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Radiation damping Synchrotron oscillations Betatron oscillations Robinson theorem Radiation integrals Quantum excitation Equilibrium emittances



Up to this point, the transport of a relativistic particle around a ring was treated as a conservative process

- The particle change of momentum (acceleration) results in emission of synchrotron radiation
- It turns out that this is much more important in circular then linear accelerators

The emission of synchrotron radiation results in energy lost by the particle and the damping of oscillations, called radiation damping

This energy lost is recovered by the RF accelerating cavities in the longitudinal direction but not in the transverse

### • Why circular machines?





Lienard's Paper



"Electric and Magnetic Field produced by an electric charge concentrated at a point and travelling on an arbitrary path"

**Prophetically published in** the french journal "The **Electric Light**"

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# **C**• Why electrons?





 $\Delta E = \frac{e^2}{3\varepsilon_0 (m_0 c^2)^4} \frac{E^4}{\rho}$ 

**Power** inversely proportional to 4<sup>th</sup> power of rest mass (proton **2000 times** heavier than electron) On the other hand, for multi TeV hadron colliders (LHC) synchrotron radiation is an important issue (protection with absorbers)

By integrating around one revolution, the **energy loss per turn** is obtained. For the ILC DR it is around 4.5 MeV/turn. On the other hand, for LEPII (**120 GeV**) it was 6GeV/turn, i.e. circular electron machines of 100s of GeV become not very practical • Synchrotron Radiation formulas for e<sup>-</sup>/e<sup>+</sup>



The power radiated by relativistic electron can be rewritten as

$$P_{\gamma} = \frac{cC_{\gamma}E^4}{2\pi\rho} = \frac{e^2c^3}{2\pi}C_{\gamma}E^2B^2 \quad \text{with } C_{\gamma} = 8.85 \times 10^{-5} \frac{\text{m}}{(\text{GeV})^3}$$

The energy loss per turn can be expressed as

$$U_0 = \frac{C_{\gamma} E^4}{2\pi} \oint \frac{ds}{\rho^2}$$
 with  $\mathcal{I}_2 = \oint \frac{ds}{\rho^2}$  the 2<sup>nd</sup> radiation integral

For a lattice with uniform bending radius (iso-magnetic) this yields:  $E^4 \left[ \text{GeV} \right]^4$ 

$$U_0[keV] = 88.5 \frac{E^4}{\rho} \frac{[\text{GeV}]^4}{[\text{m}]}$$

If this energy were not recovered , particles would gradually spiral inward until lost on vacuum chamber wall

RF cavities replace this lost energy by providing momentum kicks to the beam in the longitudinal direction • Damping of synchrotron oscillations



Consider the differential equation of the energy for longitudinal motion

$$\Delta \ddot{E} + \alpha_s \Delta \dot{E} + \Omega \Delta E = 0$$

with damping coefficient  $\alpha_s = \frac{1}{2T_0} \frac{dU}{dE}$ 

where *U* is the energy requirement per turn of the particle, and  $T_0$  the revolution period and the synchrotron frequency  $e\alpha_c\omega_{BF}V_0\cos\phi_c$ 

$$\Omega^2 = \frac{e\alpha_c\omega_{RF}v_0\cos\phi_s}{ET_0}$$

The solution can be written as a damped oscillation in energy and time with respect to the ideal synchromous particle

$$\Delta E(t) = A_E e^{-\alpha_s t} \cos\left(\Omega t - \varphi_s\right)$$
$$\tau(t) = \frac{-\alpha_c A_E}{E_0 \Omega} e^{-\alpha_s t} \sin\left(\Omega t - \varphi_s\right)$$

# C Damping of synchrotron oscillations



- Note that the synchrotron motion is damped towards the motion of the synchronous particle
- The damping coefficient is dependent on the energy of the particle through the radiated power but also through the revolution period. In the following, we try to establish this relationship
- A particle with energy spread follows a dispersive trajectory with dispersion D

$$ds' = (1 + \frac{\Delta x}{\rho})ds = (1 + \frac{D}{\rho}\frac{\Delta E}{E})ds$$

The energy requirement per turn can be obtained by the integral of the radiated power in one revolution

$$U = \oint P_s dt = \oint P_s ds'/c = \frac{1}{c} \oint P_s (1 + \frac{D}{\rho} \frac{\Delta E}{E}) ds$$

Differentiating with respect to the energy

$$\frac{dU}{dE} = \frac{1}{c} \oint \left[ \frac{dP_s}{dE} + \frac{D}{\rho} \left( \frac{dP_s}{dE} \frac{\Delta E}{E} + \frac{P_s}{E} \right) \right] ds$$

### Damping of synchrotron oscillations I



Taking into account that the average energy spread around the ring should be zero the previous integral is written:

$$\frac{dU}{dE} = \frac{1}{c} \oint \left(\frac{dP_s}{dE} + \frac{D}{\rho}\frac{P_s}{E}\right) ds$$

Setting  $C = \frac{e^+ c^-}{6\pi\varepsilon_0 (m_0 c^2)^4}$  and taking into account the

definition of the magnetic rigidity, the expression of the radiation power is written  $P_s = CE^2B^2$ 

Its derivative with respect to the energy gives

 $\frac{dP_s}{dE} = 2\frac{P_s}{E} (1 + Dk\rho)$ where we used the identity  $\frac{dB}{dE} = \frac{dB}{dx}\frac{dx}{dE} = \frac{dB}{dx}\frac{D}{E} = Bk\rho\frac{D}{E}$ Replacing in the integral at the top,  $\frac{dU}{dE} = \frac{2U_0}{E} + \frac{1}{cE} \oint DP_s \left(2k\rho + \frac{1}{\rho}\right) ds$ 

**C**•Damping of synchrotron oscillations II



Replacing in the last integral the expression of the power

$$\oint DP_s\left(2k\rho + \frac{1}{\rho}\right)ds = \frac{\mathcal{C}E^4}{e^2c^2}\oint \frac{D}{\rho}\left(2k + \frac{1}{\rho^2}\right)ds$$

and taking into account that  $U_0 = \frac{1}{c} \oint P_s ds = \frac{\mathcal{C}E^4}{e^2 c^3} \oint \frac{ds}{\rho^2}$ 

the damping of synchrotron motion is written

$$\alpha_s = \frac{1}{2T_0} \frac{dU}{dE} = \frac{U_0}{2ET_0} (2 + \mathcal{D}) = \frac{U_0}{2ET_0} \mathcal{J}_s$$

with the damping partition number defined as

$$\mathcal{D} = \frac{\oint \frac{D}{\rho} \left( 2k + \frac{1}{\rho^2} \right) ds}{\oint \frac{ds}{\rho^2}} = \frac{\mathcal{I}_4}{\mathcal{I}_2}$$

Entirely defined by the lattice!

Bending magnets and quads are usually separated and the damping partition number is usually extremely small

# Damping of vertical oscillations

 $\vec{p}_y$ 



12

- Synchrotron radiation emitted in the direction of motion of electron, whose momentum is reduced
- This reduces the vertical component of the momentum but the angle remains the same y'

 $\vec{p}_{initial} - \vec{p}_{\gamma} \qquad \vec{p}_{y} - \delta \vec{p}_{y} \qquad \overrightarrow{s}$ The key for betatron damping is the energy recovery by the RF cavities, as only the longitudinal momentum is restored



The change in energy will not affect the vertical position but the angle changes proportionally  $\delta y' = y' \frac{\delta E}{E}$ 

 $\vec{p}_{initial}$ 

# C Damping of vertical oscillations II



Recall now solution of Hill's equations in the vertical plane, assuming that the beta function is slowly varying (i.e. alpha function is zero), for simplicity

$$y = A\cos\phi$$
 and  $y' = -\frac{A}{\beta(s)}\sin\phi$ 

The betatron oscillation amplitude is  $A^2 = y^2 + [\beta(s)y']^2$ The change of the amplitude becomes

$$\delta(A^2) = \beta(s)\delta(y'^2) \Rightarrow A\delta A = -\beta^2(s)y'^2\frac{\delta A}{A}$$

By averaging over all angles (β<sup>2</sup>(s)y'<sup>2</sup>) = A<sup>2</sup>/2π ∫<sub>0</sub><sup>2π</sup> sin<sup>2</sup> φdφ = A<sup>2</sup>/2 and A(δA) = -A<sup>2</sup>/2 δE/E
Summing up the energy losses for a full turn ΔA/A = -U0/2E
Thus, in one turn the amplitudes are damped with a constant

 $\alpha_y = -\frac{\Delta A}{A\Delta t} = \frac{U_0}{2ET_0}$ 

Damping of vertical oscillations II



The vertical betatron amplitude is thus exponentially decaying  $\Delta(t) = \Delta(0)e^{-\alpha_y t}$ 

$$A(t) = A(0)e^{-\alpha_y t}$$

• Equivalently, the damping of the vertical emittance is given by  $\epsilon_y = \epsilon_y(0)e^{-2\alpha_y t}$ 

- This means that the vertical emittance in the absence of dispersion or coupling will be reduced to zero
- Actually, due to radiation emission, the vertical oscillations are not reduced to zero
- This gives a "quantum limit", beyond which the vertical emittance cannot be further reduced

### **C**• Damping of horizontal oscillations



The horizontal motion is described by  $x = x_{\beta} + x_e = A\cos\phi + D\frac{\Delta E}{E}$  and  $x' = x'_{\beta} + x'_e = -\frac{A}{\beta(s)}\sin\phi + D'\frac{\Delta E}{E}$ Energy change u due to photon emission results in a change of the dispersive part but not of the total coordinates so that  $\delta x_{\beta} = -\delta x_e = D \frac{u}{E}$  and  $x \delta x'_{\beta} = -\delta x'_e = D' \frac{u}{E}$ The change of the Betatron amplitude  $A^2 = x_{\beta}^2 + [\beta(s)x_{\beta}']^2$ becomes  $A\delta A = -(Dx_{\beta} + \beta^2(s)D'x_{\beta}')\frac{u}{E}$ The energy loss in an element *dl* is written  $u = -\frac{P_s(x_\beta)}{c}dl = -\frac{1}{c}\left(P_s + 2\frac{P_s}{B}\frac{dB}{dx}x_\beta\right)\left(1 + \frac{x_\beta}{o}\right)ds$ Substituting to the change in amplitude and averaging over the angles (and some patience...) the angles (and some patience...)  $\frac{\Delta A}{A} = -(1-\mathcal{D})\frac{U_0}{2E}$ and the damping coefficient  $\alpha_x = \frac{U_0}{2ET_0}(1-\mathcal{D})$ 15 **C**• Robinson theorem



Grouping the damping constants and introducing the three damping times and damping partition numbers

$$\alpha_s = \frac{1}{\tau_s} = \frac{U_0}{2ET_0} (2 + \mathcal{D}) = \frac{U_0}{2ET_0} \mathcal{J}_s$$
$$\alpha_y = \frac{1}{\tau_y} = \frac{U_0}{2ET_0} = \frac{U_0}{2ET_0} \mathcal{J}_y$$
$$\alpha_x = \frac{1}{\tau_x} = \frac{U_0}{2ET_0} (1 - \mathcal{D}) = \frac{U_0}{2ET_0} \mathcal{J}_x$$

The Robinson theorem (1958) states that the sum of the damping partition number is an invariant

$$\mathcal{J}_x + \mathcal{J}_y + \mathcal{J}_s = 4$$

In storage ring with separated function magnets,  $\mathcal{D} \ll 1$  and  $\mathcal{J}_x = 1$ ,  $\mathcal{J}_y = 1$ ,  $\mathcal{J}_s = 2$ 

The longitudinal damping occurs at twice the rate of the damping in the two transverse dimensions

# **E**• Transverse emittances



- Radiation damping provides a direct mechanism to take hot injected beams and reduce the equilibrium parameters to a regime useful for high luminosity colliders and high brightness light sources.
- At the same time, the radiated power plays a dominant role in the design of the associated hardware and its protection
- If the only effect was radiation damping, the transverse emittances would be damped to zero.
- Photons are emitted in energy bursts in localized areas and horizontal betatron oscillations are excited as well (quantum fluctuations)
- Vertical emittance can become very small and only excited by coupling with the horizontal or residual vertical dispersion
  Electrons are influenced by this stochastic effect and eventually loose memory (unlike hadrons)

### **Equilibrium Beam Properties**



- The emission of photons by the beam is a random process around the ring
- Photons are emitted within a cone around the direction of the beam particle with a characteristic angle  $1/\gamma$ 
  - This quantized process excites oscillations in each dimension E



In the absence of resonance or collective effects, which also serve to **heat** the beam, the balance between quantum excitation and radiation damping results in the equilibrium beam properties that are characteristics of a given ring lattice



For the very short timescales corresponding to photon emission, we can take the equations of motion we previously obtained for synchrotron motion and write:

$$\Delta E^{2}(t) + \frac{E^{2}\Omega^{2}}{\alpha_{c}^{2}}\tau^{2}(t) = A_{E}^{2}$$

where  $A_E$  is a constant of the motion.

The change in  $A_E$  due to the emission of photons should be estimated

The emission of an individual photon will not affect the time variable, however, it will cause an instantaneous change in the value of  $\Delta E$ 



#### Quantum Excitation - Longitudinal

From the solution of the synchrotron equation of motion, the energy difference is

$$\delta(\Delta E) = A_0 \cos \Omega \left( t - t_0 \right) - \frac{u}{E} \cos \Omega \left( t - t_1 \right) = A_1 \cos \Omega \left( t - t_1 \right)$$

where *u* is the energy radiated at time  $t_1$ . Thus

$$A_{1}^{2} = A_{0}^{2} + \left(\frac{u}{E}\right)^{2} - \frac{2A_{0}u}{E}\cos\Omega(t_{1} - t_{0})$$

and  $\Delta A^2 = \left\langle A^2 - A_0^2 \right\rangle = \frac{u^2}{F^2}$ 

Considering the rate of photon emission  $\mathcal{N}$ , the average change in synchrotron amplitude due to photon emission is

$$\frac{d\left\langle A^{2}\right\rangle}{dt} = \mathcal{N}\left(\frac{u}{E_{0}}\right)^{2}$$

#### Quantum Excitation - Longitudinal



By including, the radiation damping term, the net change in the synchrotron amplitude can be written as:

 $\frac{d\langle A^2 \rangle}{dt} = -2\alpha_E \langle A^2 \rangle + \mathcal{N} \frac{u^2}{E^2}$ 

The equilibrium properties of a bunch are obtained when the rate of growth from quantum excitation and the rate of damping from radiation damping are equal

For an ensemble of particles where the RMS energy amplitude is represented by the RMS energy spread, the equilibrium condition are written as

$$\sigma_{\delta}^{2} = \left(\frac{\sigma_{E}}{E}\right)^{2} = \frac{\left\langle A^{2} \right\rangle}{2} = \frac{\left\langle \mathcal{N} \left\langle u^{2} \right\rangle \right\rangle_{s}}{4\alpha_{E}E^{2}}$$

# Photon Emission



The term  $\langle \mathcal{N} \langle u^2 \rangle \rangle_s$  is the ring-wide average of the photon emission rate,  $\mathcal{N}$ , times the mean square energy loss associated with each emission

 $\mathcal{N} = \int_0^\infty n(u) du$  and  $\mathcal{N} \langle u^2 \rangle = \int_0^\infty u^2 n(u) du$ where n(u) is the photon emission rate at energy u,

$$\left\langle \mathcal{N}\left\langle u^{2}\right\rangle \right\rangle_{s} = \frac{1}{C} \oint \mathcal{N}\left\langle u^{2}\right\rangle ds$$

with *C* is the ring circumference.

The derivation of the photon spectrum emitted in a magnetic field is quite lengthy and we just quote the result

$$\mathcal{N}\left\langle u^{2}\right\rangle = 2C_{q}\gamma^{2}\frac{EP_{\gamma}}{\rho} \quad \text{where} \quad C_{q} = \frac{55}{32\sqrt{3}}\frac{\hbar}{mc} = 3.84 \times 10^{-13}m_{22}$$

### Energy Spread and Bunch Length



Integrating around the ring then yields the RMS beam energy spread

$$\sigma_{\delta}^{2} = \left(\frac{\sigma_{E}}{E}\right)^{2} = C_{q}\gamma^{2}\frac{I_{3}}{J_{s}I_{2}} = C_{q}\gamma^{2}\frac{I_{3}}{2I_{2}+I_{4}} \quad \text{where} \quad I_{3} = \oint \frac{ds}{|\rho|^{3}}$$

Using this expression with the synchrotron equations of motion, the bunch length is related to the energy spread by

$$\sigma_z = \sigma_\delta \sqrt{\frac{\alpha_c C^2 \gamma m c^2}{2\pi h e V_0 |\cos \phi_s|}} ,$$

with the harmonic number f = C

$$h = \frac{f_{\rm RF}C}{c}$$

The bunch length scales inversely with the square root of the RF voltage.

### C • Quantum excitation - horizontal





- Assume electron along nominal momentum orbit with initially negligible emittance
- After photon emission with momentum  $\Delta p$ , electron's momentum becomes  $p_0$ - $\Delta p$  and the trajectory becomes

$$\delta x = D \frac{\Delta p}{p}$$
 and  $\delta x' = D' \frac{\Delta p}{p}$ 

### **C**• Dispersion emittance



Recall that the emittance of the betatron ellipse in phase space is

$$\varepsilon_{x} = \gamma(s)x^{2}(s) + 2\alpha(s)x(s)x'(s) + \beta(s)x'^{2}(s)$$

Taking into account the change of the position and angle due to the photon emission, the change of the emittance is

$$\delta \varepsilon_{x} = \left(\gamma D^{2} + 2\alpha D D' + \beta D'^{2}\right) \left(\frac{\delta p}{p}\right)^{2} = \mathcal{H}\left(s\right) \left(\frac{\delta p}{p}\right)^{2}$$

with the "dispersion" emittance (or curly H-function)

 $\mathcal{H}(s) = \beta(s)D(s)^{\prime 2} + 2\alpha(s)D(s)D^{\prime}(s) + \gamma(s)D(s)^2$ 

**G**• Horizontal equilibrium emittance



Averaging over all photon energies and emission probabilities, the **equilibrium emittance** is derived as



equilibrium emittance is written

$$\epsilon_x = 1.47 \times 10^{-6} \frac{E^2}{\rho} \frac{1}{l_{\text{bend}}} \int_0^{l_{\text{bend}}} \mathcal{H}_x(s) ds$$

The integral depends on the optics functions on the bends It gets small for small horizontal beta and dispersion, but this necessitates strong quadrupoles

Smaller bending angle and lower energy reduce equilibrium emittance

# • Quantum Excitation - Vertical



In the vertical dimension, assuming an ideal ring with no vertical dispersion, the quantum excitation of the emittance is determined by the opening angle of the emitted photons. The resulting perturbation to the vertical motion can be described as:

and the effect to the emittance is

$$\delta y = 0 \qquad \delta y' = \frac{\Delta p}{p} \theta_{\gamma}$$
  
he emittance is 
$$\delta \varepsilon_{y} = \left(\frac{\Delta p}{p} \theta_{\gamma}\right)^{2} \beta_{y}$$

Averaging over all photon energies and emission probabilities, the **quantum limit** of the **vertical emittance** is derived as





For typical storage ring parameters, the vertical emittance due to quantum excitation is very small

Assuming a typical β<sub>y</sub> values of a few 10's of meters and bending radius of ~100m, the quantum limit is ε<sub>y</sub> ~ 0.1 pm.
 The observed sources of vertical emittance are:

- emittance coupling whose source is ring errors which couple the vertical and horizontal betatron motion
- vertical dispersion due to vertical misalignment of the quadrupoles and sextupoles and angular errors in the dipoles
- The vertical and horizontal emittances in the presence of a collection of such errors around a storage ring is commonly described as:  $\varepsilon_y = \frac{\kappa}{1+\kappa} \varepsilon_0; \quad \varepsilon_x = \frac{1}{1+\kappa} \varepsilon_0 \text{ for } 0 < \kappa < 1$

 $\varepsilon_0$  is the horizontal equilibrium emittance.

# **C**• Radiation integrals





$$\alpha_c = \frac{\mathcal{I}_1}{2\pi R}$$







Equilibrium energy spread



$$\mathcal{I}_4 = \oint \frac{D}{\rho^3} (1 + 2k\rho^2) ds$$

$$\mathcal{J}_x = 1 - \frac{\mathcal{I}_4}{\mathcal{I}_2}$$
,  $\mathcal{J}_s = 2 + \frac{\mathcal{I}_4}{\mathcal{I}_2}$ ,  $\mathcal{D} = \frac{\mathcal{I}_4}{\mathcal{I}_2}$ 

#### Damping partition numbers

 $\mathcal{I}_5 = \oint \frac{\mathcal{H}}{|\rho^3|} ds \quad \text{Equilibrium betatron emittance}$ 

$$\epsilon_x = C_q \gamma^2 \frac{\mathcal{I}_5}{\mathcal{I}_2 - \mathcal{I}_4}$$