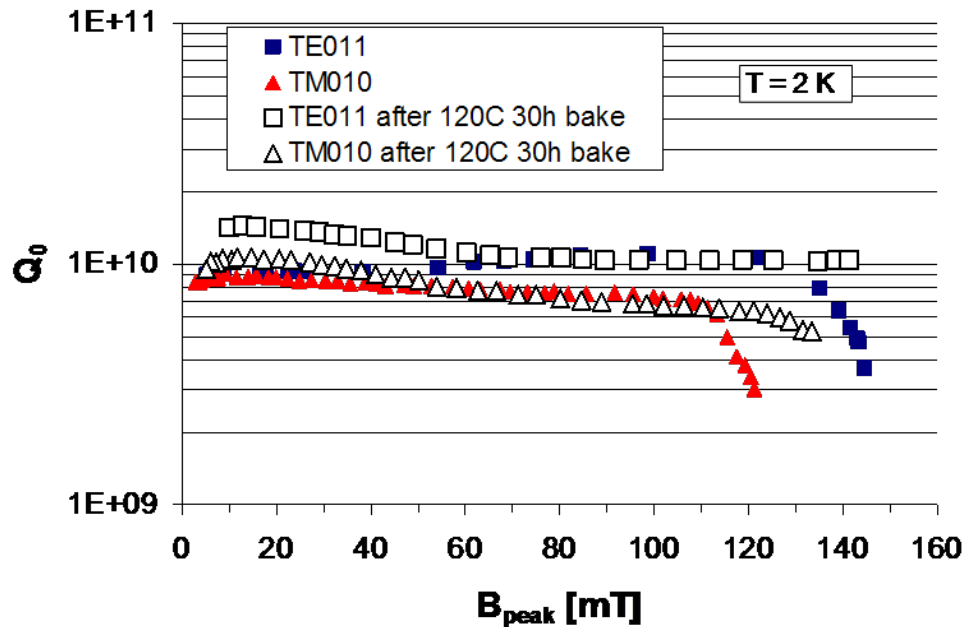
## T-Maps

CORNELL



- “Hot-spots” in the equator area (high-magnetic field)

# Experimental Results on Q-drop



- Q-drop and baking effect observed in both  $TM_{010}$  and  $TE_{011}$  modes. TE mode has no surface electric field

Q-drop: high magnetic field phenomenon

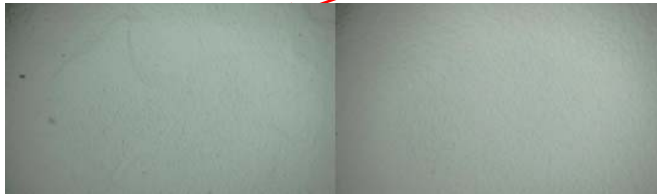
Onset of Q-drop is higher for

- smooth surfaces
- reduced number of grain boundaries

# Baking: Material and Preparation Dependence

Baking **works** on cavities made of:

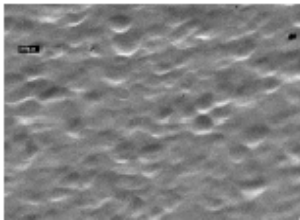
- Large-grain Nb (buffered chemical polished or electropolished)



50  $\mu\text{m}$

Smooth surface, few grain boundaries

- Fine-grain Nb, electropolished



100  $\mu\text{m}$

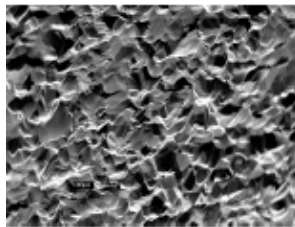
Smooth surface, many grain boundaries

- Fine-grain Nb, post-purified, BCP

Smooth surface, fewer grain boundaries

Baking **does not work** on cavities made of:

- Fine-grain Nb, buffered chemical polished

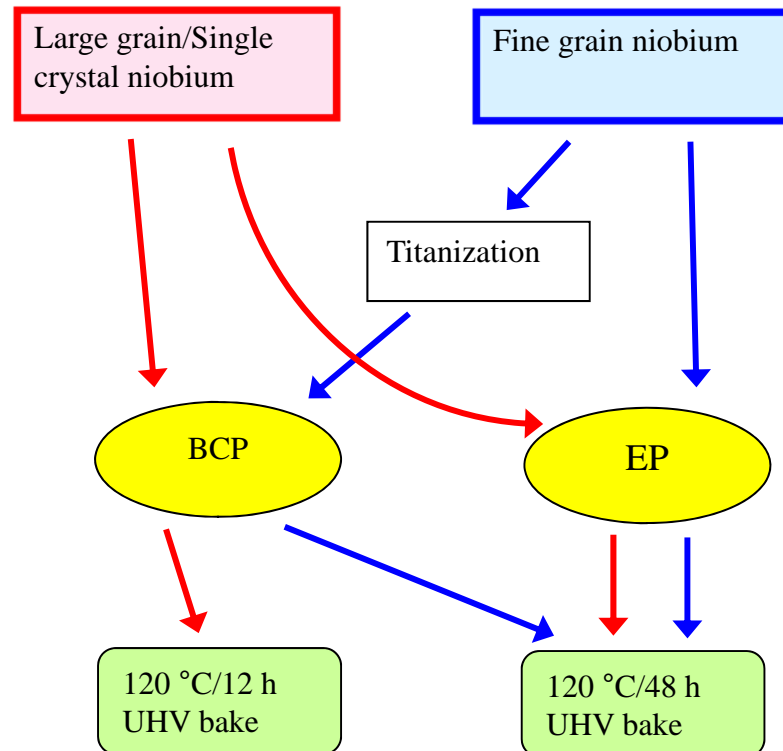


100  $\mu\text{m}$

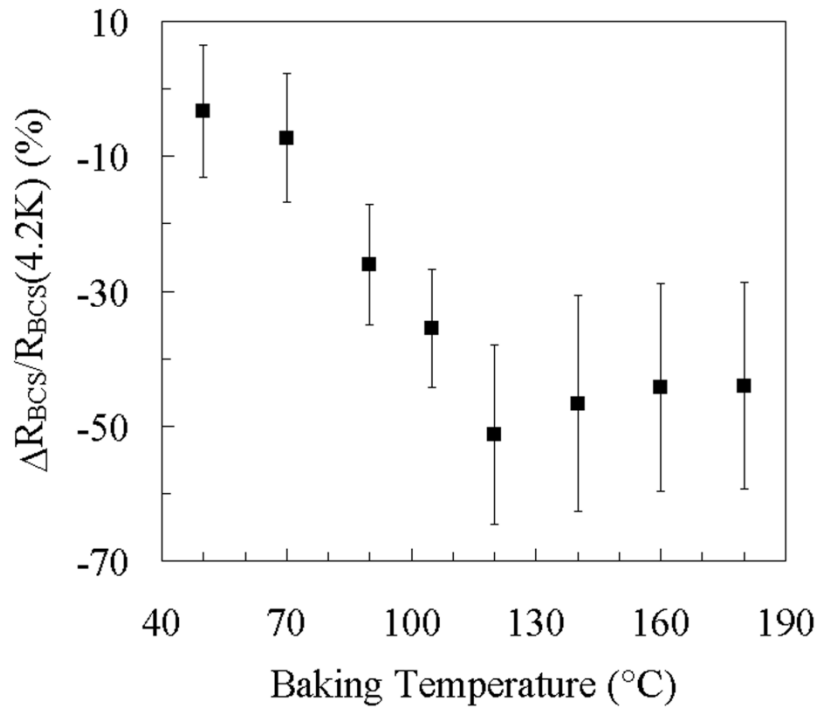
Rough surface, many grain boundaries

# Recipe against Q-drop

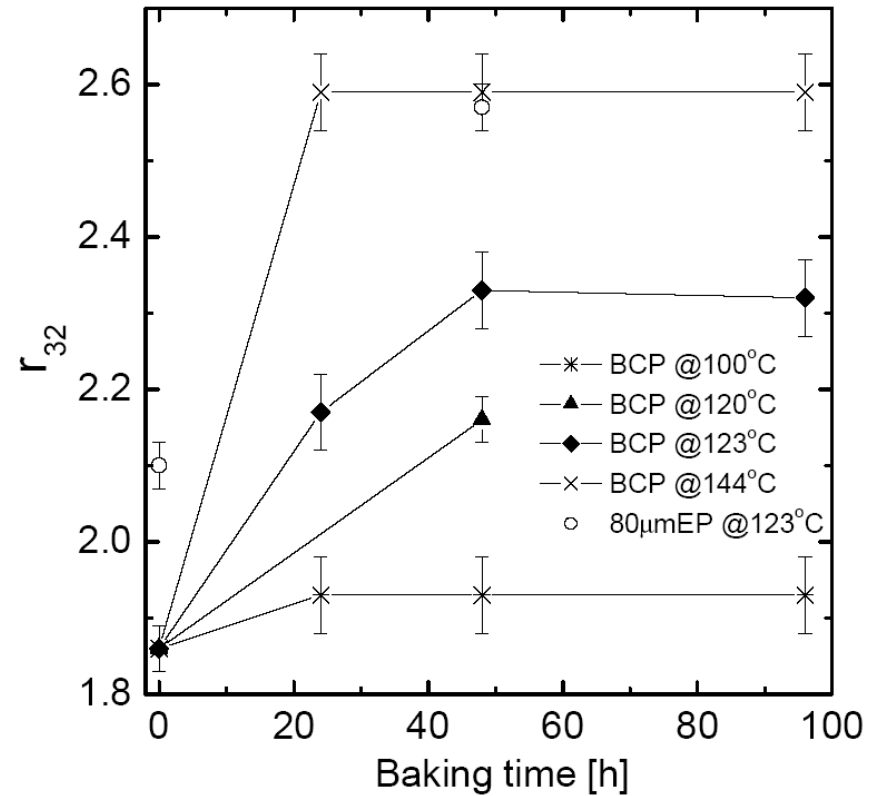
Recipes necessary to overcome the Q-drop, depending on the starting material, based on current data:



# Baking Effects on Low-field $R_s$ and $H_{c3}$



$r_{32} = B_{c3}/B_{c2}$ : depends on bake temperature and duration

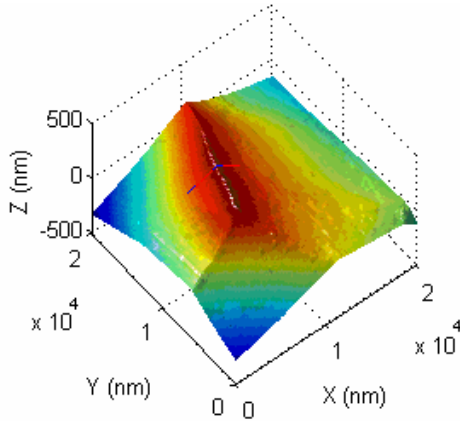


- Decrease of  $R_{BCS}$  due to  $\downarrow$  of  $l$  and  $\uparrow$  of energy gap
- The physics of the niobium surface changes from **CLEAN** ( $l > 200$  nm) to **DIRTY LIMIT** ( $l \approx 25$  nm  $\cong \xi_0$ )

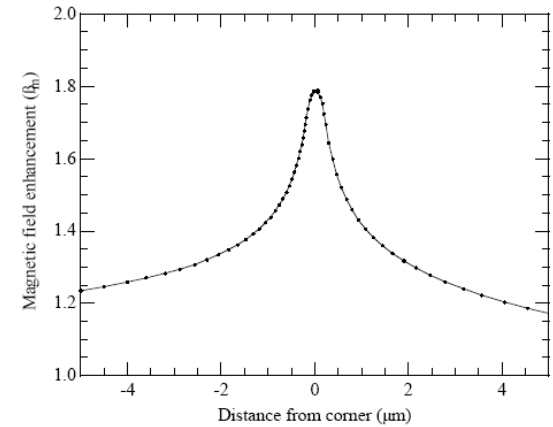
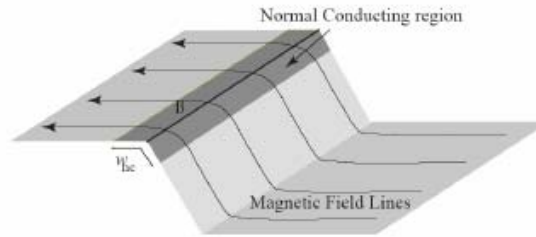
# Models of Q-drop & Baking

- Magnetic field enhancement
- Oxide losses
- Oxygen pollution
- Magnetic vortices

# Magnetic Field Enhancement Model



**AFM image of a grain boundary edge**

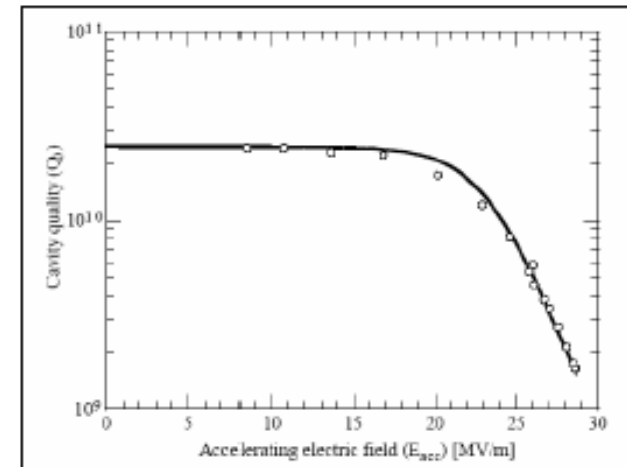


Local quenches at sharp steps (grain boundaries) when  $\beta_m H > H_c$

$\beta_m$ : Field enhancement factor

- $Q_0(B_p)$  calculated assuming
  - ✓ Distribution function for  $\beta_m$  values
  - ✓ The additional power dissipated by a quenched grain boundary is estimated to be  $\sim 17 \text{ W/m}$

J. Knobloch et al., *Proc. of the 9<sup>th</sup> SRF Workshop, (1999), p. 77*



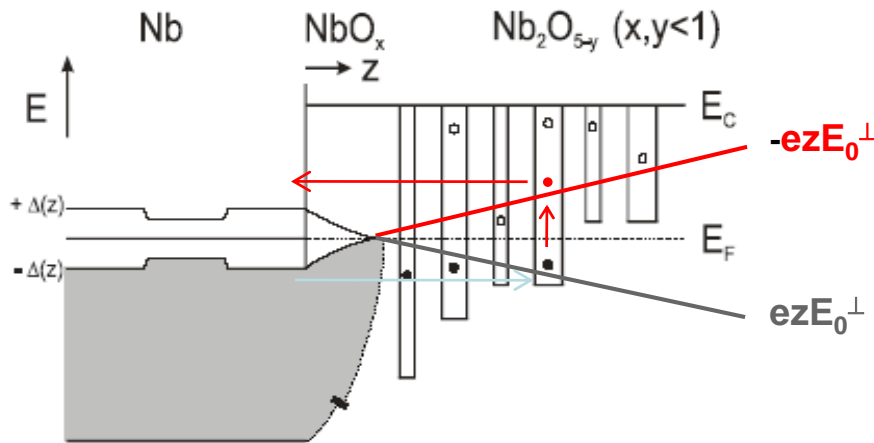


# MFE Model: Shortcomings

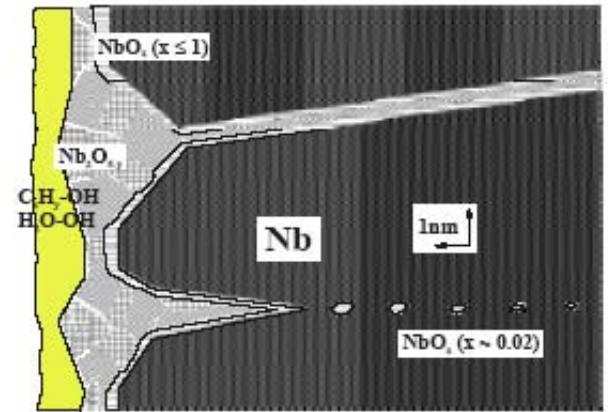
**The model cannot explain the following experimental results:**

- Single-crystal cavities have Q-drop
- Seamless cavities have Q-drop
- Low-temperature baking does not change the surface roughness
- Electropolished cavities have Q-drop, in spite of smoother surface

# Interface Tunnel Exchange Model



Band structure at Nb-NbO<sub>x</sub>-Nb<sub>2</sub>O<sub>5-y</sub> interfaces



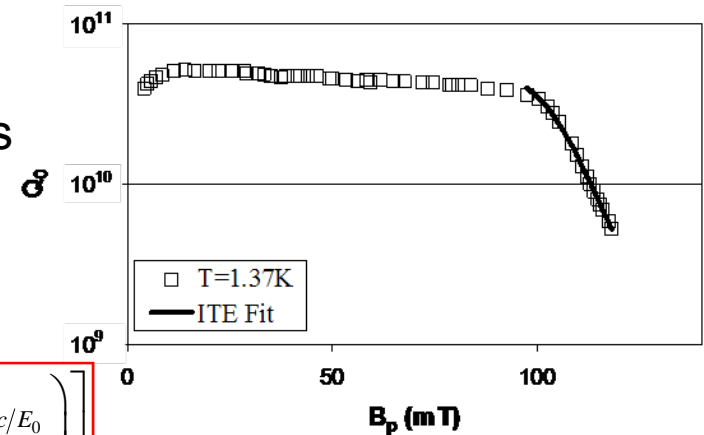
Schematic representation of the Nb surface

- Interface Tunnel Exchange (ITE) model
  - Resonant energy absorption by quasiparticles in localized states in the oxide layer
  - Driven by electric field

$$E_0 > \frac{\epsilon_r \Delta}{e\beta^* z^*}$$

$$R_s^E = b \left[ \left( e^{-c/E_p} - e^{-c/E_0} \right) + \left( \frac{c}{E_p} e^{-c/E_p} - \frac{c}{E_0} e^{-c/E_0} \right) + \frac{1}{2} \left( \frac{c^2}{E_p^2} e^{-c/E_p} - \frac{c^2}{E_0^2} e^{-c/E_0} \right) \right]$$

J. Halbritter et al., *IEEE Trans. Appl. Supercond.* 11 (2001) p.



# ITE Model: Shortcomings

**The model cannot explain the following experimental results:**

- The baking effect is stable after re-oxidation
- The Q-drop was observed in the  $TE_{011}$  mode (only magnetic field on the surface)
- The Q-drop is re-established in a baked cavity only after growing an oxide  $\sim 80$  nm thick by anodization

# Oxygen Pollution Model

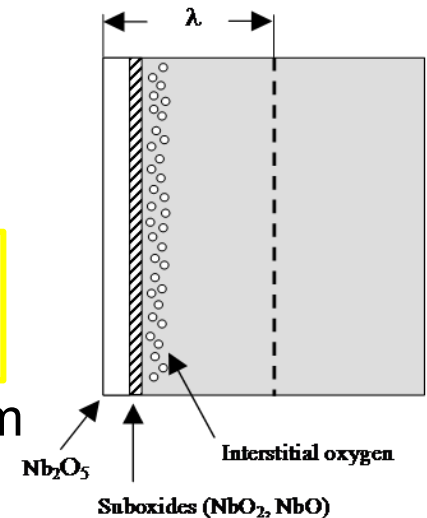
- Surface analysis of Nb samples shows high concentrations of interstitial oxygen (up to ~ 10 at.%) at the Nb/oxide interface
- Interstitial oxygen reduces  $T_c$  and the  $H_{c1}$

Magnetic vortices enter the surface at the reduced  $H_{c1}$ , their viscous motion dissipating energy

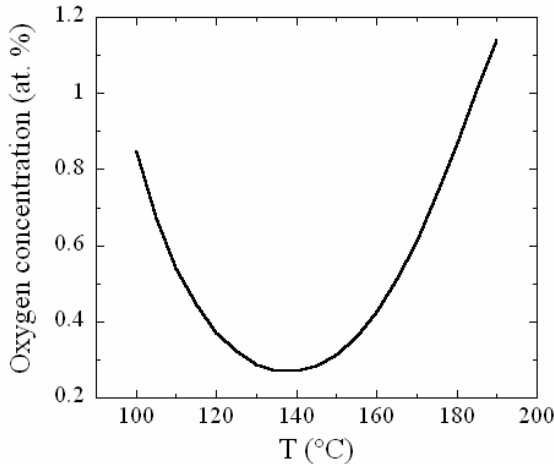
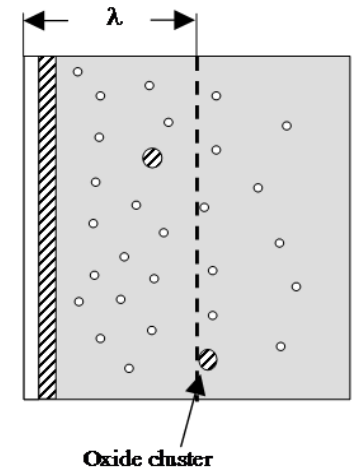
- The calculated O diffusion length at 120°C/48h is ~ 40 nm

Interstitial oxygen is diluted during the 120°C baking, restoring the  $H_{c1}$  value for pure Nb

Before baking



After baking



Calculated oxygen concentration at the metal/oxide interface as a function of temperature after 48h baking

G. Ciovati, *Appl. Phys. Lett.* 89 (2006) 022507

# Oxygen Pollution Model: Shortcomings

**The model cannot explain the following experimental results:**

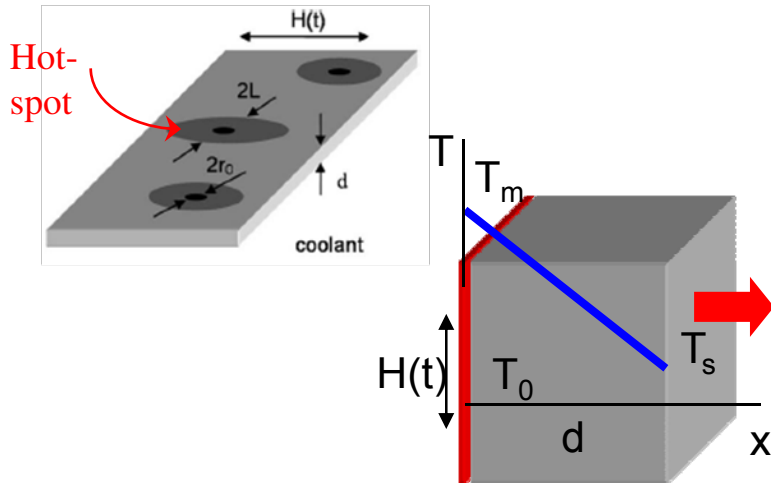
- The Q-drop did not improve after 400°C/2h “in-situ” baking, while O diffuses beyond  $\lambda$
- The Q-drop was not restored in a baked cavity after additional baking in 1 atm of pure oxygen, while higher O concentration was established at the metal/oxide interface
- Surface analysis of single-crystal Nb samples by X-ray scattering revealed very limited O diffusion after baking at 145°C/5h

# Fluxons as Source of Hot-Spots

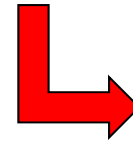
- Motion of magnetic vortices, pinned in Nb during cool-down across  $T_c$ , cause localized heating
- Periodic motion of vortices pushed in & out of the Nb surface by strong RF field also cause localized heating

The small, local heating due to vortex motion is amplified by  $R_{BCS}$ , causing cm-size hot-spots

# Thermal Feedback with Hot-Spots Model

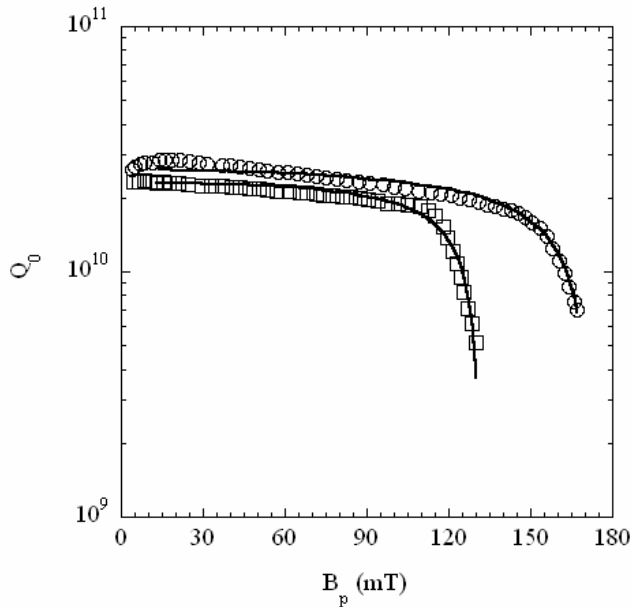


- The effect of “defects” with reduced superconducting parameters is included in the calculation of the cavity  $R_s$



Hot-spots

- This non-linear  $R_s$  is used in the heat balance equation



$$u(\theta) = \theta e^{-\theta}$$

$$\frac{2B_p^2}{B_{b0}^2} = 1 + g + u(\theta) - \sqrt{[1 + g + u(\theta)]^2 - 4u(\theta)}$$

$$Q_0(B_p) = \frac{Q_0(0) e^{-\theta}}{1 + g / \left[ 1 - (B_p / B_{b0})^2 \right]}$$

Fit parameters:

- $g$  related to the No. and intensity of hot-spots
- $Q_0(0)$  low-field  $Q_0$
- $B_{b0}$  quench field

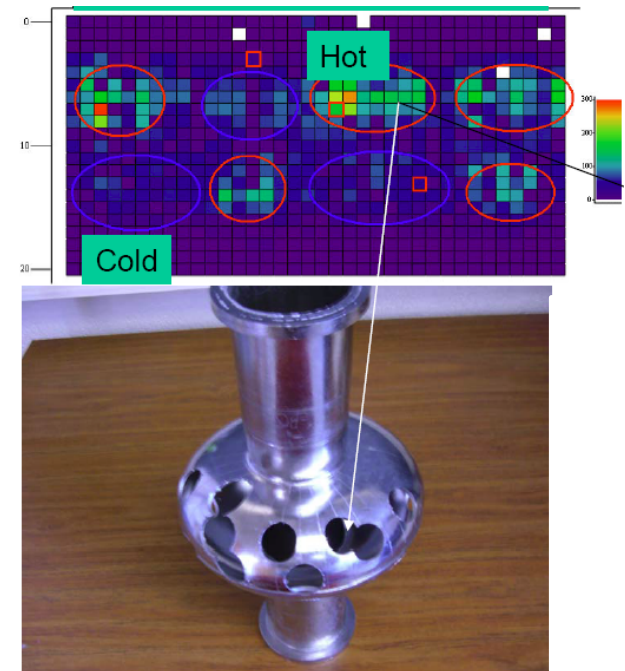
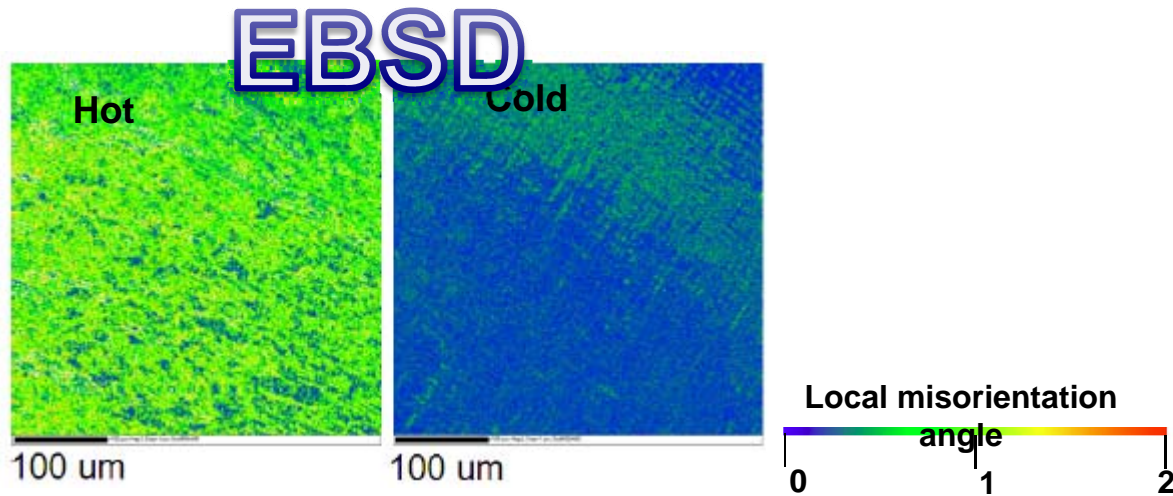
A. Gurevich, *Physica C* 441 (2006) 38

# Q-drop: Recent Samples Results

Samples from regions of high and low RF losses were cut from single cell cavities and examined with a variety of surface analytical methods.

No differences were found in terms of:

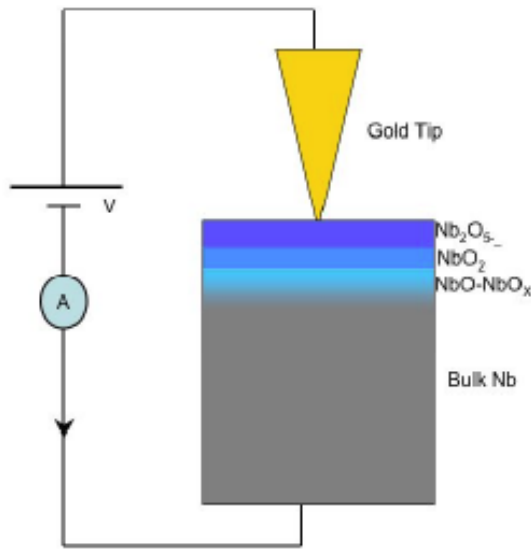
- roughness
- oxide structure
- crystalline orientation



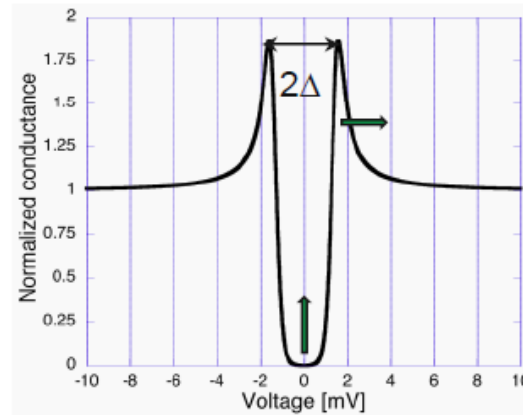
It was found that “hot-spot” samples have a higher density of crystal defects (i.e. vacancies, dislocations) than “cold” samples



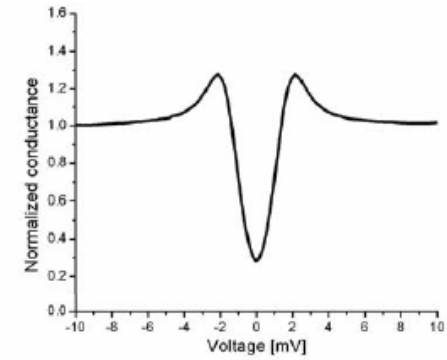
# Q-drop: Recent Samples Results



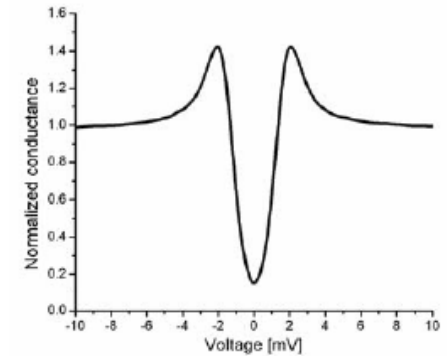
PCT



Ideal BCS,  $T \sim 1.7\text{K}$



Unbaked Niobium



Baked Niobium 120C-24h

- Zero-bias conductance peak: presence of dissipative pair-breaking layers on the cavity surface
- Possible source: magnetic impurities ( $\text{Nb}_2\text{O}_{5-\delta}$ ?)