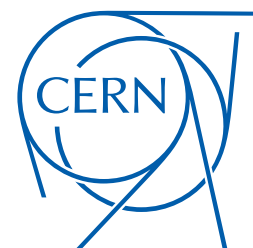
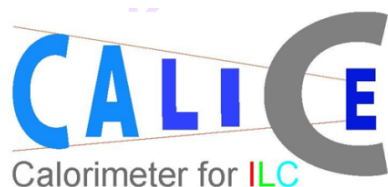


# Particle identification with Cherenkov counters in 2011 W-AHCAL SPS runs

**CALICE collaboration meeting  
Annecy-le-Vieux**

September 9<sup>th</sup> 2013

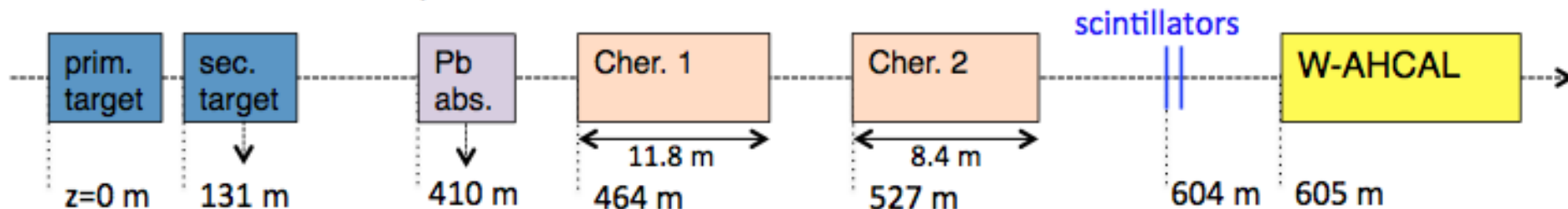
Dominik Dannheim (CERN)



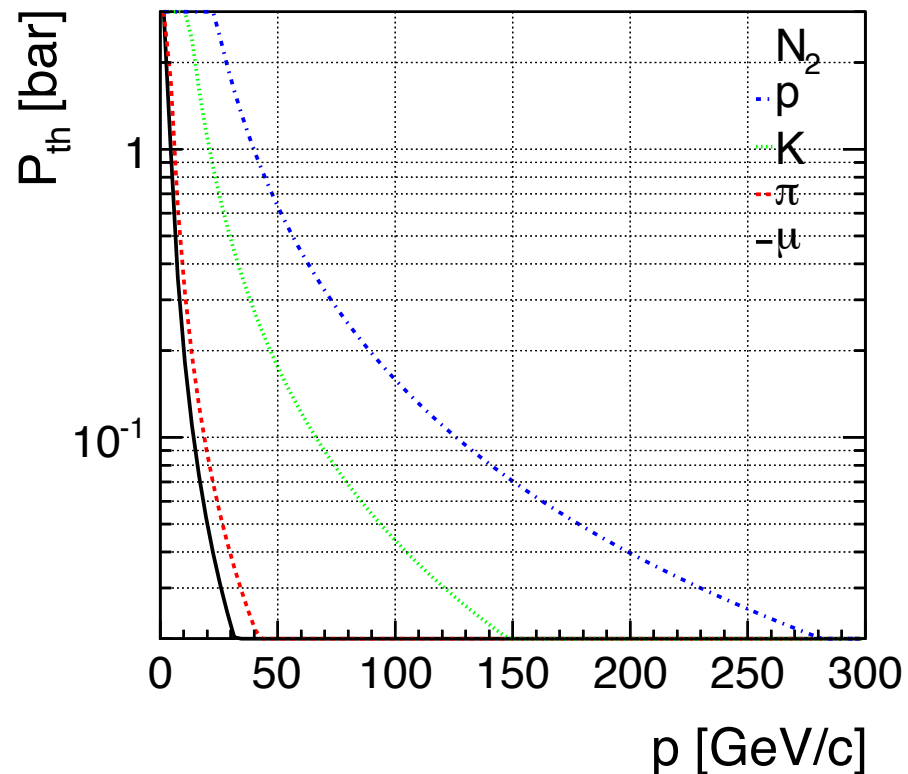
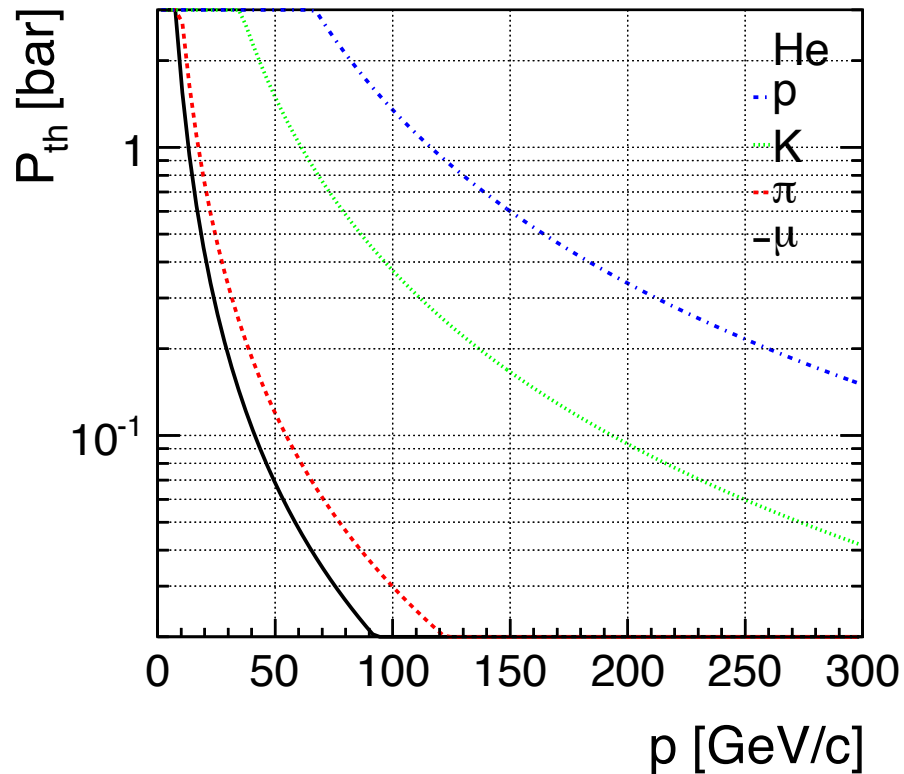
# Particle Identification in SPS-H8

- Two **Cherenkov threshold counters** upstream W-AHCAL
- **Helium** (Cherenkov 1 / 2) or **Nitrogen** (Cherenkov 2 for some runs),  
**adjustable pressure**:  $0.02 < P < 3$  bar
- PMT + Discriminator system (beyond our control)
  - get only ON/OFF signal in DAQ
  - only used **offline** (hundreds of ns delay, too slow for trigger)
- **Threshold pressure** for Cherenkov light emission depends on gas type (refractive index), mass and momentum of particles
  - used to **discriminate between pions, kaons, protons**
- **Turn-on behavior** for detection efficiency after threshold is passed

SPS H8 beam line in 2011, not to scale

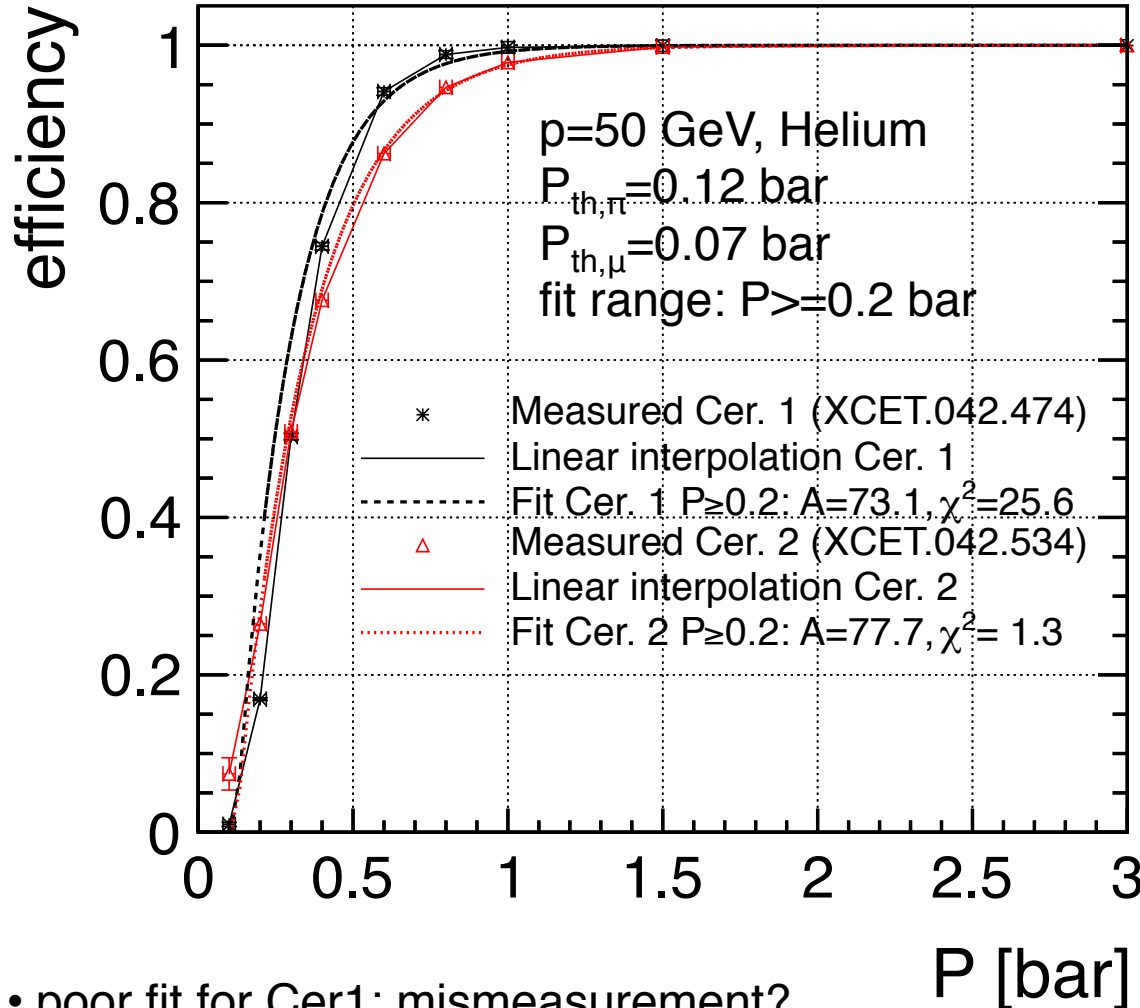


# Threshold pressures



- Helium for higher energies
- Nitrogen gives better  $K/\pi/p$  separation for lower energies

# Cherenkov efficiency turn-on (I)



- poor fit for Cer1: mismeasurement?
- reasonable fit for Cer2, except first point
- consider also simple linear interpolation

- Tag-and-probe method:
  - Cer1 and Cer2 at same P
  - $eff1=N(1\&2)/N(2)$
  - $eff2=N(1\&2)/N(1)$

- Poisson distribution for probability to detect one or more photo-electrons

$$eff=1-\exp(-N_{p.e.})$$

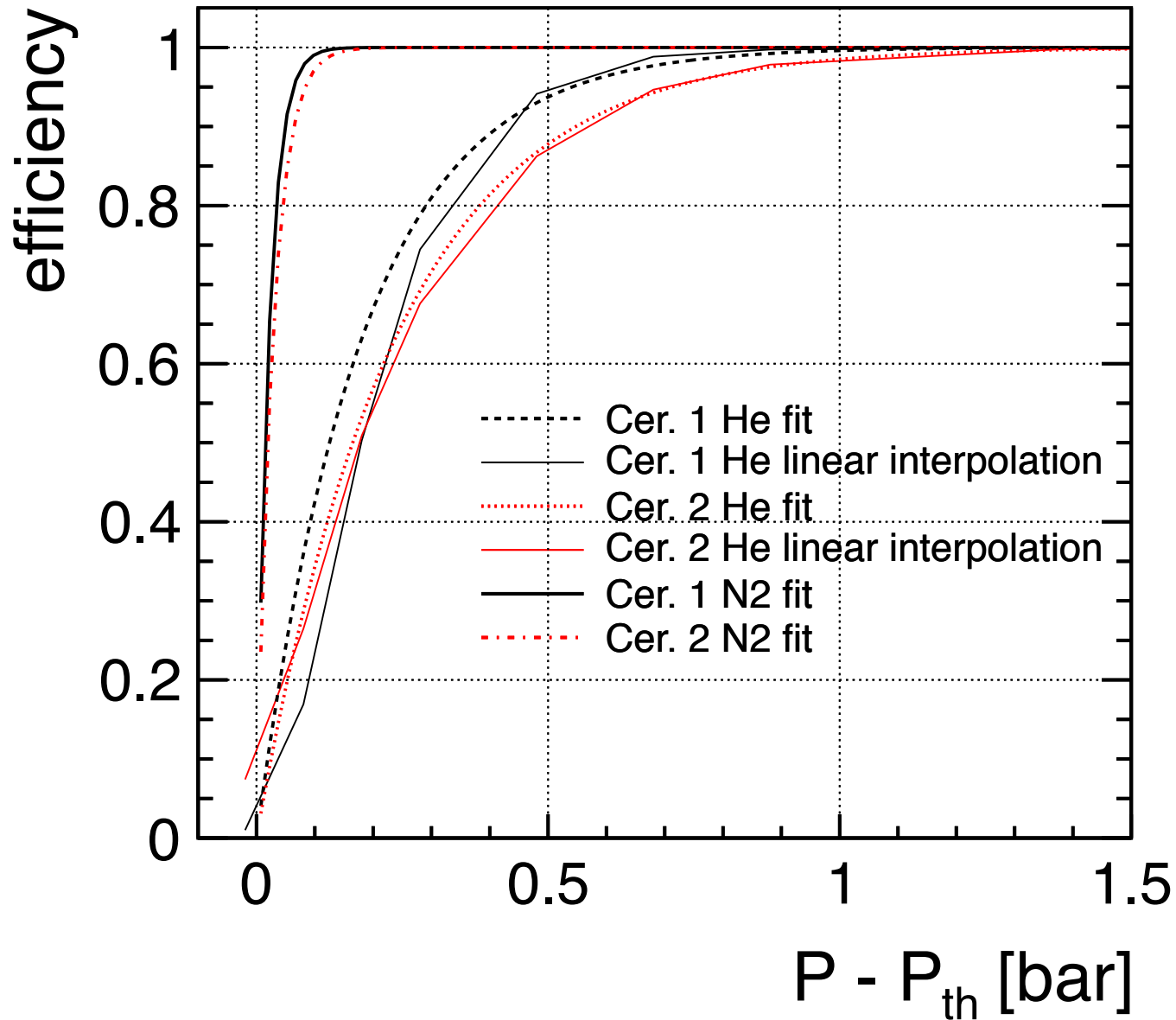
$$N_{p.e.} = A L^2 (n-1) (P - P_{th})$$

A: quality factor (efficiency of optical system, photon collection efficiency, quantum eff. of photocathode, discr. threshold, ...)  $\rightarrow$  fit parameter

L: length of counter

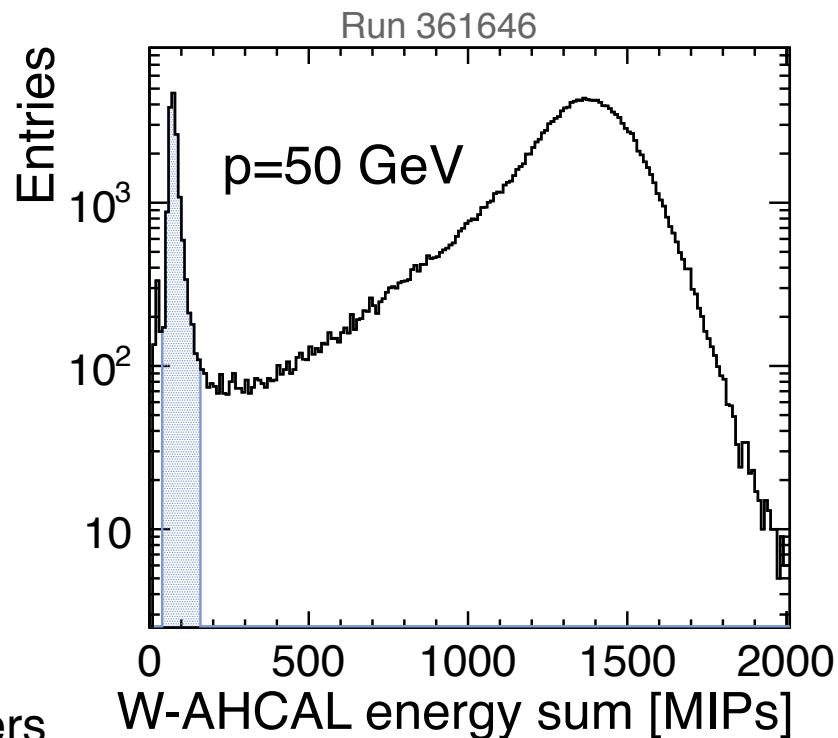
n: refractive index

# Cherenkov efficiency turn-on (II)

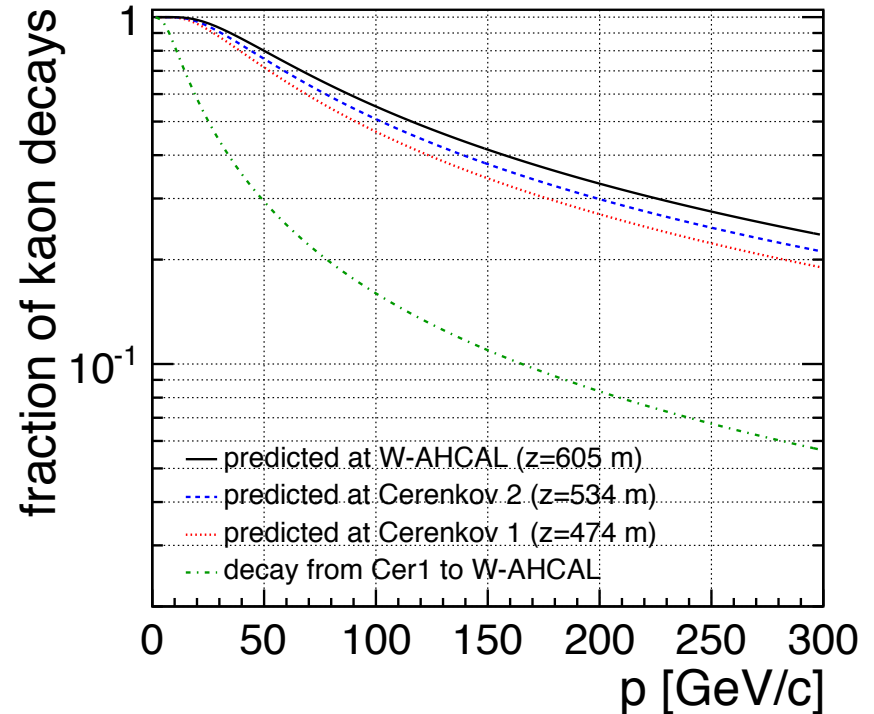
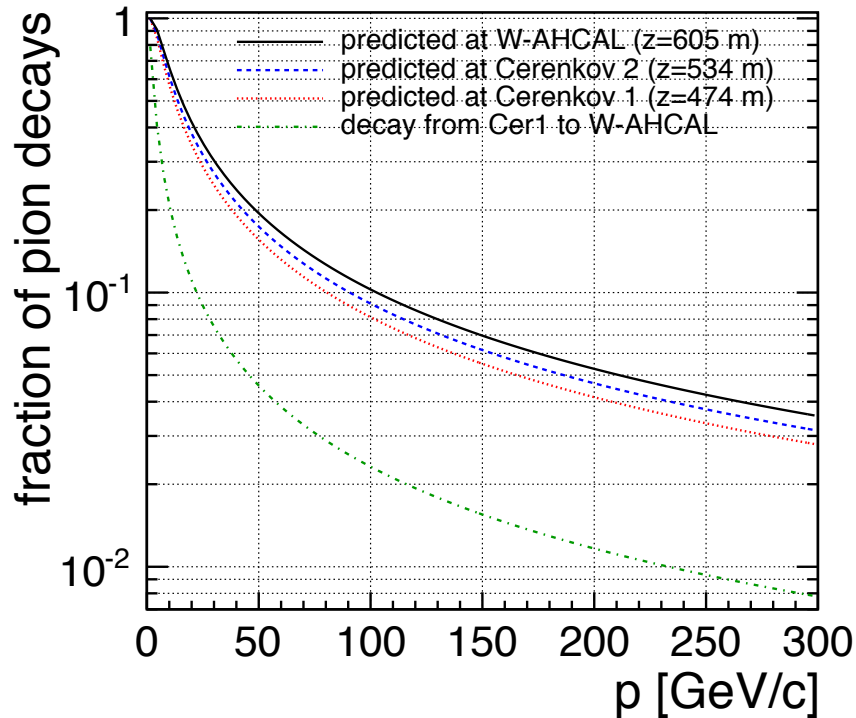


# Particle content in H8 beamline

- Mixture of electrons, muon, pions, kaons, protons
- electrons:
  - in e-runs: no absorber in beam line, assume  $\sim 100\%$  pure electron beam  
→ not considered here
  - in hadron runs: e efficiently removed by 18 mm lead absorber, some remaining e contamination in early runs with 8 mm lead absorber, suppressed based on shower-shapes  
→ not considered here
- muons:
  - from in-flight pion + kaon decay, collimators, ...
  - present in all runs → see following slides
  - efficiently identified by cut on energy sum in calorimeter:  $45 \text{ MIPs} < \Sigma E_{\mu} < 150 \text{ MIPs}$
- pions, kaons, protons:
  - similar response in calorimeter, consider all events with  $\Sigma E > 150 \text{ MIPs}$   
→ discrimination based on Cherenkov counters

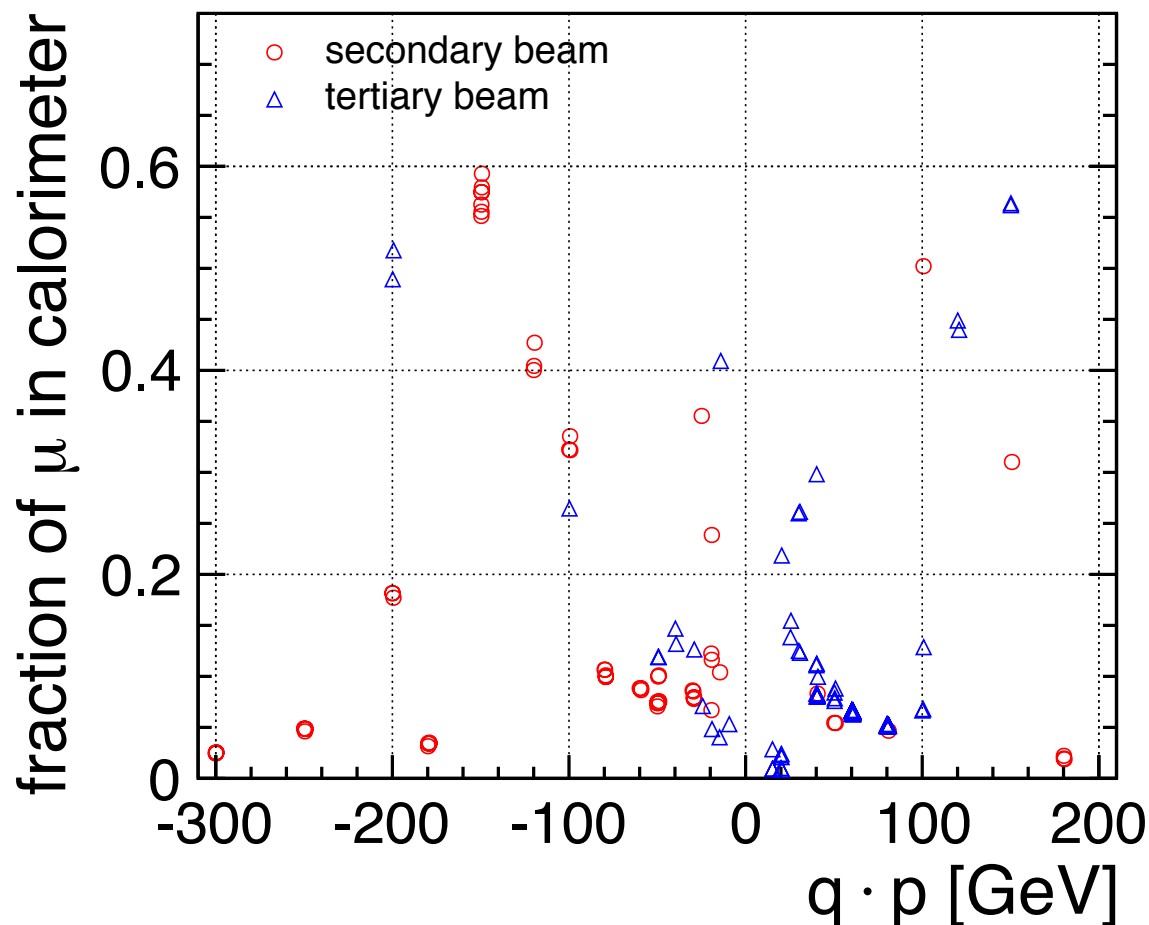


# Predicted pion + kaon decays



- for lower energies: large fraction of pions and kaons decay before reaching calorimeter
- complication for particle ID if decay happens between Cherenkov counters and calorimeter  
→ expected to affect only small fraction of events

# Observed muon content in data



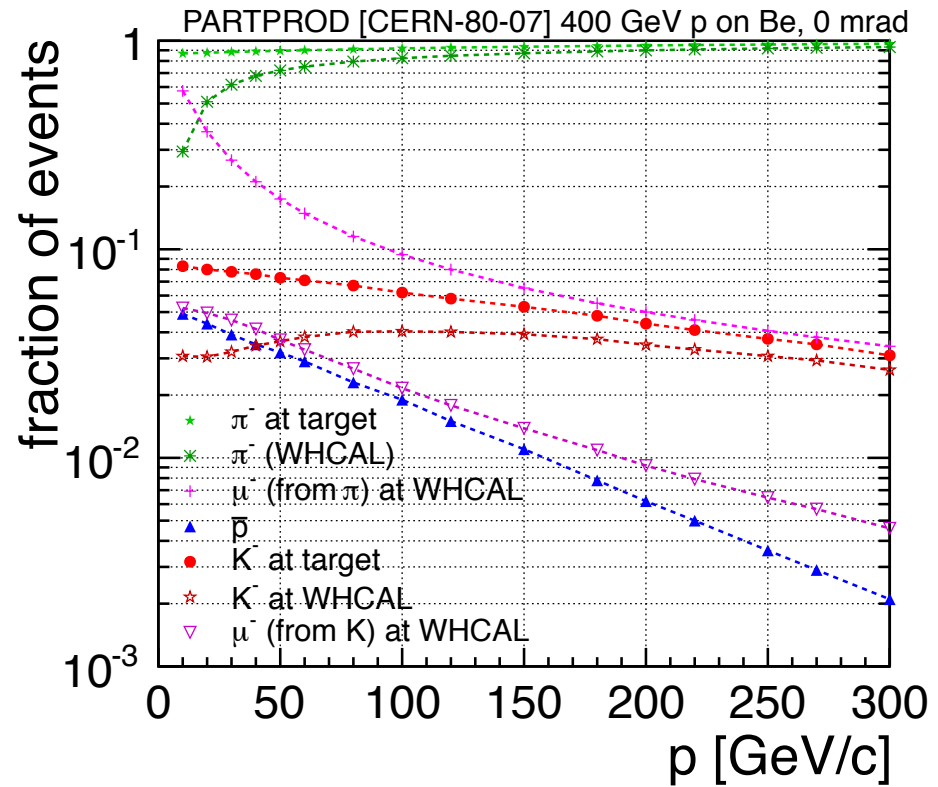
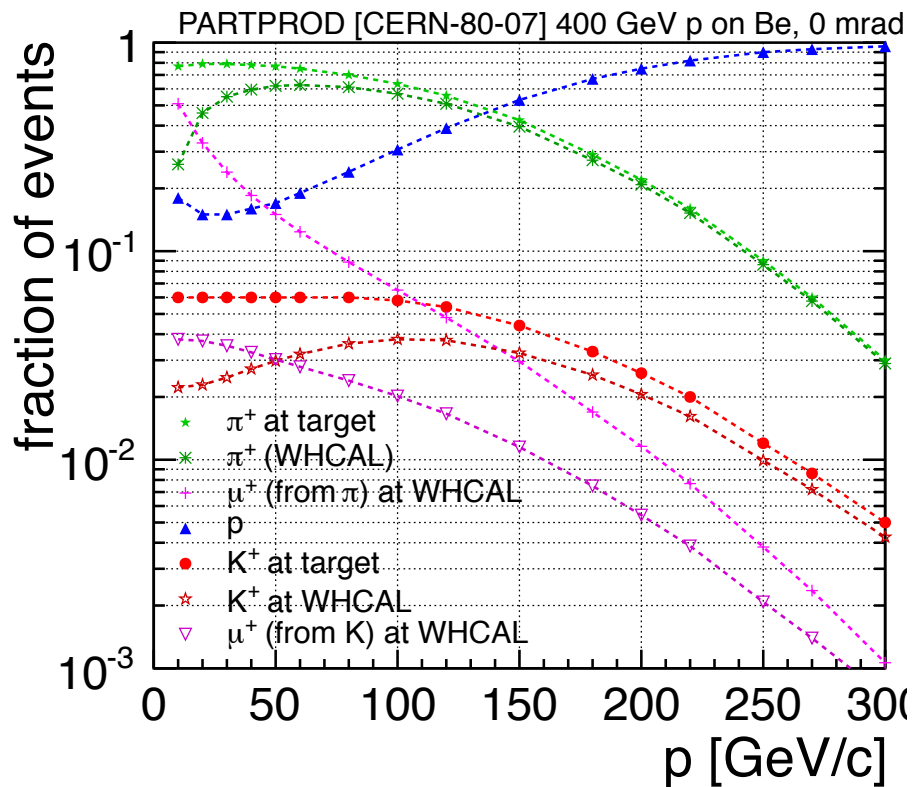
fraction of events  
in muon peak,  
no Cherenkov  
selection

- we observe large fluctuations in muon content for all energies
- no clear correlation with beam conditions (secondary/tertiary beam)
- muons can easily be removed based on energy sum in calorimeter



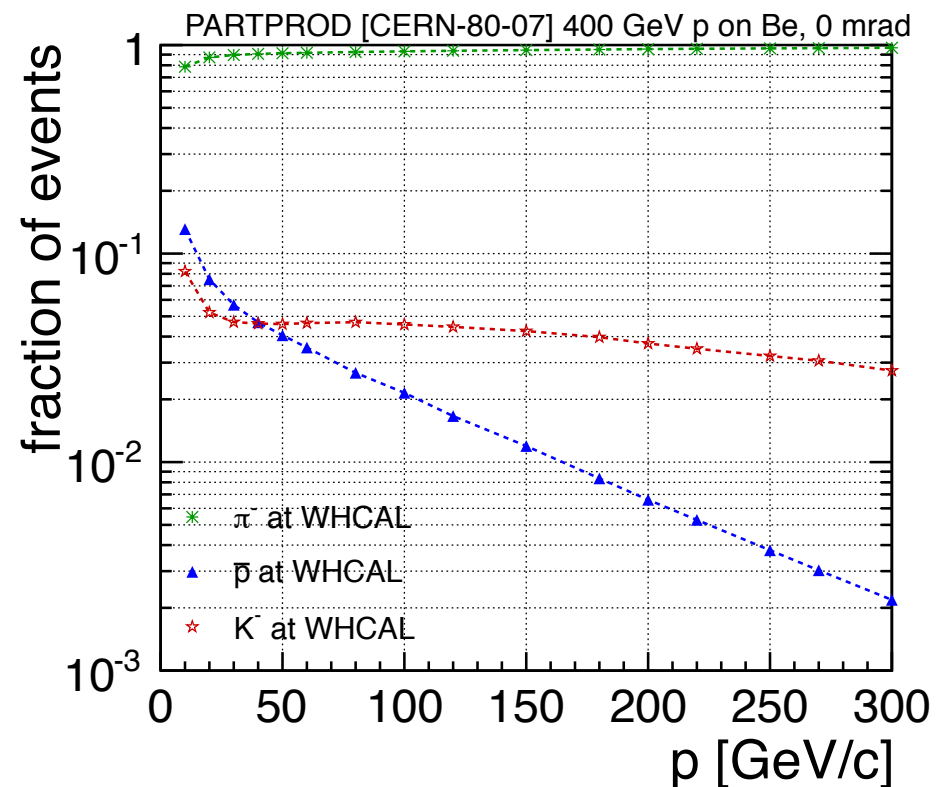
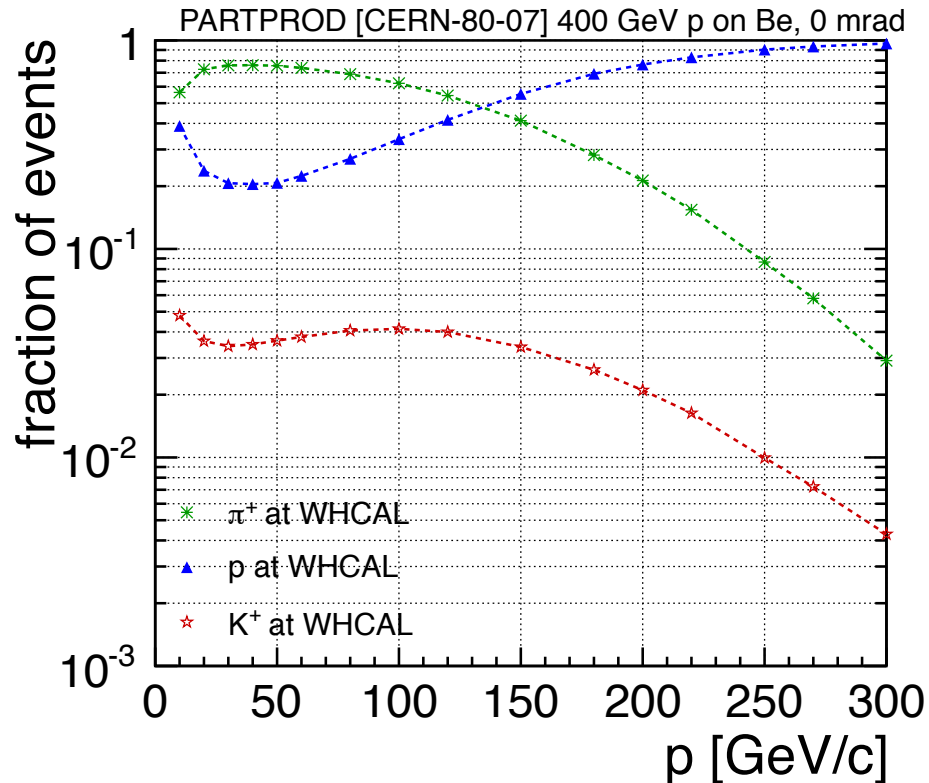
# Predicted particle content

- expected particle content of secondary beam obtained from PARTPROD particle production calculator (L. Gatignou), based on CERN-80-07 (calculation, not simulation!): 400 GeV protons on beryllium target, particles at 0 mrad
- should be in rough agreement for secondary beam runs
- tertiary beam runs may have very different particle composition



# Predicted particle content excl. muons

- to be able to compare with data samples:
  - remove expected muons from in-flight pion/kaon decays  
→ expected fractional particle content at calorimeter surface  
(Kaon decays to pions not considered here)



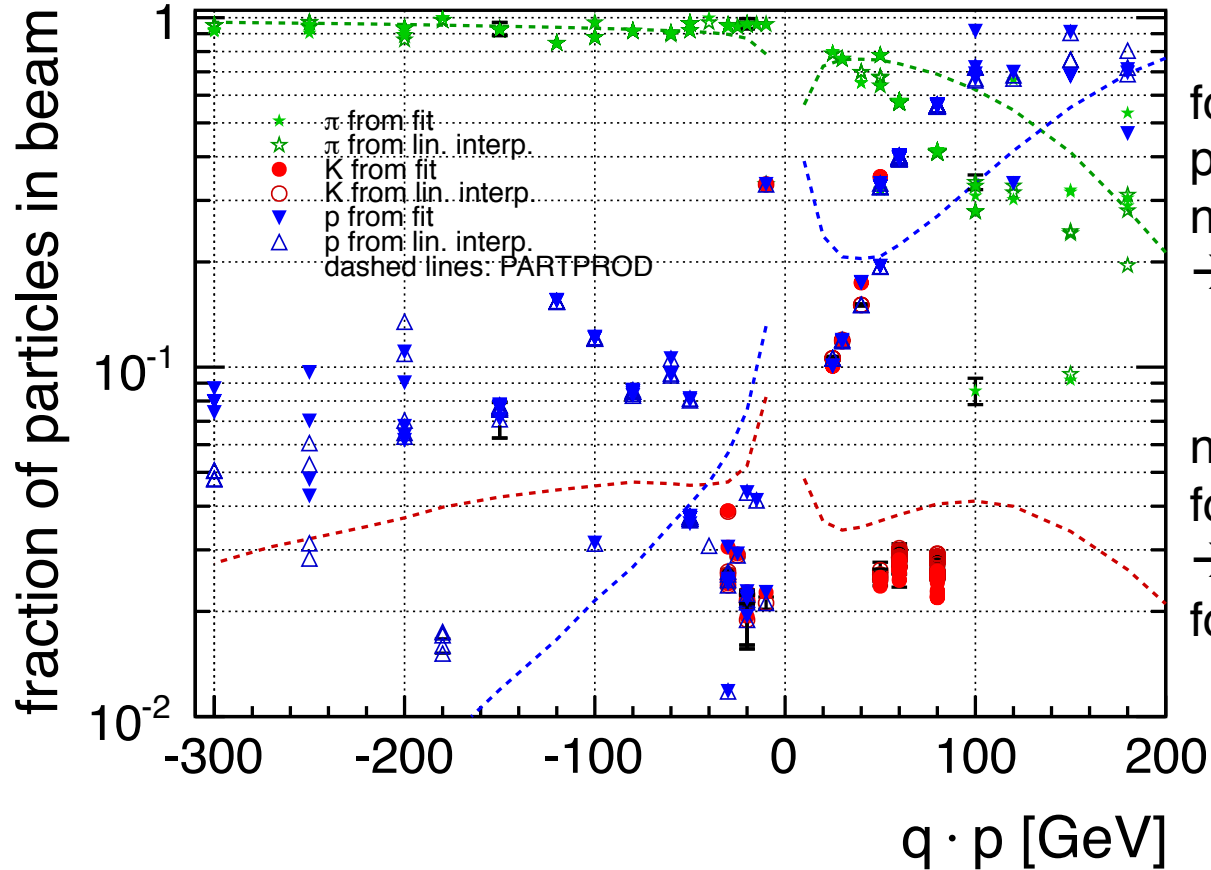
# Particle identification in 2011 W-AHCAL runs

- 159 hadron runs with mixed beams,  $-300 \text{ GeV} < p < 200 \text{ GeV}$
- aim during data taking was: select Cherenkov-counter gas and operation pressures such that clean samples (purity  $> \sim 80\%$ ) of pions, protons and kaons can be obtained
- however: Cherenkov pressures not always the same for runs with same momenta; some runs have non-optimal settings; limited separation due to available gas types  
→ for many runs not possible to obtain pure samples of all particle types
- efficiencies are obtained from calibration measurements (limited precision)
- purities depend on efficiencies (limited precision) and on beam content (limited knowledge)

# Estimation of particle content

- attempt to obtain beam content from observed event numbers:
  - $n_{\text{observed}} = \text{eff}_{\pi} * n_{\pi} + \text{eff}_{K} * n_{K} + \text{eff}_{p} * n_{p}$
  - solve system of linear equations
  - 4 disjunct event samples for 2 Cherenkov counters:  
Cer1on&Cer2off, Cer1off&Cer2on, Cer1off&Cer2off, Cer1on&Cer2on
  - over-constrained system (4 equations, 3 unknowns)
  - use singular-value decomposition (SVD) method  
to estimate  $n_{\pi}, n_{K}, n_{p}$  ( $\sim$  matrix inversion)
  - takes into account statistical uncertainties on  $n_{\text{observed}}$ ,  
but not uncertainties on efficiencies and constraint that  $n_{\pi, K, p} \geq 0$
  - good results only for good Cherenkov separation and sizeable samples
- compare with expected beam content from PARTPROD

# Particle content in data

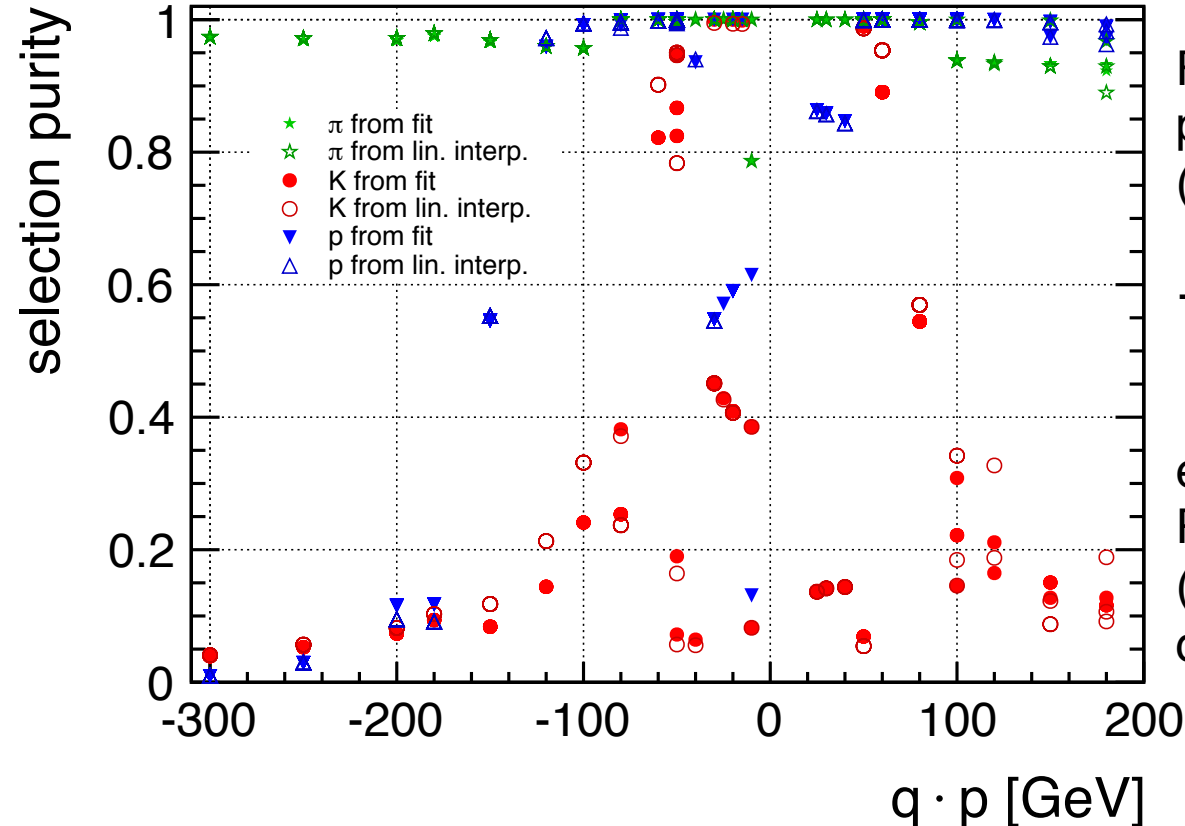


for runs with  
 $p < -30$  GeV and  $p > 80$  GeV:  
 no separation pions/kaons  
 $\rightarrow$  include kaons in pion data  
 points

note: no kaon/proton separation  
 for  $p < 50$  GeV  
 $\rightarrow$  fits result in  $\sim$ equal numbers  
 for kaons and protons

- qualitative agreement with expectation from PARTPROD, except:
  - data favors larger anti-proton content in runs with negative polarity
  - expected drop in pion content for low momenta due to in-flight decays is not observed in data

# Estimated purities



For given Cherenkov selection:  

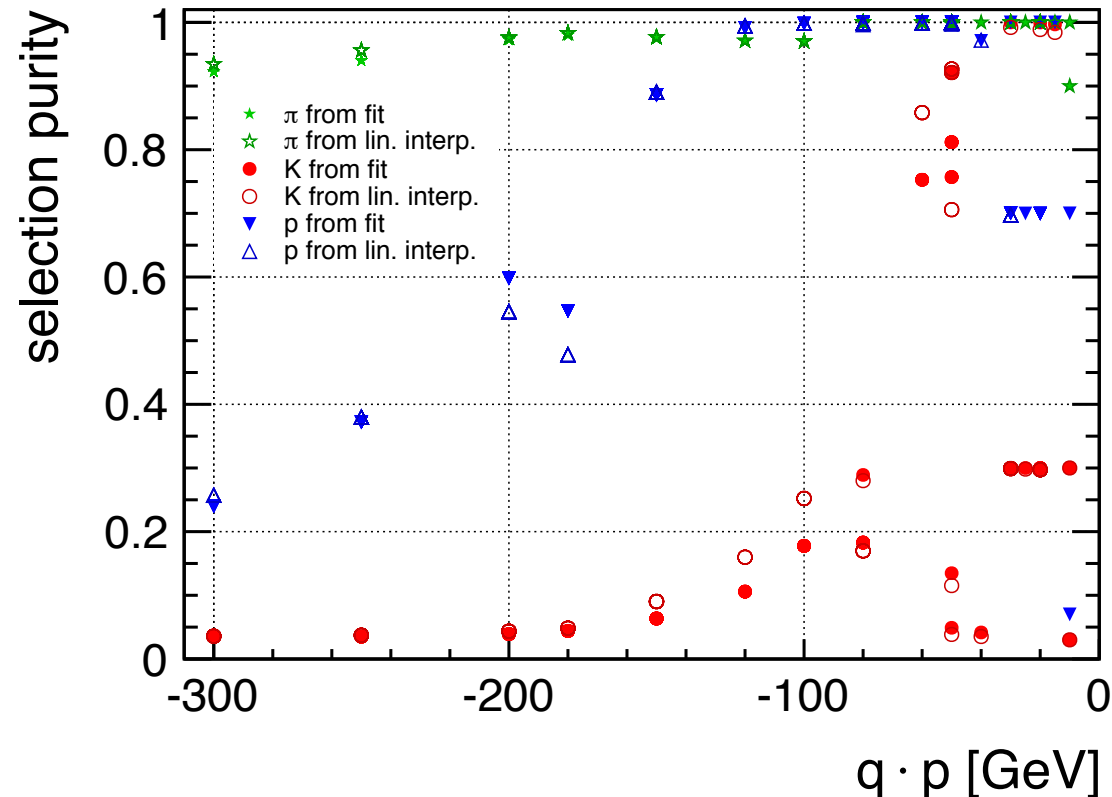
$$\text{purity}(\pi) = \frac{\text{eff}_\pi * n_\pi}{(\text{eff}_\pi * n_\pi + \text{eff}_K * n_K + \text{eff}_p * n_p)}$$

→ for each run chose Cherenkov selection with highest purity

estimates of  $n_\pi$ ,  $n_K$ ,  $n_p$  from PARTPROD  
 (estimating particle content from data not always possible / reliable)

- almost all pion samples pure (>90%)
- high-energy proton samples pure (>~90%), for <50 GeV only ~85%
- anti protons pure only up to 100 GeV
- few runs with pure (>80%) kaon selection: 15-60 GeV

# Estimated purities (fixed anti-particle content)



same as before,  
but **use fixed particle content**  
for the negative polarity runs:  
90%  $\pi^-$ , 7%  $p^-$ , 3%  $K^-$

motivated by measurement,  
see slide 13

With this assumption:

- slightly reduced  $\pi^-$  purities, but still above 90%

# Conclusions

- Cherenkov counters used to obtain pure samples of kaons, protons, kaons for 2011 W-AHCAL analysis (CAN-044, see following talk by Angela)
- observed limitations:
  - accuracy and understanding of calibration
  - non-optimal operation conditions for some runs
  - fluctuations in muon content
  - a-priory unknown particle composition of beams
- Possible improvements for current W-AHCAL (and W-DHCAL) analysis:
  - consistency checks for Cherenkov efficiencies: look at runs with  $P1=P2$
  - improve parameterization of Cherenkov turn-on curve
  - obtain better estimates for particle content of beams  
(Simulation? Try fit instead of SVD for observed beam content?)
- Possible improvements for future data taking:
  - improve precision of Cherenkov efficiency calibration:  
more/longer calibration runs, record them also with calorimeter
  - optimize Cherenkov operation settings (aim for higher purity)
  - combine with other detectors for particle ID?



# References

LCD-Note-2012-002

## Beam tests with the CALICE tungsten analog hadronic calorimeter prototype

D. Dannheim\*, W. Klempt\*, E. van der Kraaij\*

\* *CERN, Switzerland*

April 25, 2012

### Abstract

The CALICE Analog Hadronic Calorimeter prototype has been equipped with layers of tungsten absorber. Together with the MICROMEGAS and T3B experiments the calorimeter was operated in test beams at the CERN PS and SPS with mixed beams of muons, electrons, pions, kaons and protons in an energy range from 1 to 300 GeV. This note describes the experimental configurations and data taking conditions.

LCD-Note-2013-006

## Particle Identification with Cherenkov detectors in the 2011 CALICE Tungsten Analog Hadronic Calorimeter Test Beam at the CERN SPS

D. Dannheim\*, K. Elsener\*, W. Klempt\*, A. Lucaci Timoce\*, E. van der Kraaij<sup>†</sup>

\* *CERN, Switzerland*, <sup>†</sup> *University of Bergen, Norway*

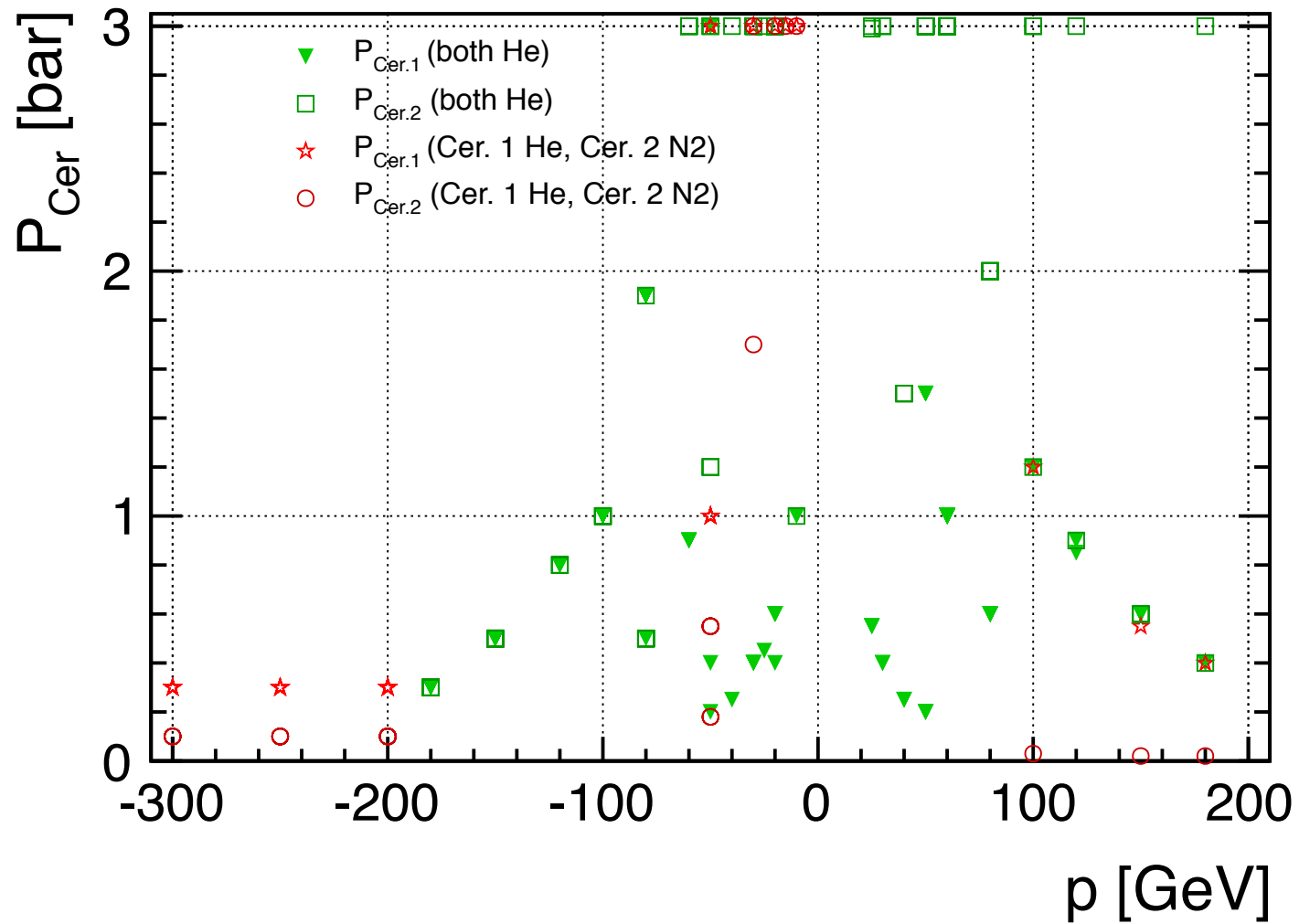
June 19, 2013

### Abstract

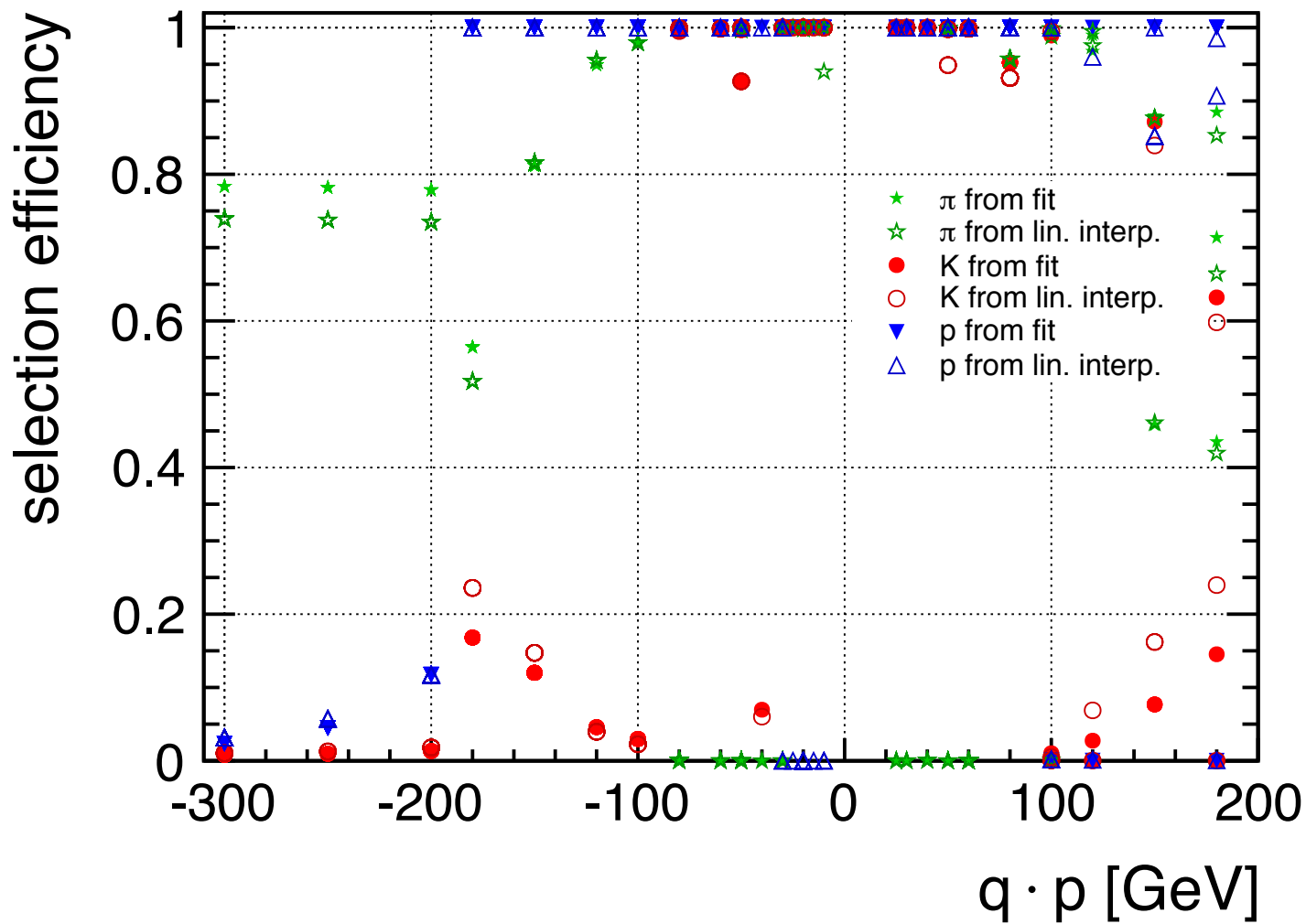
In 2011 the CALICE Tungsten Analog Hadronic Calorimeter prototype (W-AHCAL) was exposed to mixed beams of electrons, pions, kaons and protons with momenta from 10 to 300 GeV in the CERN SPS H8 beam line. The selection of pion, kaon and proton samples is based on the information obtained from two Cherenkov threshold counters. This note presents the strategy for the particle identification, as well as the calibration, operation and analysis of the Cherenkov counters. Efficiency and sample-purity estimates are given for the data selected for the W-AHCAL data analysis.

# Additional material

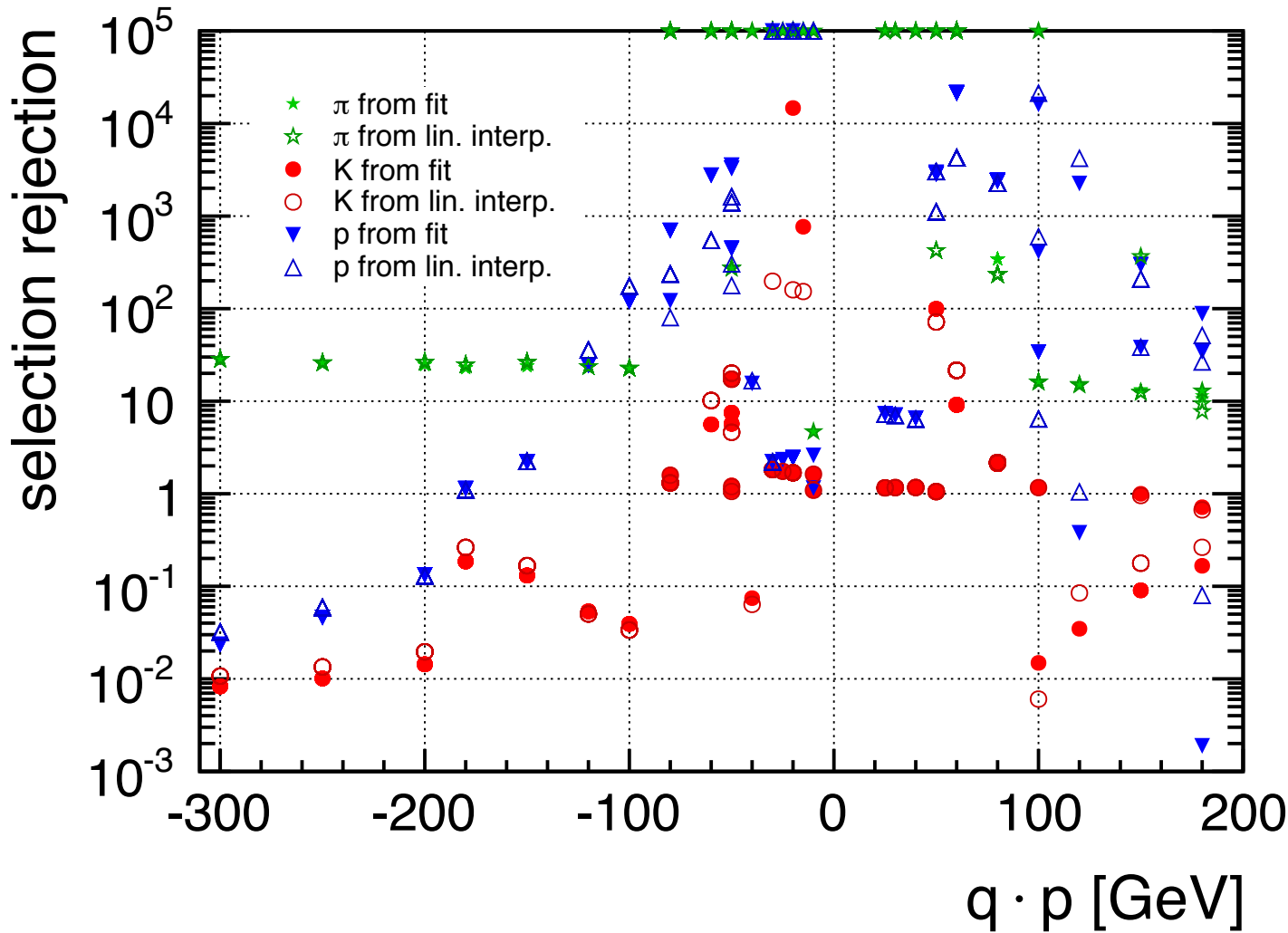
# Cherenkov pressure settings



# Efficiencies for selections with highest purity



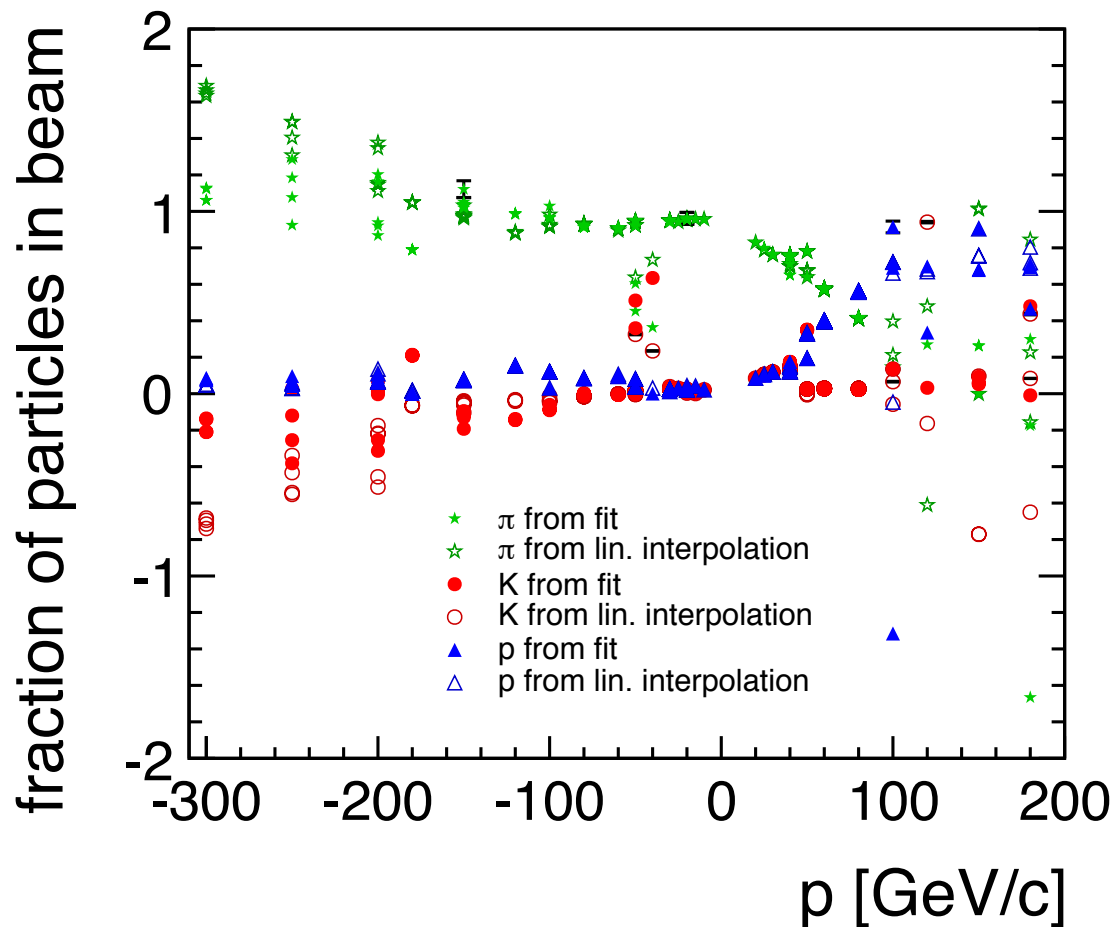
# Rejection for selections with highest purity



Rejection power of the event selections corresponding to the highest purity for each run and particle type.

The rejection power is defined as  $\text{rej} = \text{eff} / (1 - \text{purity})$  and is set to  $10^5$  for cases where the purity reaches 100%.

# Particle content in data (raw results from SVD)



no constraint on

$$n_{\pi,K,p} \geq 0$$

→ unphysical results for small samples

in general: poor accuracy for cases with bad discrimination / small samples

# Pure pion runs

Table 2: Runs with pure (> 80%) pion selection. The quoted purity and efficiency refer to the Cherenkov selections used in the W-AHCAL analysis and correspond to the average of all considered runs, weighted by the number of selected events in each run. Run numbers in bold correspond to runs with tertiary beam settings.

| q · p [GeV] | # ev. sel. | purity | efficiency | run number - 361000  |
|-------------|------------|--------|------------|--|
| 25          | 96514      | 100%   | 70%        | <b>225 233</b>   |
| 30          | 151332     | 100%   | 70%        | <b>216 217</b>   |
| 40          | 213184     | 100%   | 71%        | <b>214 215</b>   |
| 50          | 383288     | 98%    | 88%        | <b>235 249 250 646 703 704</b>   |
| 60          | 2014543    | 100%   | 99%        | <b>645 659 660 661 664 665 666 667 668 669 670 671<br/>672 673 681 683 719 720 721 722 724 726</b> |
| 80          | 1501068    | 100%   | 95%        | <b>728 729 730 731 732 733 735 737 738 739 740 741<br/>756 757 758 759 760 761 762 763 765</b>     |
| 100         | 73466      | 95%    | 95%        | 621 <b>636 637 747</b>   |
| 120         | 64902      | 93%    | 98%        | <b>643 745</b>   |
| 150         | 41529      | 93%    | 88%        | 619 <b>743 744</b>   |
| 180         | 56579      | 92%    | 87%        | 618 663 742  |
| -10         | 26830      | 100%   | 100%       | <b>471</b>   |
| -15         | 105575     | 100%   | 100%       | <b>470</b>   |
| -20         | 526999     | 100%   | 100%       | 255 256 257 264 469  |
| -25         | 140139     | 100%   | 100%       | 269  |
| -30         | 748435     | 100%   | 84%        | 267 270 271 472 473  |
| -40         | 133575     | 94%    | 71%        | <b>253</b>   |
| -50         | 1371469    | 99%    | 95%        | 273 274 375 415 479 480 481 482 483  |
| -60         | 314198     | 100%   | 99%        | 354 356 357  |
| -80         | 475632     | 100%   | 86%        | 351 376 377 400 416  |
| -100        | 340177     | 96%    | 98%        | <b>341 352 353 404</b>   |
| -120        | 280329     | 96%    | 95%        | 358 359 405  |
| -150        | 391386     | 97%    | 81%        | 360 361 406 407 408 409 410  |
| -180        | 211185     | 98%    | 56%        | 369 371 372 412  |
| -200        | 236246     | 97%    | 80%        | 427 428 431 <b>452 464</b>   |
| -250        | 307326     | 96%    | 100%       | 426 434 436 448  |
| -300        | 550201     | 97%    | 100%       | 424 425 450 451  |

# Pure proton / kaon runs

Table 3: Runs with pure (> 80%) proton selection. The quoted purity and efficiency refer to the Cerenkov selections used in the W-AHCAL analysis and correspond to the average of all considered runs, weighted by the number of selected events in each run. Run numbers in bold correspond to runs with tertiary beam settings.

| q × p [GeV] | # ev. sel. | purity | efficiency | run number - 361000  |
|-------------|------------|--------|------------|--|
| 25          | 33559      | 86%    | 100%       | <b>225 233</b>   |
| 30          | 59383      | 86%    | 100%       | <b>216 217</b>   |
| 40          | 106175     | 85%    | 100%       | <b>214 215</b>   |
| 50          | 169775     | 100%   | 100%       | <b>235 249 250 646</b> 703 704   |
| 60          | 1396605    | 100%   | 100%       | <b>645 659 660 661 664 665 666 667 668 669 670 671</b><br><b>672 673 681 683 719 720 721 722 724 726</b> |
| 80          | 2115700    | 100%   | 100%       | <b>728 729 730 731 732 733 735 737 738 739 740 741</b><br><b>756 757 758 759 760 761 762 763 765</b>     |
| 100         | 205694     | 100%   | 100%       | 621 <b>636 637 747</b>   |
| 120         | 152651     | 99%    | 100%       | <b>643 745</b>   |
| 150         | 233756     | 98%    | 100%       | 619 <b>743 744</b>   |
| 180         | 180429     | 96%    | 100%       | 618 663 742  |
| -40         | 7085       | 94%    | 100%       | <b>253</b>   |
| -50         | 71759      | 100%   | 100%       | 273 274 375 415 479 480 481 482 483  |
| -60         | 33985      | 100%   | 100%       | 354 356 357  |
| -80         | 50770      | 100%   | 100%       | 351 376 377 400 416  |
| -100        | 41815      | 99%    | 100%       | <b>341</b> 352 353 404   |
| -120        | 53288      | 96%    | 100%       | 358 359 405  |

Table 4: Runs with pure (> 80%) kaon selection. The quoted purity and efficiency refer to the Cerenkov selections used in the W-AHCAL analysis and correspond to the average of all considered runs, weighted by the number of selected events in each run. Run numbers in bold correspond to runs with tertiary beam settings.

| q × p [GeV] | # ev. sel. | purity | efficiency | run number - 361000  |
|-------------|------------|--------|------------|--|
| 50          | 8765       | 99%    | 100%       | 358 359 405 <b>646</b> 703 704   |
| 60          | 105331     | 89%    | 100%       | <b>645 659 660 661 664 665 666 667 668 669 670 671</b><br><b>672 673 681 683 719 720 721 722 724 726</b> |
| -15         | 141        | 100%   | 100%       | <b>470</b>   |
| -20         | 576        | 100%   | 100%       | 469  |
| -30         | 6812       | 100%   | 100%       | 473  |
| -50         | 18255      | 89%    | 98%        | 479 480 481 482 483  |
| -60         | 1205       | 82%    | 100%       | 354 356 357  |