

# First SDHCAL results from 1 m<sup>3</sup> test beam data

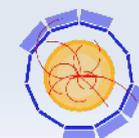
Vincent Boudry  
*École polytechnique*



*in behalf of CALICE-SDHCAL group  
CIEMAT, Ghent U., IPNL, LAL, LAPP, LLR, Tsinghua U., UCL*

**LCWS'12, Arlington, TX**  
**23/10/2011**

AGENCE NATIONALE DE LA RECHERCHE  
**ANR**



**AIDA**

# FOREWORDS

- Preliminary results from August and May runs
- Raw performances of the Semi-Digital HCAL, 1 m<sup>3</sup> based on 1×1 cm<sup>2</sup> GRPC
  - ▶ response to single beam particles
  - ▶ Uncalibrated (cell-wise) calorimeter
  - ▶ no use the Ebeam knowledge
  - ▶ Not a Particle Flow performances estimation
- Data driven analysis
  - ▶ MC needs tuning to data (tbd)
  - ▶ esp. particle ID.
- Mainly results from 1 integrated analysis
  - ▶ merging techniques from complementary analysis (not presented here)
  - ▶ rush effort of the SDHCAL group and CALICE referee's to validate results  
→ CALICE preliminary tag

# Semi-Digital HCAL Concept

Ultra-granular HCAL can provide a powerful tool for the **PFA** leading to an excellent Jet energy resolution.

**It is based on two points:**

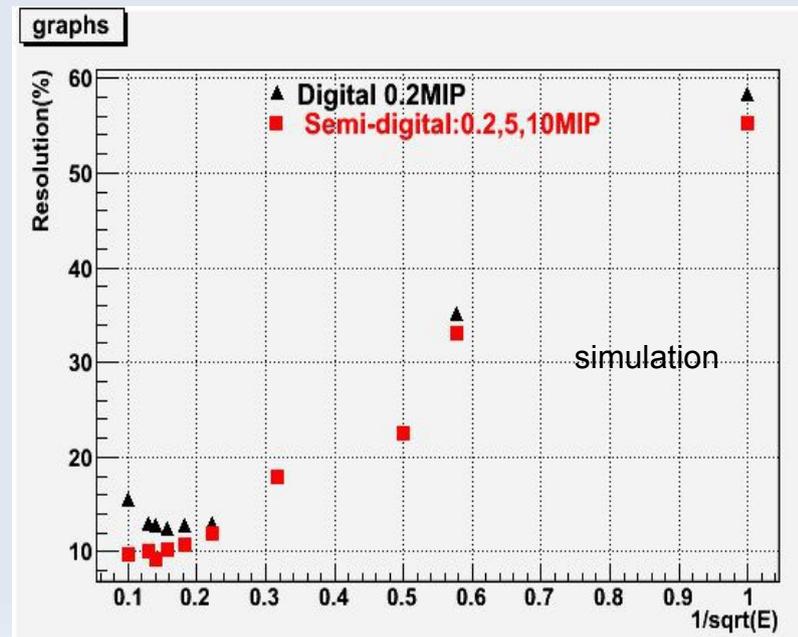
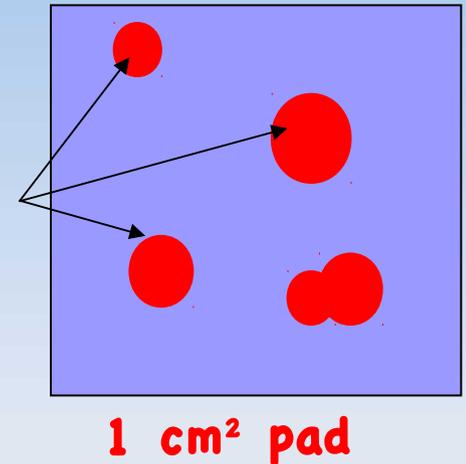
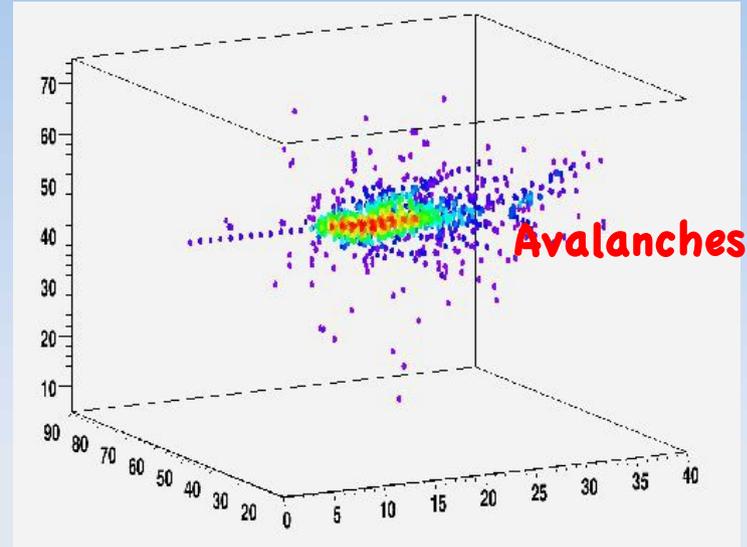
## 1- Gaseous Detector

Gaseous detectors like **GRPC** are homogenous, cost-effective, and allow high longitudinal and transverse segmentation.

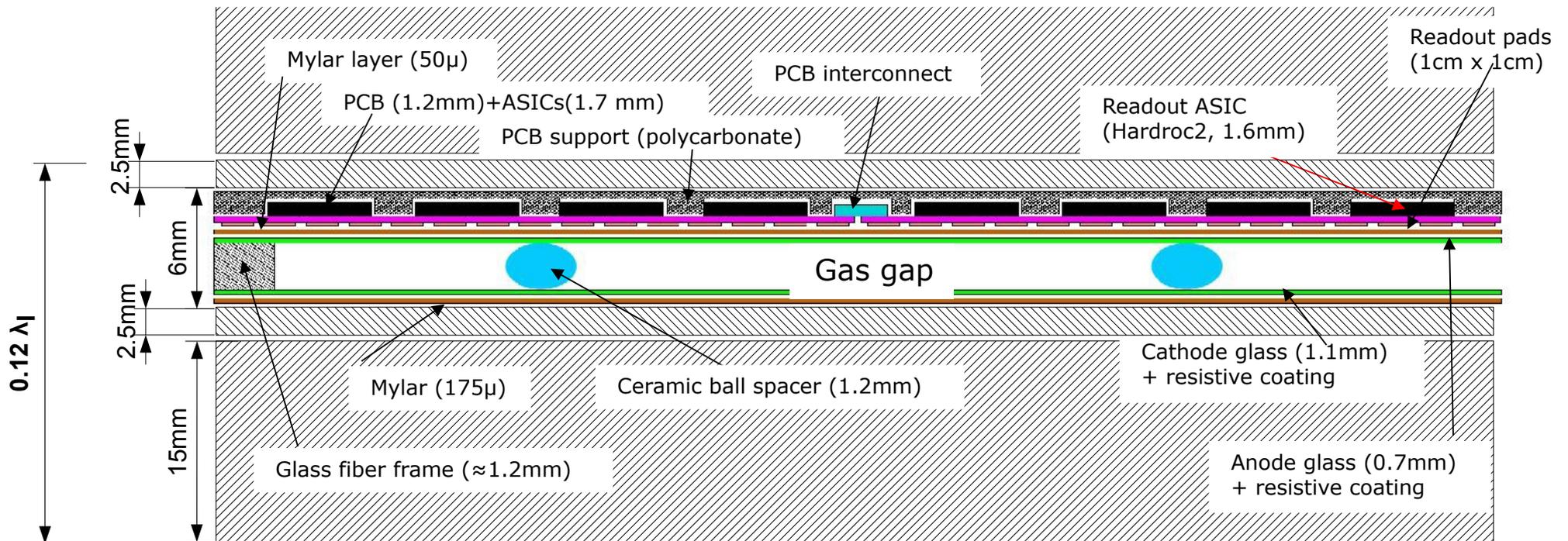
## 2- Embedded electronics Readout

A simple binary readout leads to a very good energy resolution

However, at **high energy** the shower core is very **dense** and saturation shows up  
2-bit readout improves on energy resolution at energies > 30 GeV



## Structure of an active layer of the SDHCAL



48 layers of  $0.12 \lambda_l = 5.76 \lambda_l$

# Beam conditions

- Beams of pions, electrons and muons at CERN
  - ▶ 2 weeks in May 2012 @ SPS H2
    - ◆  $\pi^+$ : 20, 30, 40, 50, 60, 70, 80 GeV
    - ◆  $e^-$ : 10, 20, 30, 40, 50, 60 GeV
    - ◆  $\mu$  dedicated runs...
  - ▶ 2 weeks in August (& September) 2012 @ SPS H6
    - ◆  $\pi^+$ : 5, 7.5, 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 GeV
    - ◆ + few  $\mu$  dedicated runs
- Beam composition:
  - ▶ all runs contain  $\mu$ 's (esp.  $e^-$ ) and cosmics
  - ▶  $\pi$ 's runs filtered by 4mm Pb to remove  $e^-$  (esp. for  $E \geq 20$  GeV)
  - ▶ proton component in HE  $\pi$ 's runs (@  $E \geq 20$  GeV)
  - ▶  $\delta E_{\text{beam}}/E \sim 1\%$
- Large beam profile
  - ▶ low rates ( $\epsilon \searrow$  at  $f \geq 100$  Hz)
  - ▶ Rate monitored online by  $\mu$  tracks and  $\pi$  tracks segments
    - ◆ Only run with  $f \leq 1000$  part/spill  $\Leftrightarrow \varphi \leq 100$  Hz/cm<sup>2</sup>

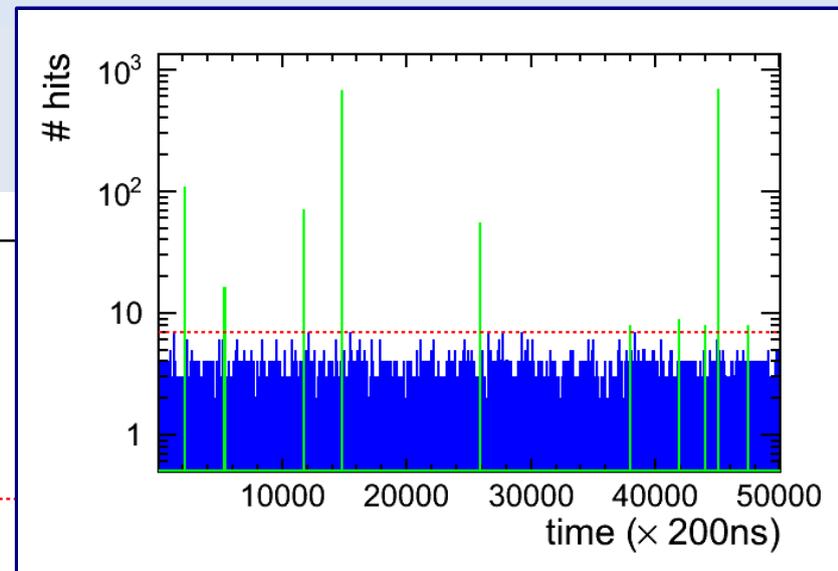
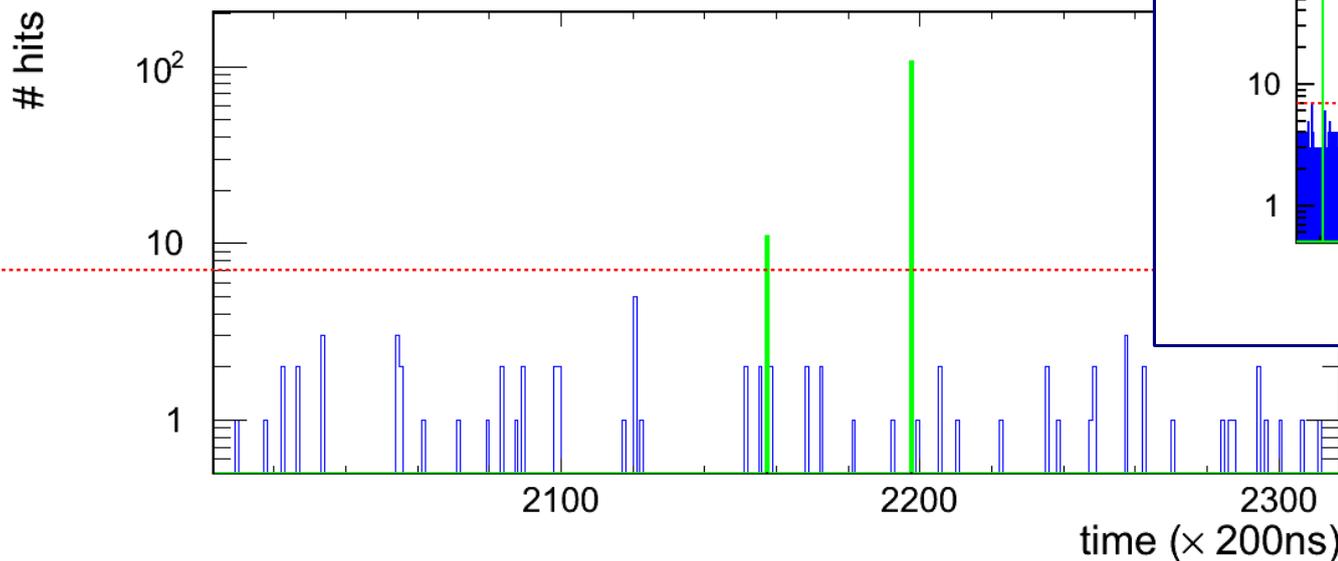
# Configuration:

- GRPC set-up & response
  - ▶ gas: TFE 93%, CO<sub>2</sub> 5%, SF<sub>6</sub> 2%
  - ▶ HV = 6.9 kV
  - ▶ the average MIP induced charge being around 1.2 pC
  - ▶ Thresholds set at 114 fC, 5 pC and 15 pC (0.1; 4; 12.5 mip)
- Dead zones:
  - ▶ 1/3 slab of plane # 46 dead in May ; repaired for August runs
  - ▶ 1<sup>st</sup> 47 planes available during 1st week fo August.
  - ▶ 7 ASIC switched off (and not replaced) ↔ 1 ‰ dead zone.
- Gains
  - ▶ All set to 1 (no gain corr.) during this data taking
    - ◆ (will be done for next period)

# Data taking

- Triggerless mode : record events until memory is full, then data transfer and restart.
- Power-Pulsed mode : According to the time spill structure
  - ▶ (  $N \times 400$  ms (PS)\*, 10s (SPS) every 45 s )
- Physics events are built as follows: 3 consecutive BC (200ns)
  - ▶ Based on cosmic studies

Hits left by charged particles



\* N is often 1 and sometimes 2-3 spills/cycle

# Selection

# $\mu$ track selection (monitoring)

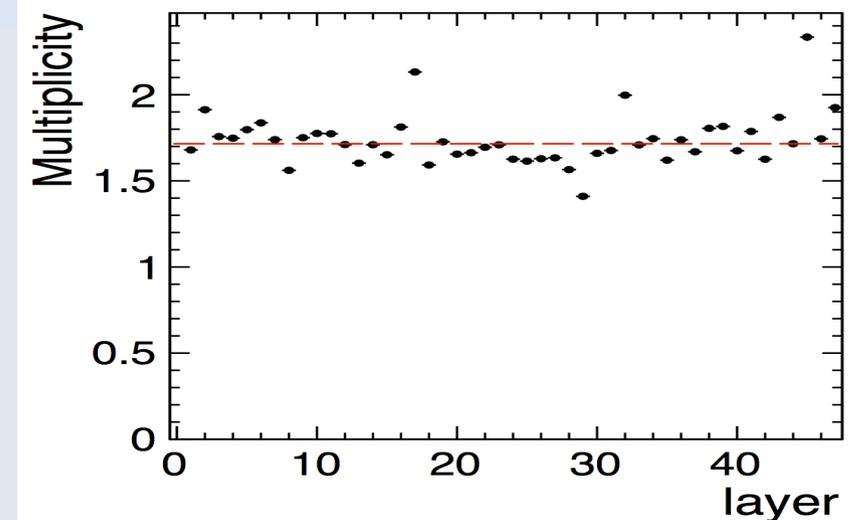
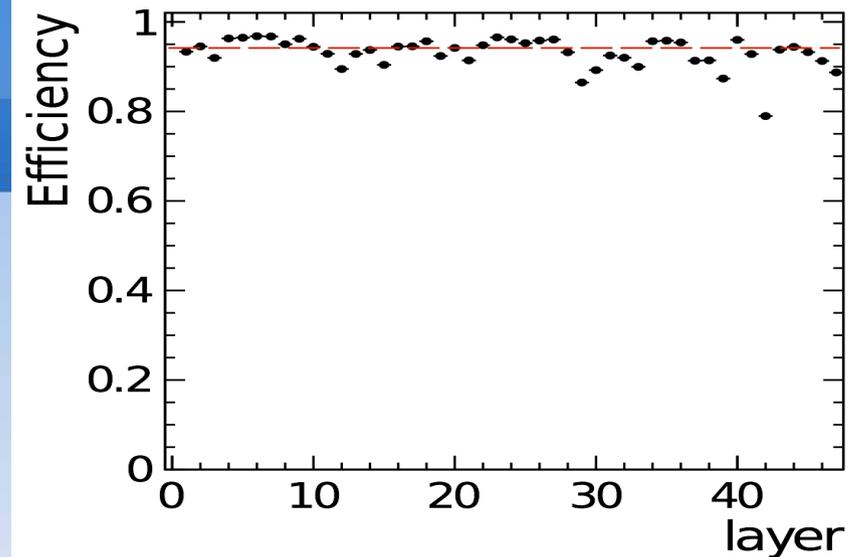
- $\epsilon$ ,  $\mu$  estimated from tracks reconstructed from other layers
  - ▶ hits are grouped in clusters if sharing an edge
  - ▶ isolated clusters ( $\Delta r_{\text{in layer}} > 12$  cm) dropped
  - ▶ Tracks reconstructed if remaining  $N_{\text{layers}} > 7$ ,
    - ◆ with at least 1 layer on each side of investigated one (except 1st and last layer)
  - ▶  $\chi^2$  with  $\Delta x, y = \text{Span}(\text{cm})$  in  $(x, y) / \sqrt{12}$

## ■ Efficiency

$$\frac{\text{Nb tracks with } \geq 1 \text{ hit } \delta r \leq 3 \text{ cm from track impact in plane}}{\text{Nb of tracks}}$$

## ■ Multiplicity =

$$\langle \text{Nb hit in cluster closest to tracks, if any} \rangle$$



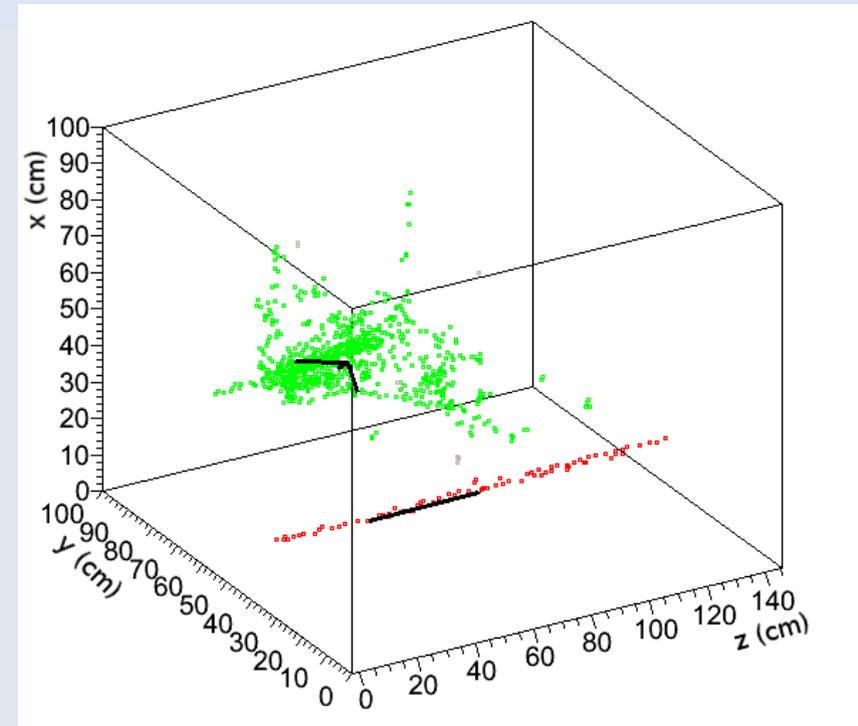
# Particle ID

## ■ Topological:

- ▶ Principal Component Analysis (PCA) on all hits or clusters
- ▶ 3 main  $\perp$  axis eigenvalues  $\lambda_1, \lambda_2, \lambda_3$  with  $\lambda_1 < \lambda_2 < \lambda_3$   
 $\lambda_i \equiv \sigma$  (hits) on axis
  - ◆  $\lambda_3 =$  longitudinal comp.
- ▶ Transverse Ratio  $(\lambda_1 \oplus \lambda_2) / \lambda_3 \rightarrow$  muons vs e,  $\pi$

## ■ Shower start

- ▶  $\lambda_{1p}, \lambda_{2p}$  idem to  $\lambda_1, \lambda_2$  restricted to 1 plane
- ▶ *1st interaction plane (FIP)  $\equiv$* 
  - ◆  $\lambda_{1p} \oplus \lambda_{2p} > 1.5 \text{ cm}$
  - ◆  $N_{hit}^{plane} > 5$



# Particle ID (cont'd)

## ■ Density

▶  $V_1 = (\sum_{\text{layers}} N_{25}^{\text{layer}}) / N_{\text{hits}}$

- ◆  $N_{25}^{\text{layer}} = N_{\text{hits}}$  in  $5 \times 5$  around barycenter in 1 layer

▶  $V_2 = \text{FD}_{3D} / \ln(N_{\text{hits}})$

- ◆ Fractal dimension:

$$\text{FD}_{3D} = \frac{1}{|I|} \sum_{n \in I} \frac{\ln(N_{\text{hit}}/N_{\text{cube}}(n))}{\ln(n)}$$

$N_{\text{cube}}(n) \equiv \text{number of cube in } I = \{2,3,4,6,8,12,16\}$

## ■ Clustering :

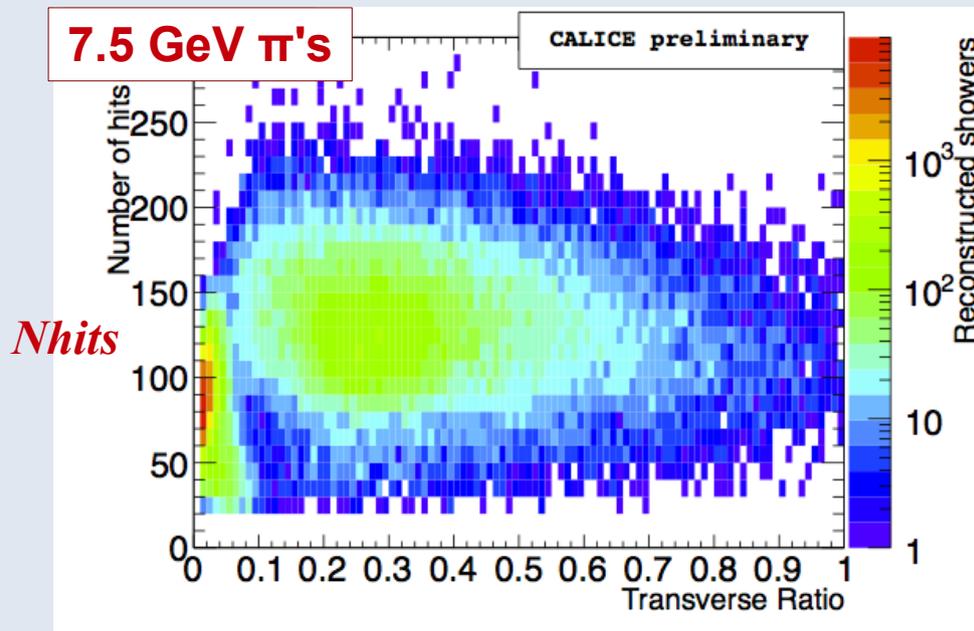
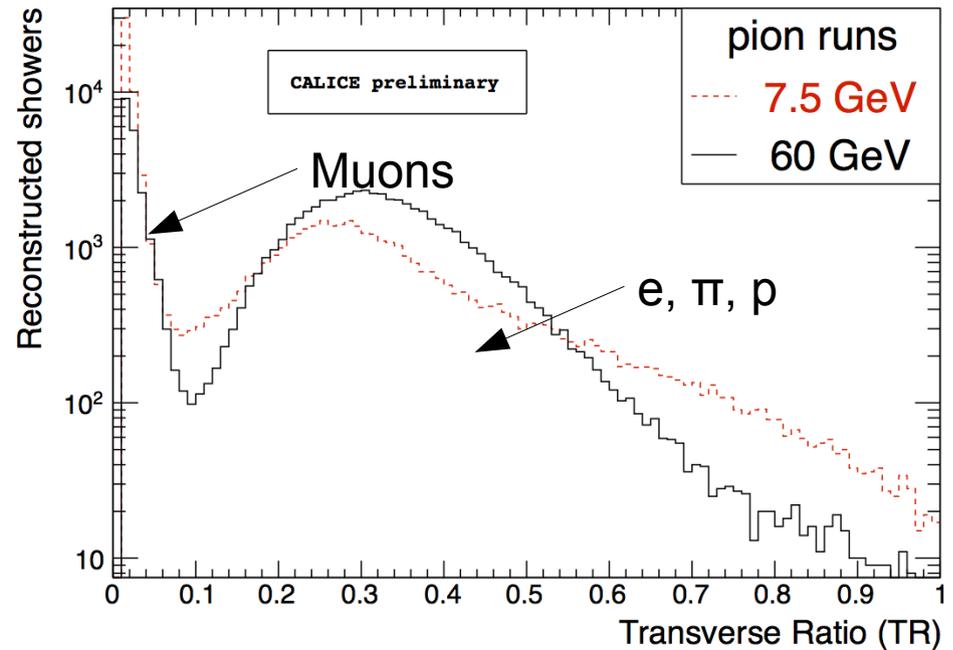
- ▶ removal of isolated hits and tagging of overlapping events

▶ MST à la charm-II using  $D_{\alpha,\beta} = \left| \text{plane}_\alpha - \text{plane}_\beta \right| + 2 \times (|I_\alpha - I_\beta| + |J_\alpha - J_\beta|)$

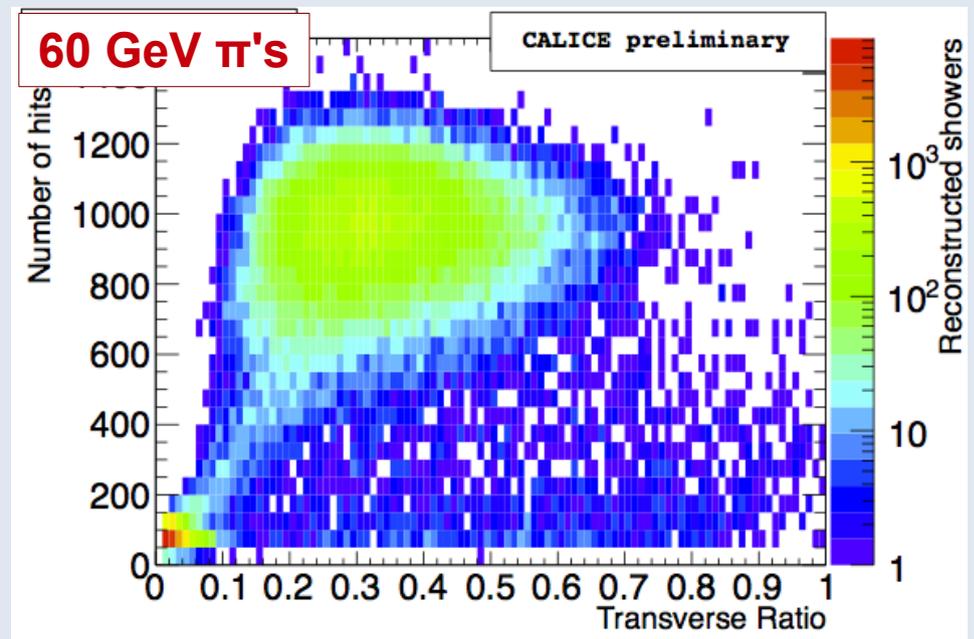
- ◆  $N_{\text{hits}} > 25$ ;  $\lambda_3 > 4.5 \text{ cm}$ ;  $\lambda_2 / \lambda_3 > 0.01$ .

# Event selection

- $\mu$  rejection:
  - ▶ Transverse ratio  
 $TR \geq 0.1 \rightarrow 98\%$  of  $\mu$ 's

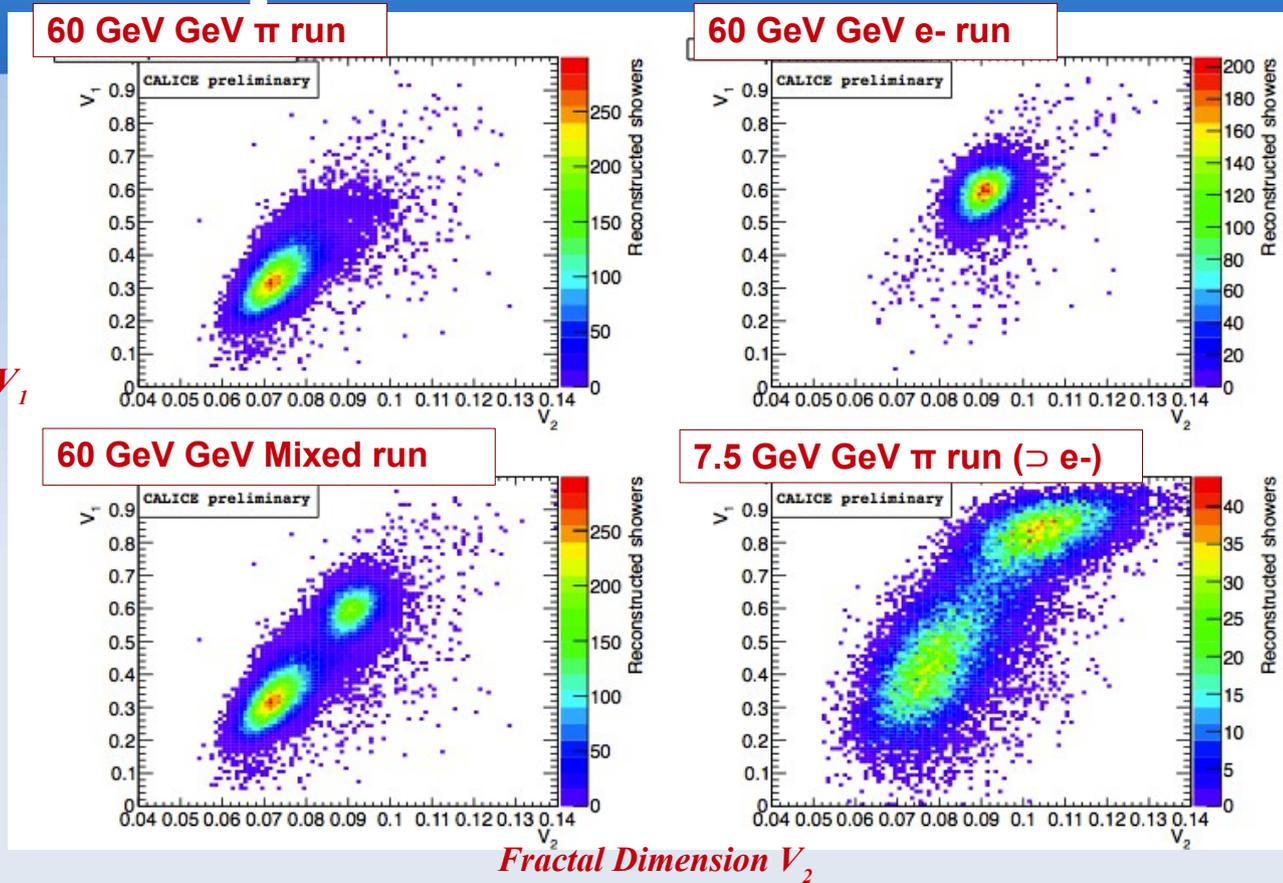


*TR*



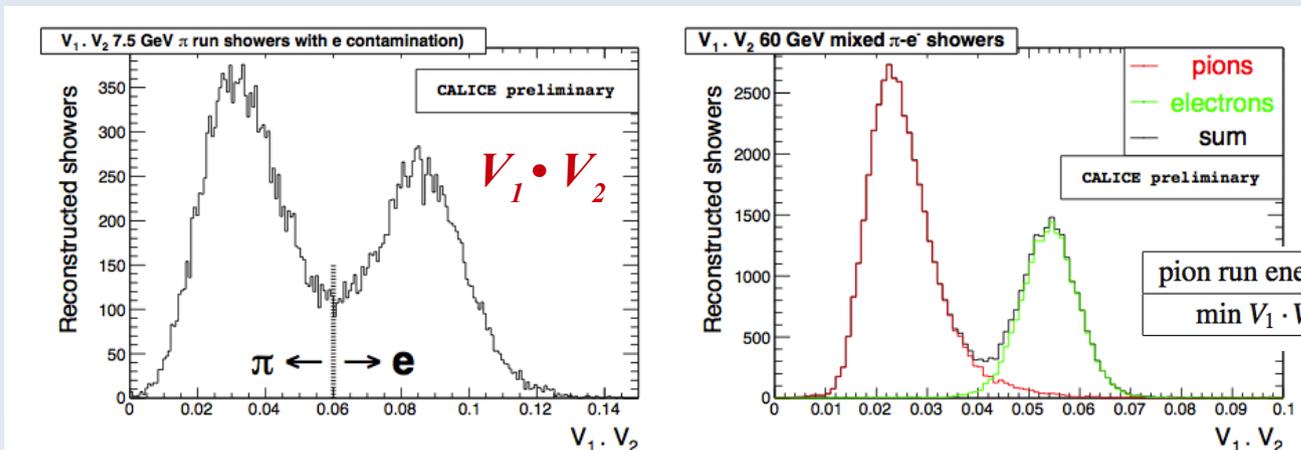
# e/ $\pi$ separation

lateral density  $V_1$



- Operation on clusters
- Negligeable loss of  $\pi$ 's @ HE
- few % e- residual contamination @ LE

10% variation of cut  
 $\Rightarrow$  Systematics



Variation of cut with  $E_{\text{beam}}$

pion run energy (GeV)	5	7.5-15	20	30-40	50-60	70-80
min $V_1 \cdot V_2$ value	0.065	0.06	0.055	0.05	0.045	0.04

# Leakage reduction

- FP #  $\leq 4$ .
  - ▶ removes cosmics (lateral entrance)
- First Interaction Plane (FIP) #  $< 15$ 
  - ▶ removal of late interacting hadrons.
- The last shower plane (LP) #  $< 42$
- or  $N_{\text{hit}}(\text{last 7 planes}) / N_{\text{hit}}(\text{first 30 planes}) < 0.15$

OR

- The first (last) plane (FP, LP) of the reconstructed shower
  - ▶ containing a hit:
  - ▶ could be  $\neq$  from interaction plane

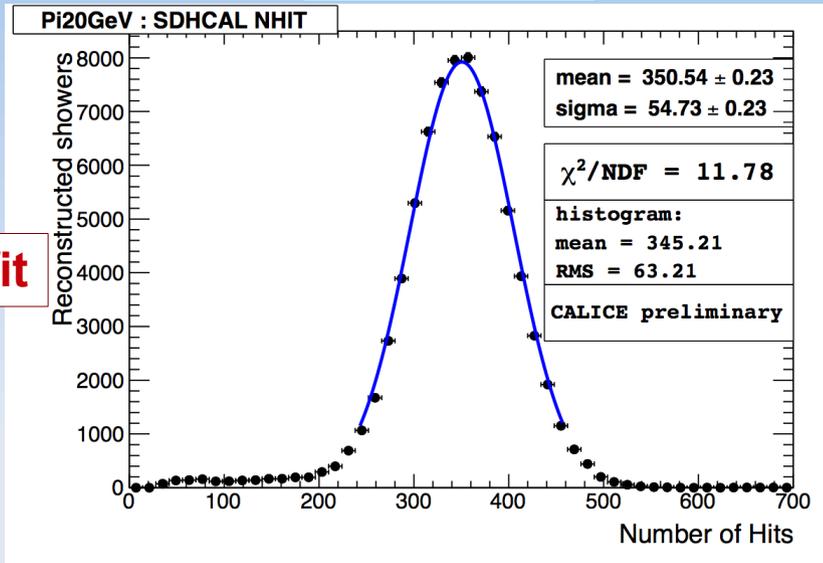
## After all Selection

Energy [GeV]	Number of $\pi$ 's events
5	9504
7.5	15074
10	20406
15	33405
20	78391
25	59495
30	53179
40	48720
50	76566
60	38917
70	30893
80	32964

# Results

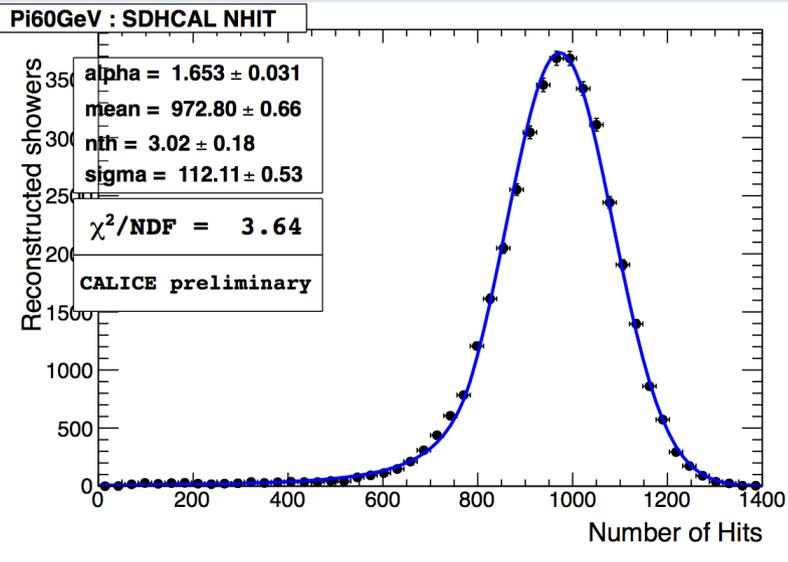
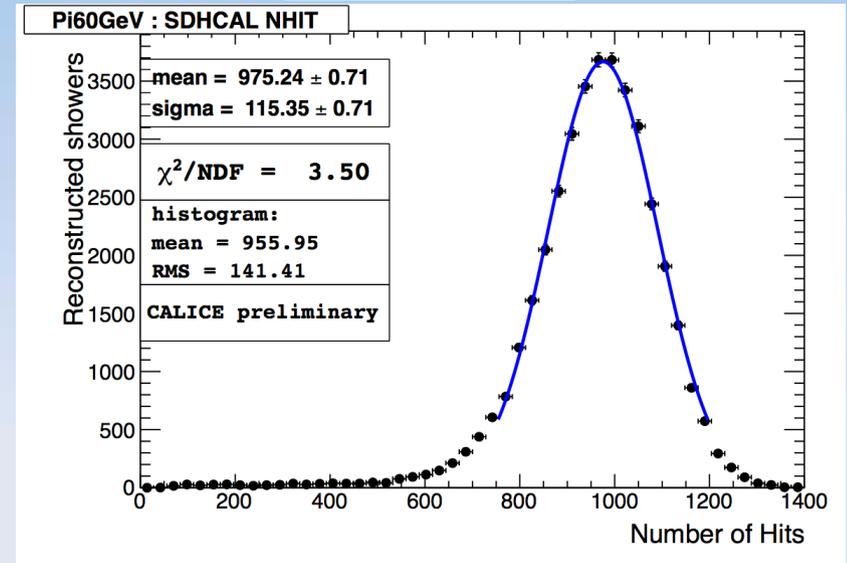
# Raw number of hits (binary HCAL)

20 GeV  $\pi$ 's

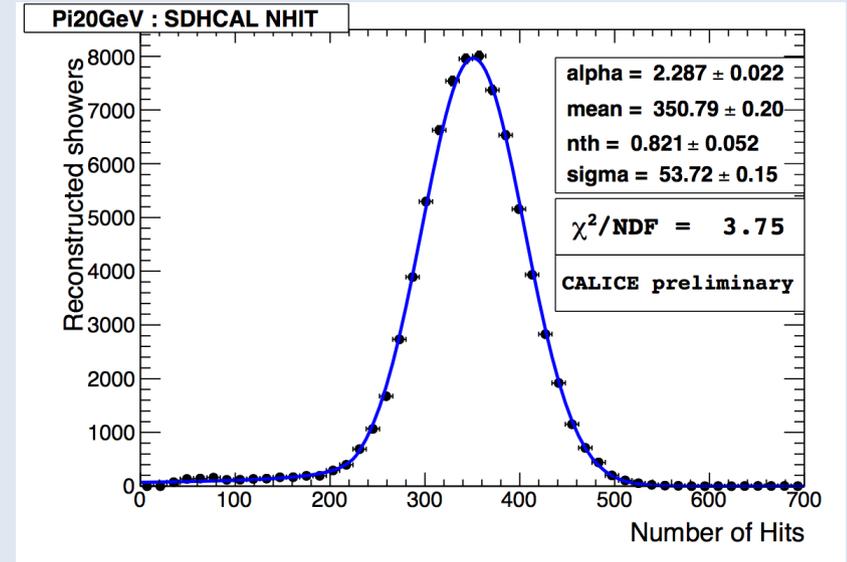


$\pm 2\sigma$  Gaussian fit

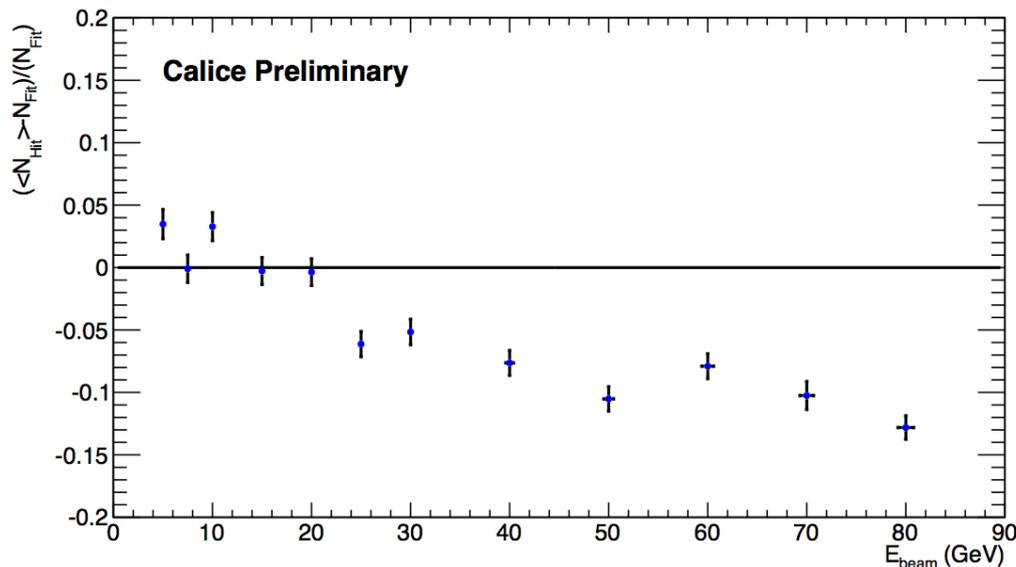
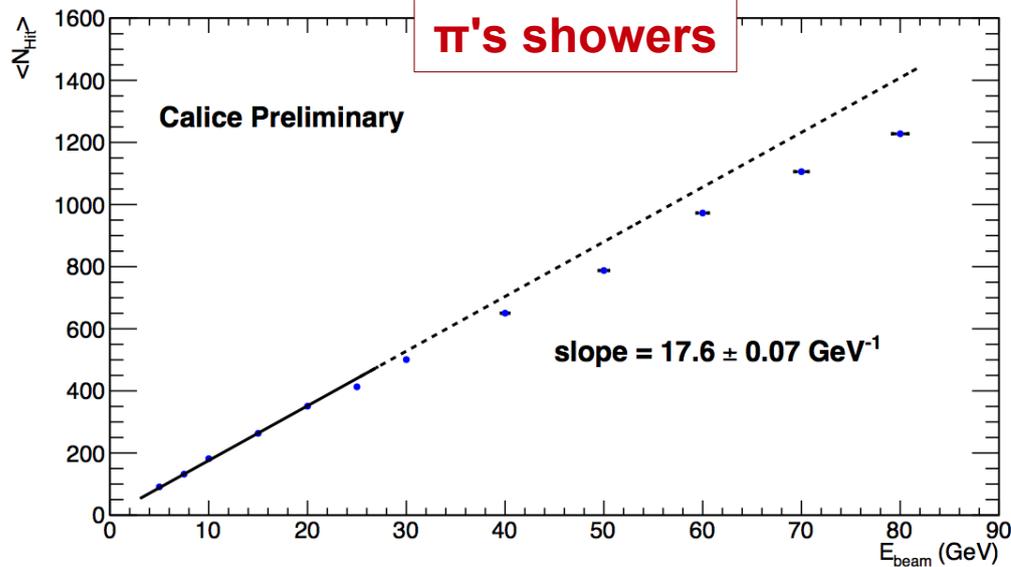
60 GeV  $\pi$ 's



Crystal Ball fit



# Nhit response (binary HCAL)



- Saturation observed for  $E_{\text{beam}} \geq 30 \text{ GeV}$
- Offset ( $\sim 4$  hits) compatible with noise over 3 clock cycles
- Fit by quadratic function:

$$E = (C + D \cdot N_{\text{hit}}) N_{\text{hit}}$$

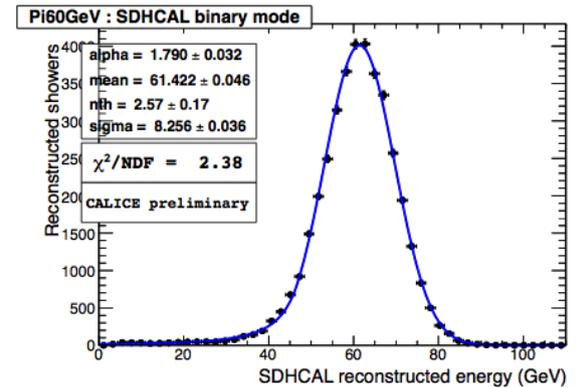
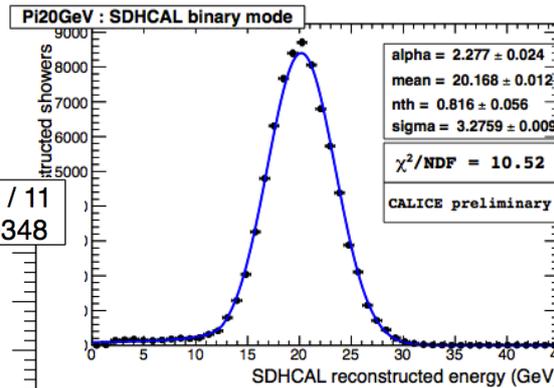
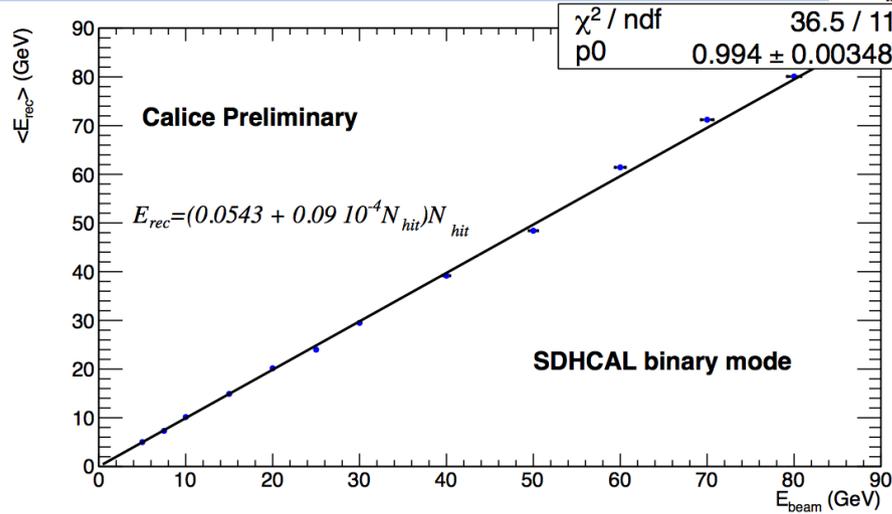
yields:

$$C = 54.3 \text{ MeV}$$

$$D = 0.009 \text{ MeV}$$

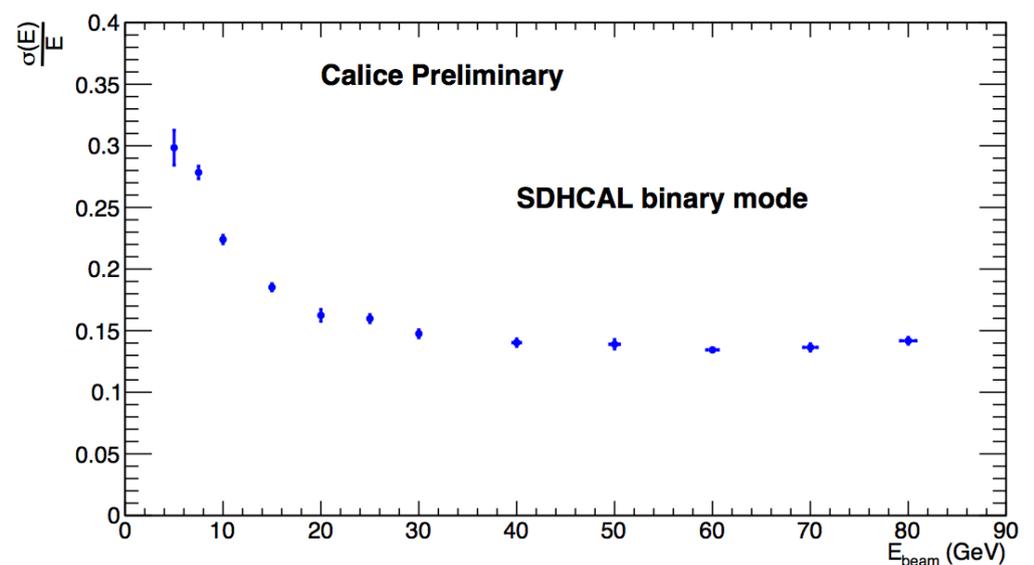
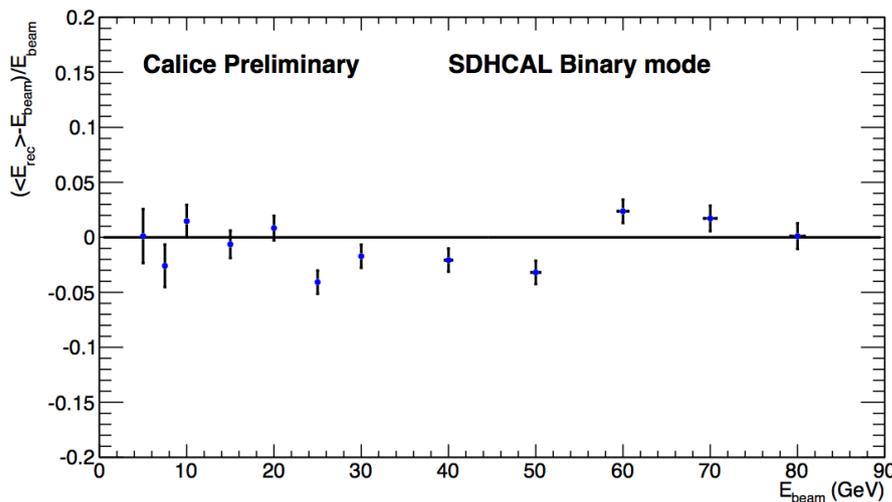
# Linearised response (event by event)

**Crystal Ball fit**



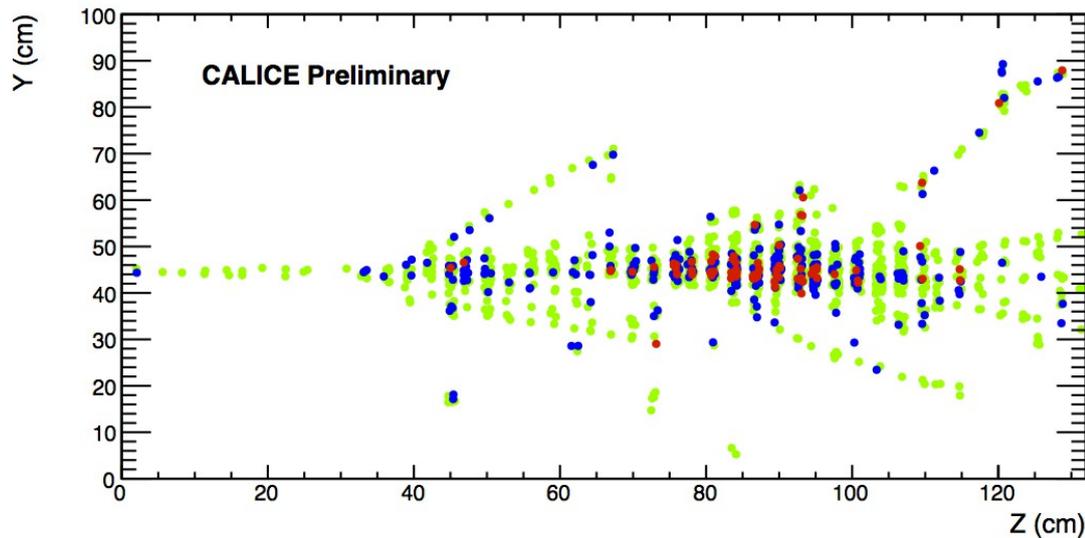
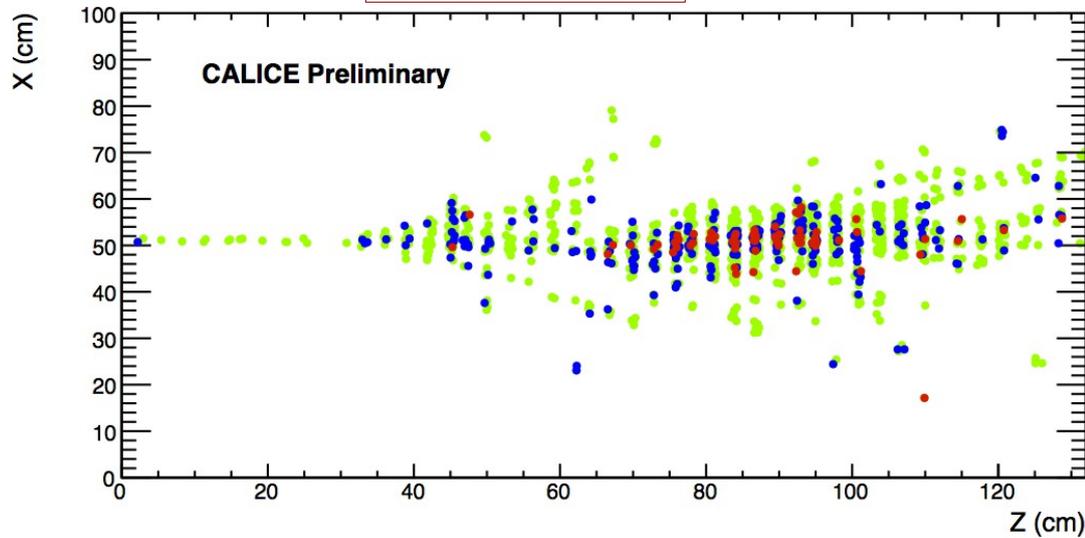
Width (Gauss, CB)  $\rightarrow \sigma(E)/E$

- ▶  $\text{Err} = \text{Stat} \oplus \delta(\text{Gauss, CB fit}) \oplus \text{cut var} \pm 10\%$



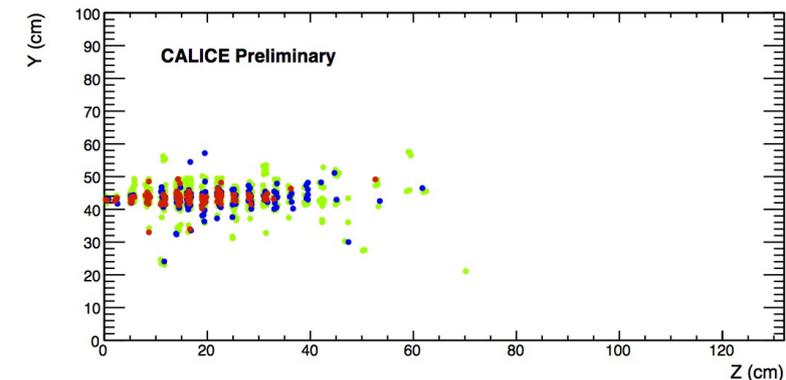
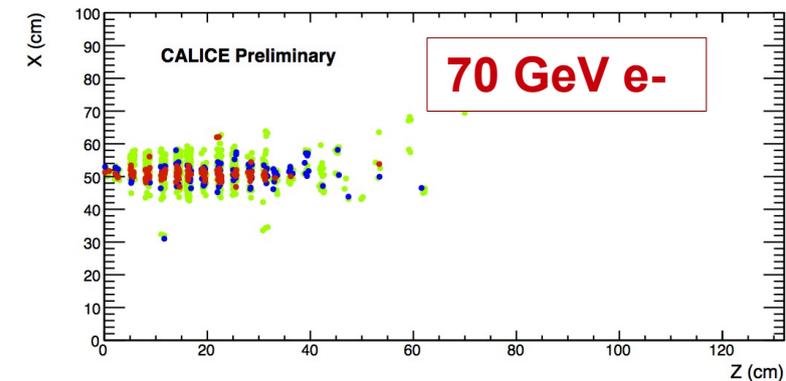
# SDHCAL response (multi-thr.)

80 GeV Pion

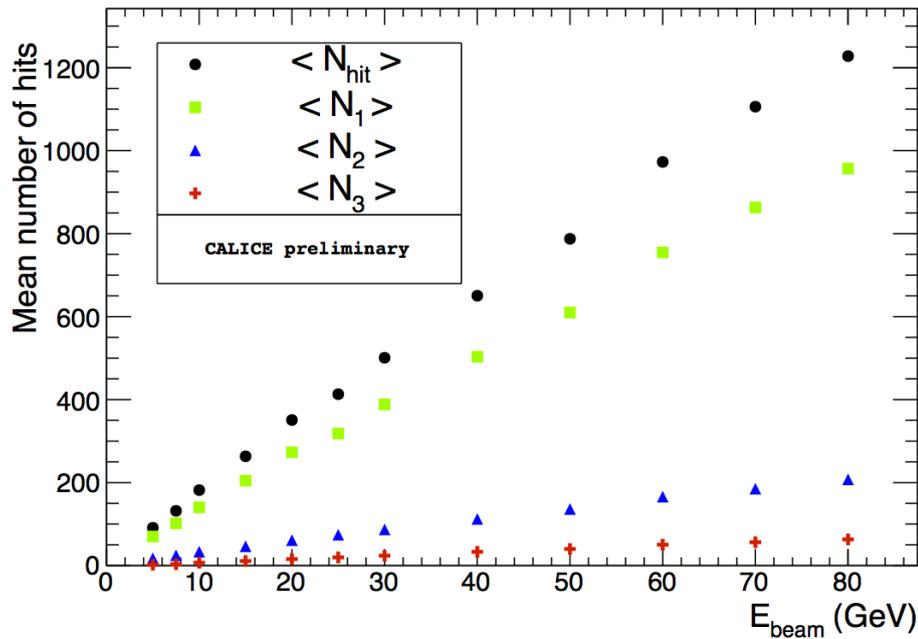


Thresholds set at  
114 fC, 5 pC and 15 pC  
( $\sim 0.1$ ; 4; 12.5 mip)

► Additional information  
on shower structure



# SDHCAL response (multi-thr.); Nhits



- ▶  $N1 = \# \text{ of Hits} \geq \text{thr1}, < \text{thr2}$
- ▶  $N2 = \# \text{ hits} \geq \text{thr 2}, < \text{thr3}$
- ▶  $N3 = \# \text{ hits} \geq \text{thr3}$

$$N_{hit} = N1 + N2 + N3$$

$$E_{rec} = \alpha N1 + \beta N2 + \gamma N3.$$

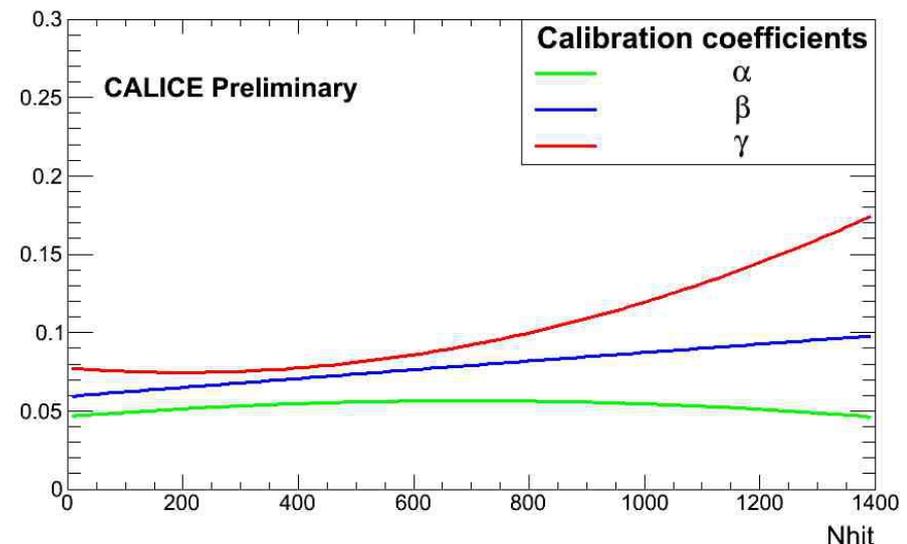
$\alpha, \beta, \gamma = f(N_{hit})$

- Min of  $\chi^2$  with

$$\chi^2 = \sum_i^N \frac{(E_{true}^i - E_{rec}^i)^2}{E_{true}^i}$$

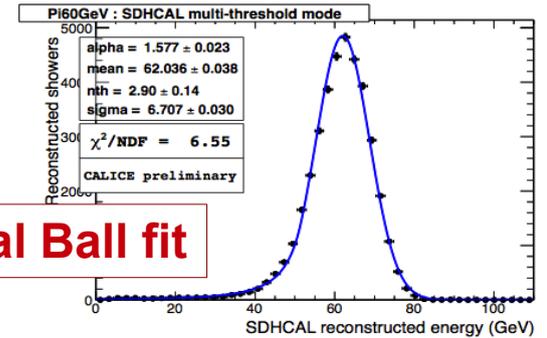
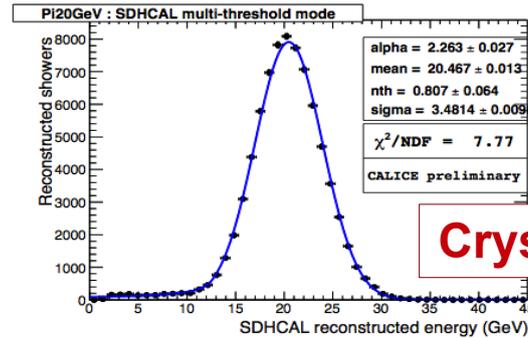
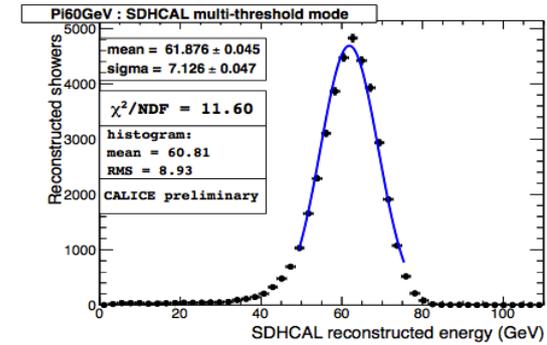
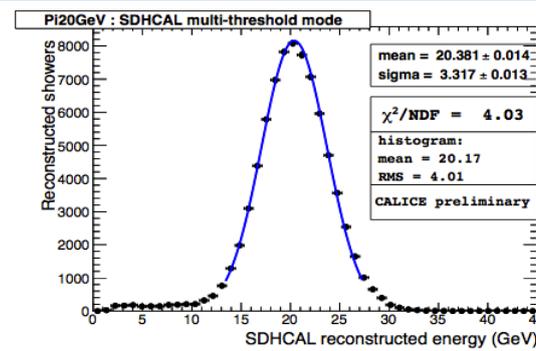
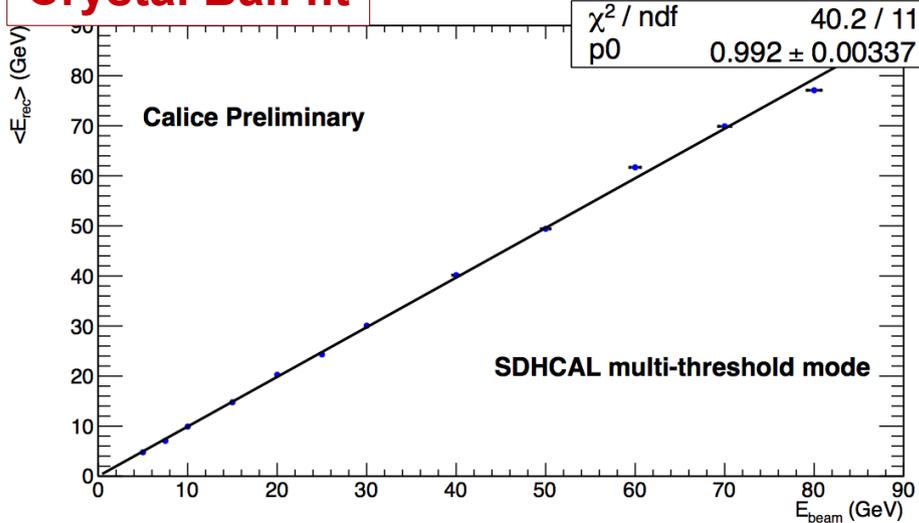
over 10, 20, 30, 40, 50 and 60 GeV samples (1/3 of stat.)

- Parametrised as quartic function of Nhit
- Valid for *single - known - particle...*

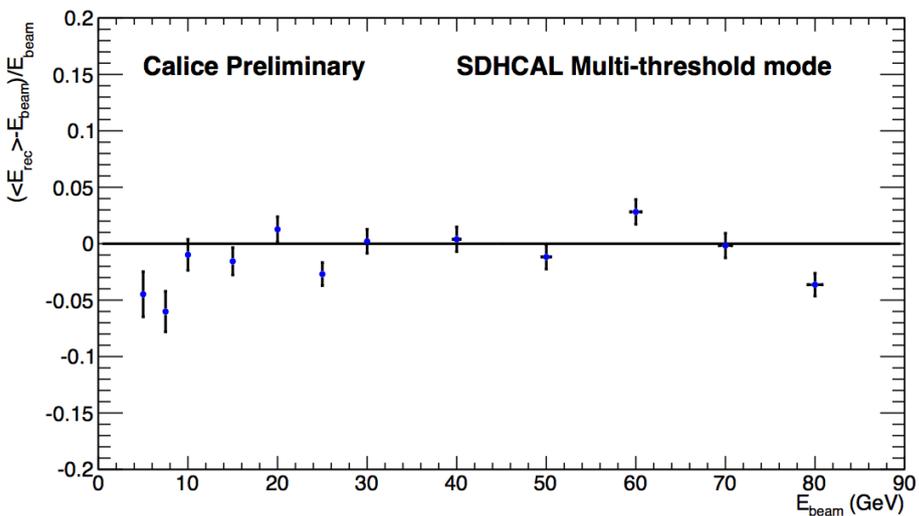


# SDHCAL response

## Crystal Ball fit



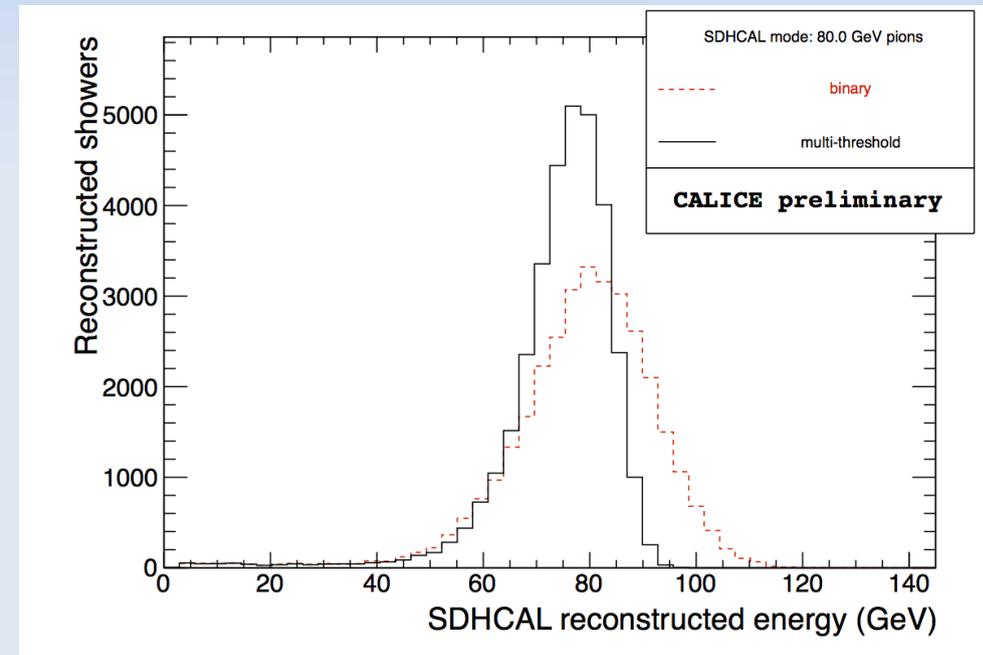
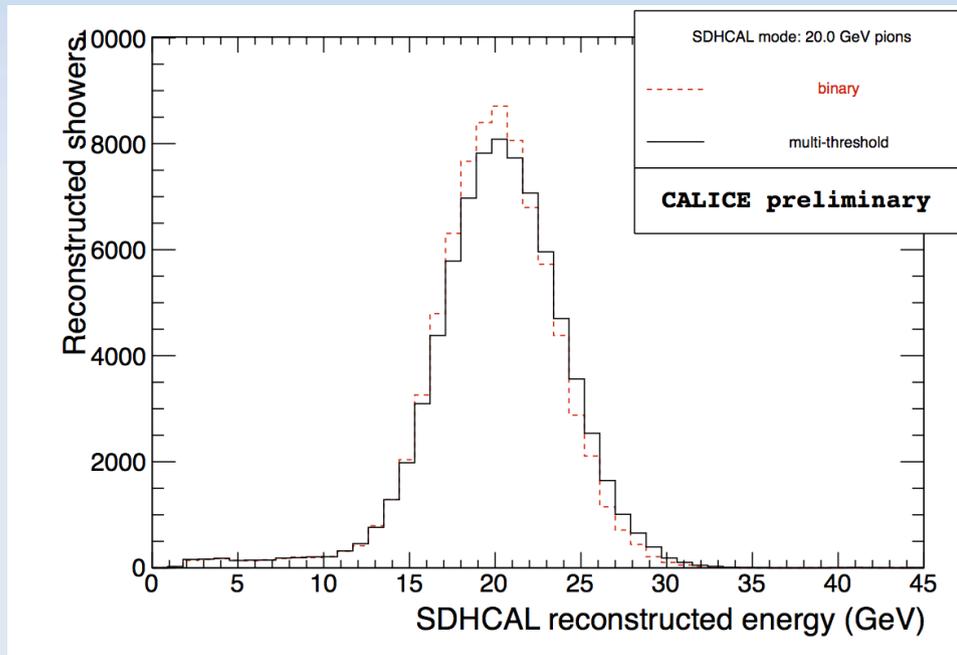
## Crystal Ball fit



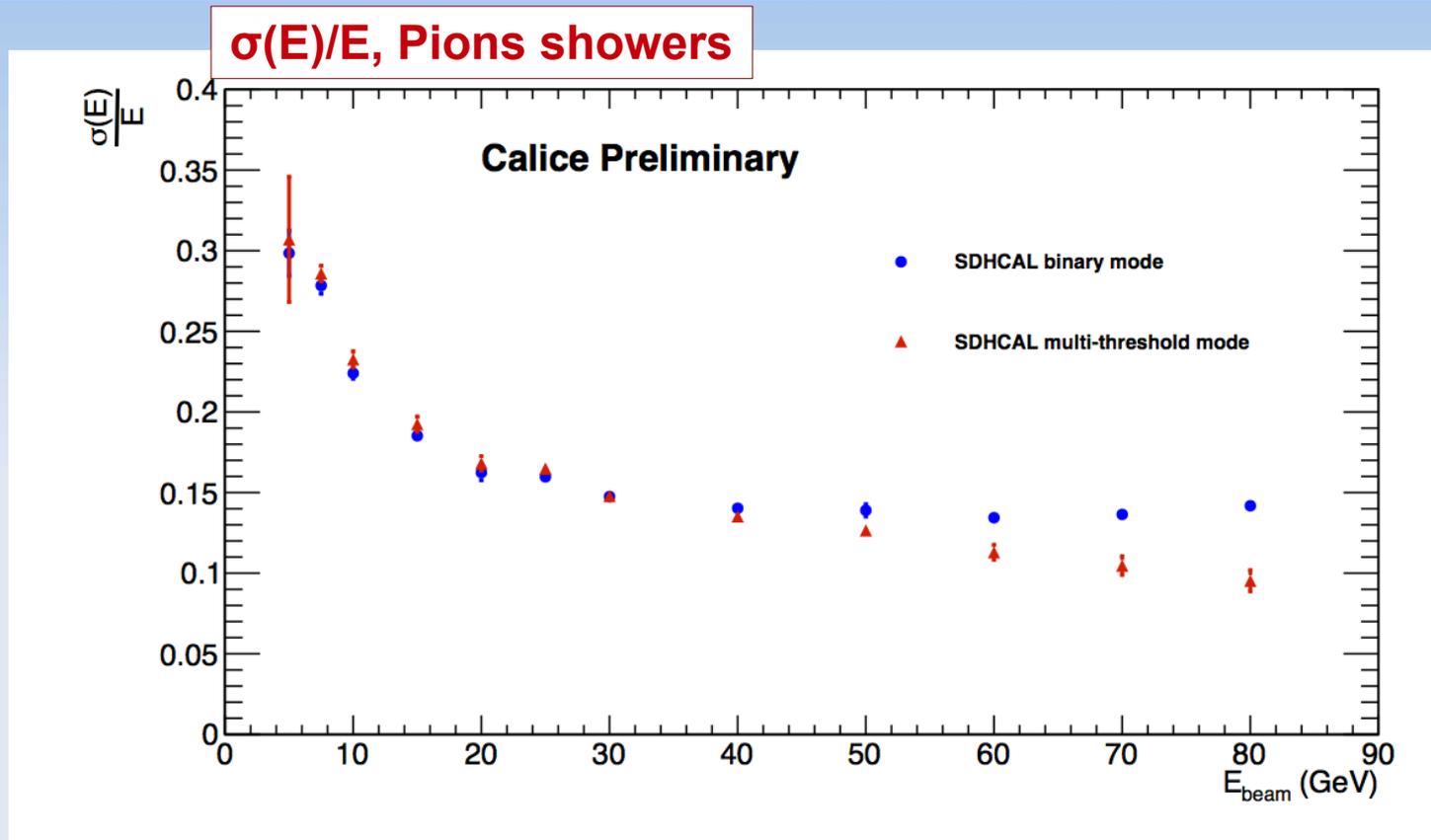
## ■ Linearity $\leq 5\%$ over full range

- ▶ Tuning done for  $E_{beam} \geq 10$  GeV
- ▶ e- contamination @ low E.

# SDHCAL: binary vs multi-thr.



# SDHCAL binary & multi-thr modes



- Raw resolution of **untuned** calorimeter

- ▶ SDHCAL
- ▶ DHCAL

- Single pions, filtered for leakage

- Err = Stat  $\oplus$   $\delta$ (Gauss, CB fit)  
 $\oplus$  cut var  $\pm 10\%$  SHCAL

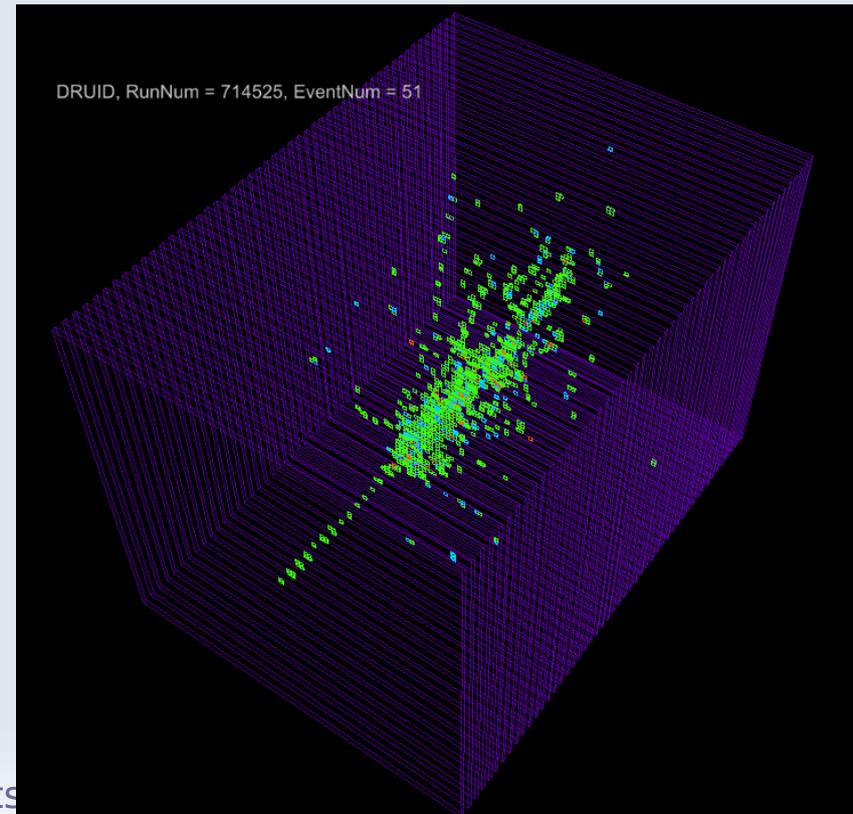
- Visible improvement of resolution for  $E_{\pi} \geq 50$  GeV

- ▶  $\leq 10\%$  at 80 GeV.

- Raw performances (no pattern recognition, PFA, ...)

# Conclusion & prospects

- The CALICE technological SDHCAL-GRPC prototype was successfully tested with its 48 layers and its  $6 \lambda_1$  in different places (SPS, PS)
  - ▶ Power-Pulsing allows optimal conditions (temperature, noise) and it was the running mode during this year different TB.
  - ▶ Excellent data quality was obtained in TB (especially in August with gas installation under our own control) with smooth running conditions (no intervention for the 2-week TB period).
- Preliminary results without data treatment (no gain correction, no local calibration, ...) indicate an **excellent single particle energy resolution on pions**
- Multi-threshold mode brings significant improvement at  $E_\pi \geq 50$  GeV.
- Comparison with simulation is ongoing and will bring rich information to better understand the hadronic showers.



# Back up

# Crystal Ball function

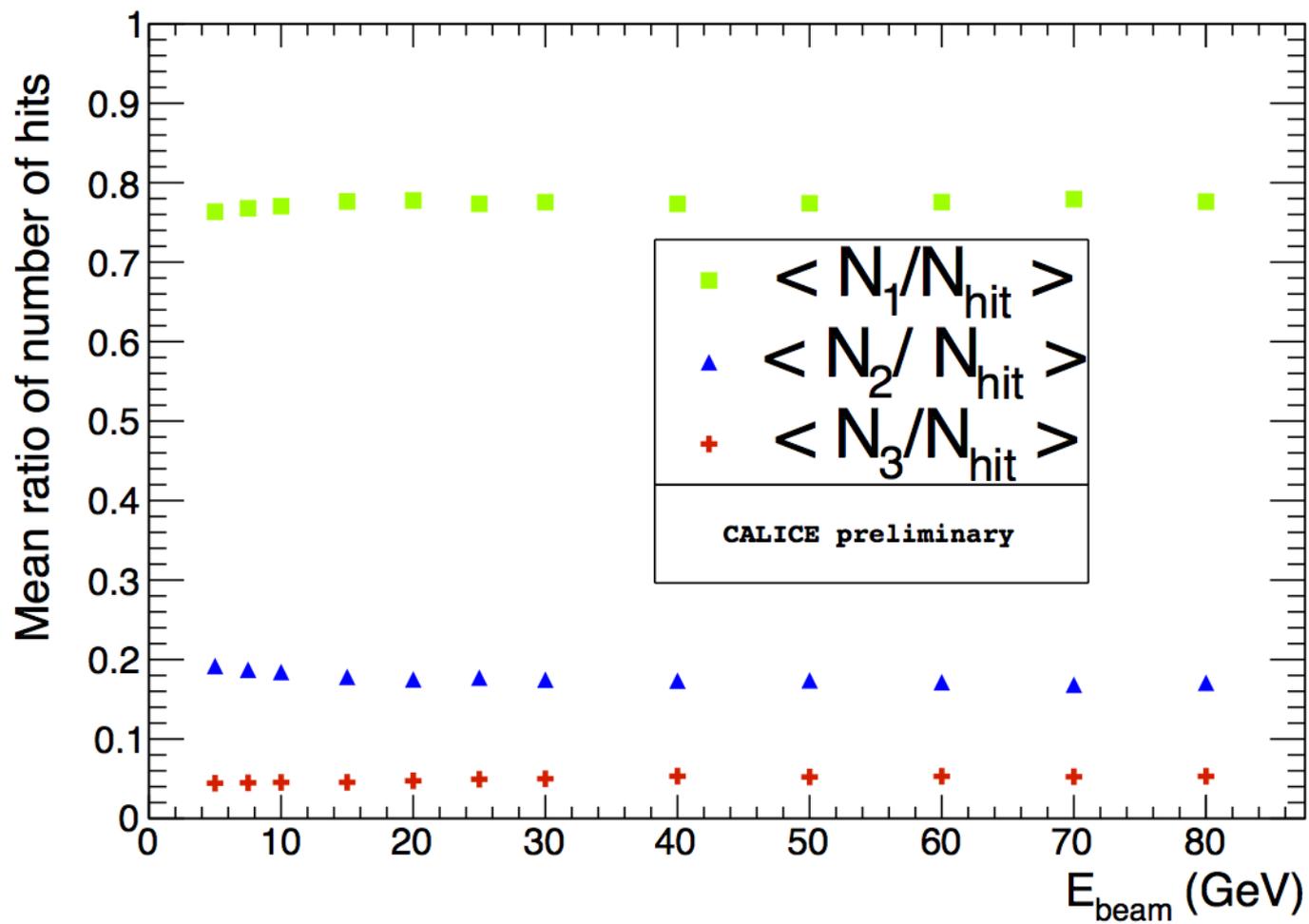
$$f(x; \alpha, nth, \bar{x}, \sigma) = N \cdot \begin{cases} \exp\left(-\frac{(x-\bar{x})^2}{2\sigma^2}\right) & \text{for } \frac{x-\bar{x}}{\sigma} > -\alpha \\ A \cdot \left(B - \frac{x-\bar{x}}{\sigma}\right)^{-nth} & \text{for } \frac{x-\bar{x}}{\sigma} \leq -\alpha \end{cases}$$

where:

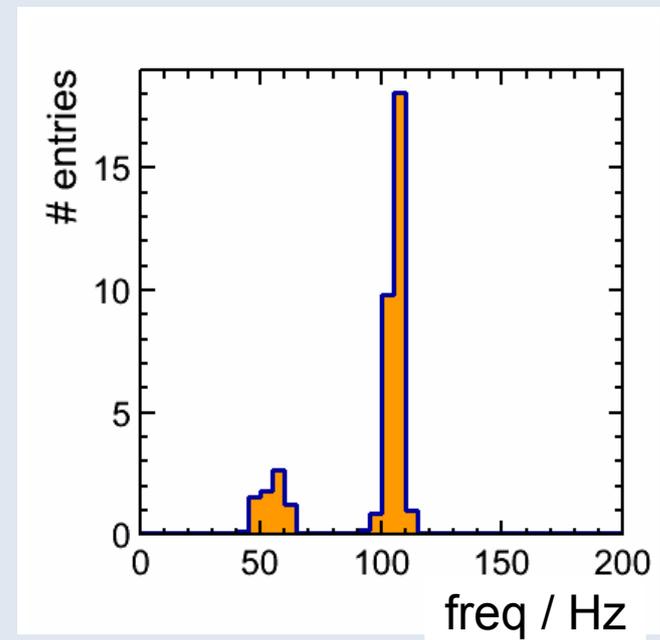
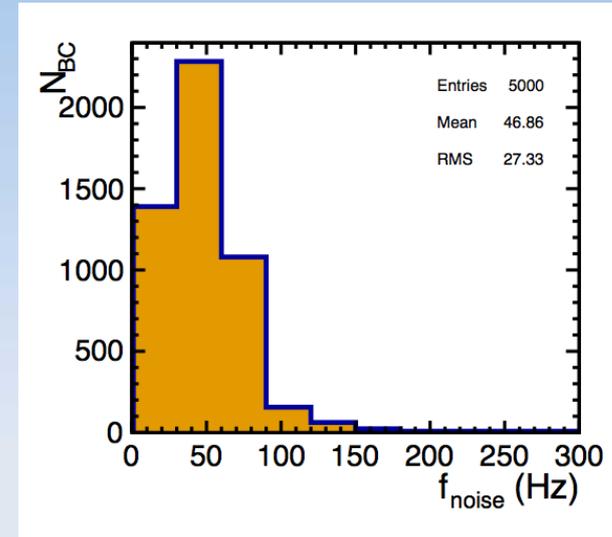
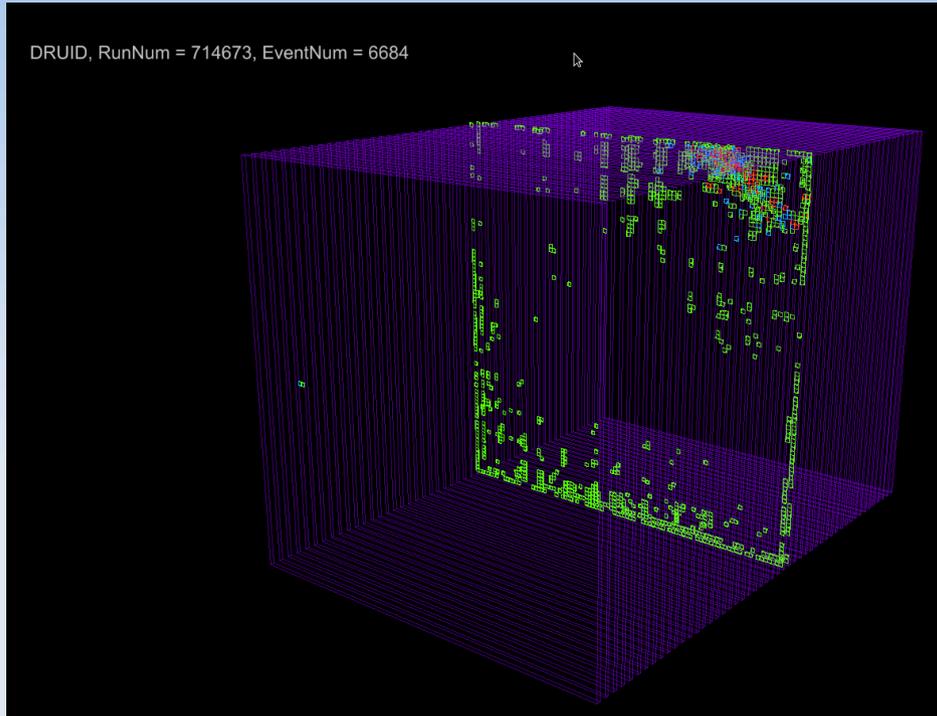
$$A = \left(\frac{nth}{|\alpha|}\right)^{nth} \cdot \exp\left(-\frac{|\alpha|^2}{2}\right)$$

$$B = \frac{nth}{|\alpha|} - |\alpha|$$

$N$  is a normalization factor.



# Coherent noise

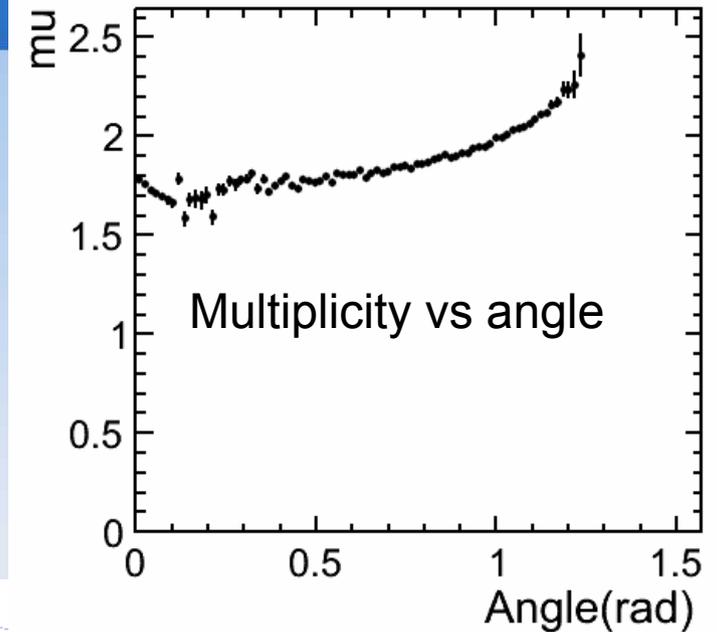


# Micro structure of segmented GRPC response...

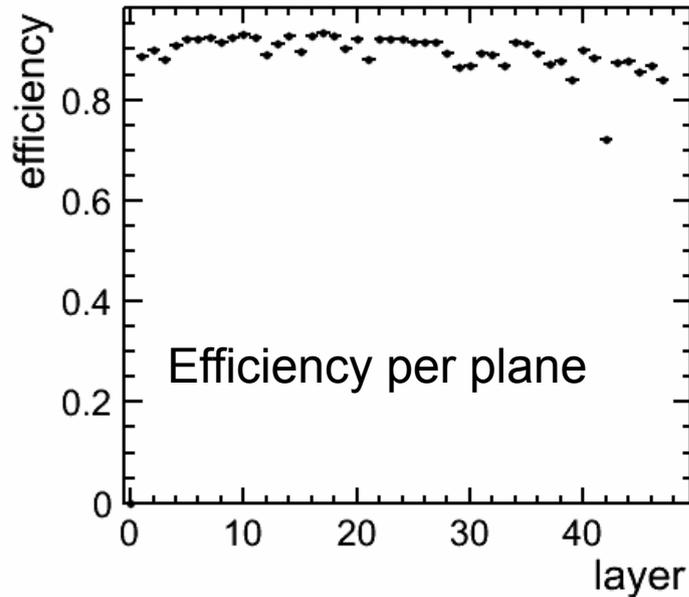
With muons (beam + cosmics), one can derive efficiencies and multiplicities per plane, per ASIC, per channel or per area smaller than a cell.

Muons recorded during august test beam.

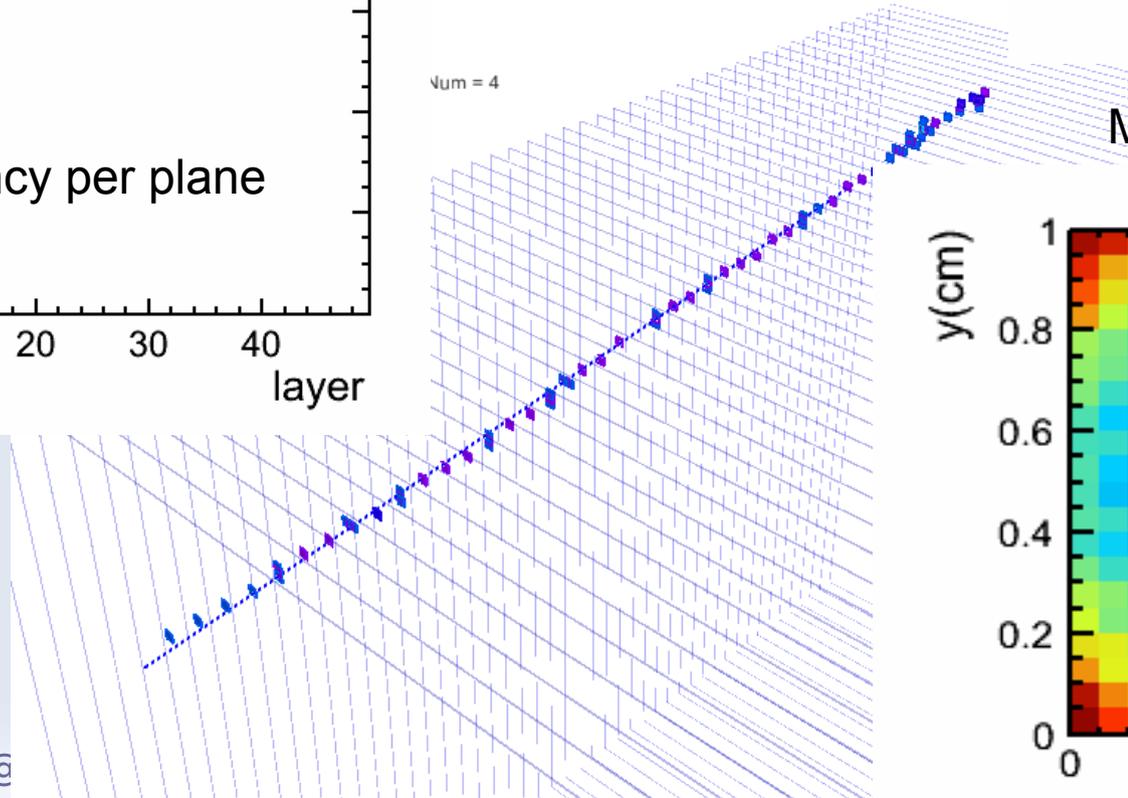
muliplicity vs incident angle



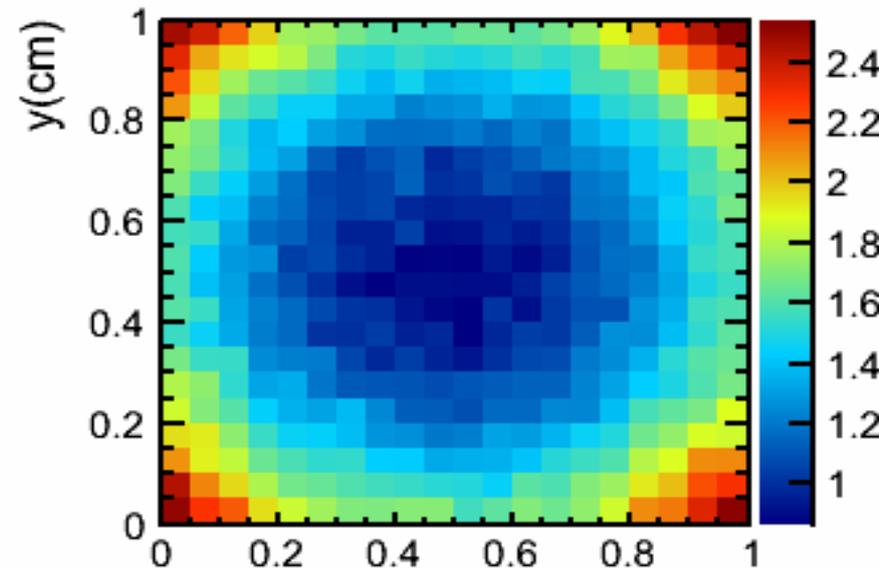
Hit efficiency vs Z



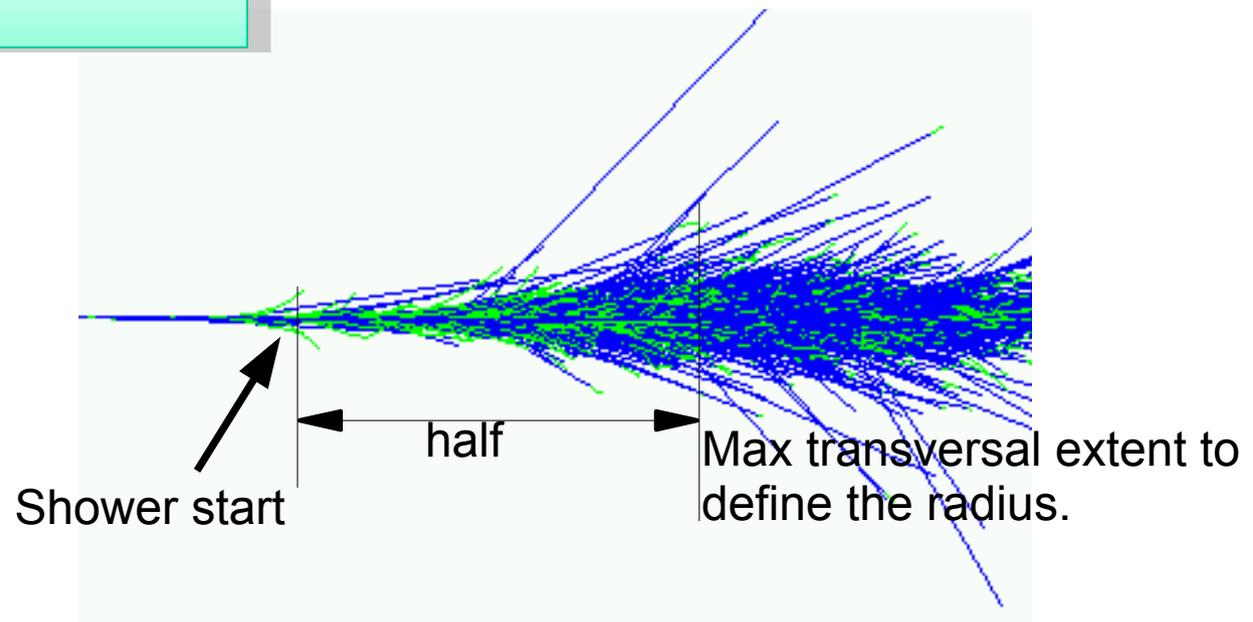
Num = 4



Multiplicity in a cell



## Shower shape variables



Shower start = layer for which a hit has at least 8 3D-Nearest Neighbours if layer+3 has at least 12 Nearest Neighbours.

Then for each layer, clusterise the hits, removing hits which are at more than 3 rms (spatial distribution) from the center of gravity.

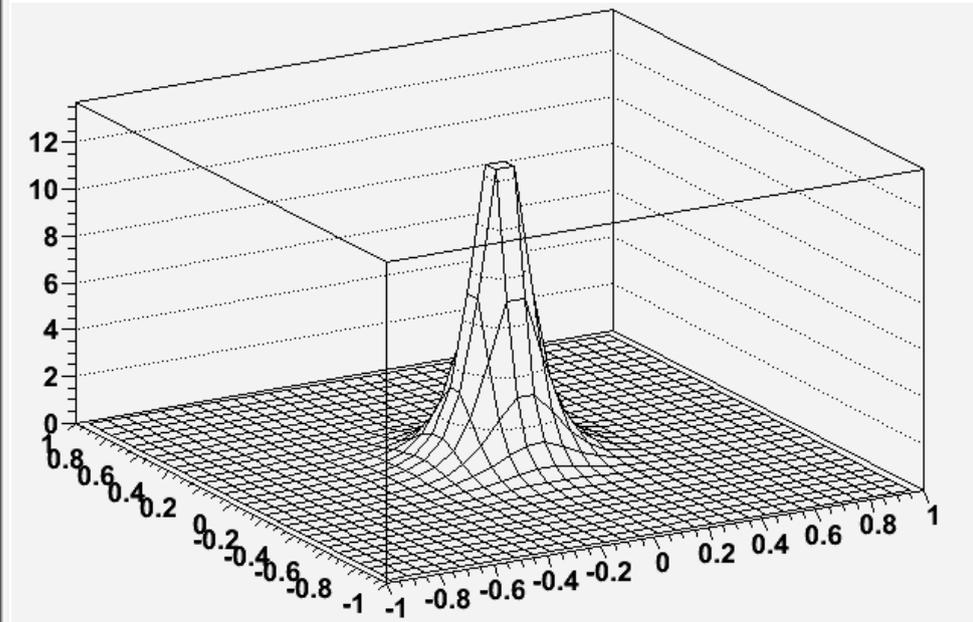
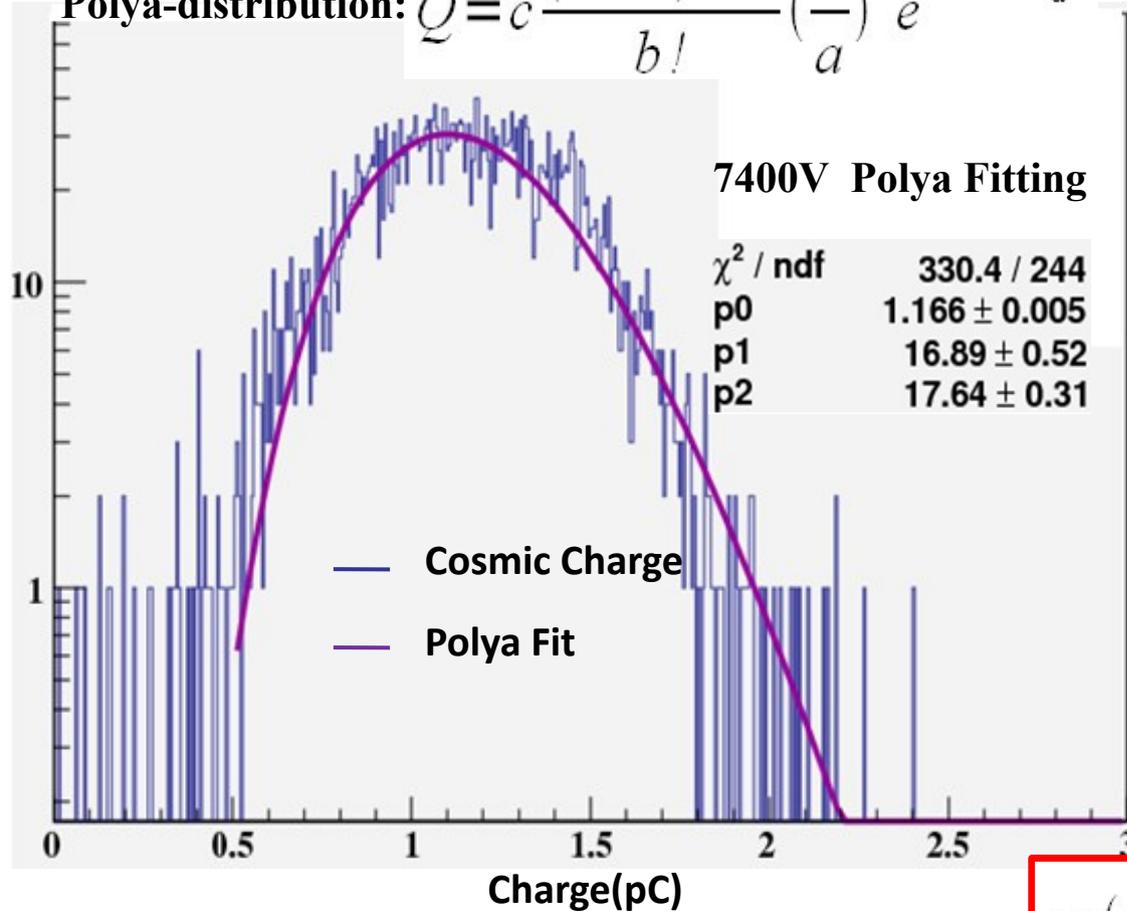
Find the layer which has the biggest spatial rms of the hit distribution. That rms is the radius.

Half is the distance between the shower start layer and the layer that has the biggest spatial rms.

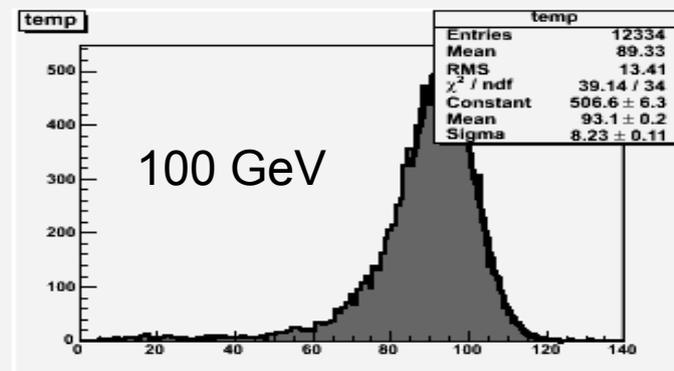
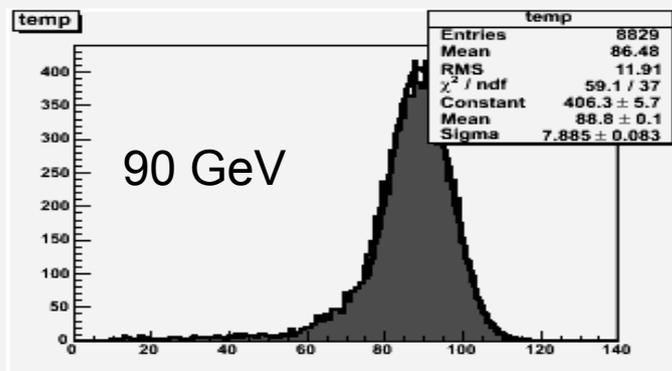
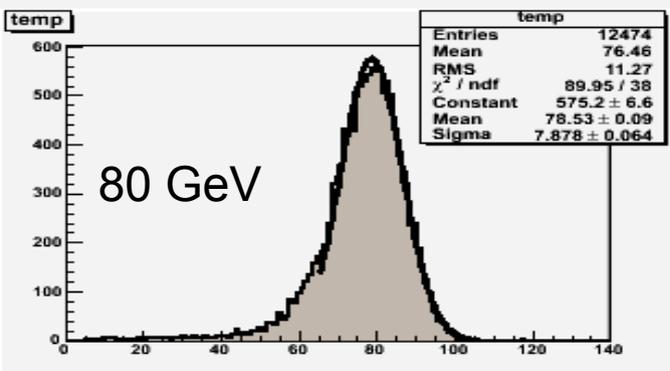
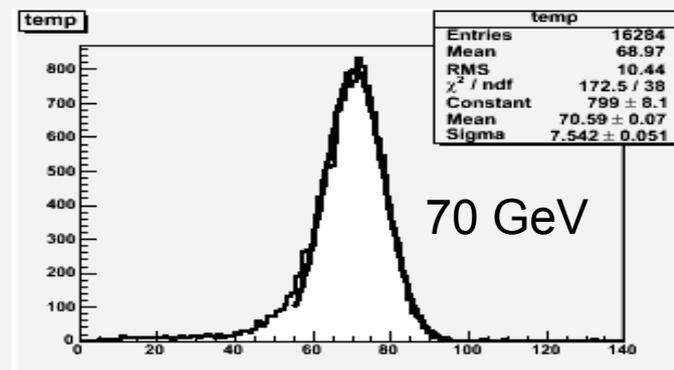
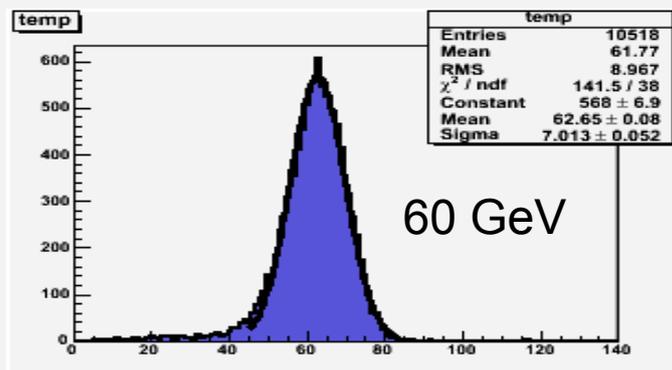
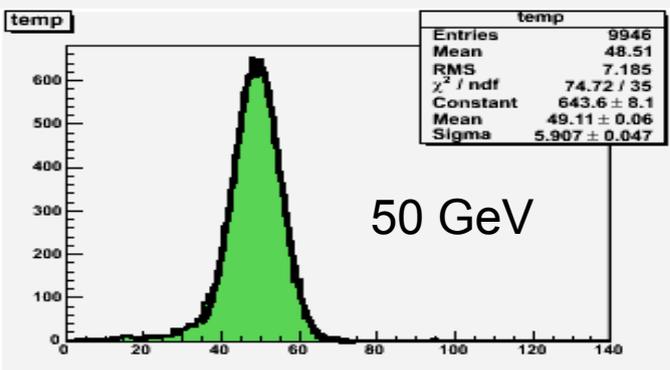
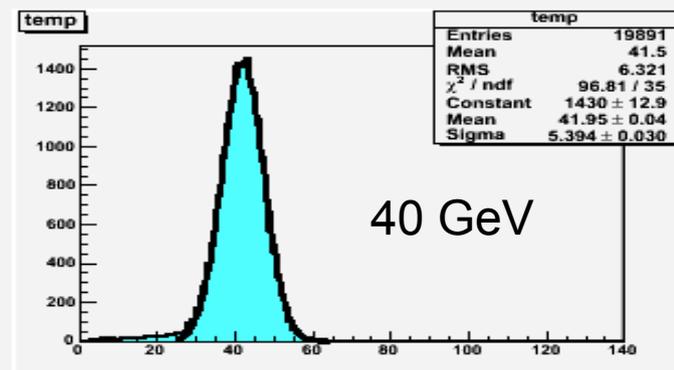
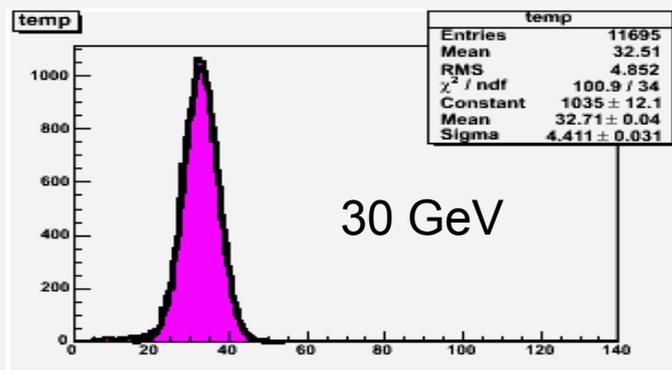
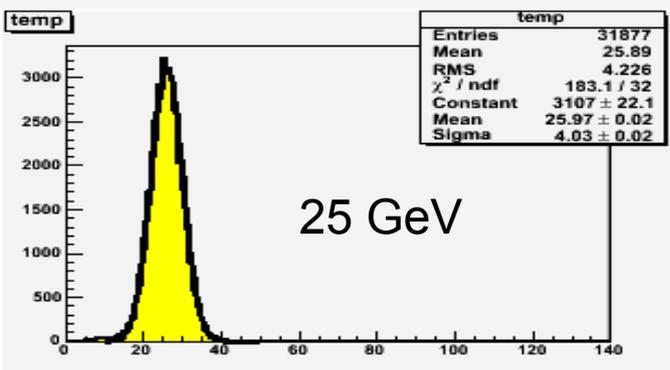
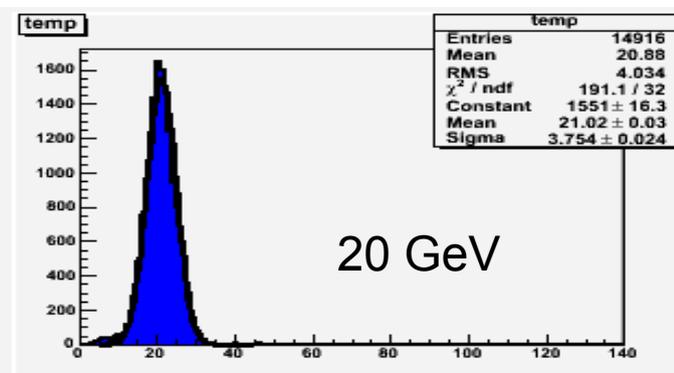
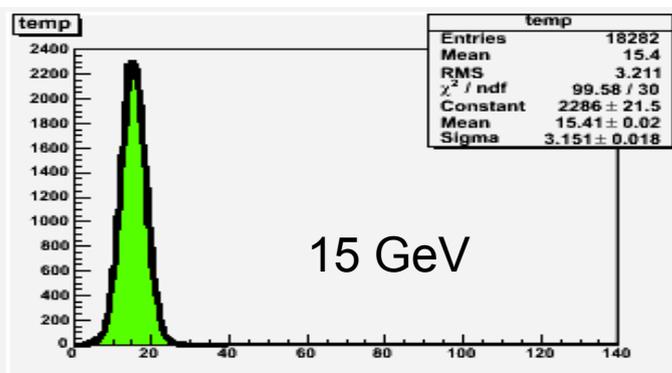
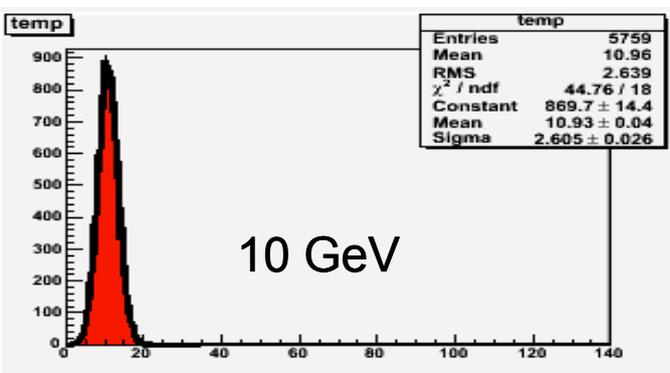
## Comparison with simulation (I)

- Use standalone GEANT4 application to simulate the prototype.
- Digitisation included in the prototype

Polya-distribution: 
$$Q = c \frac{(b+1)^{(b+1)}}{b!} \left(\frac{x}{a}\right)^b e^{-(b+1)\frac{x}{a}}$$



$$\sigma(x, y) = c \frac{-q}{2a} \frac{1}{\cosh\left(\pi \frac{\sqrt{(x-x_0)^2 + (y-y_0)^2}}{a}\right)}$$



Note