

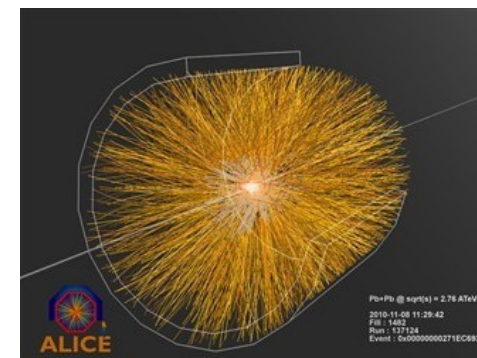
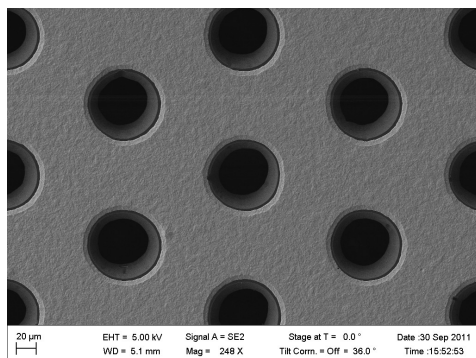
# GEM upgrade of the ALICE TPC

Markus Ball

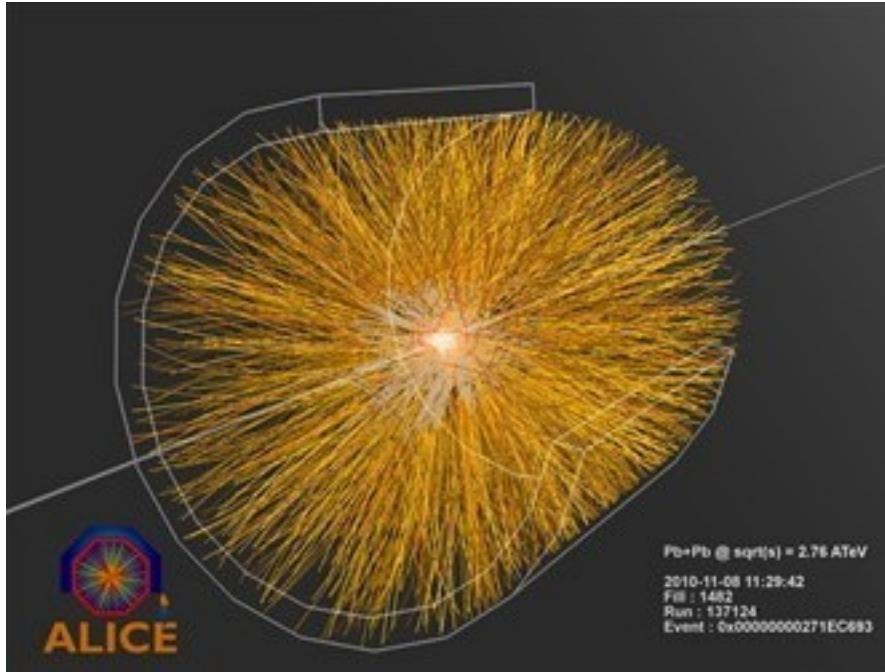
Physics Department E18

Technische Universität München

On Behalf of the ALICE TPC upgrade



- **Motivation for the GEM upgrade**
- Ion Backflow Suppression with GEMs
- Construction of a GEM IROC prototype
- Performance Measurements
- Conclusions and Outlook



## An (almost) ideal tracking detector:

- Large acceptance
- Large active volume
- Low material budget
- 3D hits  $\Rightarrow$  simple pattern recognition
- Extremely high particle densities
- Good momentum resolution
- Particle identification

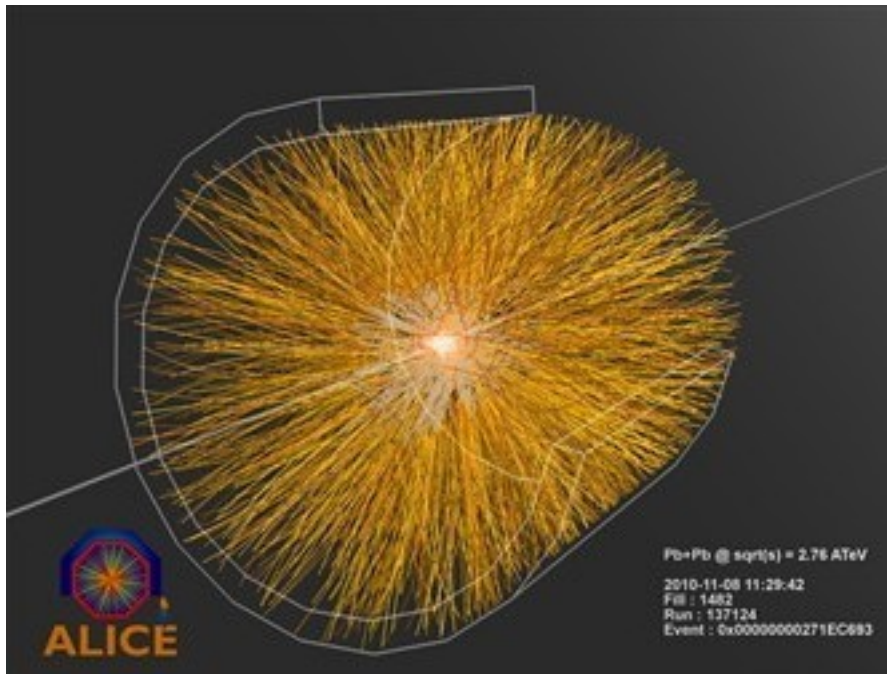
## Limitations:

- Calibration very demanding
- Drift distortions due to ion backflow
- Gating  $\Rightarrow$  low trigger rates

## Examples:

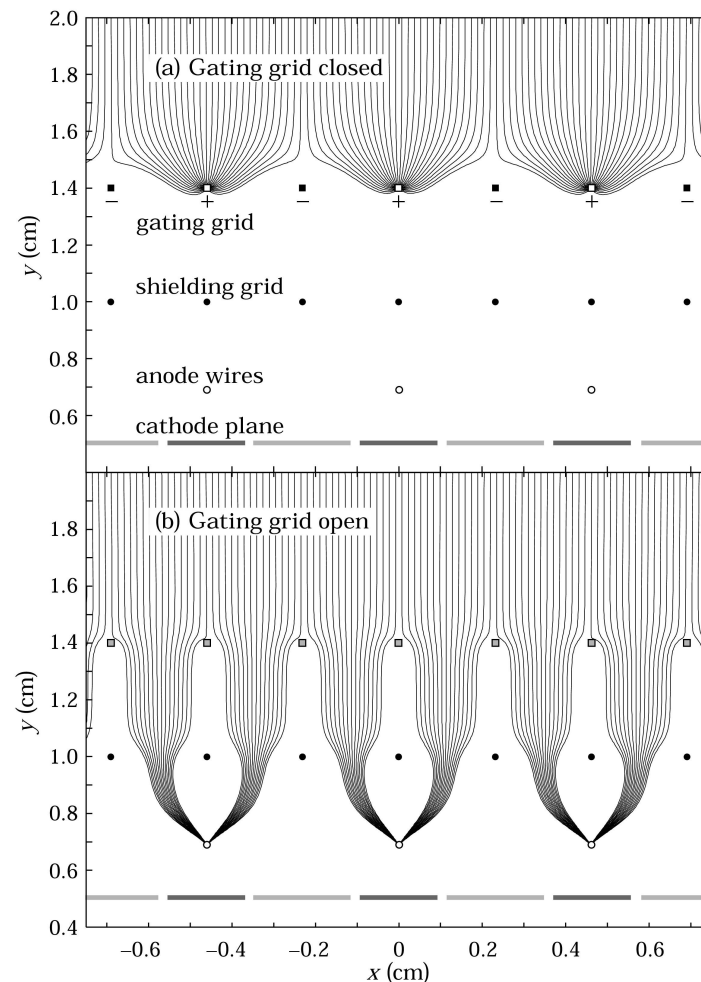
- STAR (420 cm  $\times$  400 cm)
- ALICE (500 cm  $\times$  500 cm)
- ILC (360 cm  $\times$  460 cm)

# Advantages and Limitations of a TPC



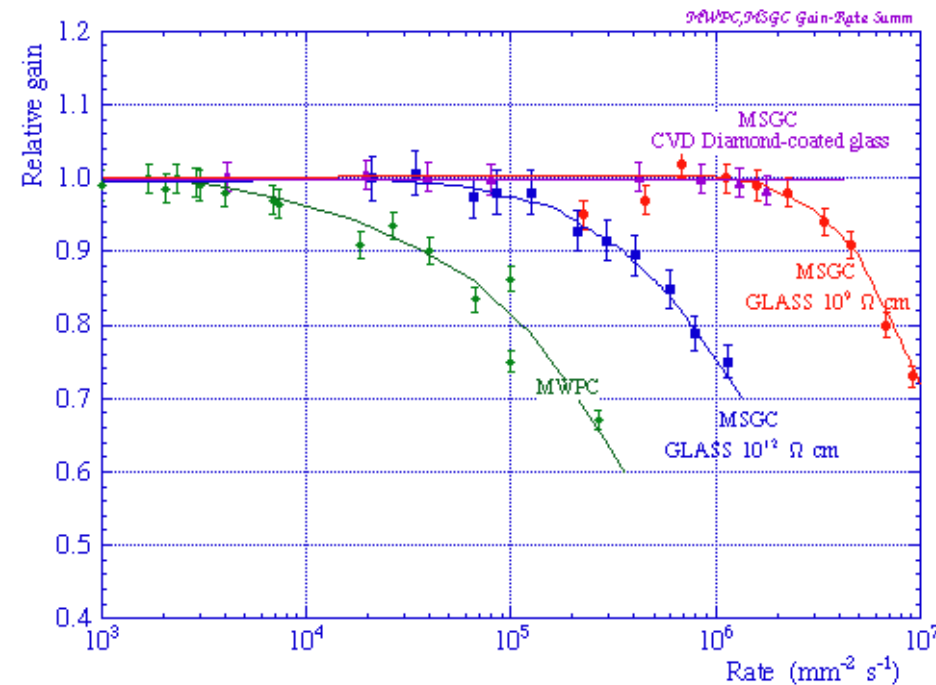
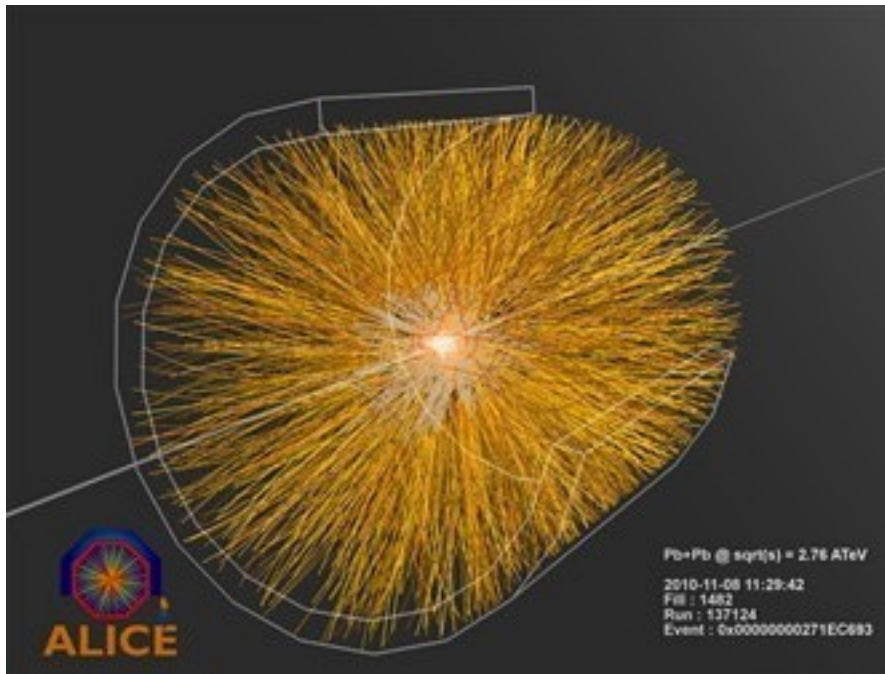
## Limitations:

- Calibration very demanding
- Drift distortions due to ion backflow
- Gating  $\Rightarrow$  low trigger rates  $\sim 1$  kHz



Ion Backflow suppression of a MWPC with a Gating grid  $\sim 10^{-5}$  !

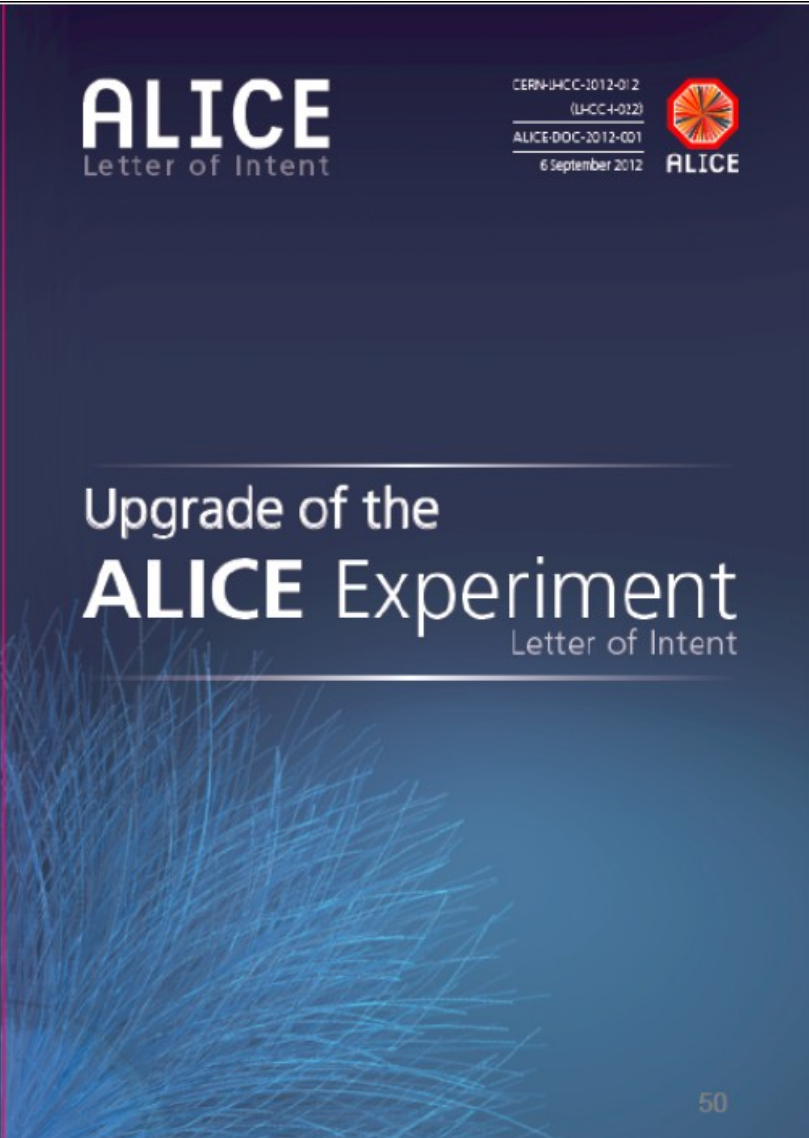
# Advantages and Limitations of a TPC



## Limitations:

- Calibration very demanding
- Drift distortions due to ion backflow
- Gating  $\Rightarrow$  low trigger rates  $\sim 1$  kHz

Rate capability for Micro Pattern Gas Detectors (MPGD)  $10^2$  higher than MWPC, ...  
... but Ion suppression for GEMs is in the order of % !



Letter of Intent for the Upgrade of the ALICE Experiment | [GDN-LHCC-2012-012 \(LHCC-I022\)](#)

**ALICE**  
Letter of Intent

CERN-LHCC-2012-012  
(LHCC-I022)  
ALICE-DOC-2012-001  
6 September 2012

**ALICE**

Upgrade of the  
**ALICE** Experiment  
Letter of Intent

50

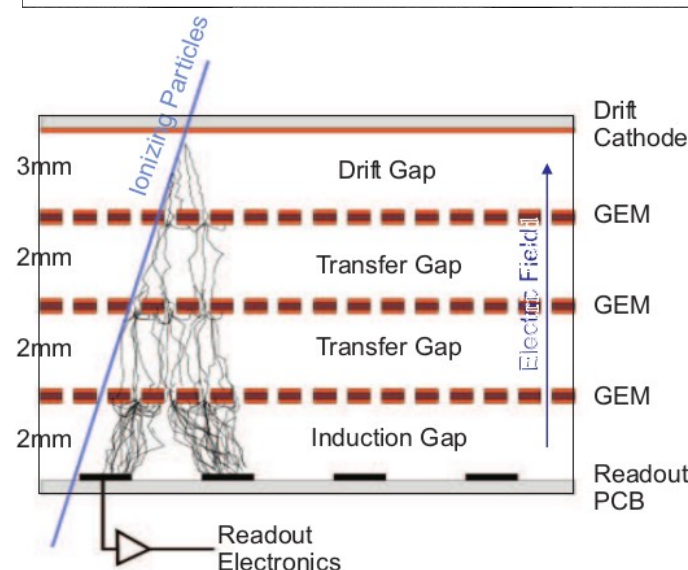
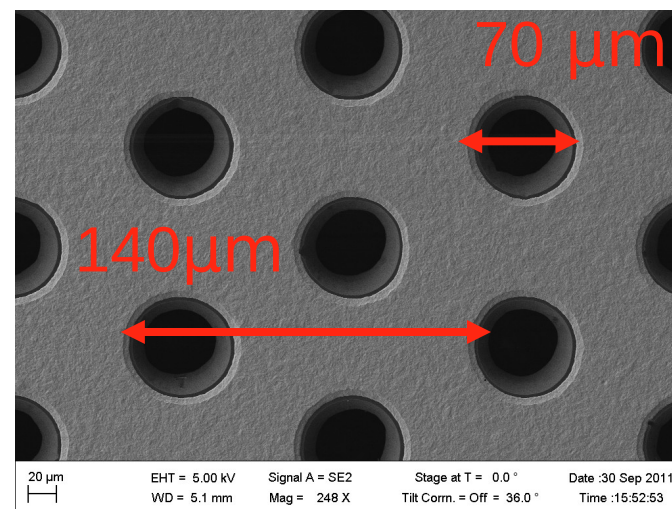
- 2013: **pPb and PbPb**  
initial state effects, shadowing.
- 2013-14: LHC Long Shutdown 1 (LS1)
- 2015-17: **FULL ENERGY !!**  
pp @ 7 TeV,  
PbPb @  $\sqrt{s_{NN}} = 5.5$  TeV
- 2018: LHC Long Shutdown 2
- **≥ 2019: HIGH LUMINOSITY  
50 kHz PbPb collisions**

**ALICE UPGRADES**

- New vertex detectors
- Faster readout, high level triggers...
- TPC with continuous readout ...

# Gas Electron Multiplier (GEM)

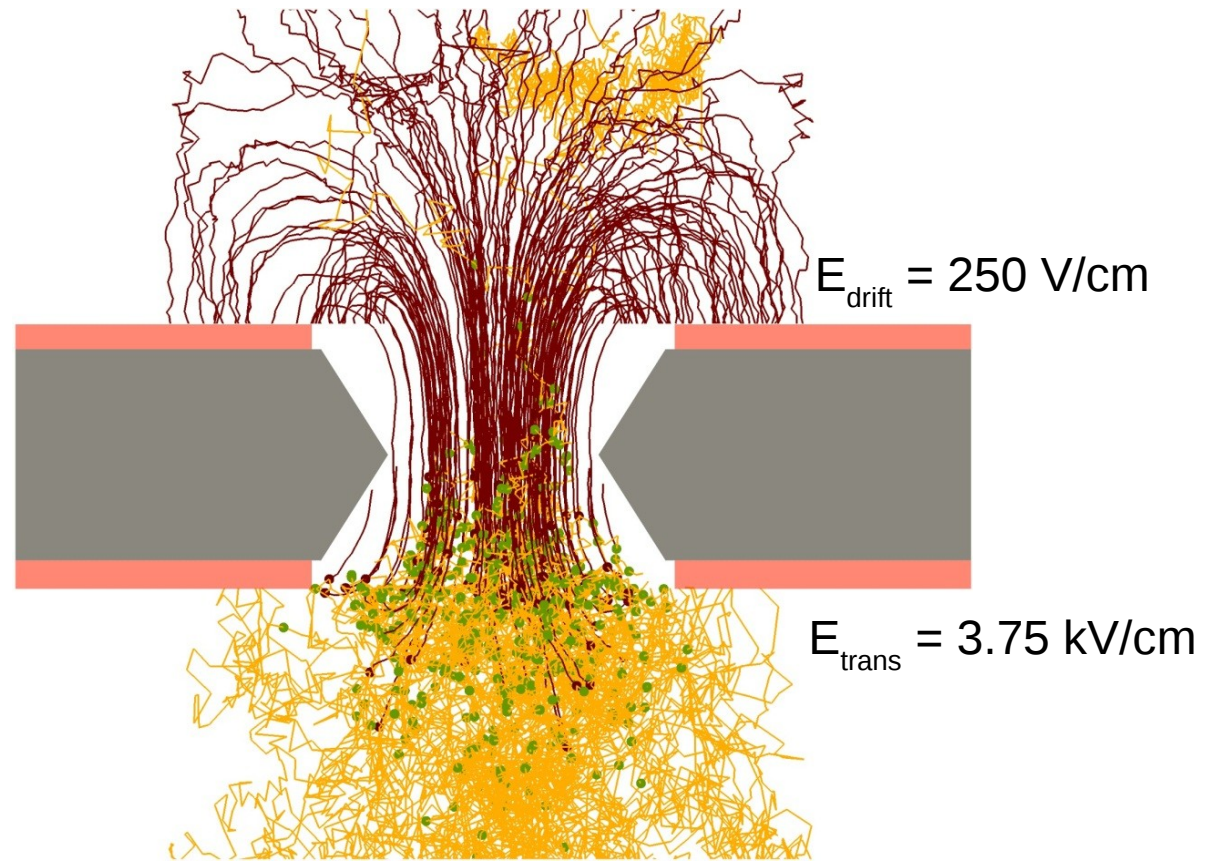
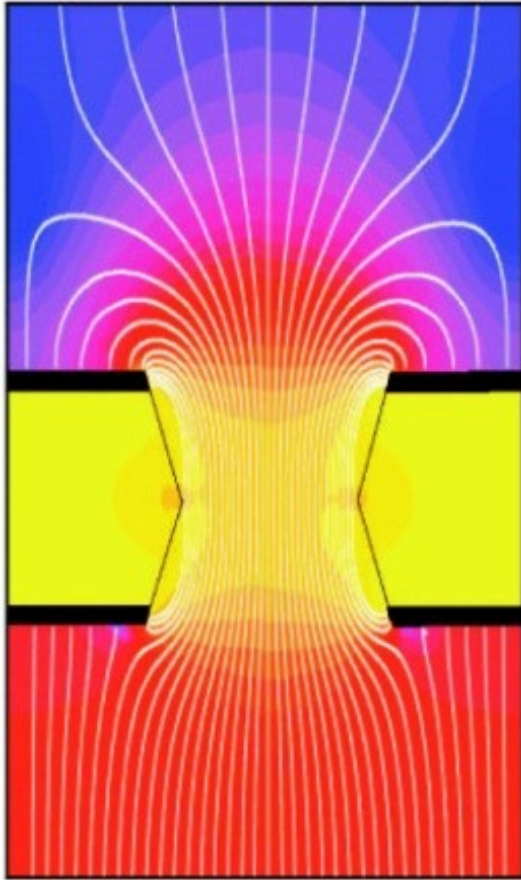
- Introduced by Fabio Sauli (1996)
- 50  $\mu\text{m}$  thick kapton
- 5  $\mu\text{m}$  layers of copper on both sides
- Voltage of several hundred volts produce an electric field of several  $10^4$  V/cm
- Amplification within the holes
- Gain up to  $10^3$  for a single GEM feasible
- A multi-GEM structure reduces significantly the discharge rate
- Excellent spatial resolution (ILC, CLIC)
- Intrinsic Reduction of the ion backflow (High Rate TPCs)



- Motivation for the GEM upgrade
- **Ion Backflow Suppression with GEMs**
- Construction of a GEM IROC prototype
- Performance Measurements
- Conclusions and Outlook

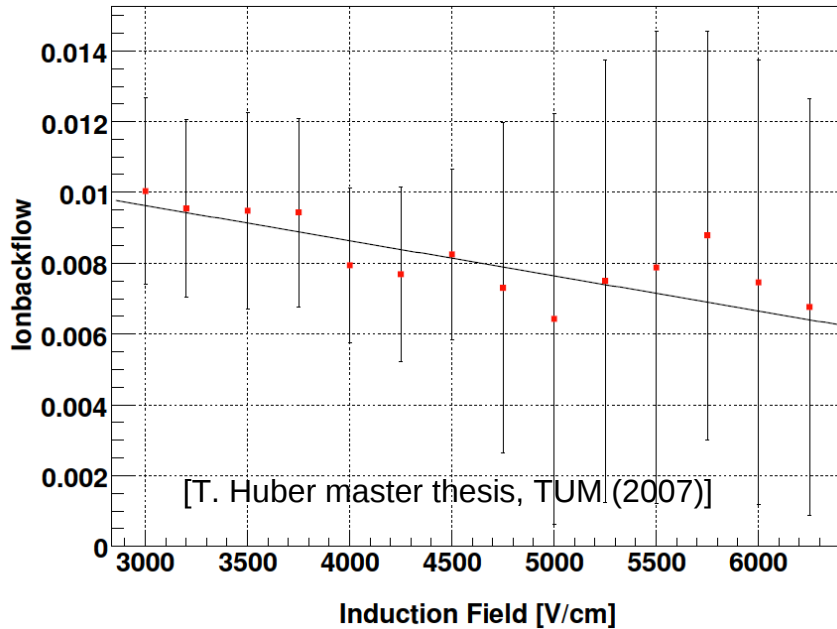


# Principle of Ion Backflow Suppression in a GEM



# Current Limits on Ion Backflow

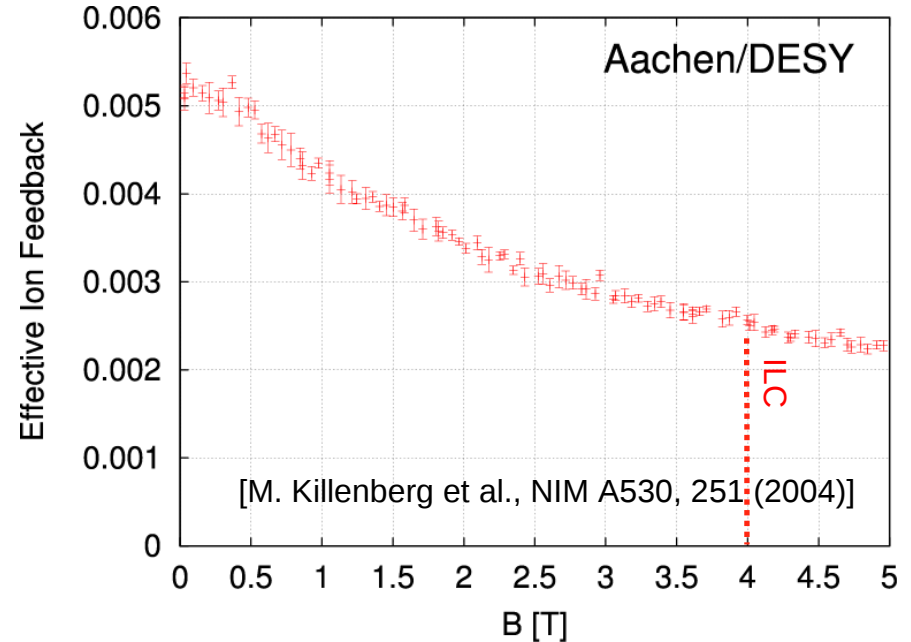
Ar/CO<sub>2</sub>(70/30)



GEM voltage settings		Detector field settings	
GEM1	330 V	$E_{\text{Drift}}$	0.25 kV cm <sup>-1</sup>
GEM2	375 V	$E_{\text{T1}}$	6.00 kV cm <sup>-1</sup>
GEM3	450 V	$E_{\text{T2}}$	0.16 kV cm <sup>-1</sup>
		$E_{\text{Ind}}$	5.00 kV cm <sup>-1</sup>

Ar/CO<sub>2</sub>/CH<sub>4</sub>(93/2/5)

$$V_{\text{drift}} \sim E + \omega\tau (E \times B) + (\omega\tau)^2 (EB)B$$



GEM voltage settings		Detector field settings	
GEM1	310 V	$E_{\text{Drift}}$	0.2 kV cm <sup>-1</sup>
GEM2	310 V	$E_{\text{T1}}$	6.00 kV cm <sup>-1</sup>
GEM3	350 V	$E_{\text{T2}}$	0.06 kV cm <sup>-1</sup>
		$E_{\text{Ind}}$	8.00 kV cm <sup>-1</sup>

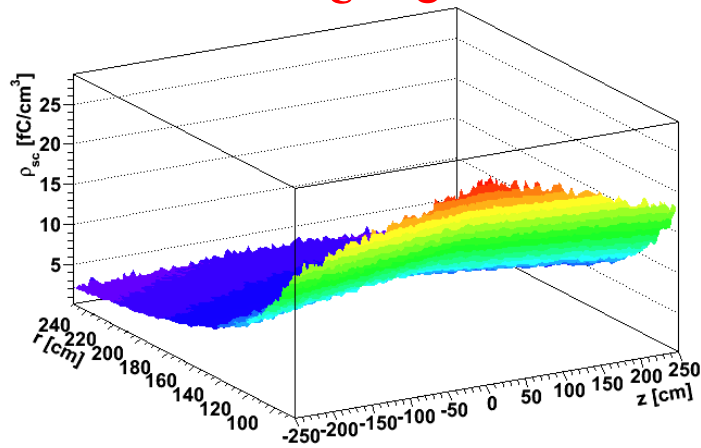
Triple GEM with an IB=0.25 % realistic:  $\epsilon = 4$  at  $G=2000$

# Space Charge Effects at ALICE

[CERN-LHCC-2012-012 / LHCC-1-022]

Space Charge - 3D

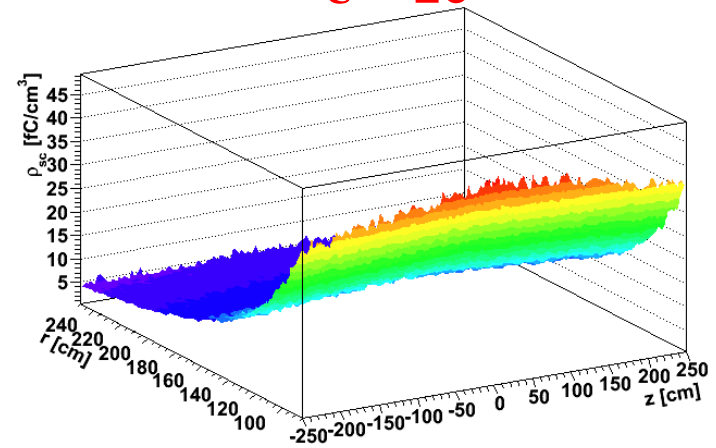
$\epsilon = 5$



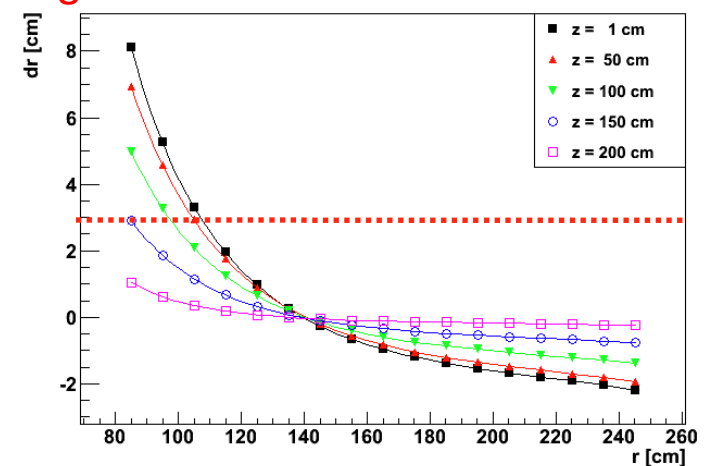
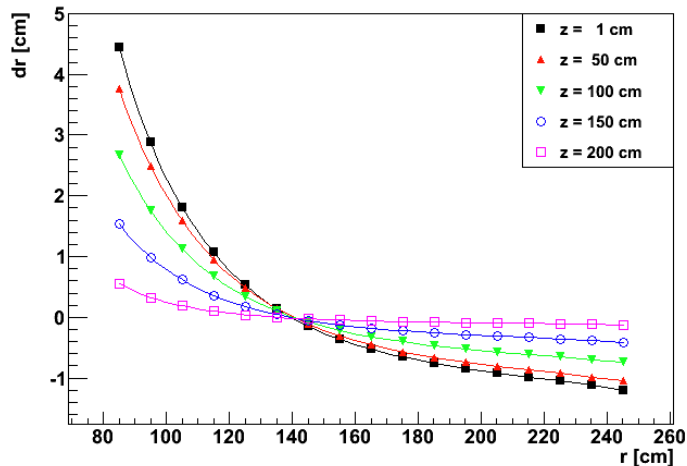
[CERN-LHCC-2012-012 / LHCC-1-022]

Space Charge - 3D

$\epsilon = 10$



Resulting distortions  $\sim 5$  cm can be corrected  
IB-Goal  $\sim 0.5\%$  ( $\epsilon = 10$ ) at a gain of 2000



- Define limits for a standard triple GEM setup for different gases
- Gas candidates so far Ar/CO<sub>2</sub> (90/10) Ne/CO<sub>2</sub>(N<sub>2</sub>) 90/10(5)
- Find the most promising gas candidate before trying different GEM geometries or alternative GEM structures
- Characterize the bias coming from rate dependent effect on the IBF

## Ion Back-Flow (IBF) and $\epsilon$

Ion Back-Flow is the ratio

$$\frac{\text{Ions arriving at the cathode}}{\text{Electrons arriving at the anode}}$$

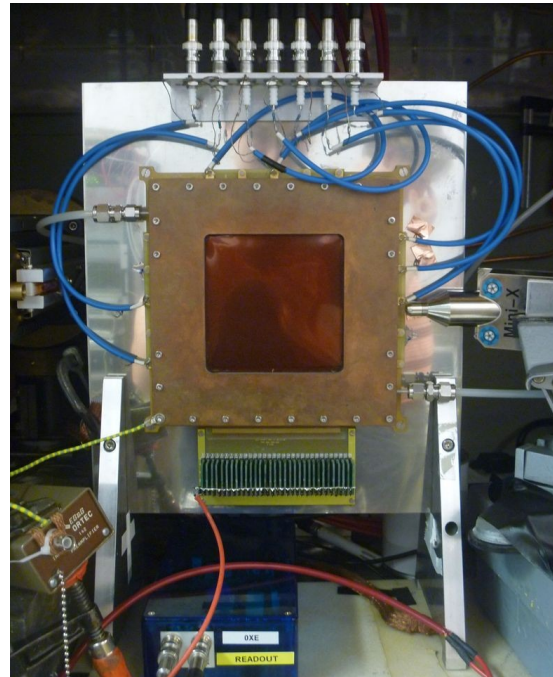
In the following presentation the ratio of currents defines the IBF

$$\text{IBF} = \frac{I_{\text{drift}}}{I_{\text{readout}}}$$

The number of backdrifting ion per incoming electrons is then defined as

$$\text{epsilon} = \text{IBF} * \text{gain} - 1$$

# TUM Setup - Detector



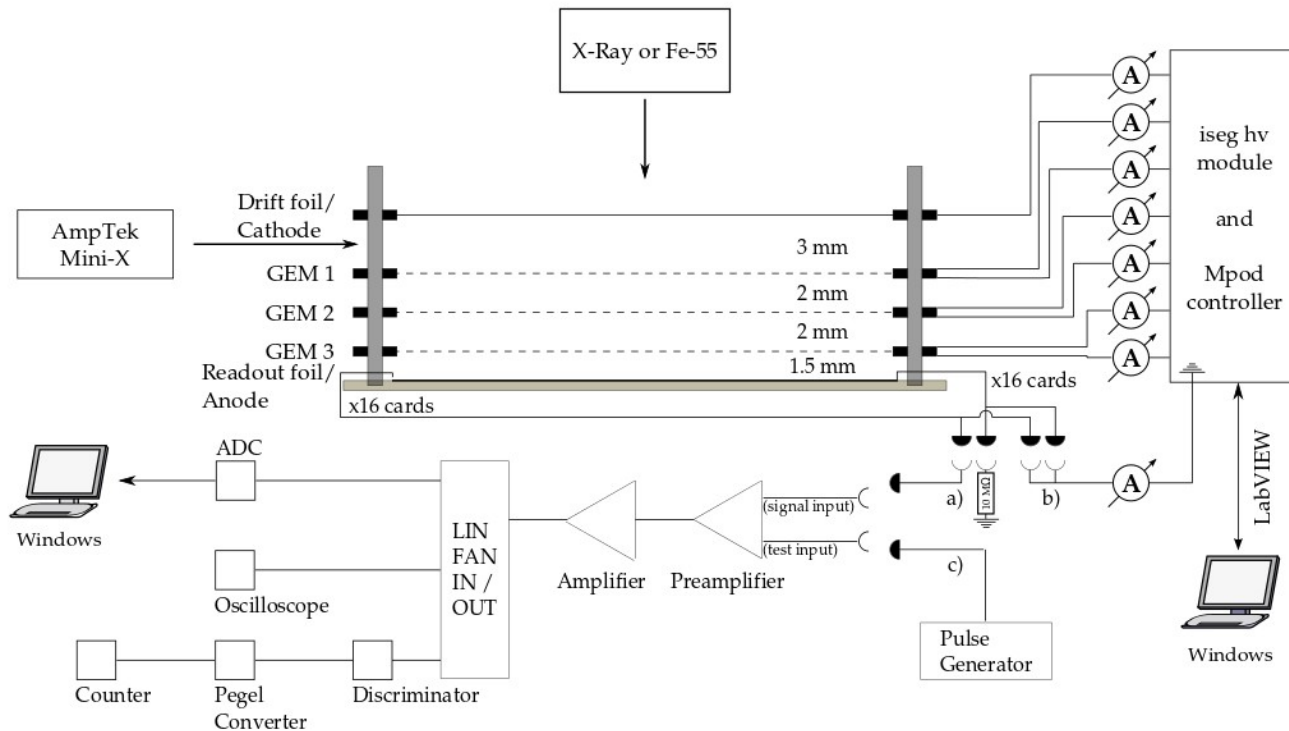
$^{55}\text{Fe}$  with an activity of 37 MBq

- Well defined energy  
⇒ excellent for measurements of energy resolution and gain

Amptek Mini-X Au (4 W)

- High rates  
⇒ high currents at the GEMs ( $\mu\text{A}$ ), but energy spectrum is deteriorated by Bremsstrahlung

# TUM Setup - Detector



- Small drift volume of 3 mm
- Standard Triple GEM structure
- Gas gain around 1000 for Ar/CO<sub>2</sub> resp. 2000 for Ne/CO<sub>2</sub>(N<sub>2</sub>) ⇒ same S/N
- Strip readout (512 strips)
- X-Rays enter from top ⇒ measure also the beam profile (resp. charge density)

# Settings for IBF modes



## For Ar/CO<sub>2</sub> 90/10

<i>GEM voltage settings</i>		<i>Detector field settings</i>	
GEM1	280 V	$E_{\text{Drift}}$	0.4 kV cm <sup>-1</sup>
GEM2	315 V	$E_{\text{T1}}$	5.5 kV cm <sup>-1</sup>
GEM3	steerable	$E_{\text{T2}}$	0.2 kV cm <sup>-1</sup>
		$E_{\text{Ind}}$	4.5 kV cm <sup>-1</sup>

## For Ne/CO<sub>2</sub> 90/10

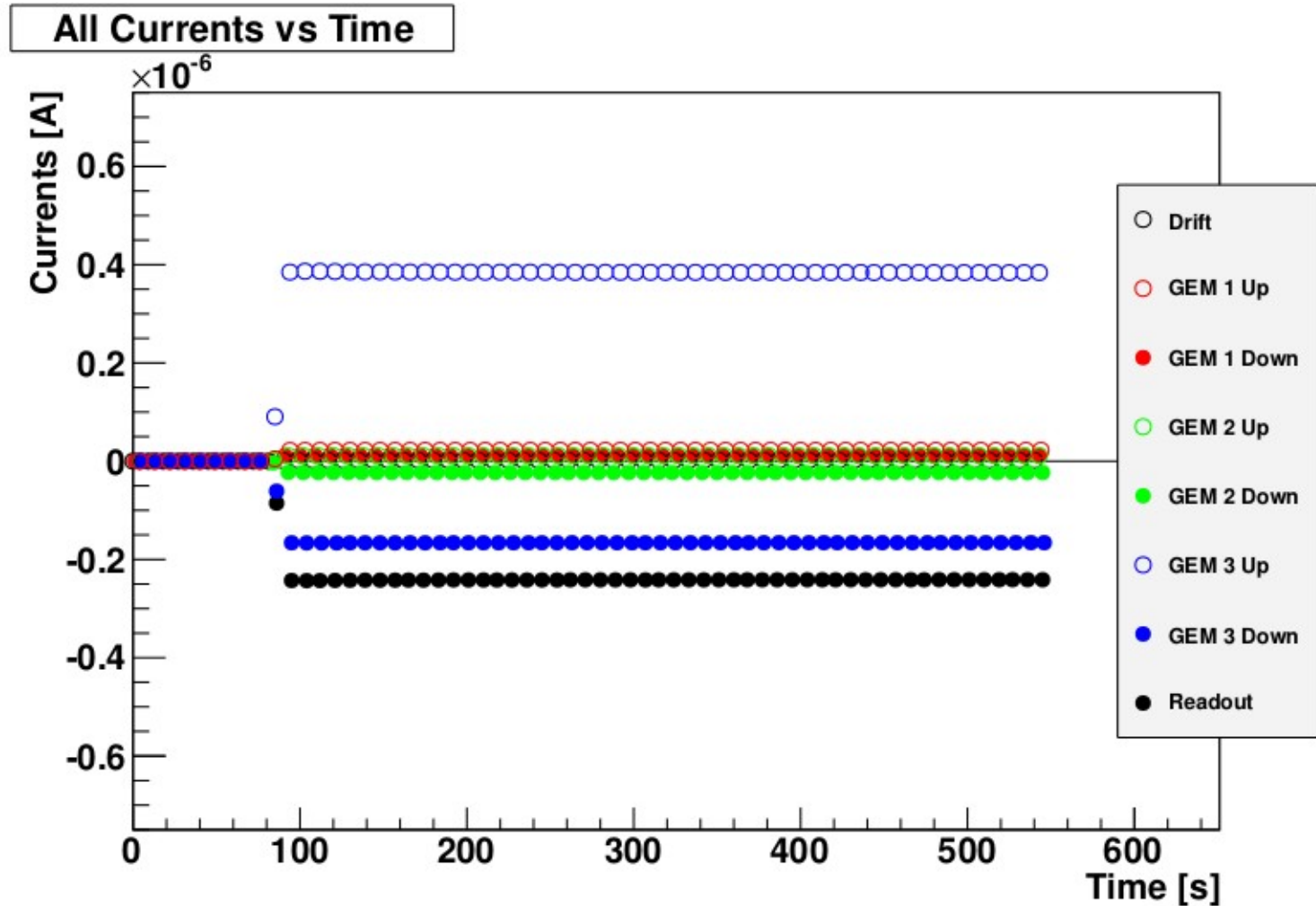
<i>GEM voltage settings</i>		<i>Detector field settings</i>	
GEM1	235 V	$E_{\text{Drift}}$	0.4 kV cm <sup>-1</sup>
GEM2	245 V	$E_{\text{T1}}$	5.0 kV cm <sup>-1</sup>
GEM3	steerable	$E_{\text{T2}}$	0.2 kV cm <sup>-1</sup>
		$E_{\text{Ind}}$	3.8 kV cm <sup>-1</sup>

## For Ne/CO<sub>2</sub>/N<sub>2</sub> 90/10/5

<i>GEM voltage settings</i>		<i>Detector field settings</i>	
GEM1	263 V	$E_{\text{Drift}}$	0.4 kV cm <sup>-1</sup>
GEM2	305 V	$E_{\text{T1}}$	5.5 kV cm <sup>-1</sup>
GEM3	steerable	$E_{\text{T2}}$	0.2 kV cm <sup>-1</sup>
		$E_{\text{Ind}}$	4.0 kV cm <sup>-1</sup>

- $\Delta U_{\text{GEM 1}} < \Delta U_{\text{GEM 2}} \ll \Delta U_{\text{GEM 3}}$
- $E_{\text{T1}}$  and  $E_{\text{Ind}}$  are high
- $E_{\text{T2}}$  as low as possible
- Scan of  $E_{\text{T1}}$  from:  
3.0 kV/cm, ..., 5.5 kV/cm
- Scan of  $E_{\text{T2}}$  from:  
0.2 kV/cm, ..., 0.8 kV/cm
- Use GEM<sub>3</sub> to keep gain const.

# $I_{CM}(t)$ for IBF Settings

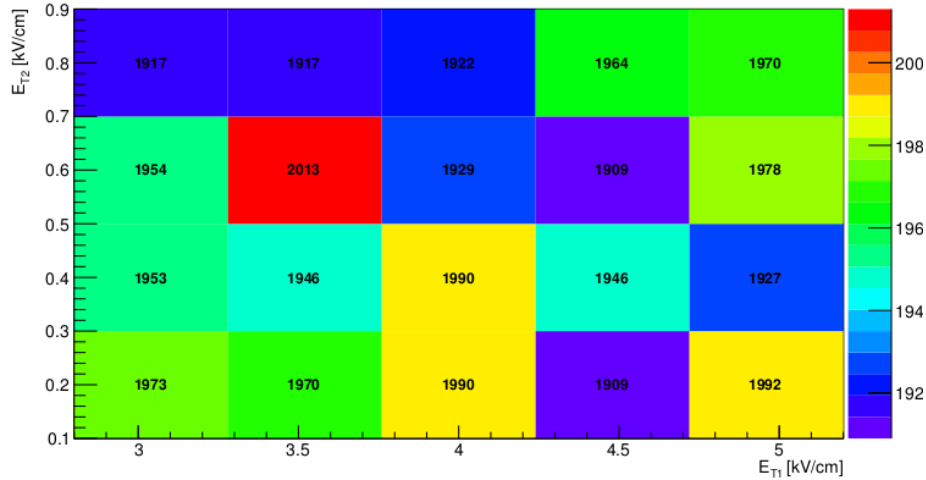




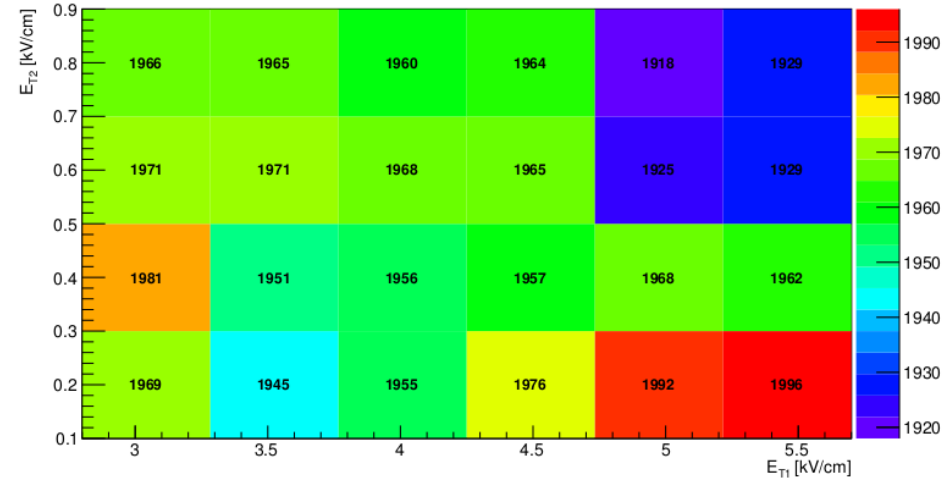
# Gain for Different Gases



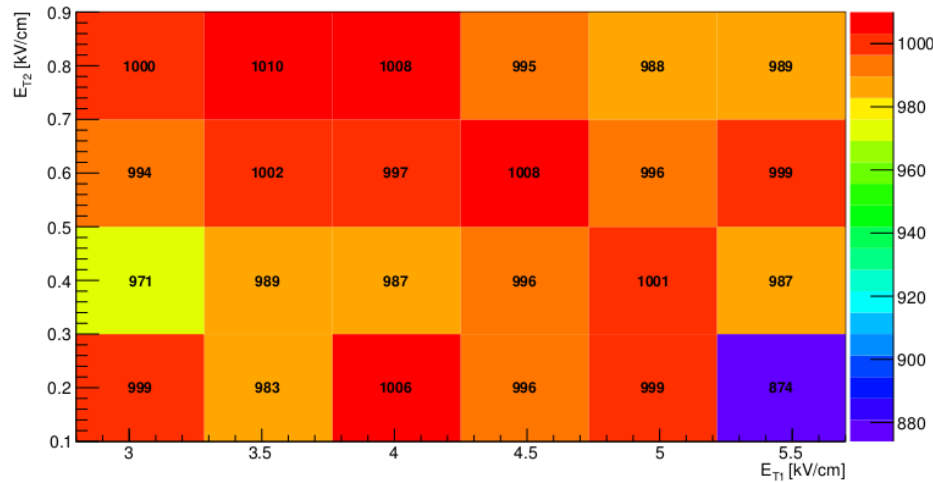
Gain ( $E_{T1}, E_{T2}$ ) for Ne/CO<sub>2</sub> (90/10)



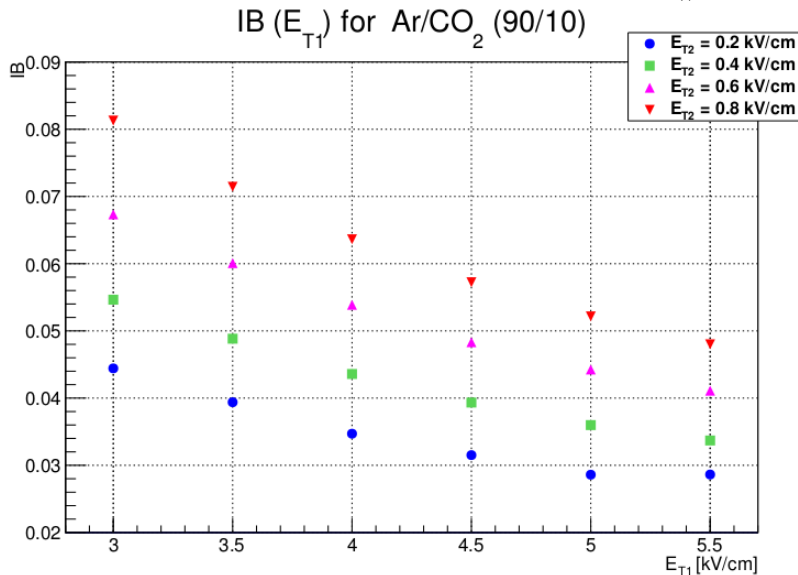
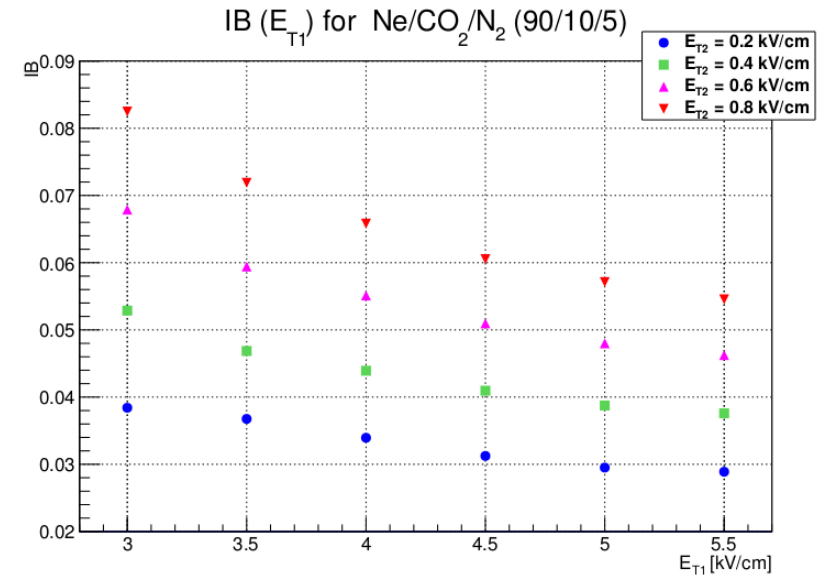
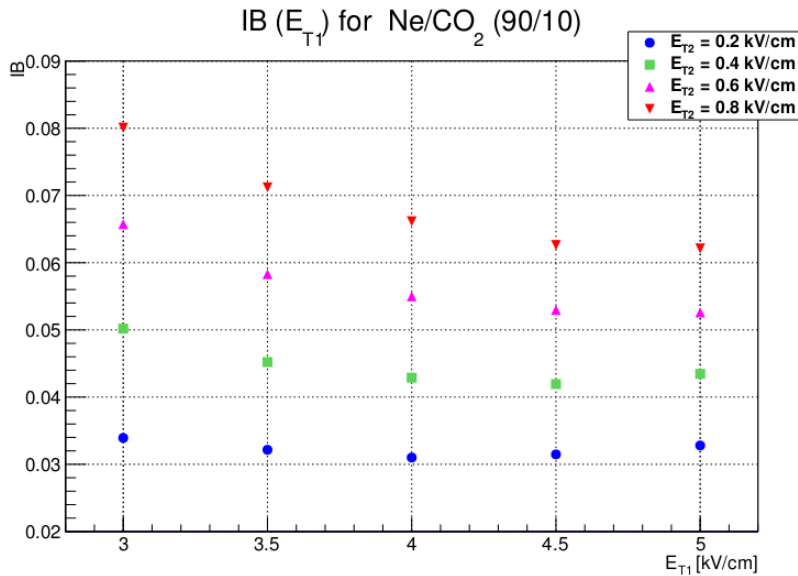
Gain ( $E_{T1}, E_{T2}$ ) for Ne/CO<sub>2</sub>/N<sub>2</sub> (90/10/5)



Gain ( $E_{T1}, E_{T2}$ ) for Ar/CO<sub>2</sub> (90/10)

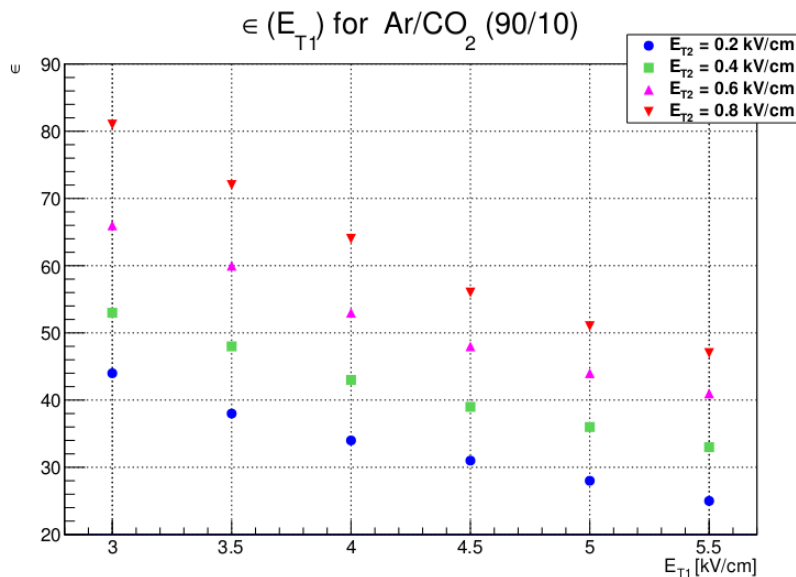
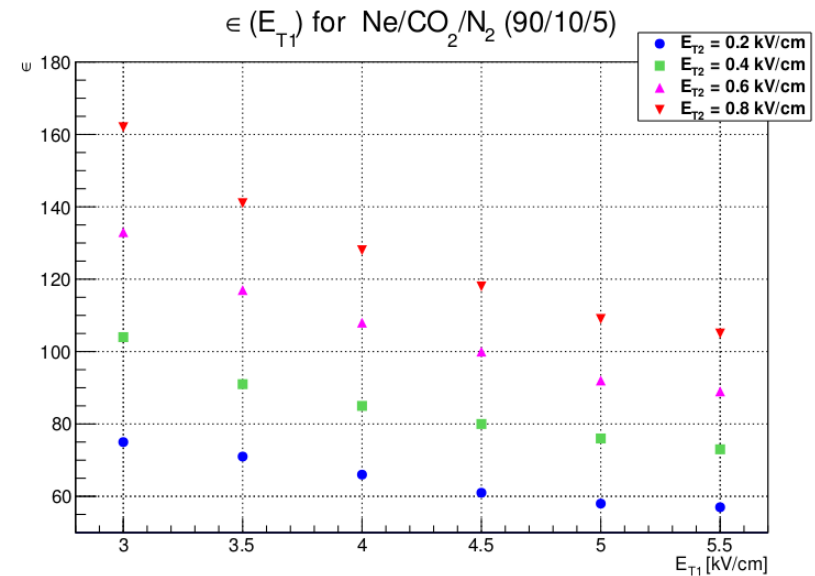
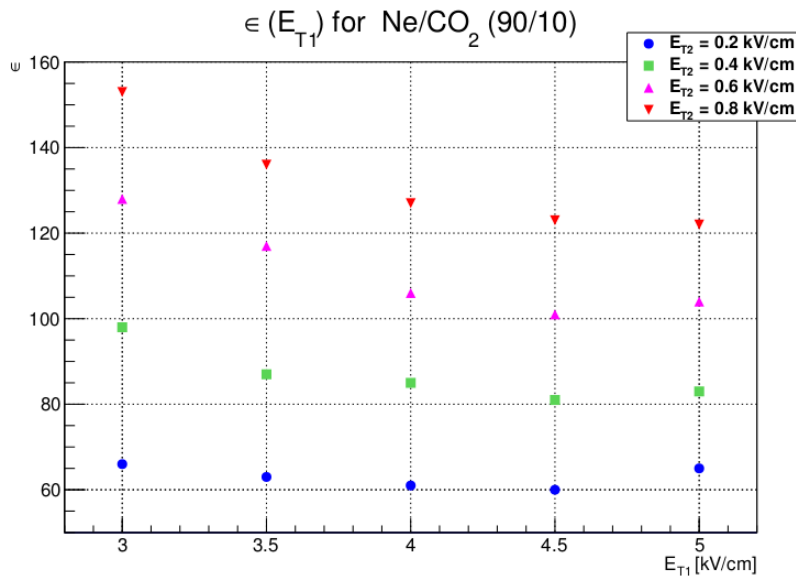


# IBF for Different Gases



- Best values for lowest  $E_{T2}$  and highest  $E_{T1}$
- Except Ne/CO<sub>2</sub> (amplification at 4 kV/cm for  $E_{T1} > 4$  kV/cm IBF increases again)
- Ions from Transfer gap 1 escape in drift volume

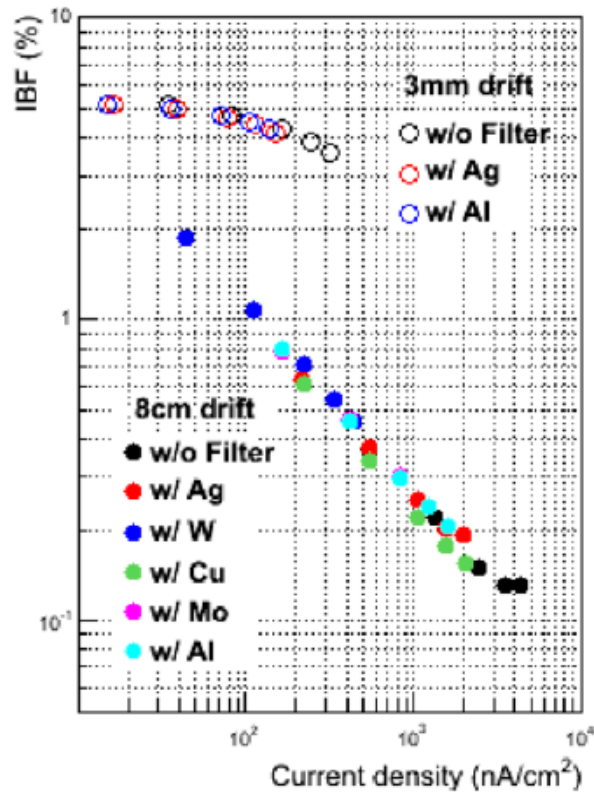
# $\varepsilon$ for Different Gases



- $\rho = l/u_{ion}$  with  $l \sim \varepsilon \cdot n_{prim}$
- $\rho(\text{Ne/CO}_2) : \rho(\text{Ne/CO}_2/\text{N}_2) : \rho(\text{Ar/CO}_2)$   
 $= 1,65 : 1,57 : 3,75$
- Ion mobility of argon about a factor 2,5 slower than neon !

# IBF – Rate Dependency

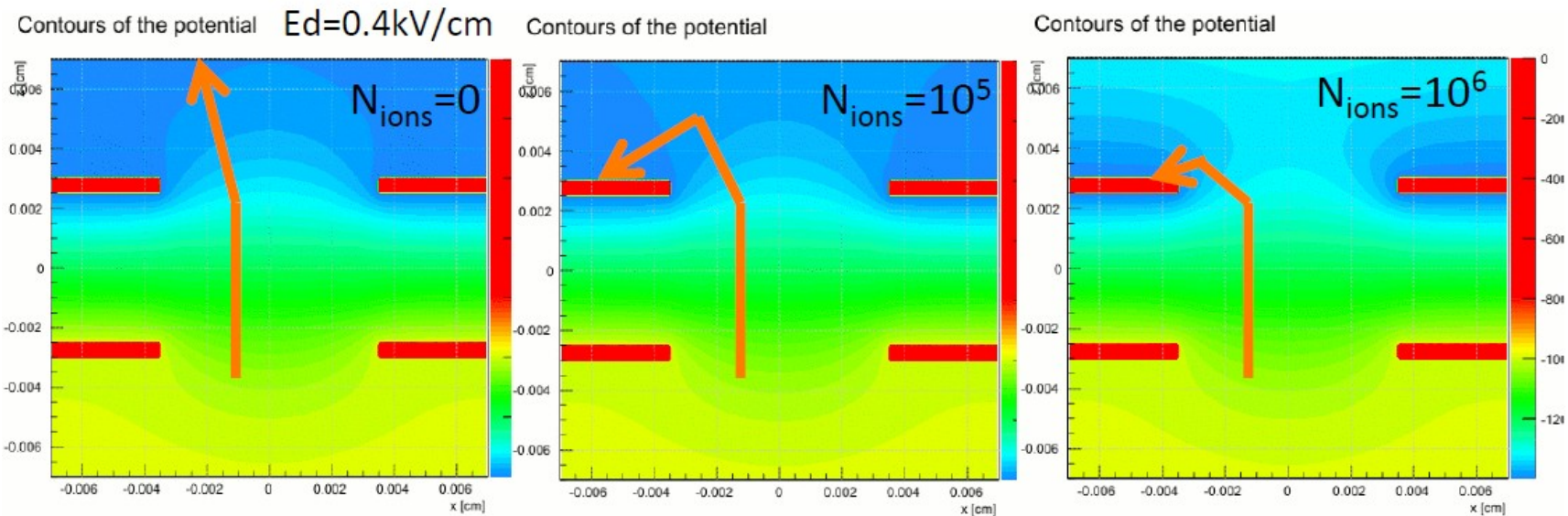
Ar/CO<sub>2</sub>(70/30)



- Drop of IBF measured for high X-ray rates resp. high charge densities  $\rho$  in the detector
- Fundamental difference for different drift length of the detector (3-80 mm)
- Could this be affected by space charge ?

[C. Garabatos, Y. Yamaguchi, CERN (2012)]

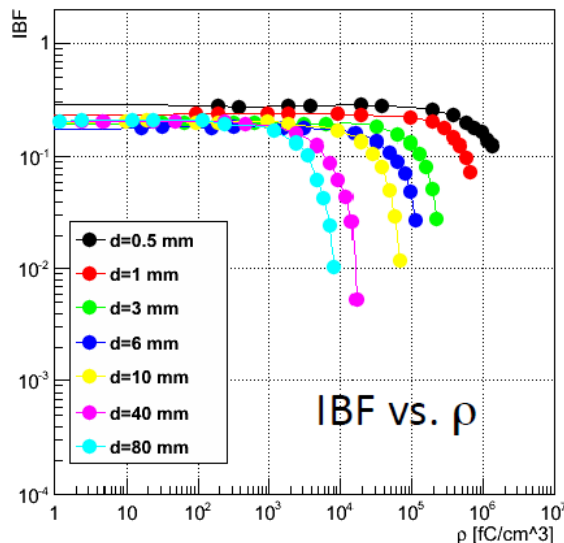
- Space charge possible candidate to explain the effect
- First preliminary studies in 2012
  - Ion disks in very limited space
- Electric field with  $N_{\text{ions}}$  in  $[0 - 100 \mu\text{m}]$  above GEM hole
  - Ion disk in front of the GEM hole block



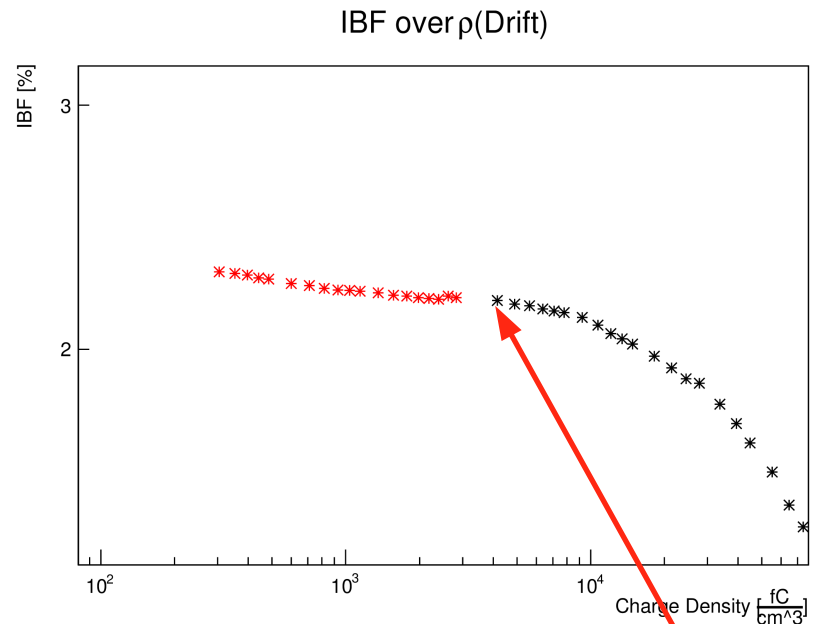
[T. Gunji, University of Tokio(2012)]

# IBF performance (Space Charge)

IBF - Simulation for a single GEM as a function of charge density  $\rho$



IBF - Measurement of a 3 GEM setup as a function of charge density  $\rho$



[T. Gunji, University of Tokio(2013)]

- Space charge effects can severely bias the results on IBF !
- Fortunately our measurements were performed in the plateau region
- Increase of IBF at low  $\rho$  values comes from systematic effects of the pA-meters

## Conclusions on IBF:

- Preliminary measurements show that all tested gases do not match the requirements
- For the best case Ne/CO<sub>2</sub>/N<sub>2</sub> so far still a factor 6 to high (if  $\varepsilon = 10$ )
- $\rho = I/u_{\text{ion}}$  with  $I \sim \varepsilon * n_{\text{prim}}$
- $\rho(\text{Ne/CO}_2) : \rho(\text{Ne/CO}_2/\text{N}_2) : \rho(\text{Ar/CO}_2) = 1,65 : 1,57 : 3,75$
- Most promising gas candidate so far Ne/CO<sub>2</sub>/N<sub>2</sub> (no amplification in  $E_{T1}$ )
- IBF can be biased for high rate resp. high charge densities
- **Had to skip more simulations on IBF as well as measurements for COBRAs**

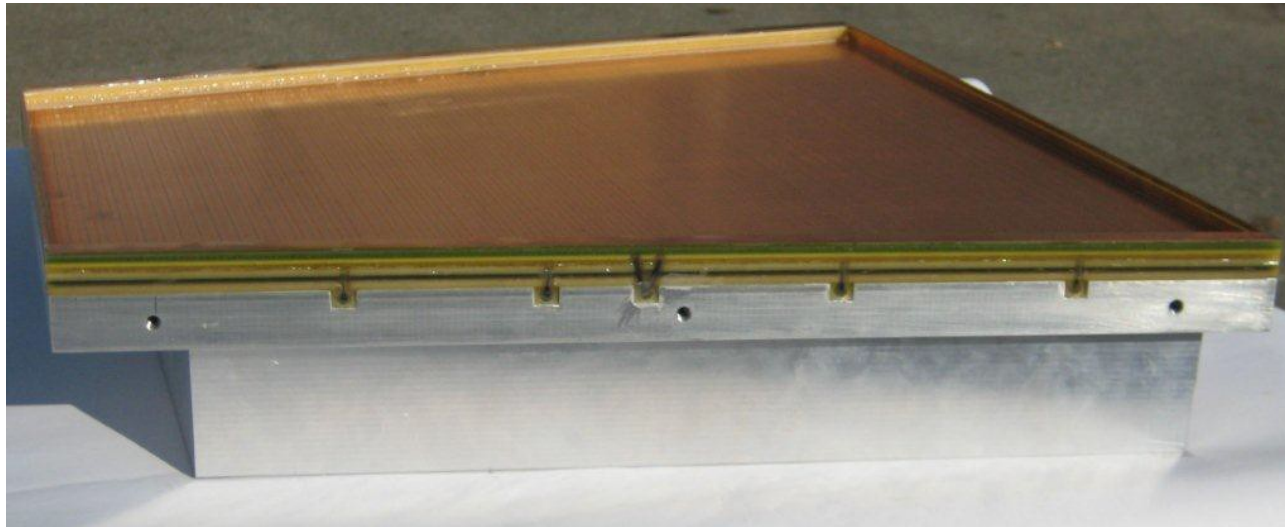
## Outlook on IBF

- Scan of gases almost complete (Ne/CF<sub>4</sub> still missing)
- Test GEMs with different aspect ratio (smaller resp. conical holes)
- Investigate fourth GEM setup

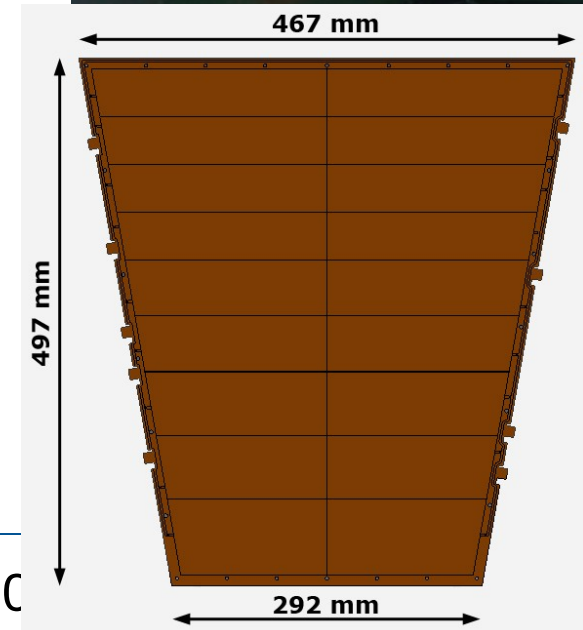
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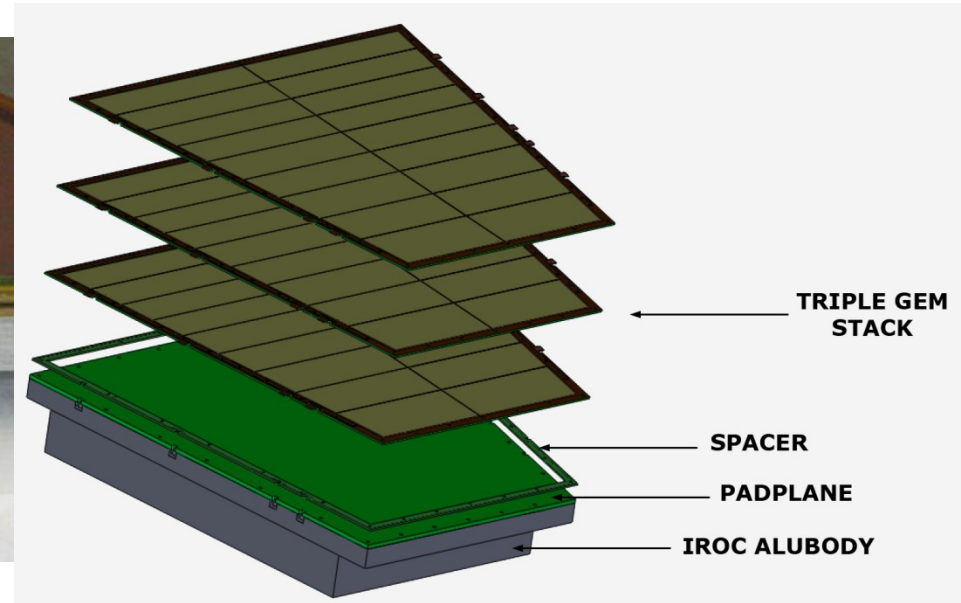
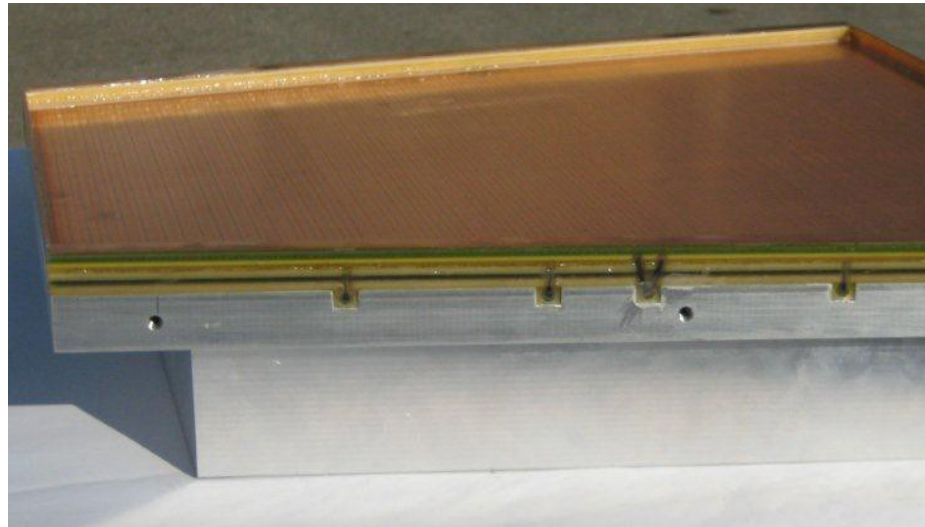
# ALICE IROC Prototype



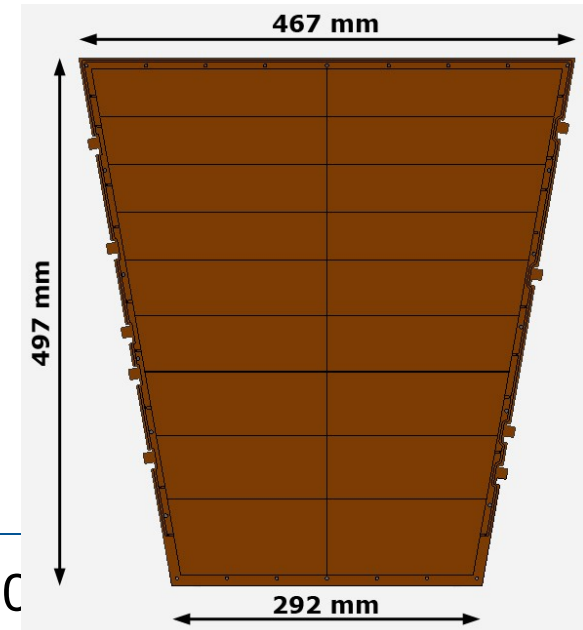
- 3 large-size GEM foils: single-mask
- 18 sectors (top side),  $\sim 100 \text{ cm}^2$  each
- bias resistors 10 resp. 1MO
- 2mm frames glued on bottom side
- spacer grid:  $400 \mu\text{m}$  thickness
- additional frame for induction gap: 4mm



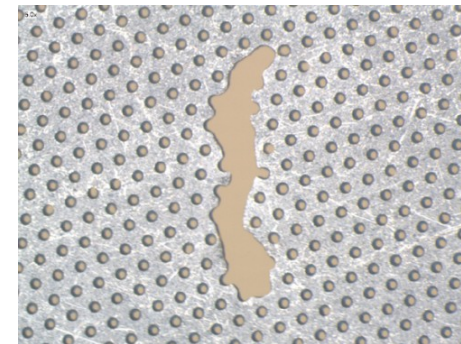
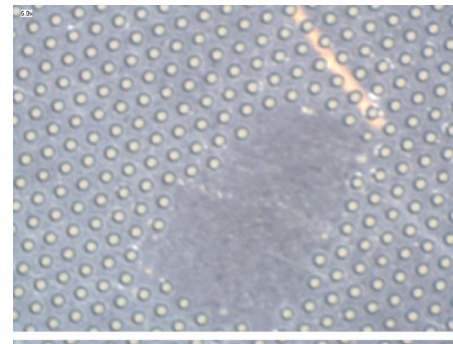
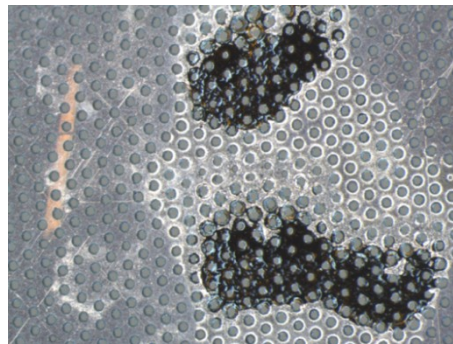
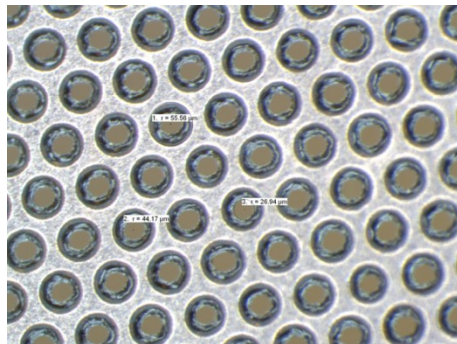
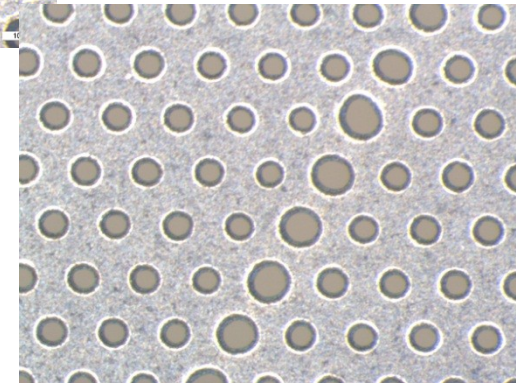
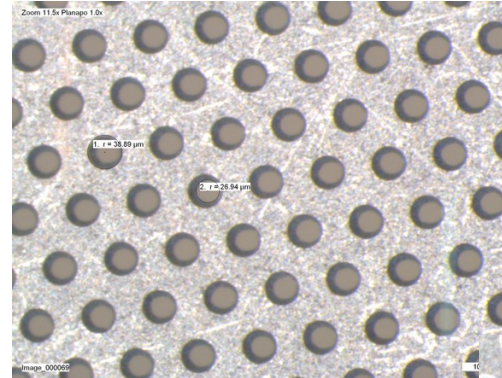
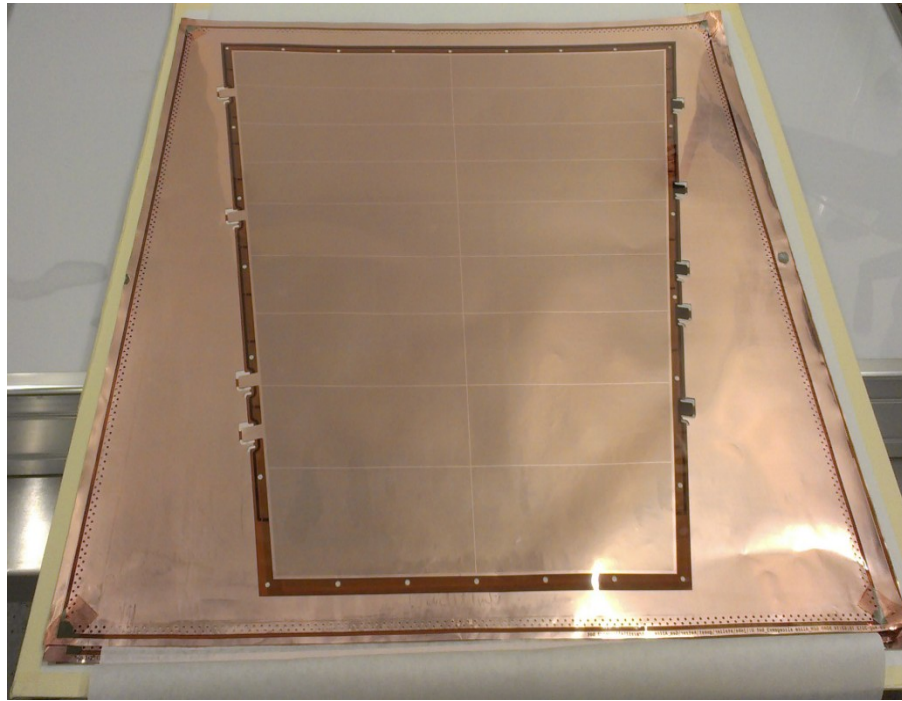
# ALICE IROC Prototype



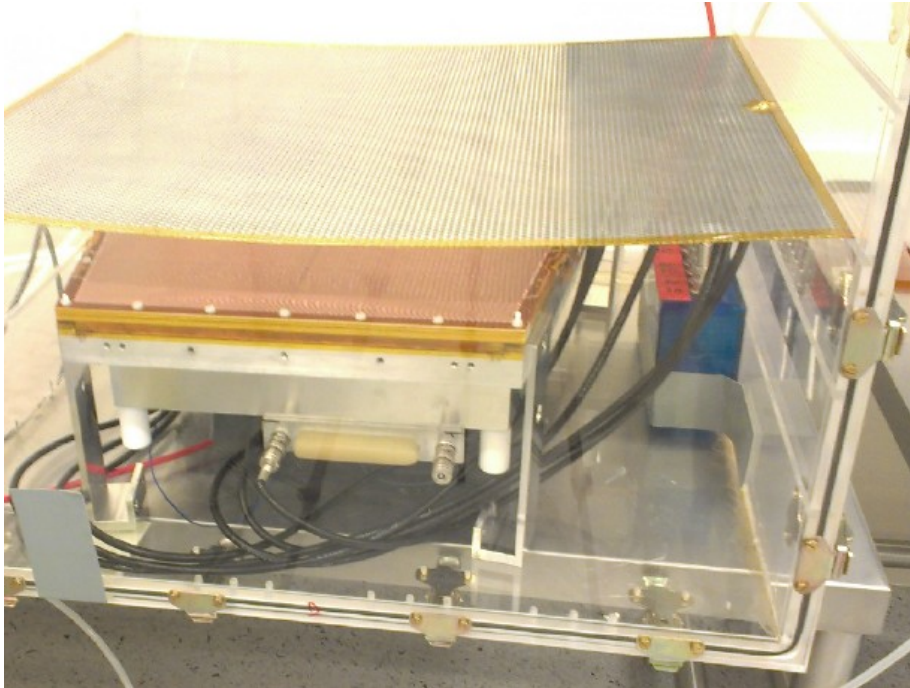
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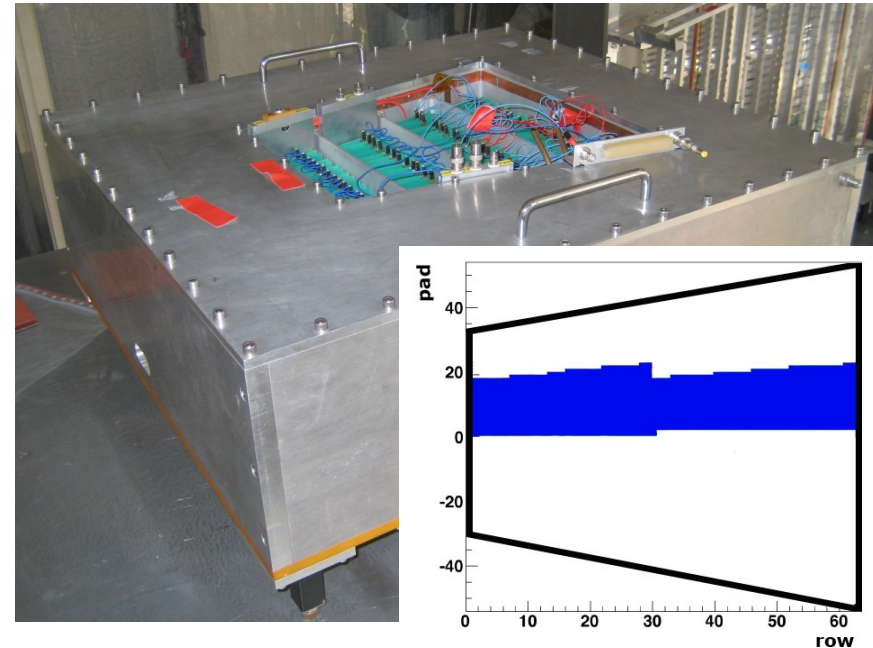
# Single-mask GEM Foils



# ALICE IROC with GEMs



Test in flush box with “cathode”

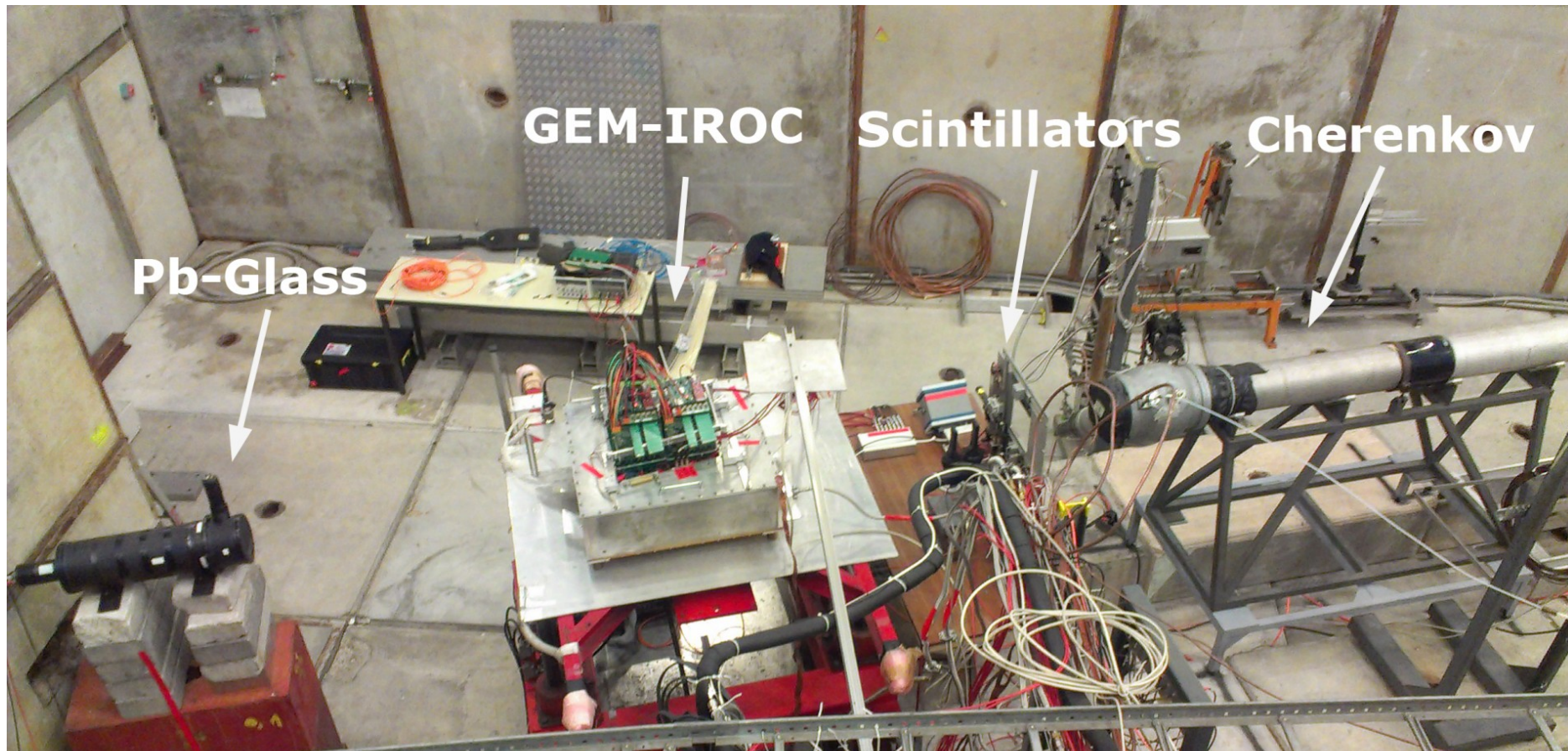


IROC mounted inside field cage

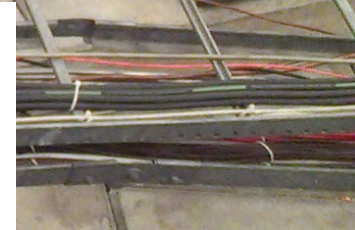
- Drift length 11.5 cm, 400 V/cm
- Gas Ne/CO<sub>2</sub> (90/10)
- 64 rows with pads
- **FEE: PCA16 / ALTRO (LCTPC)**
- ENC: 500 – 600 e<sup>-</sup>

- Motivation for the GEM upgrade
- Ion Backflow Suppression with GEMs
- Construction of a GEM IROC prototype
- **Performance Measurements**
- Conclusions and Outlook

# IROC Beam Test at CERN PS

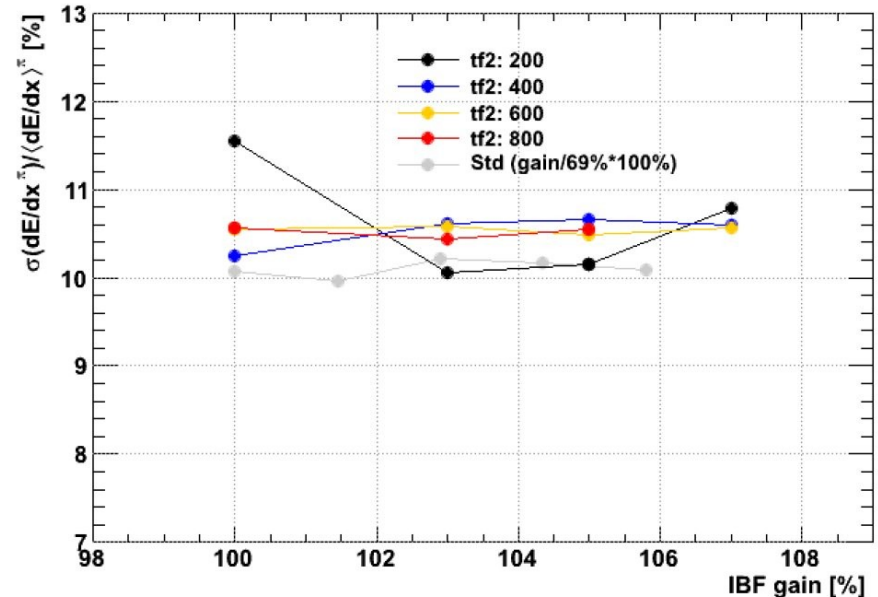
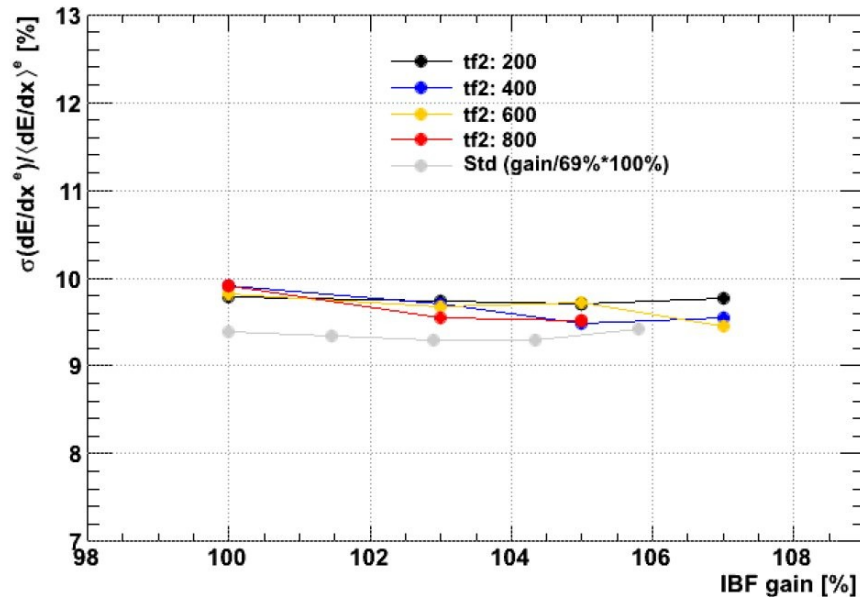


- $\rho$ ,  $\pi^\pm$ ,  $e^\pm$  beam, 1/2/3/6 GeV/c
- 2000 particles / 0.5s
- Cherenkov and Pb glass detectors for external reference PID
- Goal: measure separation power for different GEM settings



# dE/dx Results

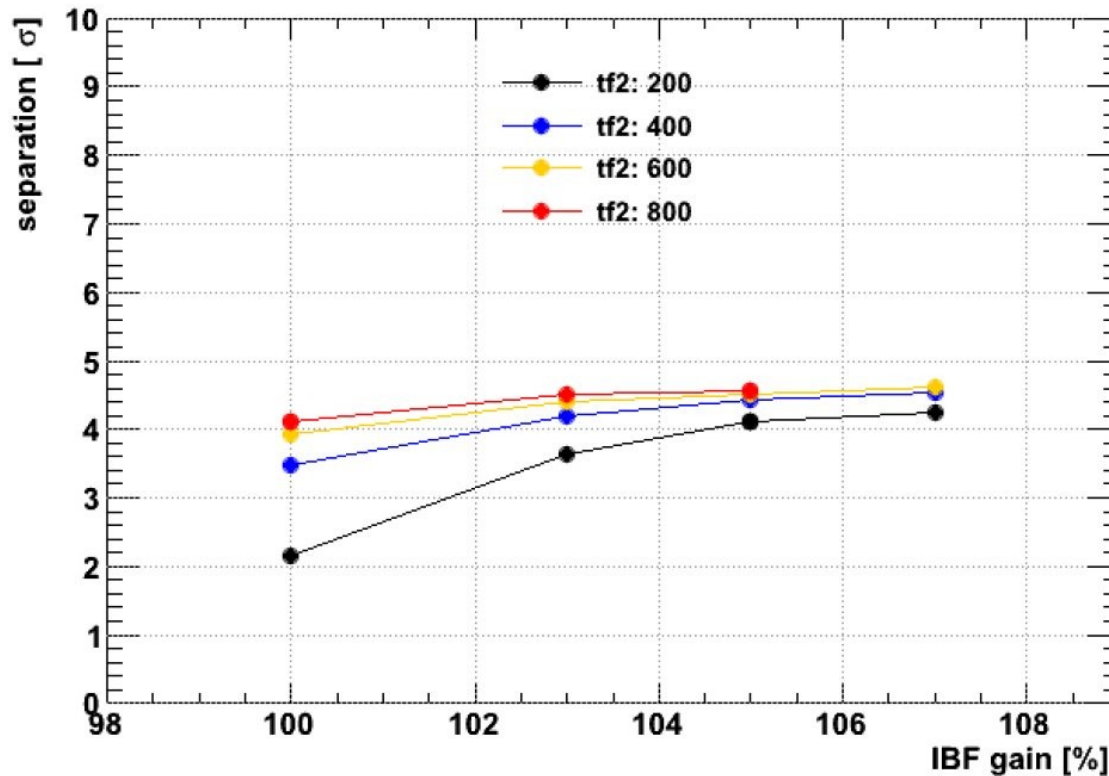
dE/dx resolution of 1 GeV electrons and pions  
for different IBF settings and as a function of gain



dE/dx resolution for  $e^- \sim 9.5\%$  for  $\pi^- \sim 10\%$

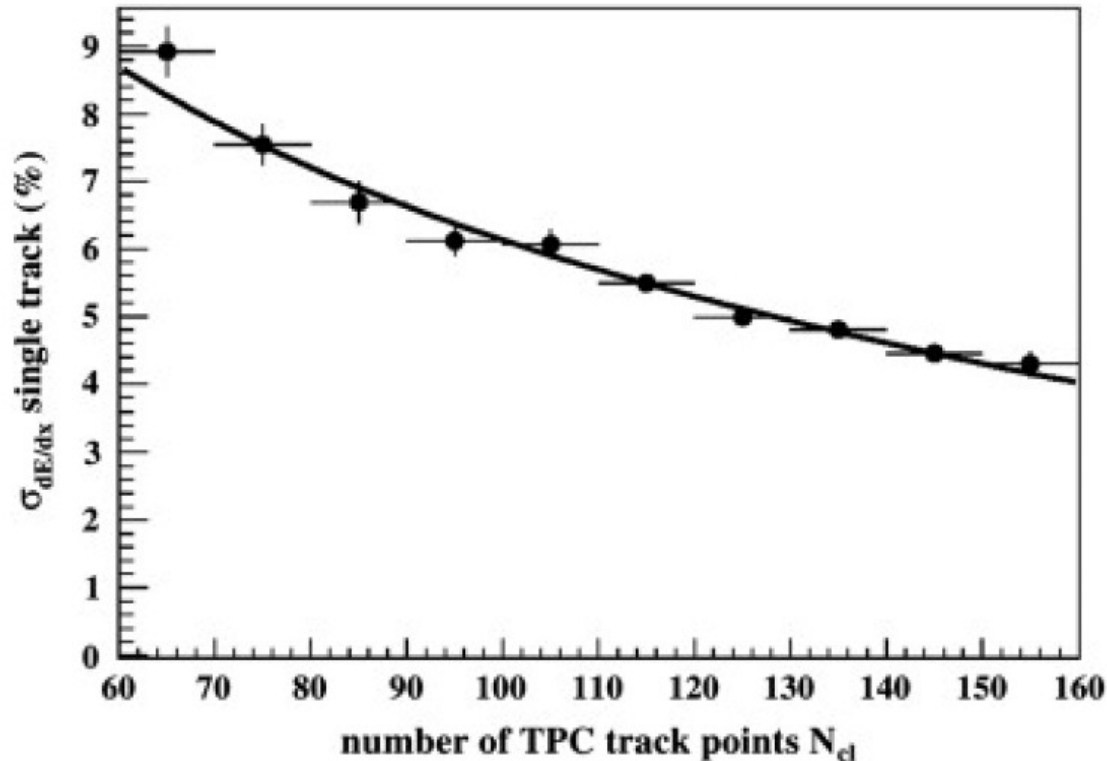
# dE/dx Results

dE/dx separation of 1 GeV electrons and pions  
for different IBF settings and as a function of gain





# dE/dx Results



W. Yu, Nuclear Instruments & Methods In Physics Research A (2012), <http://dx.doi.org/10.1016/j.nima.2012.05.022>

dE/dx-resolution achieved with the GEM IROC with 64 rows looks promising to satisfy the performance for the full TPC

## Conclusions on GEM IROC:

- Plan to upgrade TPC with GEM approved by LHCC
- GEM IROC prototype equipped with GEMs at TUM
- Successful beam test at CERN PS
- **Stability test in ALICE cavern during p+Pb run in January 2013**

## Outlook on GEM IROC

- New GEM IROCs prepared for additional tests on stability
- Take into account the lessons learned at first beamtimes  
(Improve GEM production, HV powering scheme, mounting structure, etc ...)
- How to equip an OROC (splicing of GEMs)
- **Many things more, e.g. electronics for continuous readout, etc. ...**

Thank you for your attention!



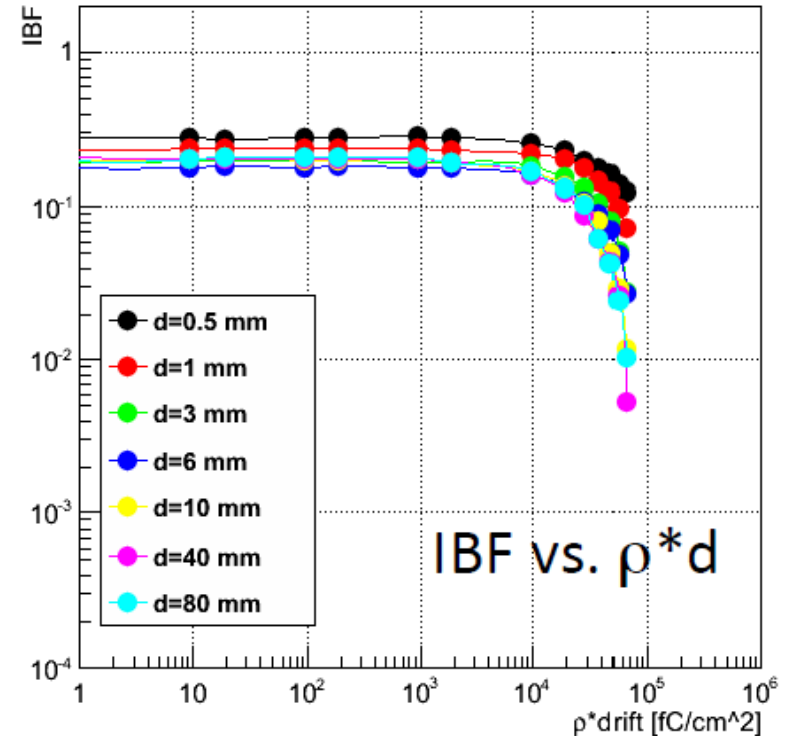
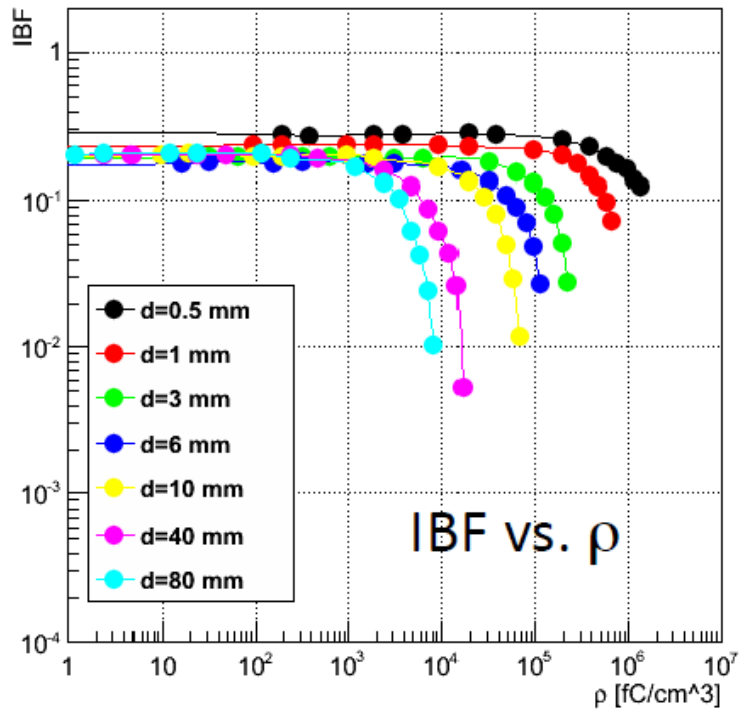
# Backup Slides

# IBF performance (Space Charge)

IBF - Simulation for a single GEM  
as a function of charge density  $\rho$

IBF - Simulation for a single GEM  
as a function of charge density  $\rho*d$

Ar/CO<sub>2</sub> (90/10)  $E_{\text{drift}} = 0.4$  kV/cm



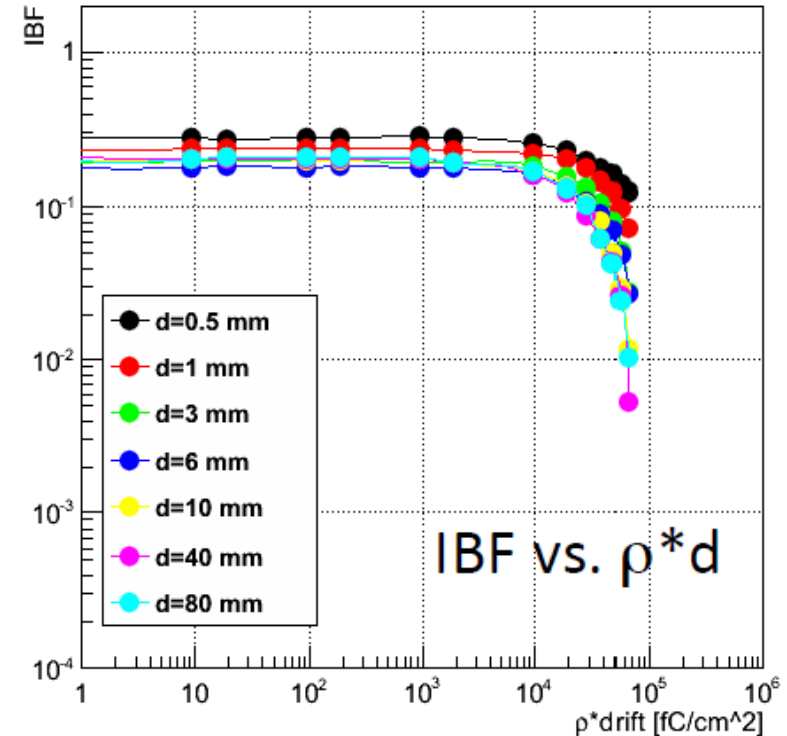
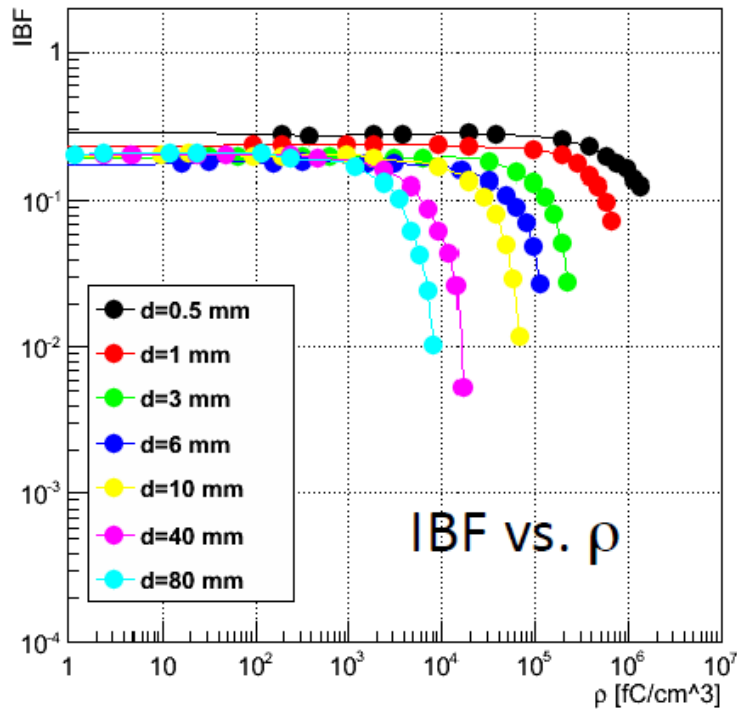
[T. Gunji, University of Tokio(2013)]

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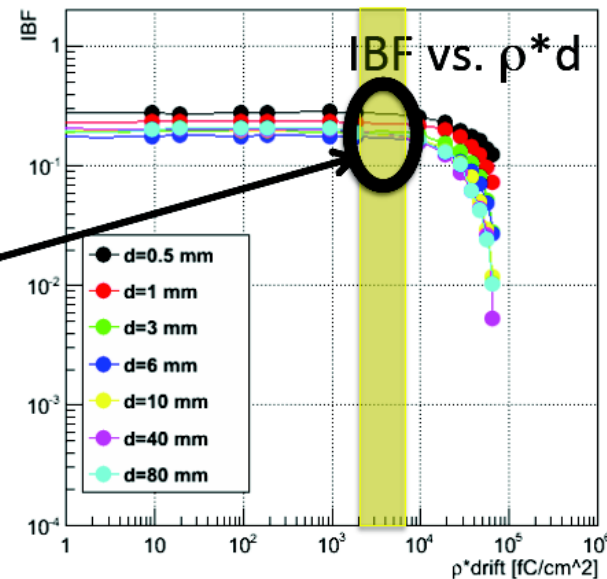
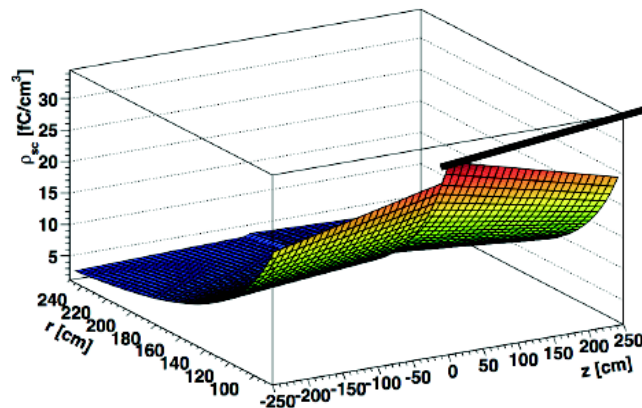
Ar/CO<sub>2</sub> (90/10)  $E_{\text{drift}} = 0.4 \text{ kV/cm}$



[T. Gunji, University of Tokio(2013)]

# Space-charge effects at 50kHz Pb-Pb

- Ion density in the drift
  - $\rho_{\text{ion}} < 20 \text{ fC/cm}^2$  in drift space (in Ne/CO<sub>2</sub>,  $\epsilon=5$ )
  - $\rho_{\text{ion}} * d < 5 \times 10^3 \text{ [fC/cm}^2\text{]} < (\rho * d)_{\text{onset}} \sim 10^4 \text{ fC/cm}^2$
- Ion density in Tr2 (low  $E_{t2}$ , 2-3mm)
  - $I_{\text{GEM2-GEM3}} \sim 0.46 \text{ nA/cm}^2$
  - $\rho_{\text{ion}} * d < 10^3 \text{ [fC/cm}^2\text{]}$
- No space charge is expected.

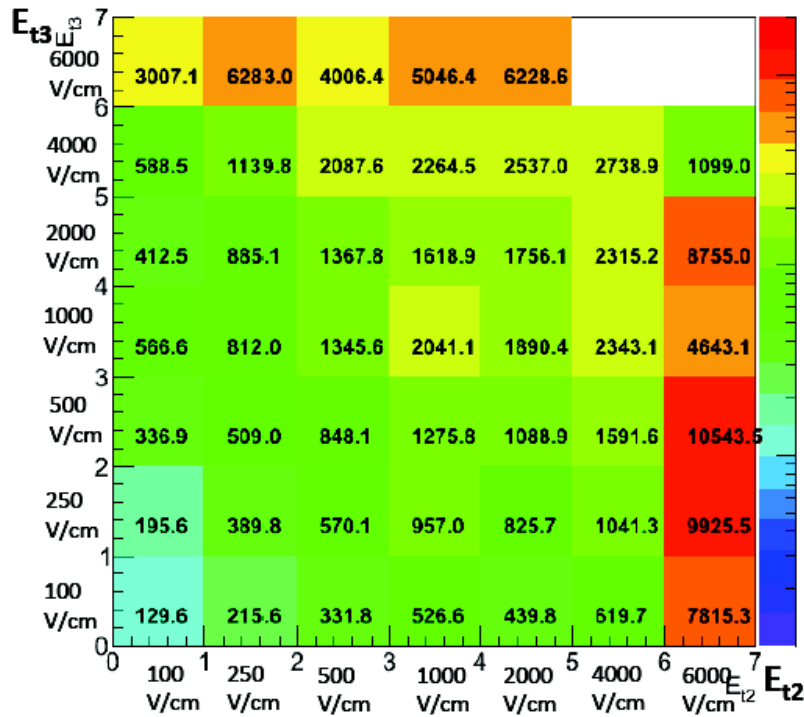


[T. Gunji, University of Tokio(2013)]

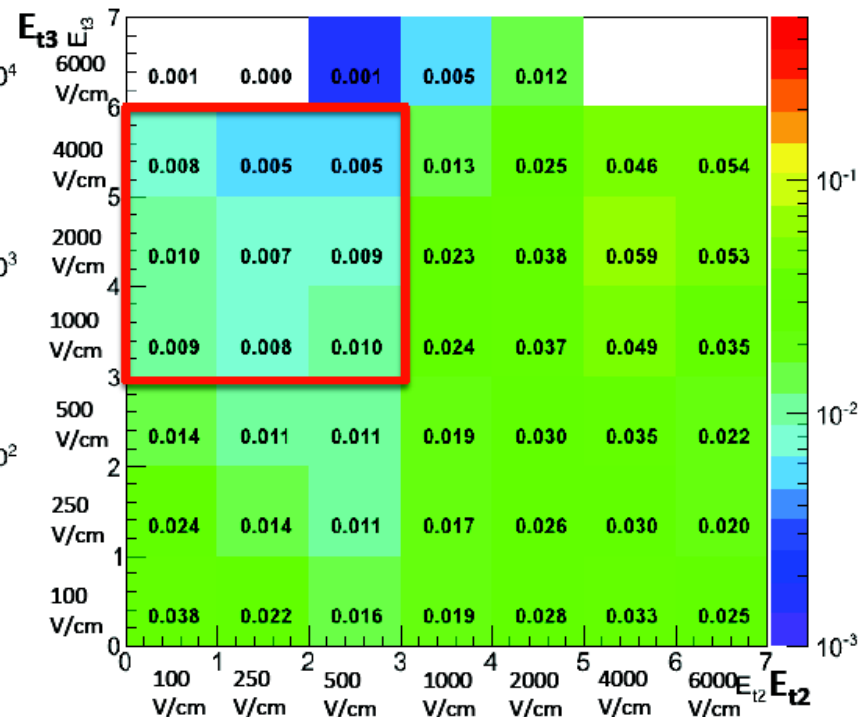
# IBF with a 4th GEM

[T. Gunji, University of Tokio(2013)]

Eff. gain in Ne(90)/CO2(10)



ibf in Ne(90)/CO2(10)

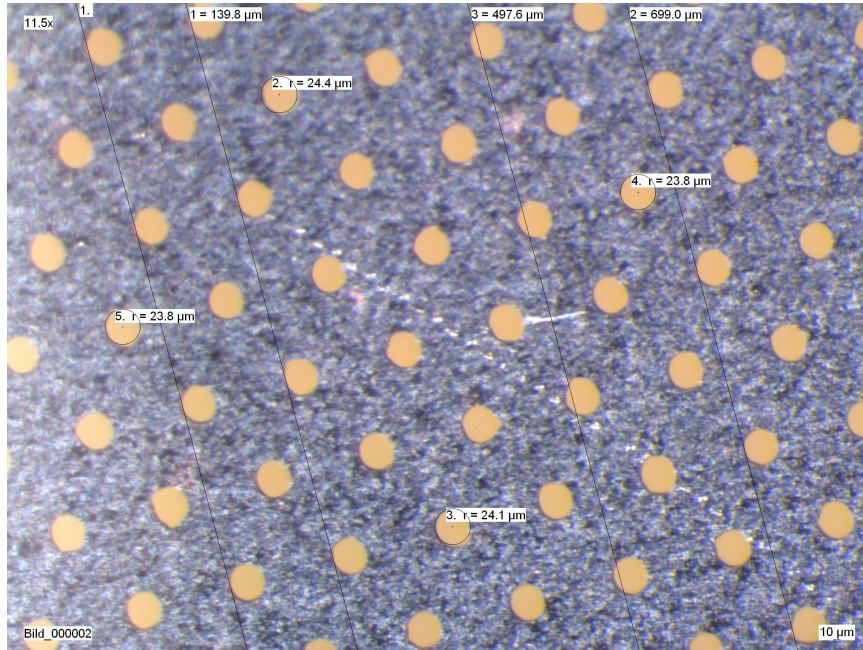


- Scan of the fields ( $E_{T2}, E_{T3}$ ) with 4 GEMs ( $E_{T1} = 4$  kV/cm)
- GEM2 is misaligned w.r.t. To GEM1
- 0.5 % IBF with 4 GEMs

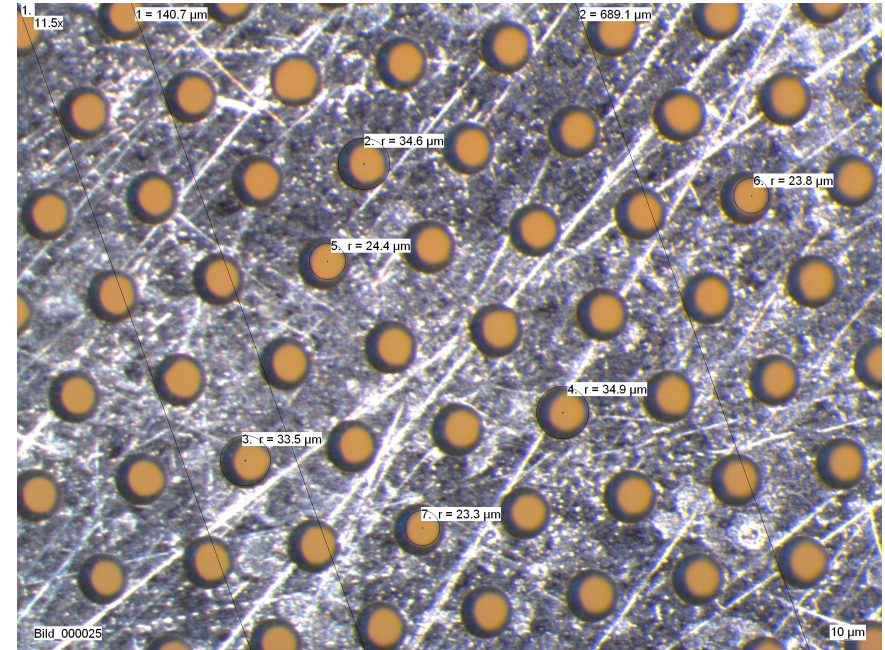


# Conical GEMs

Top Side

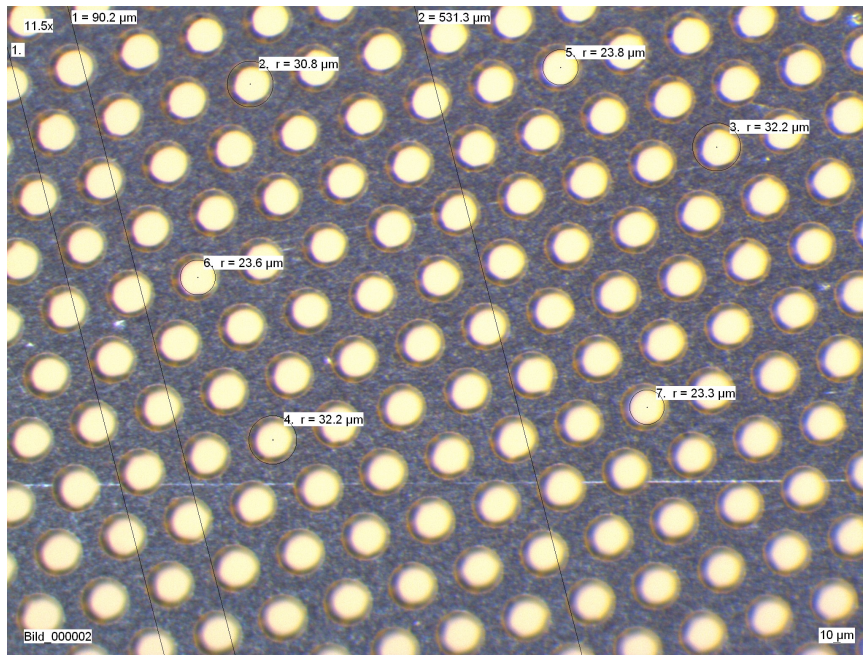


Bottom Side

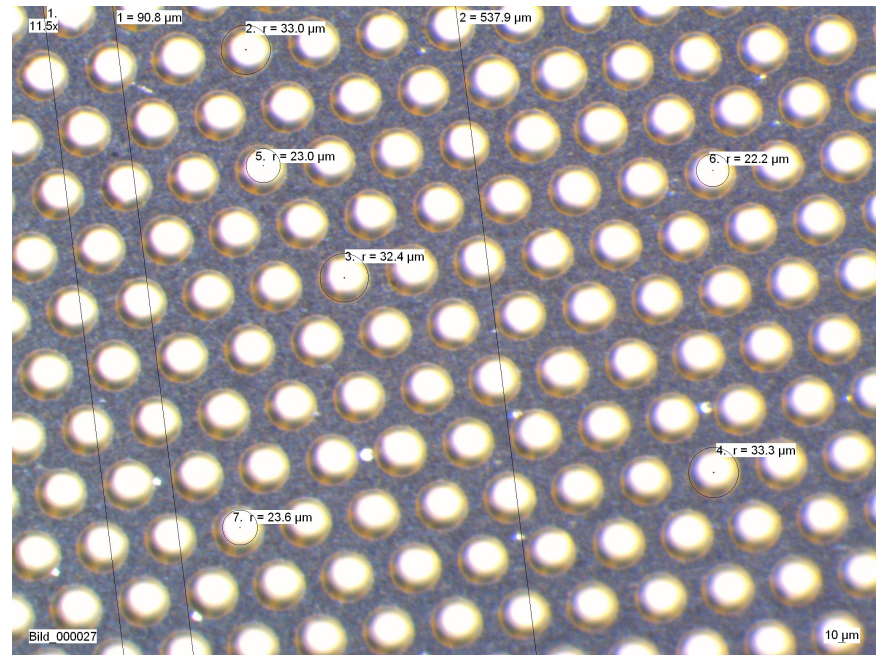


# GEMs with different optical transparency

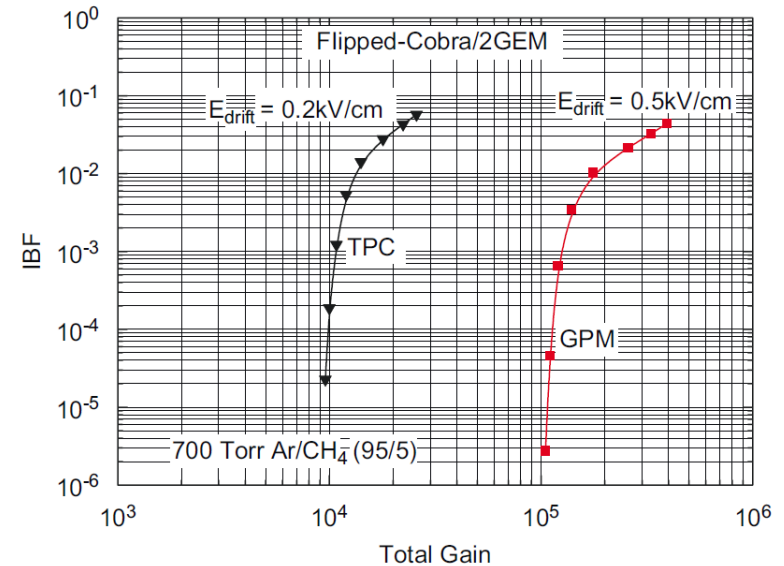
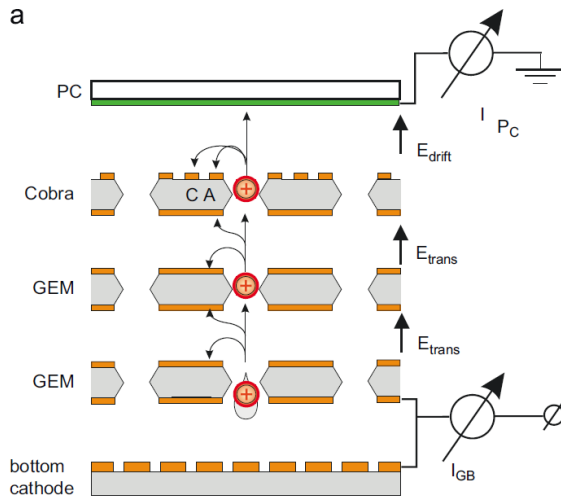
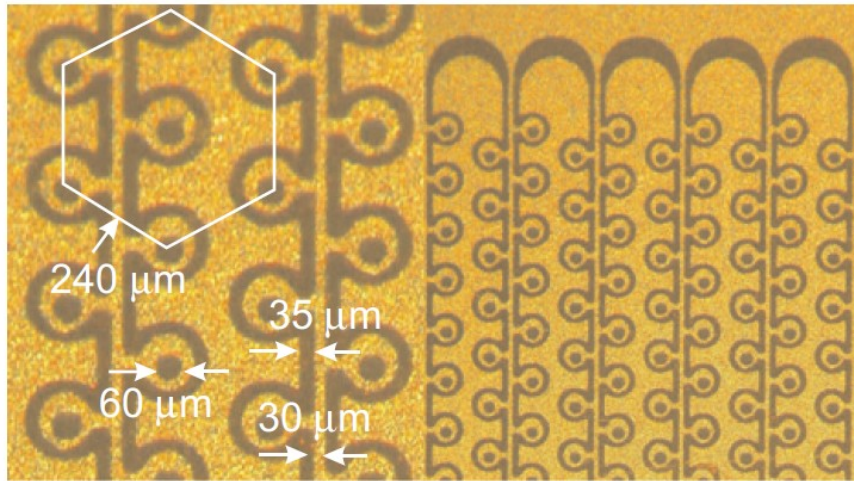
Top Side



Bottom Side



# Outlook on further ideas for IB suppression



[A. Lyashenko et al., NIM A 598 (2009) 116–120]

$$IB = 2.7 \cdot 10^{-5} !!!$$

problem of IB completely eliminated !  
to be studied: e- transparency !!